



# Functional Programming

## Introduction To Cool

# Cunning Plan

- ML Functional Programming

- Fold
- Sorting

- Cool Overview

- Syntax
- Objects
- Methods
- Types



# One-Slide Summary

- In **functional programming**, functions are first-class citizens that operate on, and produce, immutable data.
- Functions and type inference are **polymorphic** and operate on more than one type (e.g., `List.length` works on int lists and string lists).
- Ocaml and Haskell (and Cool) support **pattern matching** over user-defined data types.
- **fold** is a powerful and general higher-order function. It can simulate many others.
- **Cool** is an object-oriented language with enough features to be indicative of modern practice.

# 2015 PA1c Submission Statistics

- Students Taking Class for Credit: 32+8
- Students Submitting > 0 Times: 32
- Language choice, as of Tuesday morning
  - Python 25
  - Ruby 25
  - JavaScript 21
  - C 17
  - OCaml 8
  - Haskell 4
  - Cool 2

**Which of these languages are the most important in the course?**

# PS1 Pedagogy

- Why target old languages?
  - Python 2.4 vs. 2.6, Ruby 1.8.5 vs. 1.9, etc.
- Real-world customer machine scenario
- Exposure to costs of adding language features
  - {C, Ocaml, Cool } vs. { Python, Ruby } vs. { Haskell }, specs
- “Toposort Algorithm” vs. “Language, Syntax, Run-Time System, Operating System, Testing and Debugging”
  - “Whitespace doesn't matter” vs. “You write printf”
- Black box testing and debugging
  - <http://www.st.cs.uni-saarland.de/dd/>
  - <http://www.whyprogramsfail.com/>

# Shared Pain with Ruby 1.8.5

```
# RUBY: Reverse-sort the lines from standard input
lines = [ ] # a list variable to hold all the lines we'll read in
working = true # are there still more lines to read in?
while working
  line = gets # read a line from standard input
  if line == nil # nil is "nothing, it didn't work"
    working = false # we're done reading stuff
  else
    lines[lines.length] = line # append 'line' to the end of 'lines'
  end # end of 'if'
end # end of 'while'
sorted = lines.sort do |a,b| # sort the list of lines
  # this do block is basically an anonymous function!
  # |foo,bar| means "foo and bar are the arguments"
  # we will tell it how to compare to arbitrary elements, a and b
  b <=> a # <=> means "compare" -- we'll do it in reverse
end # end 'do'
sorted.map{ |one_line, i| # iterate over each statement in sorted list
  puts one_line # write it to standard output
} # end 'iteration'
```

# This is my final day

- ... as your ... *companion* ... through Ocaml and Cool. After this we start the interpreter project.
- Clearly a *third* day would just be unthinkable.



# Pattern Matching (Error?)

- Simplifies Code (eliminates ifs, accessors)

```
type btree = (* binary tree of strings *)
```

```
| Node of btree * string * btree
```

```
| Leaf of string
```

```
let rec height tree = match tree with
```

```
| Leaf _ -> 1
```

```
| Node(x,_,y) -> 1 + max (height x) (height y)
```

```
let rec mem tree elt = match tree with
```

```
| Leaf str | Node(_,str,_) -> str = elt
```

```
| Node(x,_,y) -> mem x elt || mem y elt
```



# Pattern Matching (Error?)

- Simplifies Code (eliminates ifs, accessors)

```
type btree = (* binary tree of strings *)
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```
| Node of btree * string * btree
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let rec height tree = match tree with
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```
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```

```
| Node(x,_,y) -> 1 + max (height x) (height y)
```

```
let rec mem tree elt = match tree with
```

```
| Leaf str | Node(_,str,_) -> str = elt
```

```
| Node(x,_,y) -> mem x elt || mem y elt
```



bug?

# Pattern Matching (Error!)

- Simplifies Code (eliminates ifs, accessors)

