

# Nearly Optimal Embeddings of Flat Tori

## Technical Proofs

Yi Tang

Joint work with Ishan Agarwal and Oded Regev

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# Notations & Definitions

- ▶ lattice  $\mathcal{L} = \mathbf{B}\mathbb{Z}^n$ 
  - ▶ minimum distance  $\lambda_1(\mathcal{L}) := \min\{r > 0 : \text{rank}(\mathcal{L} \cap \mathcal{B}_r) \geq 1\}$
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  - ▶ quotient lattice  $\mathcal{L}/\mathcal{L}' := \pi_{\text{span}(\mathcal{L}')^\perp}(\mathcal{L})$ , for  $\mathcal{L}' \subset \mathcal{L}$
- ▶ (flat) torus  $\mathbb{R}^n/\mathcal{L}$ 
  - ▶ torus metric:  $\text{dist}_{\mathbb{R}^n/\mathcal{L}}(\mathbf{x} + \mathcal{L}, \mathbf{y} + \mathcal{L}) = \text{dist}(\mathbf{x} - \mathbf{y}, \mathcal{L})$   
(write  $\text{dist}_{\mathbb{R}^n/\mathcal{L}}(\mathbf{x}, \mathbf{y})$  for simplicity)
  - ▶ distortion of (injective) embedding  $f$ : expansion/contraction;  
expansion:  $\sup_{\mathbf{x}, \mathbf{y}} \frac{\text{dist}(f(\mathbf{x}), f(\mathbf{y}))}{\text{dist}_{\mathbb{R}^n/\mathcal{L}}(\mathbf{x}, \mathbf{y})}$ , contraction:  $\inf \dots$
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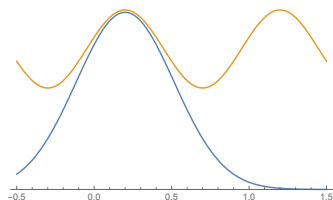
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# The HR10 Embedding

The HR10 Embedding  $H_{\mathcal{L},k}(\mathbf{x})$  maps  $\mathbf{x} \in \mathbb{R}^n/\mathcal{L}$  to a  $k$ -tuple (in  $\ell_2$ ) of Gaussians centered at  $\mathbf{x}$  with certain variances and coefficients (determined by the “scale”  $\lambda_1(\mathcal{L})$ ).

Wrapping the Gaussians:

- ▶ strictly speaking inputs to  $H_{\mathcal{L},k}$  should be  $\mathbf{x} + \mathcal{L} \in \mathbb{R}^n/\mathcal{L}$
- ▶ consequently for  $H_{\mathcal{L},k}$  to be well defined, the output Gaussians should be “wrapped around,” i.e., be the sum of all copies centered at  $\mathbf{x} + \mathcal{L}$ , and live in  $L_2(\mathbb{R}^n/\mathcal{L})$  instead of  $L_2(\mathbb{R}^n)$



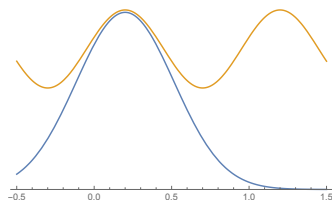


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# Distortion of The HR10 Embedding

$H_{\mathcal{L},k}$  has distortion  $O(\sqrt{nk})$ :

- ▶ expansion:  $\leq \sqrt{\pi k}$
- ▶ contraction:  $\geq \sqrt{c_H/n}$ , where  $c_H$  is absolute constant
- ▶ caveat for contraction: saturation at  $2^{k-1}\lambda_1(\mathcal{L})$ , i.e., only have contraction w.r.t.  $\min(\text{dist}_{\mathbb{R}^n/\mathcal{L}}(\mathbf{x}, \mathbf{y}), 2^{k-1}\lambda_1(\mathcal{L}))$

Choices of  $k$  in HR10:

- ▶  $k = O(\log \frac{\mu(\mathcal{L})}{\lambda_1(\mathcal{L})})$ : distortion  $O\left(\sqrt{n \log \frac{\mu(\mathcal{L})}{\lambda_1(\mathcal{L})}}\right)$
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- ▶ define projections  $\pi_{\mathcal{F}}^{\overline{j}} := \pi_{\text{span}(\mathcal{L}_j/\mathcal{L}_{j-1})}$  for  $j \in [m]$   
(and analogously  $\pi_{\mathcal{F}}^{\geq j}, \pi_{\mathcal{F}}^{> j}, \pi_{\mathcal{F}}^{< j}, \pi_{\mathcal{F}}^{\leq j}$ );  
this gives an orthogonal decomposition of the entire space
- ▶ define the *compressed projections*  $E_{\mathcal{F},\alpha}^{(j)} := \sum_{i=j}^m \alpha^{i-j} \pi_{\mathcal{F}}^{\overline{i}}$  for  $j \in [m]$ , and the overall partitioning embedding  $E_{\mathcal{F},\alpha}$  to be the tuple  $(E_{\mathcal{F},\alpha}^{(1)}, \dots, E_{\mathcal{F},\alpha}^{(m)})$  (in  $\ell_2$ )
- ▶ note that  $E_{\mathcal{F},\alpha}^{(j)}(\mathcal{L})$  is not dense as long as  $\alpha > 0$ , and thus  $E_{\mathcal{F},\alpha}^{(j)}(\mathbb{R}^n/\mathcal{L})$  gives a valid torus

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# Where does Distortion Come from?

