

# EECS 598. Program Synthesis: Techniques and Applications

## Lecture 2: Syntax-guided synthesis

Xinyu Wang

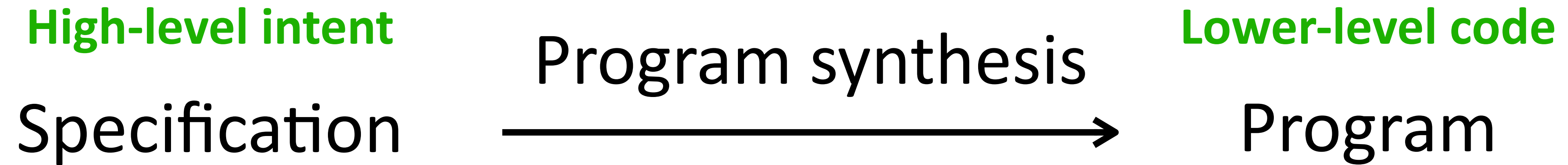
# Administrivia

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- Send paper preference via email by **midnight Sept 4**
- First paper presentation
- First review
- Schedule will be updated with speakers
  - There may be new papers
  - Paper orders may change
  - Check after Sept 5!

# Last lecture

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- Three pillars: intention, invention, adaptation
- This course: different techniques for different specifications in different applications

# Today's lecture

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- Syntax-guided synthesis (SyGuS): a framework to study program synthesis
- Programming-by-example (PBE): an instance of SyGuS
- Two PBE techniques: search-based and representation-based

# Syntax-guided synthesis

# Syntax-guided synthesis (SyGuS)

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- SyGuS is a general formulation of program synthesis problems
  - Not a program synthesis technique
- Idea: **search over space of programs**
- |  |             |  |
|--|-------------|--|
| Specification  | →<br>Search | Program <b>written according to</b> <span style="border: 1px solid blue; padding: 2px;">syntax</span> (context-free grammar) |
| semantic constraint<br>(what should this program do) |             | syntactic constraint<br>(what should this program look like)   |
- Advantages: synthesis becomes more tractable

# An example SyGuS problem

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- Find a program  $f(x)$  in the following grammar

$$e ::= x \mid 1 \mid e + e$$

such that  $f(1) = 2$

syntactic constraint

semantic constraint

- A solution:  $f(x) = x + 1$
- Another solution:  $f(x) = x + x$

# Formal definition of SyGuS

- Given a first-order formula  $\phi$  in a background theory  $T$  and a context-free grammar  $L$ , the syntax-guided synthesis problem is to find an expression  $e \in L$  such that formula  $\phi[f/e]$  is valid in theory  $T$ .

- In previous example:

- Find a program in the following grammar, such that  $f(1) = 2$

$e := x \mid 1 \mid e + e$

context-free grammar  $L$

first-order formula  $\phi$

- $x + 1$  is a solution, because  $1 + 1 = 2$  is valid in theory of Linear Integer Arithmetic

intuitively, this means  $1 + 1 = 2$  is correct



# Context-free grammar (CFG)

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- CFG defines **syntax** of a programming language
  - A set of programs
- More formally:  $(T, N, P, S)$ 
  - $T$ : set of terminal symbols
  - $N$ : set of non-terminal symbols
  - $P$ : set of productions of the form  $s \rightarrow f(s_1, \dots, s_n)$
  - $S \in N$ : start symbol

# An example CFG

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- CFG is defined as  $(T, N, P, S)$

