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## Exercise 3F-1

$$\frac{\vdash e_1 \text{ matches } s_1 \text{ leaving } s_2 \quad \vdash e_2 \text{ matches } s_2 \text{ leaving } s_3}{\vdash e_1 e_2 \text{ matches } s_1 \text{ leaving } s_3} \text{ concat}$$

$$\frac{\vdash e_1 \text{ matches } s \text{ leaving } s'}{\vdash e_1 \mid e_2 \text{ matches } s \text{ leaving } s'} \text{ or1}$$

$$\frac{\vdash e_2 \text{ matches } s \text{ leaving } s'}{\vdash e_1 \mid e_2 \text{ matches } s \text{ leaving } s'} \text{ or2}$$

$$\frac{}{\vdash e^* \text{ matches } s \text{ leaving } s} \text{ Kleene1}$$

$$\frac{\vdash e \text{ matches } s_1 \text{ leaving } s_2 \quad \vdash e^* \text{ matches } s_2 \text{ leaving } s_3}{\vdash e^* \text{ matches } s_1 \text{ leaving } s_3} \text{ Kleene2}$$

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## Exercise 3F-2

One observation is that for the judgment  $\vdash e \text{ matches } s \text{ leaving } S$ , for some  $e$  and  $s$ , as  $s$  is always a finite string by construction, any element  $s' \in S$  must be a substring of  $s$ , and they share the same suffix up to a certain point, i.e.,  $s = "x_1" :: "x_2" :: \dots :: s'$ . Therefore,  $S$  must be a finite set of strings with size at most  $\text{length}(s) + 1$ .

Tentative rules for concatenation:

$$\frac{\vdash e_1 \text{ matches } s_1 \text{ leaving } S_2 \quad \forall s \in S_2. \vdash e_2 \text{ matches } s \text{ leaving } S_3(s)}{\vdash e_1 e_2 \text{ matches } s_1 \text{ leaving } \bigcup_{s \in S_2} S_3(s)} \text{ concatS}$$

The second hypothesis is essentially defining one hypothesis for each  $s \in S_2$ , which seems to violate the requirement that there must be a finite and fixed set of hypotheses. In particular, since  $S_2$  is always a finite sets, there are indeed only finitely many hypotheses in the above rule; while it's ambiguous to say whether this is a "fixed" set of hypotheses, depending on the exact definition of "fixed".

$$\frac{}{\vdash e * \text{ matches } s \text{ leaving } \{s\}} \text{ KleeneS1}$$

$$\frac{\vdash e \text{ matches } s_1 \text{ leaving } S_2 \quad \forall s \in S_2. \vdash e * \text{ matches } s \text{ leaving } S_3(s)}{\vdash e * \text{ matches } s_1 \text{ leaving } \bigcup_{s \in S_2} S_3(s)} \text{ KleeneS2}$$

Similarly, if we accept the above uses of set of hypotheses, then the rules for Kleene stars can also be defined as above.

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### Exercise 3F-3

The equivalence is defined as follow in the problem statement. Let  $e_1, e_2$  be two regular expressions:

$$e_1 \sim e_2 \text{ iff } \forall s \in S. (\vdash e_1 \text{ matches } s \text{ leaving } S_1) \wedge (\vdash e_2 \text{ matches } s \text{ leaving } S_2) \implies S_1 = S_2.$$

One observation is that for the judgment  $\vdash e \text{ matches } s \text{ leaving } S$ , for some  $e$  and  $s$ , as  $s$  is always a finite string by construction, any element  $s' \in S$  must be a substring of  $s$ , and they share the same suffix up to a certain point, i.e.,  $s = "x_1" :: "x_2" :: \dots :: s'$ . Therefore,  $S$  must be a finite set of strings with size at most  $\text{length}(s) + 1$ .

For fixed  $e$  and  $s$ , we can create a TM to check whether for a substring  $s'$  of  $s$ , we have  $\vdash e_1 \text{ matches } s \text{ leaving } s'$  in finite steps, by enumerating all possible proof trees. Since there are only finitely many possible combinations of rules (No infinite loops).

Therefore, if  $S$  is a finite set of strings, then the whole procedure can be computed by enumerating each  $s \in S$ .

On the other hand, if  $S$  is infinite, i.e. all possible strings of an alphabet,  $L^*$ , then it seems not to be computable, as structural induction on  $s$  would not work, and the TM would have to enumerate all possible strings. (Rice's theorem may be relevant?)

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## Exercise 3F-4

Experiments suggests that the reason of the slowdown is probably the last two test cases actually called the theory solver `Arith.arith`, even for only once in each.

In addition, since it's essentially a brute-force search, the time complexity is exponential to the number of variables. In these two test cases, there are 3 variables, with means the number of searches could be up to  $256^3 \approx 10^7$ .

To improve, I would then start with the arithmetic theory module `arith.ml`. Some nice alternative can be a branch-and-cut based modern integer programming solver, e.g., CPLEX, Gurobi, SCIP. Some relevant packages for OCaml are actually available: <https://ocaml.org/p/lp/0.0.2>, <https://opam.ocaml.org/packages/lp-gurobi/>.

Some potential defects:

- The theory solver is not guaranteed to return a correct answer (model) even it's satisfiable;
- No alerts of the first defect outside the `arith` module.