- ▶ want to embed  $\text{dist}_{\mathbb{R}^n/\mathcal{L}}(\mathbf{x}, \mathbf{y}) = \text{dist}(\mathbf{x} - \mathbf{y}, \mathcal{L})$   
(for simplicity suppose  $\mathbf{y} = \mathbf{0}$ )
- ▶ let  $\mathbf{v} \in \mathcal{L}$  be a closest lattice vector (CV) to  $\mathbf{x}$ ;  
then  $\text{dist}(\mathbf{x}, \mathcal{L}) = \|\mathbf{x} - \mathbf{v}\|$
- ▶ want  $\text{dist}(E_{\mathcal{F},\alpha}^{(j)}(\mathbf{x}), E_{\mathcal{F},\alpha}^{(j)}(\mathcal{L})) = \|E_{\mathcal{F},\alpha}^{(j)}(\mathbf{x} - \mathbf{v})\|$  so that they  
add up to  $\Theta(1) \cdot \|\mathbf{x} - \mathbf{v}\|$  and there is constant distortion
- ▶ however  $E_{\mathcal{F},\alpha}^{(j)}(\mathbf{v})$  is not necessarily CV to  $E_{\mathcal{F},\alpha}^{(j)}(\mathbf{x})$  due to:
  1. projection (left figure: project onto  $y$ -direction)
  2. compression (right figure: compress  $y$ -direction by  $\alpha = 1/2$ )both distorting the geometry





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# Expansion of The Partitioning Embedding

Although CV could change in each compressed projection, this only leads to shorter embedded distance and does not harm expansion.

The expansion is easily  $\leq \sqrt{\frac{1}{1-\alpha^2}}$  thanks to the geometric series (and square root due to using  $\ell_2$  tuple).

# Contraction of The Partitioning Embedding: Act 1

- ▶ want to prove constant contraction
- ▶ let  $j_1$  be the last index where CV changes
- ▶ we know the part  $\|\pi_{\mathcal{F}}^{>j_1}(\mathbf{x} - \mathbf{v})\|$  is “captured” by  $E_{\mathcal{F},\alpha}^{(>j_1)}$
- ▶ if this part is already a constant fraction of  $\|\mathbf{x} - \mathbf{v}\|$  then we get constant contraction
- ▶ so from now on suppose, say,  $\|\pi_{\mathcal{F}}^{\leq j_1}(\mathbf{x} - \mathbf{v})\|^2 > \frac{1}{2}\|\mathbf{x} - \mathbf{v}\|^2$
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- ▶ we also know  $\|E_{\mathcal{F},\alpha}^{(j_1)}(\mathbf{x} - \mathbf{v})\| \geq \frac{1}{2}\lambda_1(E_{\mathcal{F},\alpha}^{(j_1)}(\mathcal{L}))$ , due to change of CV (by triangle ineq.,  $\|E_{\mathcal{F},\alpha}^{(j_1)}(\mathbf{v} - \mathbf{v}^{(j_1)})\| \leq 2\|E_{\mathcal{F},\alpha}^{(j_1)}(\mathbf{x} - \mathbf{v})\|$ , where  $E_{\mathcal{F},\alpha}^{(j_0)}(\mathbf{v}^{(j)})$  is CV to  $E_{\mathcal{F},\alpha}^{(j)}(\mathbf{x})$ )

## Contraction of The Partitioning Embedding: Act 2

- ▶ suffice to find  $j_0 \leq j_1$  s.t.  $\|E_{\mathcal{F},\alpha}^{(j_0)}(\mathbf{x} - \mathbf{v}')\|$  captures  $\|\pi_{\mathcal{F}}^{\leq j_1}(\mathbf{x} - \mathbf{v})\|$ , where  $\mathbf{v}' = \mathbf{v}^{(j_0)}$  ( $E_{\mathcal{F},\alpha}^{(j_0)}(\mathbf{v}')$  is CV to  $E_{\mathcal{F},\alpha}^{(j_0)}(\mathbf{x})$ ) (w.l.o.g.  $\|\pi_{\mathcal{F}}^{\leq j_0}(\mathbf{x} - \mathbf{v}')\| \leq \mu(\mathcal{L}_{j_0-1})$ )
- ▶ try to bound  $\|E_{\mathcal{F},\alpha}^{(j_0)}(\mathbf{x} - \mathbf{v}')\|^2 = \sum_{i=j_0}^m \alpha^{2(i-j_0)} \|\pi_{\mathcal{F}}^{\bar{=},i}(\mathbf{x} - \mathbf{v}')\|^2$
- ▶ truncate the sum at some  $j_2 \geq j_1$  to handle the exponential factor:  $\|E_{\mathcal{F},\alpha}^{(j_0)}(\mathbf{x} - \mathbf{v}')\|^2 \geq \alpha^{2(j_2-j_0)} \cdot \sum_{i=j_0}^{j_2} \|\pi_{\mathcal{F}}^{\bar{=},i}(\mathbf{x} - \mathbf{v}')\|^2$
- ▶ note that  $\sum_{i=j_0}^{j_2} \|\pi_{\mathcal{F}}^{\bar{=},i}(\cdot)\|^2 = \|\cdot\|^2 - \|\pi_{\mathcal{F}}^{\leq j_0}(\cdot)\|^2 - \|\pi_{\mathcal{F}}^{\geq j_2}(\cdot)\|^2$ 
  1.  $\|\mathbf{x} - \mathbf{v}'\|^2 \geq \|\mathbf{x} - \mathbf{v}\|^2$  as  $\mathbf{v}$  is CV
  2.  $\|\pi_{\mathcal{F}}^{\leq j_0}(\mathbf{x} - \mathbf{v}')\| \leq \mu(\mathcal{L}_{j_0-1})$  for free;  
want  $\mu(\mathcal{L}_{j_0-1}) \leq \frac{1}{4} \lambda_1(E_{\mathcal{F},\alpha}^{(j_1)}(\mathcal{L}))$ ;  
then  $\|\pi_{\mathcal{F}}^{\leq j_0}(\mathbf{x} - \mathbf{v}')\| \leq \frac{1}{2} \|\mathbf{x} - \mathbf{v}\|$
  3. hopefully  $\|\pi_{\mathcal{F}}^{\geq j_2}(\mathbf{x} - \mathbf{v}')\| = \|\pi_{\mathcal{F}}^{\geq j_2}(\mathbf{x} - \mathbf{v})\| (< \frac{1}{\sqrt{2}} \|\mathbf{x} - \mathbf{v}\|)$