```
type btree = (* binary tree of strings *)
```

```
| Node of btree * string * btree
```

```
| Leaf of string
```

```
let rec bad tree elt = match tree with
```

```
| Leaf str | Node(_,str,_) -> str = elt
```

```
| Node(x,_,y) -> bad x elt || bad y elt
```

```
let rec mem tree elt = match tree with
```

```
| Leaf str | Node(_,str,_) when str = elt -> true
```

```
| Node(x,_,y) -> mem x elt || mem y elt
```

# Recall: Polymorphism

- Functions and type inference are polymorphic

- Operate on more than one type

- let rec length x = match x with

- | [] -> 0

- | hd :: tl -> 1 + length tl

- val length :  $\alpha$  list -> int

- length [1;2;3] = 3

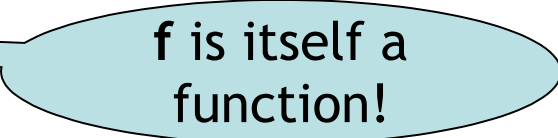
- length ["algol"; "smalltalk"; "ml"] = 3

- length [1 ; "algol" ] = type error!

$\alpha$  means "any one type"

# Recall: Higher-Order Functions

- Function are first-class values
  - Can be used whenever a value is expected
  - Notably, can be passed around
  - Closure captures the environment
  - **let rec map f lst = match lst with**
  - **| [] -> []**
  - **| hd :: tl -> f hd :: map f tl**
  - **val map : ( $\alpha$  ->  $\beta$ ) ->  $\alpha$  list ->  $\beta$  list**
  - **let offset = 10 in**
  - **let myfun x = x + offset in**
  - **val myfun : int -> int**
  - **map myfun [1;8;22] = [11;18;32]**
- Extremely powerful programming technique
  - General iterators
  - Implement abstraction



f is itself a function!

# Recall: Fold

- The fold operator comes from Recursion Theory (Kleene, 1952)

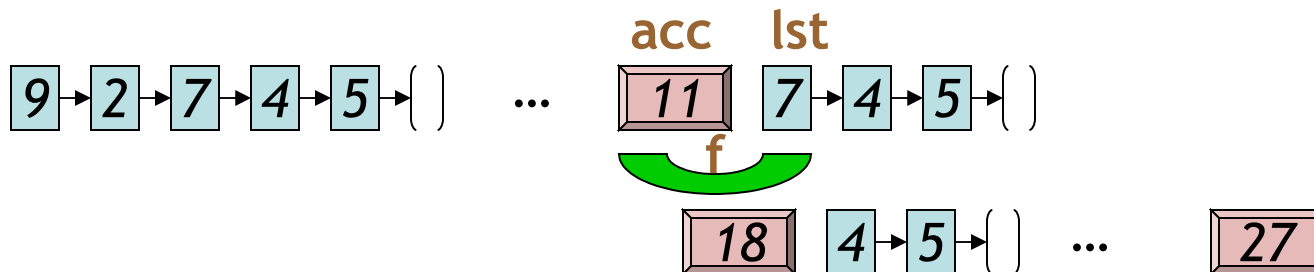
let rec fold f acc lst = match lst with

| [] -> acc

| hd :: tl -> fold f (f acc hd) tl

- val fold : ( $\alpha \rightarrow \beta \rightarrow \alpha$ )  $\rightarrow$   $\alpha \rightarrow \beta$  list  $\rightarrow$   $\alpha$

- Imagine we're summing a list (f = addition):



# Referential Transparency

- To find the meaning of a functional program we replace each reference to a variable with its definition.
  - This is called **referential transparency**.
- Example:

let y = 55

let f x = x + y

f 3

--> means --> 3 + y

--> means --> 3 + 55

# Worked Example: Product

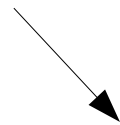
```
let rec fold f acc lst = match lst with  
| [] -> acc  
| hd :: tl -> fold f (f acc hd) tl
```