$$e := x \mid 1 \mid e + e$$

- $T$ : set of terminal symbols

$$\{x, 1\}$$

- $N$ : set of non-terminal symbols

$$\{e\}$$

- $P$ : set of productions of the form  $s \rightarrow f(s_1, \dots, s_n)$

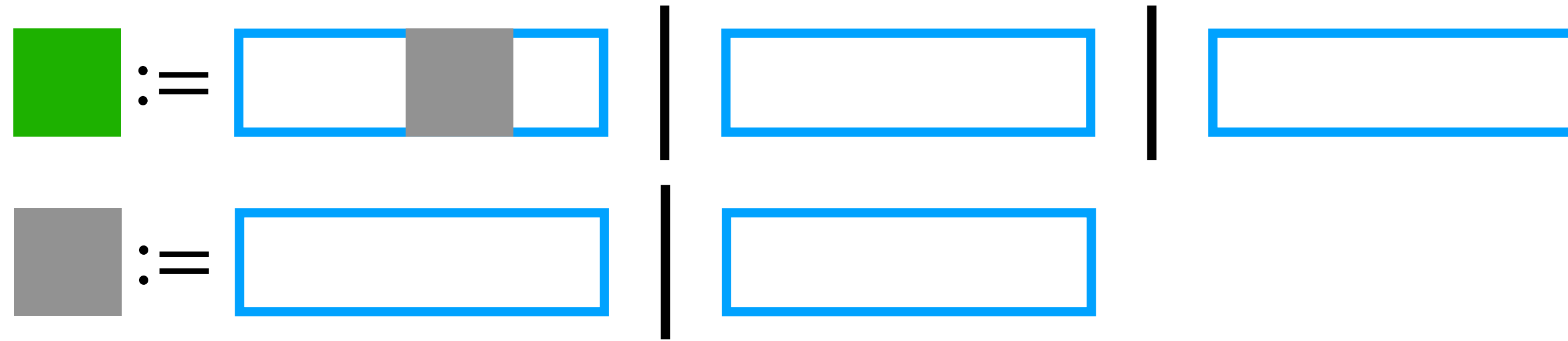
$$\{e \rightarrow x, e \rightarrow 1, e \rightarrow e + e\}$$

- $S \in N$ : start symbol

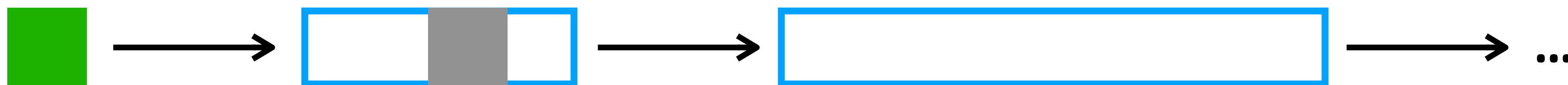
$$e$$

# Write programs according to CFG

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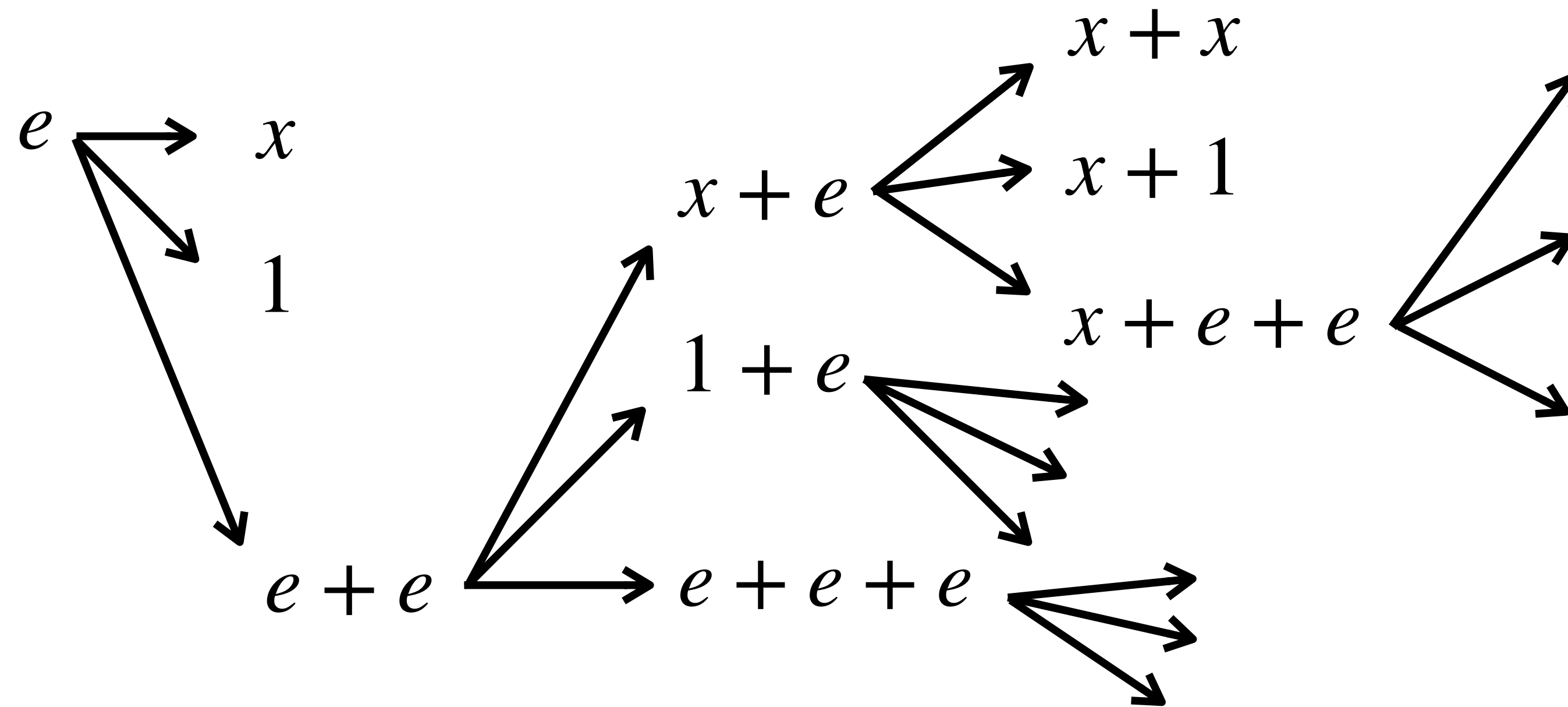
- Step 1: begin with the start symbol
- Step 2: pick a non-terminal in current result and replace it with one of its productions
- Step 3: continue step 2 until no more non-terminal remains (i.e., only terminals)