# Contraction of The Partitioning Embedding: Act 2

- ▶ suffice to find  $j_0 \leq j_1$  s.t.  $\|E_{\mathcal{F},\alpha}^{(j_0)}(\mathbf{x} - \mathbf{v}')\|$  captures  $\|\pi_{\mathcal{F}}^{\leq j_1}(\mathbf{x} - \mathbf{v})\|$ , where  $\mathbf{v}' = \mathbf{v}^{(j_0)}$  ( $E_{\mathcal{F},\alpha}^{(j_0)}(\mathbf{v}')$  is CV to  $E_{\mathcal{F},\alpha}^{(j_0)}(\mathbf{x})$ ) (w.l.o.g.  $\|\pi_{\mathcal{F}}^{\leq j_0}(\mathbf{x} - \mathbf{v}')\| \leq \mu(\mathcal{L}_{j_0-1})$ )
- ▶ try to bound  $\|E_{\mathcal{F},\alpha}^{(j_0)}(\mathbf{x} - \mathbf{v}')\|^2 = \sum_{i=j_0}^m \alpha^{2(i-j_0)} \|\pi_{\mathcal{F}}^{\bar{=}}{}^i(\mathbf{x} - \mathbf{v}')\|^2$
- ▶ truncate the sum at some  $j_2 \geq j_1$  to handle the exponential factor:  $\|E_{\mathcal{F},\alpha}^{(j_0)}(\mathbf{x} - \mathbf{v}')\|^2 \geq \alpha^{2(j_2-j_0)} \cdot \sum_{i=j_0}^{j_2} \|\pi_{\mathcal{F}}^{\bar{=}}{}^i(\mathbf{x} - \mathbf{v}')\|^2$
- ▶ note that  $\sum_{i=j_0}^{j_2} \|\pi_{\mathcal{F}}^{\bar{=}}{}^i(\cdot)\|^2 = \|\cdot\|^2 - \|\pi_{\mathcal{F}}^{\leq j_0}(\cdot)\|^2 - \|\pi_{\mathcal{F}}^{\geq j_2}(\cdot)\|^2$ 
  1.  $\|\mathbf{x} - \mathbf{v}'\|^2 \geq \|\mathbf{x} - \mathbf{v}\|^2$  as  $\mathbf{v}$  is CV
  2.  $\|\pi_{\mathcal{F}}^{\leq j_0}(\mathbf{x} - \mathbf{v}')\| \leq \mu(\mathcal{L}_{j_0-1})$  for free;  
want  $\mu(\mathcal{L}_{j_0-1}) \leq \frac{1}{4} \lambda_1(E_{\mathcal{F},\alpha}^{(j_1)}(\mathcal{L}))$ ;  
then  $\|\pi_{\mathcal{F}}^{\leq j_0}(\mathbf{x} - \mathbf{v}')\| \leq \frac{1}{2} \|\mathbf{x} - \mathbf{v}\|$
  3. hopefully  $\|\pi_{\mathcal{F}}^{\geq j_2}(\mathbf{x} - \mathbf{v}')\| = \|\pi_{\mathcal{F}}^{\geq j_2}(\mathbf{x} - \mathbf{v})\| (< \frac{1}{\sqrt{2}} \|\mathbf{x} - \mathbf{v}\|)$