```
fold (*) 1 [8;6;7]
```

# Worked Example: Product

```
let rec fold f acc lst = match lst with  
| [] -> acc  
| hd :: tl -> fold f (f acc hd) tl
```

fold (\*) 1 [8;6;7]



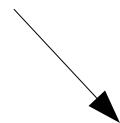
```
match lst with  
| [] -> acc  
| hd :: tl -> fold f (f acc hd) tl
```



# Worked Example: Product

```
let rec fold f acc lst = match lst with  
| [] -> acc  
| hd :: tl -> fold f (f acc hd) tl
```

`fold (*) 1 [8;6;7]`



with  $f=*$ ,  $acc=1$ , and  $lst=[8;6;7]$

```
match lst with
```

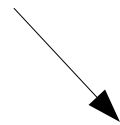
```
| [] -> acc
```

```
| hd :: tl -> fold f (f acc hd) tl
```

# Worked Example: Product

```
let rec fold f acc lst = match lst with  
| [] -> acc  
| hd :: tl -> fold f (f acc hd) tl
```

fold (\*) 1 [8;6;7]



```
match [8;6;7] with  
| [] -> 1  
| hd :: tl -> fold (*) (* 1 hd) tl
```

# Worked Example: Product

```
let rec fold f acc lst = match lst with  
| [] -> acc  
| hd :: tl -> fold f (f acc hd) tl
```

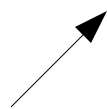
```
match [8;6;7] with  
| [] -> 1  
| hd :: tl -> fold (*) (* 1 hd) tl
```

# Worked Example: Product

```
let rec fold f acc lst = match lst with  
| [] -> acc  
| hd :: tl -> fold f (f acc hd) tl
```

```
let hd :: tl = [8;6;7] in  
fold (*) (* 1 hd) tl
```

```
match [8;6;7] with  
| [] -> 1  
| hd :: tl -> fold (*) (* 1 hd) tl
```



# Worked Example: Product

```
let rec fold f acc lst = match lst with  
| [] -> acc  
| hd :: tl -> fold f (f acc hd) tl
```

```
let hd :: tl = [8;6;7] in  
fold (*) (* 1 hd) tl
```

# Worked Example: Product

```
let rec fold f acc lst = match lst with  
| [] -> acc  
| hd :: tl -> fold f (f acc hd) tl
```

```
let hd :: tl = [8;6;7] in  
fold (*) (* 1 hd) tl
```

```
fold (*) (* 1 8) [6;7]
```



# Worked Example: Product

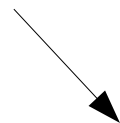
```
let rec fold f acc lst = match lst with  
| [] -> acc  
| hd :: tl -> fold f (f acc hd) tl
```

```
fold (*) 8 [6;7]
```

# Worked Example: Product

```
let rec fold f acc lst = match lst with  
| [] -> acc  
| hd :: tl -> fold f (f acc hd) tl
```

fold (\*) 8 [6;7]



with f=\*, acc=8, and lst=[6;7]

```
match lst with
```

```
| [] -> acc
```

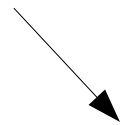
```
| hd :: tl -> fold f (f acc hd) tl
```



# Worked Example: Product

```
let rec fold f acc lst = match lst with  
| [] -> acc  
| hd :: tl -> fold f (f acc hd) tl
```

fold (\*) 8 [6;7]



```
match [6;7] with  
| [] -> 8  
| hd :: tl -> fold (*) (* 8 hd) tl
```

# Worked Example: Product

```
let rec fold f acc lst = match lst with  
| [] -> acc  
| hd :: tl -> fold f (f acc hd) tl
```

```
match [6;7] with  
| [] -> 8  
| hd :: tl -> fold (*) (* 8 hd) tl
```

# Worked Example: Product

```
let rec fold f acc lst = match lst with  
| [] -> acc  
| hd :: tl -> fold f (f acc hd) tl
```