# An example

- CFG:  $e := x \mid 1 \mid e + e$

scratchpad

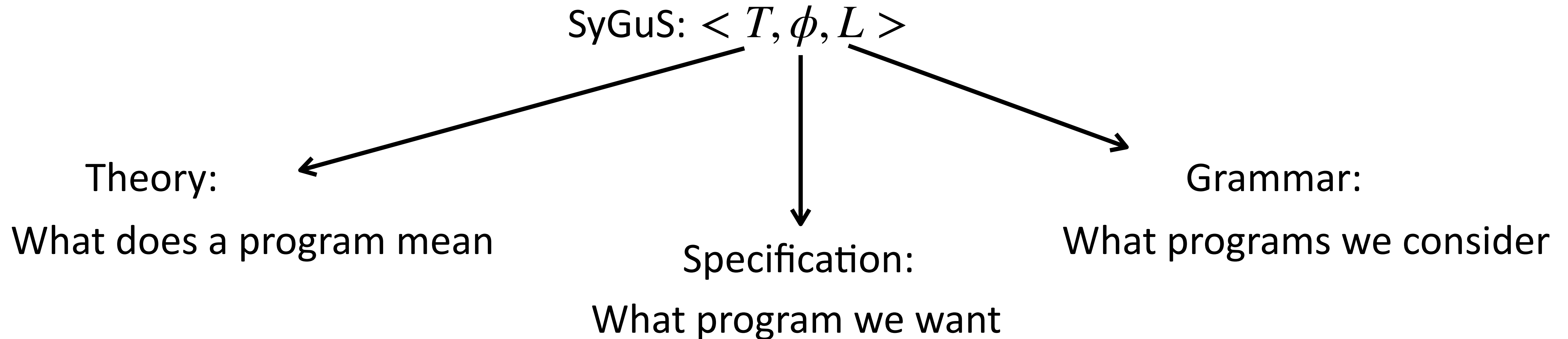


- Step 1: begin with the start symbol
- Step 2: pick a non-terminal in current result and replace it with one of its productions
- Step 3: continue step 2 until no more non-terminal remains (i.e., only terminals)

# SyGuS recap

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- Given a first-order formula  $\phi$  in a background theory  $T$  and a context-free grammar  $L$ , the syntax-guided synthesis problem is to find an expression  $e \in L$  such that formula  $\phi[f/e]$  is valid in theory  $T$ .



# Programming-by-Example

# Programming-by-example (PBE)

- PBE is a specific kind of SyGuS
  - Specification  $\phi$  encodes a set of input-output examples
  - Goal of PBE: find a program in CFG that satisfies a given set of I/O examples
  - A more ambitious goal: not only satisfy examples, but actual intent (mind reading!)
- E.g., FlashFill



	A	B
1	Names	Initials
2	Neil Lieber	N L I
3	Mathew Prisco	
4	Althea Bertin	
5	Kelly Gamblin	
6	Chandra Valenzula	
7	Cody Castillon	
8	Tyrone Brazier	
9	Althea Buhl	
10	Dollie Munsey	
11	Allyson Phou	



	A	B
1	Names	Initials
2	Neil Lieber	N L
3	Mathew Prisco	M P
4	Althea Bertin	A B
5	Kelly Gamblin	K G
6	Chandra Valenzula	C V
7	Cody Castillon	C C
8	Tyrone Brazier	T B
9	Althea Buhl	A B
10	Dollie Munsey	D M
11	Allyson Phou	A P

# Why is PBE important

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- Simplest kind of specification (arguably)
- Useful in practice
  - Even non-programmers can provide examples
- Technically fundamental
  - Underly many program synthesis techniques using other specs
  - Will cover these techniques in Module 2 (and Module 3)



# Challenges of PBE

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- Scalability
  - Search space defined by syntax is huge (although examples are simple)
  - How to find a program w/o waiting too long?
- Ambiguity
  - Examples are ambiguous
  - How to guess the right program w/o one telling you everything about it?
- Usability — how to make PBE systems useful and usable in practice?
- Applicability — how to create PBE systems widely applicable to many domains?
- ...

