

The Shift PUF: Technique for Squaring the Machine Learning Complexity of Arbiter-based PUFs

Yi Tang ¹ Donghang Wu ² Yongzhi Cao ² Marian Margraf ³

¹University of Michigan

²Peking University

³Freie Universität Berlin

CASES 2020 WiP

Physically Unclonable Functions (PUFs)

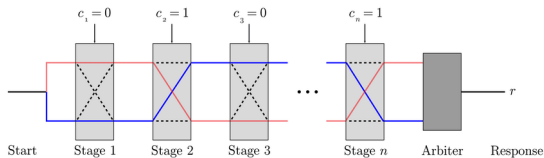
- Hardware cryptographic identification primitive;
 - Exploiting inevitable manufacturing variations;
 - Hence “physically unclonable.”
-
- A PUF Instance is identified by its behavior as a (probabilistic) mapping from inputs (*challenges*) to outputs (*responses*).

Physically Unclonable Functions (PUFs)

- Hardware cryptographic identification primitive;
- Exploiting inevitable manufacturing variations;
- Hence “physically unclonable.”

- A PUF Instance is identified by its behavior as a (probabilistic) mapping from inputs (*challenges*) to outputs (*responses*).

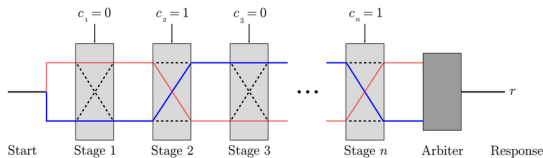
Arbiter PUFs (APUFs)



- ¹ Challenge decides the paths: either parallel or crossing.
- Two signals are triggered simultaneously and propagate along the decided paths.
- *Arbiter* judges the race and yields the result as response.
- The inevitable manufacturing variations of the signal delays lead to unique challenge-response behaviors.

¹Figure from Georg T. Becker, "The Gap Between Promise and Reality: On the Insecurity of XOR Arbiter PUFs", 2015.

Arbiter PUFs (APUFs)



- ¹ Challenge decides the paths: either parallel or crossing.
- Two signals are triggered simultaneously and propagate along the decided paths.
- *Arbiter* judges the race and yields the result as response.
- The inevitable manufacturing variations of the signal delays lead to unique challenge-response behaviors.

¹Figure from Georg T. Becker, "The Gap Between Promise and Reality: On the Insecurity of XOR Arbiter PUFs", 2015.

Desired Properties of a PUF design

- Being *lightweight*: low time and circuit complexities of the hardware;
- Being *strong*: huge challenge space, thus huge PUF instance space;
- *Reliability*: the same challenge results in the same response w.h.p.;
- *Security*: hard to predict with high accuracy the challenge-response behavior given reasonable amount of information.

Security of APUFs

- Lightweight, strong, and reliable.
- However insecure. Challenge-response behavior $r(\mathbf{c})$ of m -bit APUF:

$$r(\mathbf{c}) = [\Delta(\mathbf{c}) \geq 0], \quad \Delta(\mathbf{c}) = \mathbf{w}^\top \mathbf{p},$$

\mathbf{w} : $(m+1)$ -d vector that is only a function of the signal delays in the APUF instance,

\mathbf{p} (*parity*): $(m+1)$ -d vector that is only a function of the challenge \mathbf{c} .

- Linear classification model; easy to learn given reasonable number of *challenge-response pairs* (CRPs).

- Lightweight, strong, and reliable.
- However insecure. Challenge-response behavior $r(\mathbf{c})$ of m -bit APUF:

$$r(\mathbf{c}) = [\Delta(\mathbf{c}) \geq 0], \quad \Delta(\mathbf{c}) = \mathbf{w}^\top \mathbf{p},$$

\mathbf{w} : $(m + 1)$ -d vector that is only a function of the signal delays in the APUF instance,

\mathbf{p} (*parity*): $(m + 1)$ -d vector that is only a function of the challenge \mathbf{c} .

- Linear classification model; easy to learn given reasonable number of *challenge-response pairs* (CRPs).

k -XOR APUF:

- XOR-sum of k APUF instances (sharing the challenge).
- #CRPs required in learning is believed to be exponential in k .
- Empirically vulnerable to *reliability-based attacks*, where the *reliability* information (probability of getting the same response) is accessible besides merely the response.

k -XOR APUF:

- XOR-sum of k APUF instances (sharing the challenge).
- #CRPs required in learning is believed to be exponential in k .
- Empirically vulnerable to *reliability-based attacks*, where the *reliability* information (probability of getting the same response) is accessible besides merely the response.

k -XOR APUF:

- XOR-sum of k APUF instances (sharing the challenge).
- #CRPs required in learning is believed to be exponential in k .
- Empirically vulnerable to *reliability-based attacks*, where the *reliability* information (probability of getting the same response) is accessible besides merely the response.