```
let hd :: tl = [6;7] in  
fold (*) (* 8 hd) tl
```

match [6;7] with

| [] -> 8

| hd :: tl -> fold (\*) (\* 8 hd) tl

# Worked Example: Product

```
let rec fold f acc lst = match lst with  
| [] -> acc  
| hd :: tl -> fold f (f acc hd) tl
```

```
let hd :: tl = [6;7] in  
fold (*) (* 8 hd) tl
```

# Worked Example: Product

```
let rec fold f acc lst = match lst with  
| [] -> acc  
| hd :: tl -> fold f (f acc hd) tl
```

```
let hd :: tl = [6;7] in  
fold (*) (* 8 hd) tl
```

```
fold (*) (* 8 6) [7]
```



# Worked Example: Product

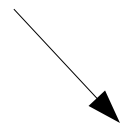
```
let rec fold f acc lst = match lst with  
| [] -> acc  
| hd :: tl -> fold f (f acc hd) tl
```

```
fold (*) 48 [7]
```

# Worked Example: Product

```
let rec fold f acc lst = match lst with  
| [] -> acc  
| hd :: tl -> fold f (f acc hd) tl
```

fold (\*) 48 [7]



with f=\*, acc=48, and lst=[7]

```
match lst with
```

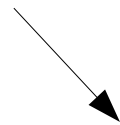
```
| [] -> acc
```

```
| hd :: tl -> fold f (f acc hd) tl
```

# Worked Example: Product

```
let rec fold f acc lst = match lst with  
| [] -> acc  
| hd :: tl -> fold f (f acc hd) tl
```

fold (\*) 48 [7]



```
match [7] with  
| [] -> 48  
| hd :: tl -> fold (*) (* 48 hd) tl
```



# Worked Example: Product

```
let rec fold f acc lst = match lst with  
| [] -> acc  
| hd :: tl -> fold f (f acc hd) tl
```

```
match [7] with  
| [] -> 48  
| hd :: tl -> fold (*) (* 48 hd) tl
```

# Worked Example: Product

```
let rec fold f acc lst = match lst with  
| [] -> acc  
| hd :: tl -> fold f (f acc hd) tl
```

```
let hd :: tl = [7] in  
fold (*) (* 48 hd) tl
```

```
match [7] with  
| [] -> 48  
| hd :: tl -> fold (*) (* 48 hd) tl
```

# Worked Example: Product

```
let rec fold f acc lst = match lst with  
| [] -> acc  
| hd :: tl -> fold f (f acc hd) tl
```

```
let hd :: tl = [7] in  
fold (*) (* 48 hd) tl
```

# Worked Example: Product

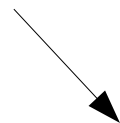
```
let rec fold f acc lst = match lst with  
| [] -> acc  
| hd :: tl -> fold f (f acc hd) tl
```

```
fold (*) (* 48 7) []
```

# Worked Example: Product

```
let rec fold f acc lst = match lst with  
| [] -> acc  
| hd :: tl -> fold f (f acc hd) tl
```

fold (\*) 336 []



with f=\*, acc=336, and lst=[]

```
match lst with
```

```
| [] -> acc
```

```
| hd :: tl -> fold f (f acc hd) tl
```

# Worked Example: Product

```
let rec fold f acc lst = match lst with  
| [] -> acc  
| hd :: tl -> fold f (f acc hd) tl
```

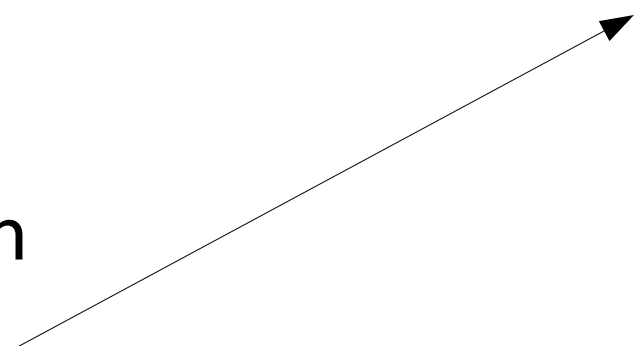
```
match [] with  
| [] -> 336  
| hd :: tl -> fold (*) (* 336 hd) tl
```

# Worked Example: Product