# Contraction of The Partitioning Embedding: Act 2

- ▶ suffice to find  $j_0 \leq j_1$  s.t.  $\|E_{\mathcal{F},\alpha}^{(j_0)}(\mathbf{x} - \mathbf{v}')\|$  captures  $\|\pi_{\mathcal{F}}^{\leq j_1}(\mathbf{x} - \mathbf{v})\|$ , where  $\mathbf{v}' = \mathbf{v}^{(j_0)}$  ( $E_{\mathcal{F},\alpha}^{(j_0)}(\mathbf{v}')$  is CV to  $E_{\mathcal{F},\alpha}^{(j_0)}(\mathbf{x})$ ) (w.l.o.g.  $\|\pi_{\mathcal{F}}^{\leq j_0}(\mathbf{x} - \mathbf{v}')\| \leq \mu(\mathcal{L}_{j_0-1})$ )
- ▶ try to bound  $\|E_{\mathcal{F},\alpha}^{(j_0)}(\mathbf{x} - \mathbf{v}')\|^2 = \sum_{i=j_0}^m \alpha^{2(i-j_0)} \|\pi_{\mathcal{F}}^{\bar{=}}{}^i(\mathbf{x} - \mathbf{v}')\|^2$
- ▶ truncate the sum at some  $j_2 \geq j_1$  to handle the exponential factor:  $\|E_{\mathcal{F},\alpha}^{(j_0)}(\mathbf{x} - \mathbf{v}')\|^2 \geq \alpha^{2(j_2-j_0)} \cdot \sum_{i=j_0}^{j_2} \|\pi_{\mathcal{F}}^{\bar{=}}{}^i(\mathbf{x} - \mathbf{v}')\|^2$
- ▶ note that  $\sum_{i=j_0}^{j_2} \|\pi_{\mathcal{F}}^{\bar{=}}{}^i(\cdot)\|^2 = \|\cdot\|^2 - \|\pi_{\mathcal{F}}^{\leq j_0}(\cdot)\|^2 - \|\pi_{\mathcal{F}}^{> j_2}(\cdot)\|^2$ 
  1.  $\|\mathbf{x} - \mathbf{v}'\|^2 \geq \|\mathbf{x} - \mathbf{v}\|^2$  as  $\mathbf{v}$  is CV
  2.  $\|\pi_{\mathcal{F}}^{\leq j_0}(\mathbf{x} - \mathbf{v}')\| \leq \mu(\mathcal{L}_{j_0-1})$  for free;  
want  $\mu(\mathcal{L}_{j_0-1}) \leq \frac{1}{4} \lambda_1(E_{\mathcal{F},\alpha}^{(j_1)}(\mathcal{L}))$ ;  
then  $\|\pi_{\mathcal{F}}^{\leq j_0}(\mathbf{x} - \mathbf{v}')\| \leq \frac{1}{2} \|\mathbf{x} - \mathbf{v}\|$
  3. hopefully  $\|\pi_{\mathcal{F}}^{> j_2}(\mathbf{x} - \mathbf{v}')\| = \|\pi_{\mathcal{F}}^{> j_2}(\mathbf{x} - \mathbf{v})\| (< \frac{1}{\sqrt{2}} \|\mathbf{x} - \mathbf{v}\|)$

# Contraction of The Partitioning Embedding: Act 2

- ▶ suffice to find  $j_0 \leq j_1$  s.t.  $\|E_{\mathcal{F},\alpha}^{(j_0)}(\mathbf{x} - \mathbf{v}')\|$  captures  $\|\pi_{\mathcal{F}}^{\leq j_1}(\mathbf{x} - \mathbf{v})\|$ , where  $\mathbf{v}' = \mathbf{v}^{(j_0)}$  ( $E_{\mathcal{F},\alpha}^{(j_0)}(\mathbf{v}')$  is CV to  $E_{\mathcal{F},\alpha}^{(j_0)}(\mathbf{x})$ ) (w.l.o.g.  $\|\pi_{\mathcal{F}}^{\leq j_0}(\mathbf{x} - \mathbf{v}')\| \leq \mu(\mathcal{L}_{j_0-1})$ )
- ▶ try to bound  $\|E_{\mathcal{F},\alpha}^{(j_0)}(\mathbf{x} - \mathbf{v}')\|^2 = \sum_{i=j_0}^m \alpha^{2(i-j_0)} \|\pi_{\mathcal{F}}^{\bar{=}}{}^i(\mathbf{x} - \mathbf{v}')\|^2$
- ▶ truncate the sum at some  $j_2 \geq j_1$  to handle the exponential factor:  $\|E_{\mathcal{F},\alpha}^{(j_0)}(\mathbf{x} - \mathbf{v}')\|^2 \geq \alpha^{2(j_2-j_0)} \cdot \sum_{i=j_0}^{j_2} \|\pi_{\mathcal{F}}^{\bar{=}}{}^i(\mathbf{x} - \mathbf{v}')\|^2$
- ▶ note that  $\sum_{i=j_0}^{j_2} \|\pi_{\mathcal{F}}^{\bar{=}}{}^i(\cdot)\|^2 = \|\cdot\|^2 - \|\pi_{\mathcal{F}}^{\leq j_0}(\cdot)\|^2 - \|\pi_{\mathcal{F}}^{\geq j_2}(\cdot)\|^2$ 
  1.  $\|\mathbf{x} - \mathbf{v}'\|^2 \geq \|\mathbf{x} - \mathbf{v}\|^2$  as  $\mathbf{v}$  is CV
  2.  $\|\pi_{\mathcal{F}}^{\leq j_0}(\mathbf{x} - \mathbf{v}')\| \leq \mu(\mathcal{L}_{j_0-1})$  for free;  
want  $\mu(\mathcal{L}_{j_0-1}) \leq \frac{1}{4} \lambda_1(E_{\mathcal{F},\alpha}^{(j_1)}(\mathcal{L}))$ ;  
then  $\|\pi_{\mathcal{F}}^{\leq j_0}(\mathbf{x} - \mathbf{v}')\| \leq \frac{1}{2} \|\mathbf{x} - \mathbf{v}\|$
  3. hopefully  $\|\pi_{\mathcal{F}}^{\geq j_2}(\mathbf{x} - \mathbf{v}')\| = \|\pi_{\mathcal{F}}^{\geq j_2}(\mathbf{x} - \mathbf{v})\| (< \frac{1}{\sqrt{2}} \|\mathbf{x} - \mathbf{v}\|)$