Arbiter-based PUFs: Interpose PUFs (iPUFs)

(x, y) -iPUF:

- Use two XOR APUFs as follows:

$$r(\mathbf{c}) = r_2(\mathbf{c}_1, r_1(\mathbf{c}), \mathbf{c}_2) ,$$

r_1 and r_2 : respectively an x -XOR APUF and a y -XOR APUF,
 $(\mathbf{c}_1, \mathbf{c}_2)$: some fixed split of \mathbf{c} .

- As secure as $(x/2 + y)$ -XOR APUF, while moreover resilient to reliability-based attacks.

Arbiter-based PUFs: Interpose PUFs (iPUFs)

(x, y) -iPUF:

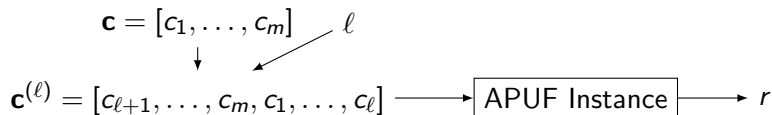
- Use two XOR APUFs as follows:

$$r(\mathbf{c}) = r_2(\mathbf{c}_1, r_1(\mathbf{c}), \mathbf{c}_2) ,$$

r_1 and r_2 : respectively an x -XOR APUF and a y -XOR APUF,
 $(\mathbf{c}_1, \mathbf{c}_2)$: some fixed split of \mathbf{c} .

- As secure as $(x/2 + y)$ -XOR APUF, while moreover resilient to reliability-based attacks.

Our Contribution: Shift PUFs



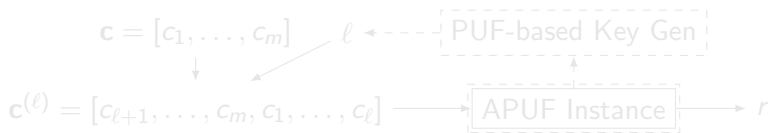
- Prepend to APUF a (w.l.o.g., left) *circular shift* operation.
- Model of challenge-response behavior $r(\mathbf{c})$ becomes:

$$r(\mathbf{c}) = [\Delta_{\text{Shift}}(\mathbf{c}) \geq 0], \quad \Delta_{\text{Shift}}(\mathbf{c}) = \Delta(\mathbf{c}^{(\ell)}),$$

$\mathbf{c}^{(\ell)}$: the circular shift of \mathbf{c} by ℓ bits.

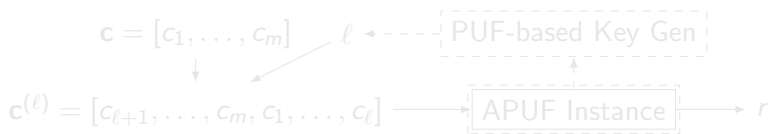
Shift Displacement ℓ in Shift PUFs

- If ℓ is known by attacker, then the attacker could easily preprocess out the effect of the circular shift.
- Recall: securely generating some secret ℓ is exactly among the applications of PUFs.
- Could use *PUF-based key generation* with the underlying APUF instance to securely generate ℓ ;
- ℓ is only $\log m$ bits long; will not harm the efficiency badly.



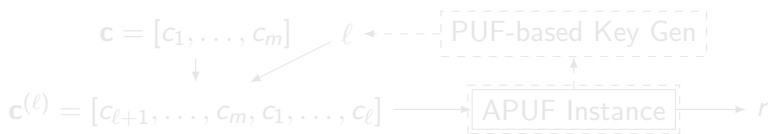
Shift Displacement ℓ in Shift PUFs

- If ℓ is known by attacker, then the attacker could easily preprocess out the effect of the circular shift.
- Recall: securely generating some secret ℓ is exactly among the applications of PUFs.
- Could use *PUF-based key generation* with the underlying APUF instance to securely generate ℓ ;
- ℓ is only $\log m$ bits long; will not harm the efficiency badly.