# An example PBE problem

- Syntax

$e := f \mid \text{concat}(f, e)$

$f := s \mid \text{substr}(x, p, p)$

$p := k \mid \text{position}(x, r, k)$

$r := t \mid \text{seq}(t, \dots, t)$

$t := \langle \text{num} \rangle \mid \langle \text{let} \rangle \mid \langle \text{ws} \rangle \mid \langle \text{any} \rangle \mid \dots$

*s is string constant, k is int constant,  
x is input variable*

- Semantics

- Specification

“Bill Gates”  $\rightarrow$  “BG”

Some sample programs in this language:

```
concat( "a", "b" )
```

“12ab”  $\rightarrow$  ???

```
concat( "a", substr( x, 0, 1 ) )
```

“12ab”  $\rightarrow$  ???

```
concat( "a", substr( x, 0, position( x, <num>, 1 ) ) )
```

“12ab”  $\rightarrow$  ???

```
concat( substr( x, 0, 1 ),  
        substr( x, position( x, <ws>, 1 ), position( x, <cap>, 2 ) ) )
```

What does this program do?

# Solve PBE problems

- “Bill Gates”  $\rightarrow$  “BG”

$e := f \mid \text{concat}(f, e)$

$f := s \mid \text{substr}(x, p, p)$

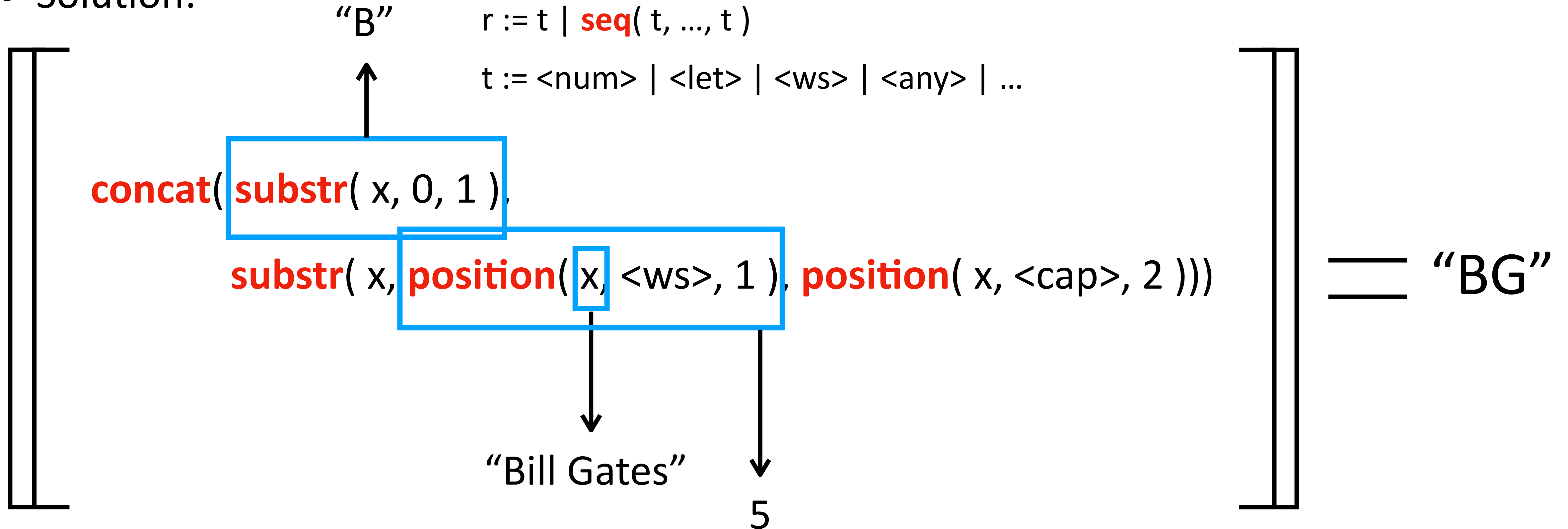
$p := k \mid \text{position}(x, r, k)$

$r := t \mid \text{seq}(t, \dots, t)$

$t := \langle \text{num} \rangle \mid \langle \text{let} \rangle \mid \langle \text{ws} \rangle \mid \langle \text{any} \rangle \mid \dots$

“Bill Gates”  
 0 1 4 5 6

- Solution:



# Solve PBE problems (cont'd)

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- “Bill Gates” → “BG”
  - Given solution, simple to check correctness
  - ... but we do not have solution a priori (only spec!)
  - How to find the solution?