# Contraction of The Partitioning Embedding: Act 2

- ▶ suffice to find  $j_0 \leq j_1$  s.t.  $\|E_{\mathcal{F},\alpha}^{(j_0)}(\mathbf{x} - \mathbf{v}')\|$  captures  $\|\pi_{\mathcal{F}}^{\leq j_1}(\mathbf{x} - \mathbf{v})\|$ , where  $\mathbf{v}' = \mathbf{v}^{(j_0)}$  ( $E_{\mathcal{F},\alpha}^{(j_0)}(\mathbf{v}')$  is CV to  $E_{\mathcal{F},\alpha}^{(j_0)}(\mathbf{x})$ ) (w.l.o.g.  $\|\pi_{\mathcal{F}}^{\leq j_0}(\mathbf{x} - \mathbf{v}')\| \leq \mu(\mathcal{L}_{j_0-1})$ )
- ▶ try to bound  $\|E_{\mathcal{F},\alpha}^{(j_0)}(\mathbf{x} - \mathbf{v}')\|^2 = \sum_{i=j_0}^m \alpha^{2(i-j_0)} \|\pi_{\mathcal{F}}^{\bar{=}}{}^i(\mathbf{x} - \mathbf{v}')\|^2$
- ▶ truncate the sum at some  $j_2 \geq j_1$  to handle the exponential factor:  $\|E_{\mathcal{F},\alpha}^{(j_0)}(\mathbf{x} - \mathbf{v}')\|^2 \geq \alpha^{2(j_2-j_0)} \cdot \sum_{i=j_0}^{j_2} \|\pi_{\mathcal{F}}^{\bar{=}}{}^i(\mathbf{x} - \mathbf{v}')\|^2$
- ▶ note that  $\sum_{i=j_0}^{j_2} \|\pi_{\mathcal{F}}^{\bar{=}}{}^i(\cdot)\|^2 = \|\cdot\|^2 - \|\pi_{\mathcal{F}}^{\leq j_0}(\cdot)\|^2 - \|\pi_{\mathcal{F}}^{\geq j_2}(\cdot)\|^2$ 
  1.  $\|\mathbf{x} - \mathbf{v}'\|^2 \geq \|\mathbf{x} - \mathbf{v}\|^2$  as  $\mathbf{v}$  is CV
  2.  $\|\pi_{\mathcal{F}}^{\leq j_0}(\mathbf{x} - \mathbf{v}')\| \leq \mu(\mathcal{L}_{j_0-1})$  for free;  
want  $\mu(\mathcal{L}_{j_0-1}) \leq \frac{1}{4} \lambda_1(E_{\mathcal{F},\alpha}^{(j_1)}(\mathcal{L}))$ ;  
then  $\|\pi_{\mathcal{F}}^{\leq j_0}(\mathbf{x} - \mathbf{v}')\| \leq \frac{1}{2} \|\mathbf{x} - \mathbf{v}\|$
  3. hopefully  $\|\pi_{\mathcal{F}}^{\geq j_2}(\mathbf{x} - \mathbf{v}')\| = \|\pi_{\mathcal{F}}^{\geq j_2}(\mathbf{x} - \mathbf{v})\| (< \frac{1}{\sqrt{2}} \|\mathbf{x} - \mathbf{v}\|)$

# Contraction of The Partitioning Embedding: Act 2

- ▶ suffice to find  $j_0 \leq j_1$  s.t.  $\|E_{\mathcal{F},\alpha}^{(j_0)}(\mathbf{x} - \mathbf{v}')\|$  captures  $\|\pi_{\mathcal{F}}^{\leq j_1}(\mathbf{x} - \mathbf{v})\|$ , where  $\mathbf{v}' = \mathbf{v}^{(j_0)}$  ( $E_{\mathcal{F},\alpha}^{(j_0)}(\mathbf{v}')$  is CV to  $E_{\mathcal{F},\alpha}^{(j_0)}(\mathbf{x})$ ) (w.l.o.g.  $\|\pi_{\mathcal{F}}^{\leq j_0}(\mathbf{x} - \mathbf{v}')\| \leq \mu(\mathcal{L}_{j_0-1})$ )
- ▶ try to bound  $\|E_{\mathcal{F},\alpha}^{(j_0)}(\mathbf{x} - \mathbf{v}')\|^2 = \sum_{i=j_0}^m \alpha^{2(i-j_0)} \|\pi_{\mathcal{F}}^{\bar{=}}{}^i(\mathbf{x} - \mathbf{v}')\|^2$
- ▶ truncate the sum at some  $j_2 \geq j_1$  to handle the exponential factor:  $\|E_{\mathcal{F},\alpha}^{(j_0)}(\mathbf{x} - \mathbf{v}')\|^2 \geq \alpha^{2(j_2-j_0)} \cdot \sum_{i=j_0}^{j_2} \|\pi_{\mathcal{F}}^{\bar{=}}{}^i(\mathbf{x} - \mathbf{v}')\|^2$
- ▶ note that  $\sum_{i=j_0}^{j_2} \|\pi_{\mathcal{F}}^{\bar{=}}{}^i(\cdot)\|^2 = \|\cdot\|^2 - \|\pi_{\mathcal{F}}^{\leq j_0}(\cdot)\|^2 - \|\pi_{\mathcal{F}}^{> j_2}(\cdot)\|^2$ 
  1.  $\|\mathbf{x} - \mathbf{v}'\|^2 \geq \|\mathbf{x} - \mathbf{v}\|^2$  as  $\mathbf{v}$  is CV
  2.  $\|\pi_{\mathcal{F}}^{\leq j_0}(\mathbf{x} - \mathbf{v}')\| \leq \mu(\mathcal{L}_{j_0-1})$  for free;  
want  $\mu(\mathcal{L}_{j_0-1}) \leq \frac{1}{4} \lambda_1(E_{\mathcal{F},\alpha}^{(j_1)}(\mathcal{L}))$ ;  
then  $\|\pi_{\mathcal{F}}^{\leq j_0}(\mathbf{x} - \mathbf{v}')\| \leq \frac{1}{2} \|\mathbf{x} - \mathbf{v}\|$
  3. hopefully  $\|\pi_{\mathcal{F}}^{> j_2}(\mathbf{x} - \mathbf{v}')\| = \|\pi_{\mathcal{F}}^{> j_2}(\mathbf{x} - \mathbf{v})\| (< \frac{1}{\sqrt{2}} \|\mathbf{x} - \mathbf{v}\|)$