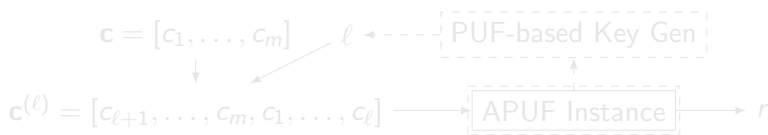
Shift Displacement ℓ in Shift PUFs

- If ℓ is known by attacker, then the attacker could easily preprocess out the effect of the circular shift.
- Recall: securely generating some secret ℓ is exactly among the applications of PUFs.
- Could use *PUF-based key generation* with the underlying APUF instance to securely generate ℓ ;
- ℓ is only $\log m$ bits long; will not harm the efficiency badly.



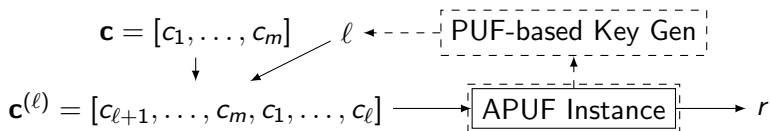
Shift Displacement ℓ in Shift PUFs

- If ℓ is known by attacker, then the attacker could easily preprocess out the effect of the circular shift.
- Recall: securely generating some secret ℓ is exactly among the applications of PUFs.
- Could use *PUF-based key generation* with the underlying APUF instance to securely generate ℓ ;
- ℓ is only $\log m$ bits long; will not harm the efficiency badly.



Shift Displacement ℓ in Shift PUFs

- If ℓ is known by attacker, then the attacker could easily preprocess out the effect of the circular shift.
- Recall: securely generating some secret ℓ is exactly among the applications of PUFs.
- Could use *PUF-based key generation* with the underlying APUF instance to securely generate ℓ ;
- ℓ is only $\log m$ bits long; will not harm the efficiency badly.



Security of Shift PUFs

- Linear classification model w.r.t. $\mathbf{p}^{(\ell)}$, the parity of $\mathbf{c}^{(\ell)}$, instead of \mathbf{p} .
- However ℓ is unknown to the attacker; cannot apply linear methods.
- Eliminate the effect of ℓ by enumerating all $\ell = 0, 1, \dots, m - 1$.
- Natural and general approach: a $\Theta(m^2)$ -d linear classification model.

$$\begin{bmatrix} p_1 & p_1 & p_1 & \dots & p_1 & p_1 \\ p_2 & p_1 p_2 p_3 & p_1 p_3 p_4 & \dots & p_1 p_{m-1} p_m & p_m p_1 \\ p_3 & p_1 p_2 p_4 & p_1 p_3 p_5 & \dots & p_{m-1} p_1 & p_m p_2 \\ \vdots & \vdots & \vdots & \ddots & \vdots & \vdots \\ p_{m-1} & p_1 p_2 p_m & p_3 p_1 & \dots & p_{m-1} p_{m-3} & p_m p_{m-2} \\ p_m & p_2 p_1 & p_3 p_2 & \dots & p_{m-1} p_{m-2} & p_m p_{m-1} \\ 1 & 1 & 1 & \dots & 1 & 1 \end{bmatrix}$$

- Conjecture: the attacker cannot do better than this approach.

Security of Shift PUFs

- Linear classification model w.r.t. $\mathbf{p}^{(\ell)}$, the parity of $\mathbf{c}^{(\ell)}$, instead of \mathbf{p} .
- However ℓ is unknown to the attacker; cannot apply linear methods.
- Eliminate the effect of ℓ by enumerating all $\ell = 0, 1, \dots, m - 1$.
- Natural and general approach: a $\Theta(m^2)$ -d linear classification model.

$$\begin{bmatrix} p_1 & p_1 & p_1 & \cdots & p_1 & p_1 \\ p_2 & p_1 p_2 p_3 & p_1 p_3 p_4 & \cdots & p_1 p_{m-1} p_m & p_m p_1 \\ p_3 & p_1 p_2 p_4 & p_1 p_3 p_5 & \cdots & p_{m-1} p_1 & p_m p_2 \\ \vdots & \vdots & \ddots & \ddots & \vdots & \vdots \\ p_{m-1} & p_1 p_2 p_m & p_3 p_1 & \cdots & p_{m-1} p_{m-3} & p_m p_{m-2} \\ p_m & p_2 p_1 & p_3 p_2 & \cdots & p_{m-1} p_{m-2} & p_m p_{m-1} \\ 1 & 1 & 1 & \cdots & 1 & 1 \end{bmatrix}$$

- Conjecture: the attacker cannot do better than this approach.