$e := f \mid \text{concat}(f, e)$

$f := s \mid \text{substr}(x, p, p)$

$p := k \mid \text{position}(x, r, k)$

$r := t \mid \text{seq}(t, \dots, t)$

$t := \langle \text{num} \rangle \mid \langle \text{let} \rangle \mid \langle \text{ws} \rangle \mid \langle \text{any} \rangle \mid \dots$

# PBE challenges

- Huge search space (easily  $>10^{20}$  in simplified FlashFill language!) — how to scale?

$e := f \mid \text{concat}(f, e)$

$f := s \mid \text{substr}(x, p, p)$

$p := k \mid \text{position}(x, r, k)$

$r := t \mid \text{seq}(t, \dots, t)$

$t := \langle \text{num} \rangle \mid \langle \text{let} \rangle \mid \langle \text{ws} \rangle \mid \langle \text{any} \rangle \mid \dots$

“Bill Gates”  
0 1 4 5 6

- Ambiguity — how to find the desired program w/o too many examples?

**concat( substr( x, 0, 1 ), substr( x, position( x, <ws>, 1 ), position( x, <cap>, 2 )))**

**concat( substr( x, 0, 1 ), substr( x, 5, 6 )))**

**concat( “B”, “G” )**

**concat( “B”, substr( x, position( x, <ws>, 1 ), position( x, <cap>, 2 )))**

...

# PBE techniques

# Many PBE techniques

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- Search-based
- Representation-based
- Using constraint solving
- Stochastic
- Neural approaches
- ...

# PBE technique 1: search-based

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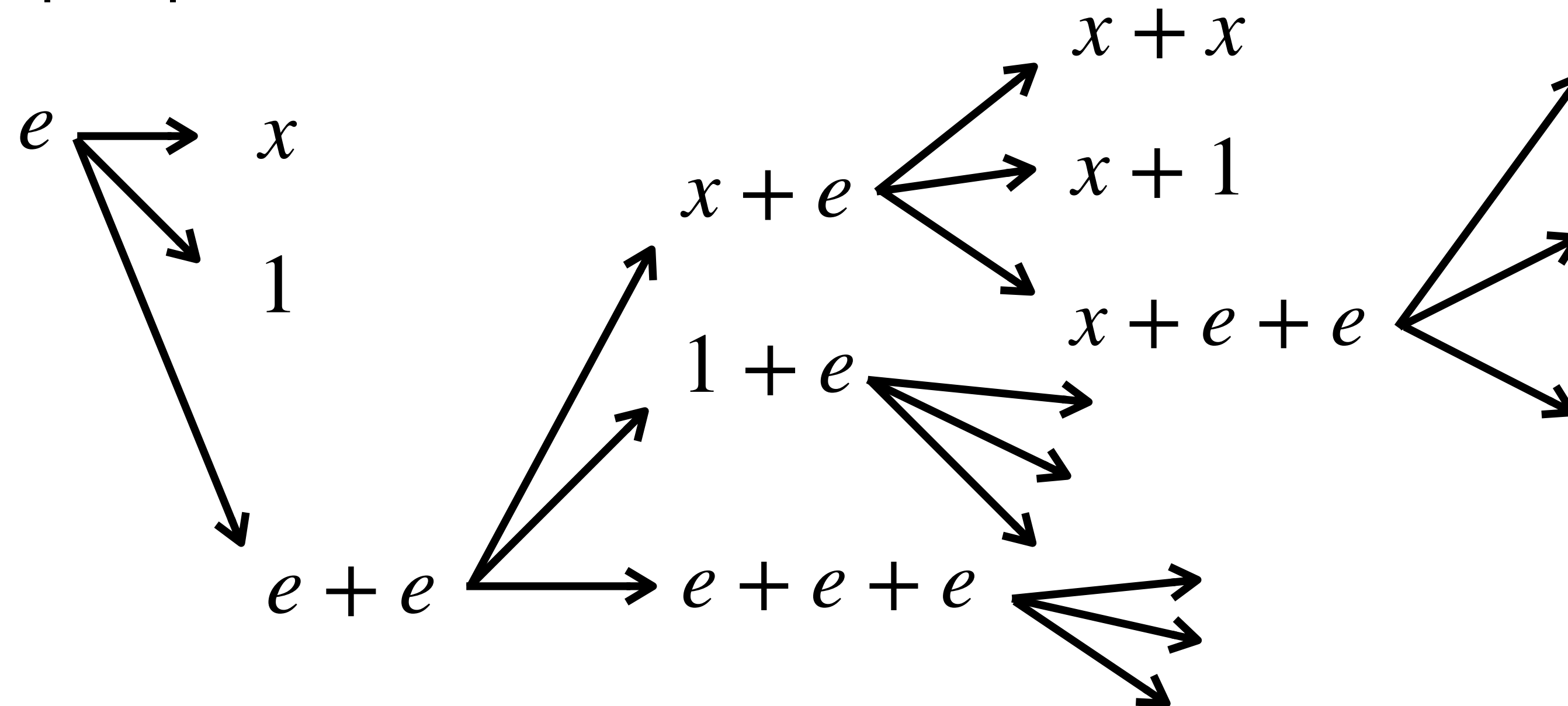
- Idea: enumerate programs from grammar **systematically** and test them on examples
- Observation 1: exhaustive, exponential
- Observation 2: random enumeration order may not work well
- Challenges — scalability & ambiguity
  - Today's lecture: two systematic search-based approaches (top-down & bottom-up)
  - Subsequent paper presentations: scale, resolve ambiguity