# Contraction of The Partitioning Embedding: Act 2

- ▶ suffice to find  $j_0 \leq j_1$  s.t.  $\|E_{\mathcal{F},\alpha}^{(j_0)}(\mathbf{x} - \mathbf{v}')\|$  captures  $\|\pi_{\mathcal{F}}^{\leq j_1}(\mathbf{x} - \mathbf{v})\|$ , where  $\mathbf{v}' = \mathbf{v}^{(j_0)}$  ( $E_{\mathcal{F},\alpha}^{(j_0)}(\mathbf{v}')$  is CV to  $E_{\mathcal{F},\alpha}^{(j_0)}(\mathbf{x})$ ) (w.l.o.g.  $\|\pi_{\mathcal{F}}^{\leq j_0}(\mathbf{x} - \mathbf{v}')\| \leq \mu(\mathcal{L}_{j_0-1})$ )
- ▶ try to bound  $\|E_{\mathcal{F},\alpha}^{(j_0)}(\mathbf{x} - \mathbf{v}')\|^2 = \sum_{i=j_0}^m \alpha^{2(i-j_0)} \|\pi_{\mathcal{F}}^{\bar{=}}{}^i(\mathbf{x} - \mathbf{v}')\|^2$
- ▶ truncate the sum at some  $j_2 \geq j_1$  to handle the exponential factor:  $\|E_{\mathcal{F},\alpha}^{(j_0)}(\mathbf{x} - \mathbf{v}')\|^2 \geq \alpha^{2(j_2-j_0)} \cdot \sum_{i=j_0}^{j_2} \|\pi_{\mathcal{F}}^{\bar{=}}{}^i(\mathbf{x} - \mathbf{v}')\|^2$
- ▶ note that  $\sum_{i=j_0}^{j_2} \|\pi_{\mathcal{F}}^{\bar{=}}{}^i(\cdot)\|^2 = \|\cdot\|^2 - \|\pi_{\mathcal{F}}^{\leq j_0}(\cdot)\|^2 - \|\pi_{\mathcal{F}}^{\geq j_2}(\cdot)\|^2$ 
  1.  $\|\mathbf{x} - \mathbf{v}'\|^2 \geq \|\mathbf{x} - \mathbf{v}\|^2$  as  $\mathbf{v}$  is CV
  2.  $\|\pi_{\mathcal{F}}^{\leq j_0}(\mathbf{x} - \mathbf{v}')\| \leq \mu(\mathcal{L}_{j_0-1})$  for free;  
want  $\mu(\mathcal{L}_{j_0-1}) \leq \frac{1}{4} \lambda_1(E_{\mathcal{F},\alpha}^{(j_1)}(\mathcal{L}))$ ;  
then  $\|\pi_{\mathcal{F}}^{\leq j_0}(\mathbf{x} - \mathbf{v}')\| \leq \frac{1}{2} \|\mathbf{x} - \mathbf{v}\|$
  3. hopefully  $\|\pi_{\mathcal{F}}^{\geq j_2}(\mathbf{x} - \mathbf{v}')\| = \|\pi_{\mathcal{F}}^{\geq j_2}(\mathbf{x} - \mathbf{v})\| (< \frac{1}{\sqrt{2}} \|\mathbf{x} - \mathbf{v}\|)$