Security of Shift PUFs

- Linear classification model w.r.t. $\mathbf{p}^{(\ell)}$, the parity of $\mathbf{c}^{(\ell)}$, instead of \mathbf{p} .
- However ℓ is unknown to the attacker; cannot apply linear methods.
- Eliminate the effect of ℓ by enumerating all $\ell = 0, 1, \dots, m - 1$.
- Natural and general approach: a $\Theta(m^2)$ -d linear classification model.

$$\begin{bmatrix} p_1 & p_1 & p_1 & \cdots & p_1 & p_1 \\ p_2 & p_1 p_2 p_3 & p_1 p_3 p_4 & \cdots & p_1 p_{m-1} p_m & p_m p_1 \\ p_3 & p_1 p_2 p_4 & p_1 p_3 p_5 & \cdots & p_{m-1} p_1 & p_m p_2 \\ \vdots & \vdots & \ddots & \ddots & \vdots & \vdots \\ p_{m-1} & p_1 p_2 p_m & p_3 p_1 & \cdots & p_{m-1} p_{m-3} & p_m p_{m-2} \\ p_m & p_2 p_1 & p_3 p_2 & \cdots & p_{m-1} p_{m-2} & p_m p_{m-1} \\ 1 & 1 & 1 & \cdots & 1 & 1 \end{bmatrix}$$

- Conjecture: the attacker cannot do better than this approach.

“Squaring” Arbiter-based PUFs

By substituting APUFs with shift PUFs, all arbiter-based PUF designs might benefit from the $\Theta(m^2)$ enhancement.

- Any machine learning complexity $T(m)$ becomes $T(m^2)$.²
- Remark: not for turning insecure design into secure design.
- Substantially adding difficulties to the attacks.
- Alternatively, reducing time and/or circuit complexities of the hardware while preserving the machine learning complexity.

²Strictly speaking it is $\Theta(T(m^2))$, as long as T is polynomial in m ; also note that $\Theta(T(m^2)) = \Theta(T(m)^2)$ in such case.

“Squaring” Arbiter-based PUFs

By substituting APUFs with shift PUFs, all arbiter-based PUF designs might benefit from the $\Theta(m^2)$ enhancement.

- Any machine learning complexity $T(m)$ becomes $T(m^2)$.²
- Remark: not for turning insecure design into secure design.
- Substantially adding difficulties to the attacks.
- Alternatively, reducing time and/or circuit complexities of the hardware while preserving the machine learning complexity.

²Strictly speaking it is $\Theta(T(m^2))$, as long as T is polynomial in m ; also note that $\Theta(T(m^2)) = \Theta(T(m)^2)$ in such case.

“Squaring” Arbiter-based PUFs

By substituting APUFs with shift PUFs, all arbiter-based PUF designs might benefit from the $\Theta(m^2)$ enhancement.

- Any machine learning complexity $T(m)$ becomes $T(m^2)$.²
- Remark: not for turning insecure design into secure design.
- Substantially adding difficulties to the attacks.
- Alternatively, reducing time and/or circuit complexities of the hardware while preserving the machine learning complexity.

²Strictly speaking it is $\Theta(T(m^2))$, as long as T is polynomial in m ; also note that $\Theta(T(m^2)) = \Theta(T(m)^2)$ in such case.

“Squaring” Arbiter-based PUFs

By substituting APUFs with shift PUFs, all arbiter-based PUF designs might benefit from the $\Theta(m^2)$ enhancement.

- Any machine learning complexity $T(m)$ becomes $T(m^2)$.²
- Remark: not for turning insecure design into secure design.
- Substantially adding difficulties to the attacks.
- Alternatively, reducing time and/or circuit complexities of the hardware while preserving the machine learning complexity.

²Strictly speaking it is $\Theta(T(m^2))$, as long as T is polynomial in m ; also note that $\Theta(T(m^2)) = \Theta(T(m)^2)$ in such case.

“Squaring” Arbiter-based PUFs

By substituting APUFs with shift PUFs, all arbiter-based PUF designs might benefit from the $\Theta(m^2)$ enhancement.

- Any machine learning complexity $T(m)$ becomes $T(m^2)$.²
- Remark: not for turning insecure design into secure design.
- Substantially adding difficulties to the attacks.
- Alternatively, reducing time and/or circuit complexities of the hardware while preserving the machine learning complexity.

²Strictly speaking it is $\Theta(T(m^2))$, as long as T is polynomial in m ; also note that $\Theta(T(m^2)) = \Theta(T(m)^2)$ in such case.

To empirically verify the conjecture: $T(m)$ becomes $T(m^2)$.

- Various kinds of arbiter-based PUFs.
- Various commonly used attacks.

Thank you.