# Top-down search

- We have already seen how this works

- CFG:  $e := x \mid 1 \mid e + e$



- Idea: start from start symbol, expand non-terminal symbols according to production rules, until reaching a program that satisfies examples

# Top-down search (cont'd)

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- Algorithm skeleton

top-down-search(  $(T, N, P, S)$ ,  $E$  ):

worklist := {  $S$  };

**while** ( worklist *is not empty* ):

pp := worklist.remove();

if ( pp *is complete* & pp *satisfies*  $E$  ): **return** pp;

worklist.addAll( expand(pp) );

T: terminal symbols

N: non-terminal symbols

P: productions

S: start symbol

return more partial programs by replacing a non-terminal in pp

# An example

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```
top-down-search( (T, N, P, S), E ):  
  worklist := { S };  
  while ( worklist is not empty ):  
    pp := worklist.remove();  
    if ( pp is complete & pp satisfies E ): return pp;  
    worklist.addAll( expand(pp) );
```

- CFG:  $e := x \mid 1 \mid e + e$
- Example: (1,2)
- Worklist (at end of iterations)  
iter 0:  $e$   
iter 1:  $x \quad 1 \quad e + e$   
iter 2:  $1 \quad e + e$   
iter 3:  $e + e$   
iter 4:  $x + e \quad 1 + e \quad e + e + e$   
 $e + x \quad e + 1 \quad e + e + e$   
iter 5:  $x + x \quad x + 1 \quad x + e + e$   
 $1 + e \quad e + e + e$   
 $e + x \quad e + 1 \quad e + e + e$   
iter 6: **return**  $x + x$

# Bottom-up search

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- Idea: start with terminal symbols, combine smaller programs into bigger programs according to production rules, until reaching a program that satisfies examples
- Algorithm skeleton