# Contraction of The Partitioning Embedding: Act 2.5

- ▶ “hopefully  $\|\pi_{\mathcal{F}}^{> j_2}(\mathbf{x} - \mathbf{v}')\| = \|\pi_{\mathcal{F}}^{> j_2}(\mathbf{x} - \mathbf{v})\|$ ”
  - ▶ suffice to show  $\pi_{\mathcal{F}}^{> j_2}(\mathbf{v}') = \pi_{\mathcal{F}}^{> j_2}(\mathbf{v})$ , or  $E_{\mathcal{F}, \alpha}^{(j_2+1)}(\mathbf{v}') = E_{\mathcal{F}, \alpha}^{(j_2+1)}(\mathbf{v})$
  - ▶ if not, they are distant:  $\|E_{\mathcal{F}, \alpha}^{(j_2+1)}(\mathbf{v} - \mathbf{v}')\| \geq \lambda_1(E_{\mathcal{F}, \alpha}^{(j_2+1)}(\mathcal{L}))$
  - ▶ note that by algebra,  $\|E_{\mathcal{F}, \alpha}^{(j_0)}(\cdot)\| \geq \alpha^{j_2+1-j_0} \|E_{\mathcal{F}, \alpha}^{(j_2+1)}(\cdot)\|$
  - ▶ hence

$$\begin{aligned}\|\pi_{\mathcal{F}}^{\leq j_1}(\mathbf{x} - \mathbf{v})\| &> \frac{1}{\sqrt{2}} \|\mathbf{x} - \mathbf{v}\| \geq \frac{1}{\sqrt{2}} \|E_{\mathcal{F}, \alpha}^{(j_0)}(\mathbf{x} - \mathbf{v})\| \\ &\geq \frac{1}{2\sqrt{2}} \|E_{\mathcal{F}, \alpha}^{(j_0)}(\mathbf{v} - \mathbf{v}')\| \\ &\geq \frac{\alpha^{j_2+1-j_0}}{2\sqrt{2}} \lambda_1(E_{\mathcal{F}, \alpha}^{(j_2+1)}(\mathcal{L}))\end{aligned}$$

- ▶ on the other hand  $\|\pi_{\mathcal{F}}^{\leq j_1}(\mathbf{x} - \mathbf{v})\| \leq \mu(\mathcal{L}_{j_1})$ ;  
so want  $\mu(\mathcal{L}_{j_1}) \leq \frac{\alpha^{j_2+1-j_0}}{2\sqrt{2}} \lambda_1(E_{\mathcal{F}, \alpha}^{(j_2+1)}(\mathcal{L}))$  for contradiction

# Contraction of The Partitioning Embedding: Act 2.5

- ▶ “hopefully  $\|\pi_{\mathcal{F}}^{>j_2}(\mathbf{x} - \mathbf{v}')\| = \|\pi_{\mathcal{F}}^{>j_2}(\mathbf{x} - \mathbf{v})\|$ ”
  - ▶ suffice to show  $\pi_{\mathcal{F}}^{>j_2}(\mathbf{v}') = \pi_{\mathcal{F}}^{>j_2}(\mathbf{v})$ , or  $E_{\mathcal{F},\alpha}^{(j_2+1)}(\mathbf{v}') = E_{\mathcal{F},\alpha}^{(j_2+1)}(\mathbf{v})$
  - ▶ if not, they are distant:  $\|E_{\mathcal{F},\alpha}^{(j_2+1)}(\mathbf{v} - \mathbf{v}')\| \geq \lambda_1(E_{\mathcal{F},\alpha}^{(j_2+1)}(\mathcal{L}))$
  - ▶ note that by algebra,  $\|E_{\mathcal{F},\alpha}^{(j_0)}(\cdot)\| \geq \alpha^{j_2+1-j_0} \|E_{\mathcal{F},\alpha}^{(j_2+1)}(\cdot)\|$
  - ▶ hence

$$\begin{aligned}\|\pi_{\mathcal{F}}^{\leq j_1}(\mathbf{x} - \mathbf{v})\| &> \frac{1}{\sqrt{2}} \|\mathbf{x} - \mathbf{v}\| \geq \frac{1}{\sqrt{2}} \|E_{\mathcal{F},\alpha}^{(j_0)}(\mathbf{x} - \mathbf{v})\| \\ &\geq \frac{1}{2\sqrt{2}} \|E_{\mathcal{F},\alpha}^{(j_0)}(\mathbf{v} - \mathbf{v}')\| \\ &\geq \frac{\alpha^{j_2+1-j_0}}{2\sqrt{2}} \lambda_1(E_{\mathcal{F},\alpha}^{(j_2+1)}(\mathcal{L}))\end{aligned}$$

- ▶ on the other hand  $\|\pi_{\mathcal{F}}^{\leq j_1}(\mathbf{x} - \mathbf{v})\| \leq \mu(\mathcal{L}_{j_1})$ ;  
so want  $\mu(\mathcal{L}_{j_1}) \leq \frac{\alpha^{j_2+1-j_0}}{2\sqrt{2}} \lambda_1(E_{\mathcal{F},\alpha}^{(j_2+1)}(\mathcal{L}))$  for contradiction



# Contraction of The Partitioning Embedding: Act 2.5

- ▶ “hopefully  $\|\pi_{\mathcal{F}}^{>j_2}(\mathbf{x} - \mathbf{v}')\| = \|\pi_{\mathcal{F}}^{>j_2}(\mathbf{x} - \mathbf{v})\|$ ”
  - ▶ suffice to show  $\pi_{\mathcal{F}}^{>j_2}(\mathbf{v}') = \pi_{\mathcal{F}}^{>j_2}(\mathbf{v})$ , or  $E_{\mathcal{F},\alpha}^{(j_2+1)}(\mathbf{v}') = E_{\mathcal{F},\alpha}^{(j_2+1)}(\mathbf{v})$
  - ▶ if not, they are distant:  $\|E_{\mathcal{F},\alpha}^{(j_2+1)}(\mathbf{v} - \mathbf{v}')\| \geq \lambda_1(E_{\mathcal{F},\alpha}^{(j_2+1)}(\mathcal{L}))$
  - ▶ note that by algebra,  $\|E_{\mathcal{F},\alpha}^{(j_0)}(\cdot)\| \geq \alpha^{j_2+1-j_0} \|E_{\mathcal{F},\alpha}^{(j_2+1)}(\cdot)\|$
  - ▶ hence