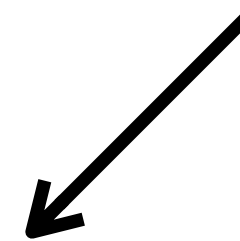
bottom-up-search(  $(T, N, P, S), E$  ):

worklist := {  $t \mid t \in T$  };

**while** ( true ):

**foreach**  $p$  **in** worklist: **if** (  $p$  is complete &  $p$  satisfies  $E$  ): **return**  $p$ ;

  worklist.addAll( grow(worklist) );



return more programs by applying production rules to programs in worklist

# An example

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bottom-up-search(  $(T, N, P, S), E$  ):

worklist := {  $t \mid t \in T$  };

**while** ( true ):

**foreach**  $p$  in worklist: **if** (  $p$  is complete &  $p$  satisfies  $E$  ): **return**  $p$ ;

    worklist.addAll( grow(worklist) );

- CFG:  $e ::= x \mid 1 \mid e + e$

- Example: (1,2)

- Worklist (at end of iterations)

iter 0:  $x \quad 1$

iter 1:  $x \quad 1 \quad x + x \quad x + 1 \quad 1 + x \quad 1 + 1$

iter 2: **return**  $x + x$

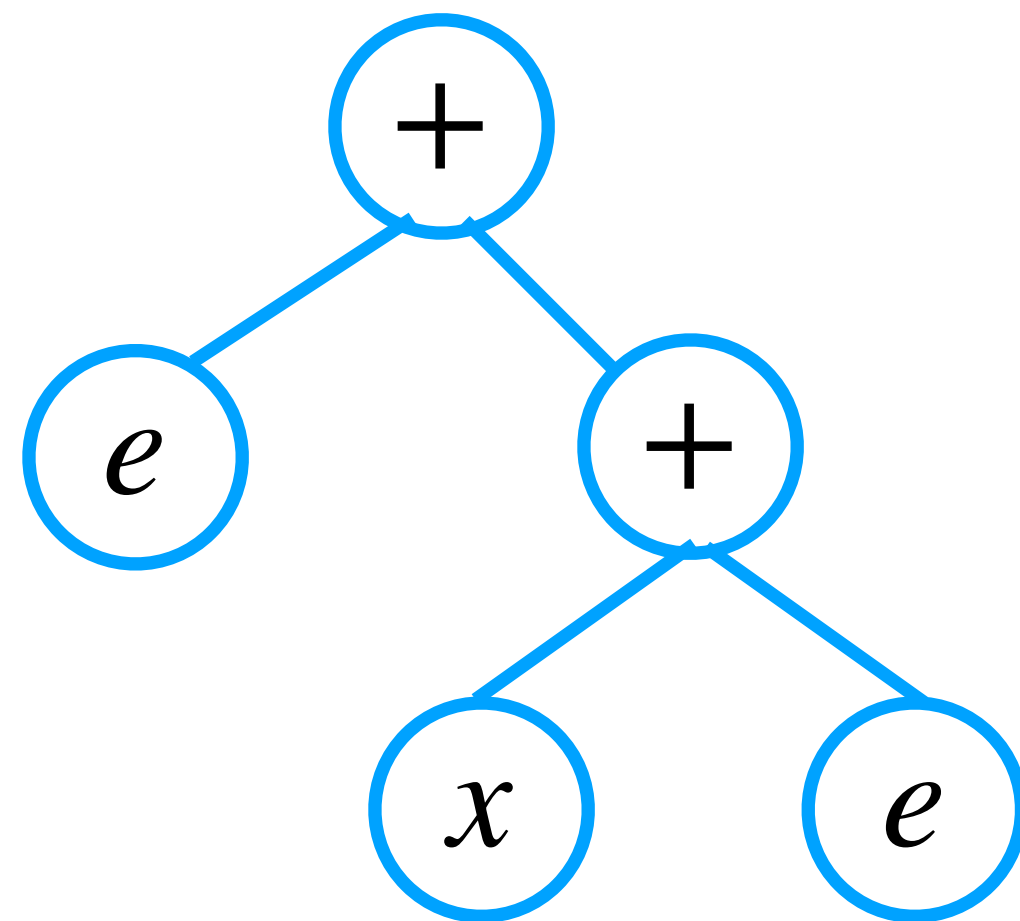
# Top-down vs. bottom-up

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## Top-down

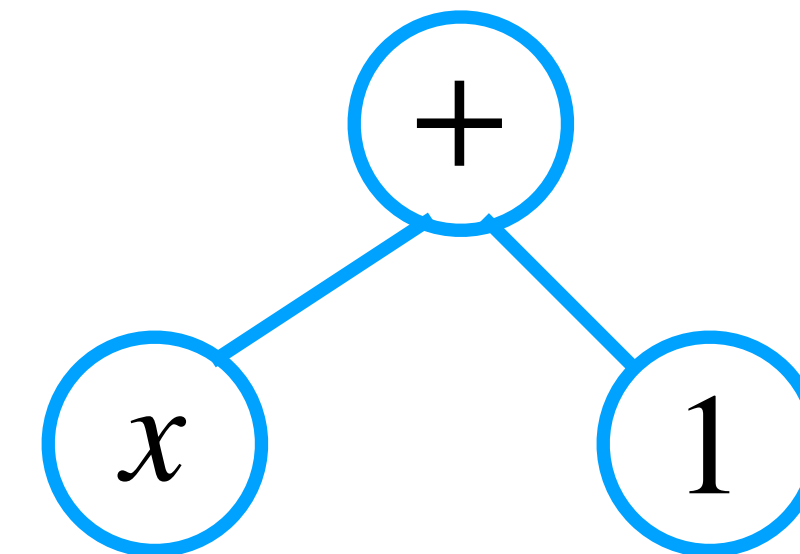
Both exhaustive and brute-force procedures  
(both can be implemented using worklist algorithm)

- Generate programs top-down
- Candidates in worklist are partial programs



## Bottom-up

- Generate programs bottom-up
- Candidates are concrete programs



# Search-based approaches: scalability & ambiguity

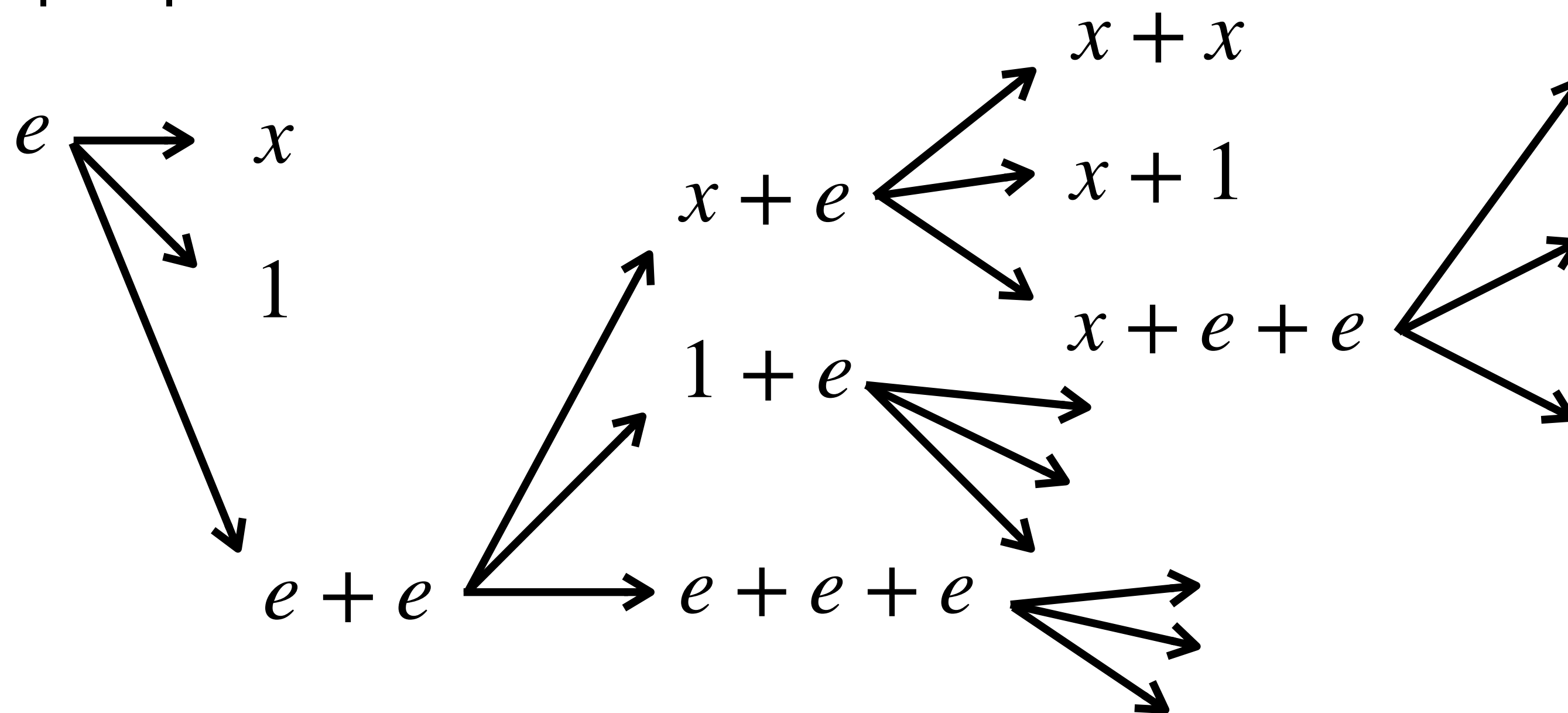
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- Scalability — how to make search faster?
  - Pruning
    - Top-down: eliminate “incorrect” partial programs
    - Bottom-up: discard “unpromising” sub-programs
  - Prioritization
    - Better order of candidates in worklist
- Ambiguity — how to find intended program (not arbitrary one satisfying examples)?
  - Ranking (similar idea to prioritization)
- Will talk more in paper presentations

# PBE technique 2: representation-based

- Idea: represent search space **explicitly**, then use representation to better guide search

- CFG:  $e := x \mid 1 \mid e + e$



- Challenge: how to construct representation efficiently, how to use it for synthesis



# Different representations

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- Version space algebras (VSAs) [Gulwani et al. 11]
- Finite tree automata (FTAs) [Wang et al. 17]
- Petri nets [Feng et al. 17]
- Type-transitions nets [Guo et al. 20]

# Version space algebra

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- Idea: construct a compact data structure (i.e., an VSA) that succinctly represents all programs consistent with examples
- Construction is top-down
  - FlashFill paper [Gulwani 11] has more details (will discuss in presentation)
  - [Polozov et al. 15] — VSA-based program synthesis framework

# Finite tree automaton

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- Idea: construct a compact data structure (i.e., an FTA) that succinctly represents all programs consistent with examples
  - Same idea as VSA, but different data structure
- Construction is bottom-up
  - Dace paper [Wang et al. 17] has more details (will discuss in presentation)
  - [Wang et al. 18] — FTA-based program synthesis framework

# Summary of this lecture

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- Syntax-guided synthesis (SyGuS): both semantic and syntactic constraints
- Programming-by-example (PBE): examples as spec
- Two PBE techniques: search-based & representation-based