$$\begin{aligned}\|\pi_{\mathcal{F}}^{\leq j_1}(\mathbf{x} - \mathbf{v})\| &> \frac{1}{\sqrt{2}} \|\mathbf{x} - \mathbf{v}\| \geq \frac{1}{\sqrt{2}} \|E_{\mathcal{F},\alpha}^{(j_0)}(\mathbf{x} - \mathbf{v})\| \\ &\geq \frac{1}{2\sqrt{2}} \|E_{\mathcal{F},\alpha}^{(j_0)}(\mathbf{v} - \mathbf{v}')\| \\ &\geq \frac{\alpha^{j_2+1-j_0}}{2\sqrt{2}} \lambda_1(E_{\mathcal{F},\alpha}^{(j_2+1)}(\mathcal{L}))\end{aligned}$$

- ▶ on the other hand  $\|\pi_{\mathcal{F}}^{\leq j_1}(\mathbf{x} - \mathbf{v})\| \leq \mu(\mathcal{L}_{j_1})$ ;  
so want  $\mu(\mathcal{L}_{j_1}) \leq \frac{\alpha^{j_2+1-j_0}}{2\sqrt{2}} \lambda_1(E_{\mathcal{F},\alpha}^{(j_2+1)}(\mathcal{L}))$  for contradiction

# Contraction of The Partitioning Embedding: Act 2.5

- ▶ “hopefully  $\|\pi_{\mathcal{F}}^{>j_2}(\mathbf{x} - \mathbf{v}')\| = \|\pi_{\mathcal{F}}^{>j_2}(\mathbf{x} - \mathbf{v})\|$ ”
  - ▶ suffice to show  $\pi_{\mathcal{F}}^{>j_2}(\mathbf{v}') = \pi_{\mathcal{F}}^{>j_2}(\mathbf{v})$ , or  $E_{\mathcal{F},\alpha}^{(j_2+1)}(\mathbf{v}') = E_{\mathcal{F},\alpha}^{(j_2+1)}(\mathbf{v})$
  - ▶ if not, they are distant:  $\|E_{\mathcal{F},\alpha}^{(j_2+1)}(\mathbf{v} - \mathbf{v}')\| \geq \lambda_1(E_{\mathcal{F},\alpha}^{(j_2+1)}(\mathcal{L}))$
  - ▶ note that by algebra,  $\|E_{\mathcal{F},\alpha}^{(j_0)}(\cdot)\| \geq \alpha^{j_2+1-j_0} \|E_{\mathcal{F},\alpha}^{(j_2+1)}(\cdot)\|$
  - ▶ hence

$$\begin{aligned}\|\pi_{\mathcal{F}}^{\leq j_1}(\mathbf{x} - \mathbf{v})\| &> \frac{1}{\sqrt{2}} \|\mathbf{x} - \mathbf{v}\| \geq \frac{1}{\sqrt{2}} \|E_{\mathcal{F},\alpha}^{(j_0)}(\mathbf{x} - \mathbf{v})\| \\ &\geq \frac{1}{2\sqrt{2}} \|E_{\mathcal{F},\alpha}^{(j_0)}(\mathbf{v} - \mathbf{v}')\| \\ &\geq \frac{\alpha^{j_2+1-j_0}}{2\sqrt{2}} \lambda_1(E_{\mathcal{F},\alpha}^{(j_2+1)}(\mathcal{L}))\end{aligned}$$

- ▶ on the other hand  $\|\pi_{\mathcal{F}}^{\leq j_1}(\mathbf{x} - \mathbf{v})\| \leq \mu(\mathcal{L}_{j_1})$ ;  
so want  $\mu(\mathcal{L}_{j_1}) \leq \frac{\alpha^{j_2+1-j_0}}{2\sqrt{2}} \lambda_1(E_{\mathcal{F},\alpha}^{(j_2+1)}(\mathcal{L}))$  for contradiction

# Contraction of The Partitioning Embedding: Act 3

- ▶ already manage to capture  $\|\pi_{\mathcal{F}}^{\leq j_1}(\mathbf{x} - \mathbf{v})\|$ , even the entire  $\|\mathbf{x} - \mathbf{v}\|$ , by  $\|E_{\mathcal{F},\alpha}^{(j_0)}(\mathbf{x} - \mathbf{v}')\|$ ?
- ▶ need to consider saturation of HR10, i.e., can only use  $\min(\|E_{\mathcal{F},\alpha}^{(j)}(\mathbf{x} - \mathbf{v}^{(j)})\|, \text{poly}(n) \cdot \lambda_1(E_{\mathcal{F},\alpha}^{(j)}(\mathcal{L})))$  for each  $j$
- ▶ for  $E_{\mathcal{F},\alpha}^{(> j_1)}$ , they still capture  $\|\pi_{\mathcal{F}}^{> j_1}(\mathbf{x} - \mathbf{v})\|$  as long as  $\mu(\mathcal{L}_j) \leq \text{poly}(n) \cdot \lambda_1(E_{\mathcal{F},\alpha}^{(j)}(\mathcal{L}))$
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  - ▶ trade-off between distortion and dimensionality
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