```
let rec fold f acc lst = match lst with  
| [] -> acc  
| hd :: tl -> fold f (f acc hd) tl
```

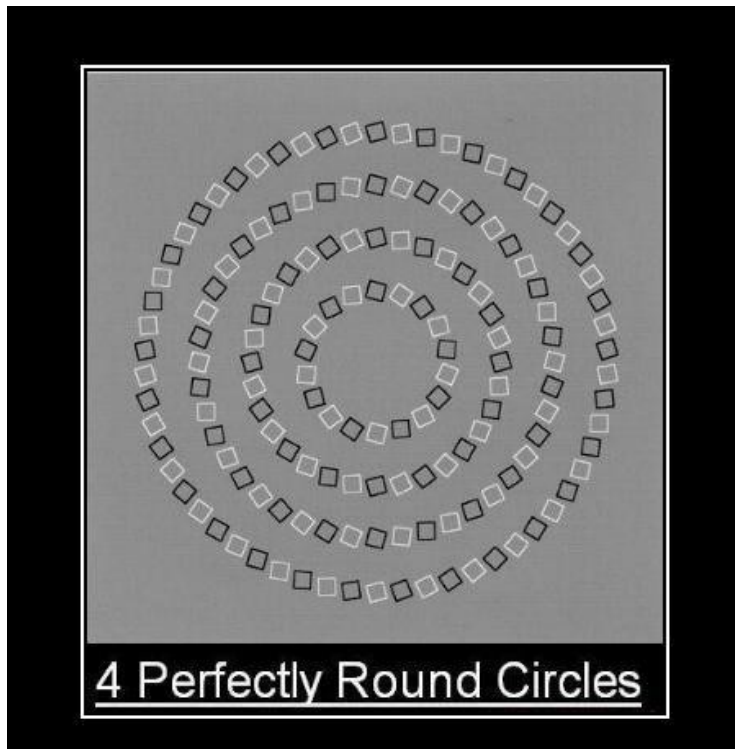
```
match [] with  
| [] -> 336  
| hd :: tl -> fold (*) (* 336 hd) tl
```

336



# Worked Example: Product

```
let rec fold f acc lst = match lst with  
| [] -> acc  
| hd :: tl -> fold f (f acc hd) tl
```

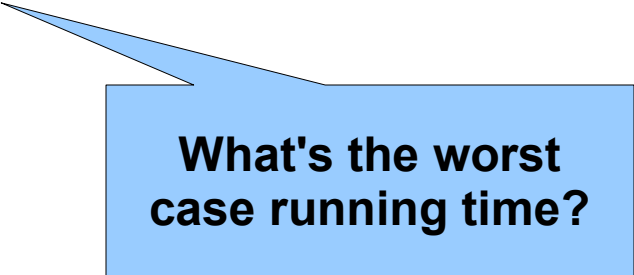


336



# Insertion Sort in OCaml

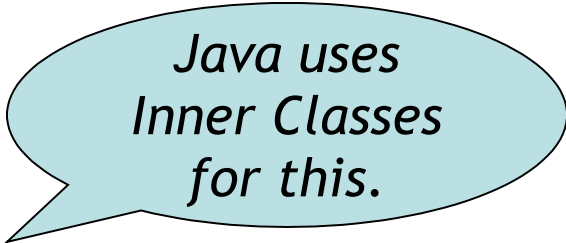
```
let rec insert_sort cmp lst =  
  match lst with  
  | [] -> []  
  | hd :: tl -> insert cmp hd (insert_sort cmp tl)  
and insert cmp elt lst =  
  match lst with  
  | [] -> [elt]  
  | hd :: tl when cmp hd elt ->  
    hd :: (insert cmp elt tl)  
  | _ -> elt :: lst
```



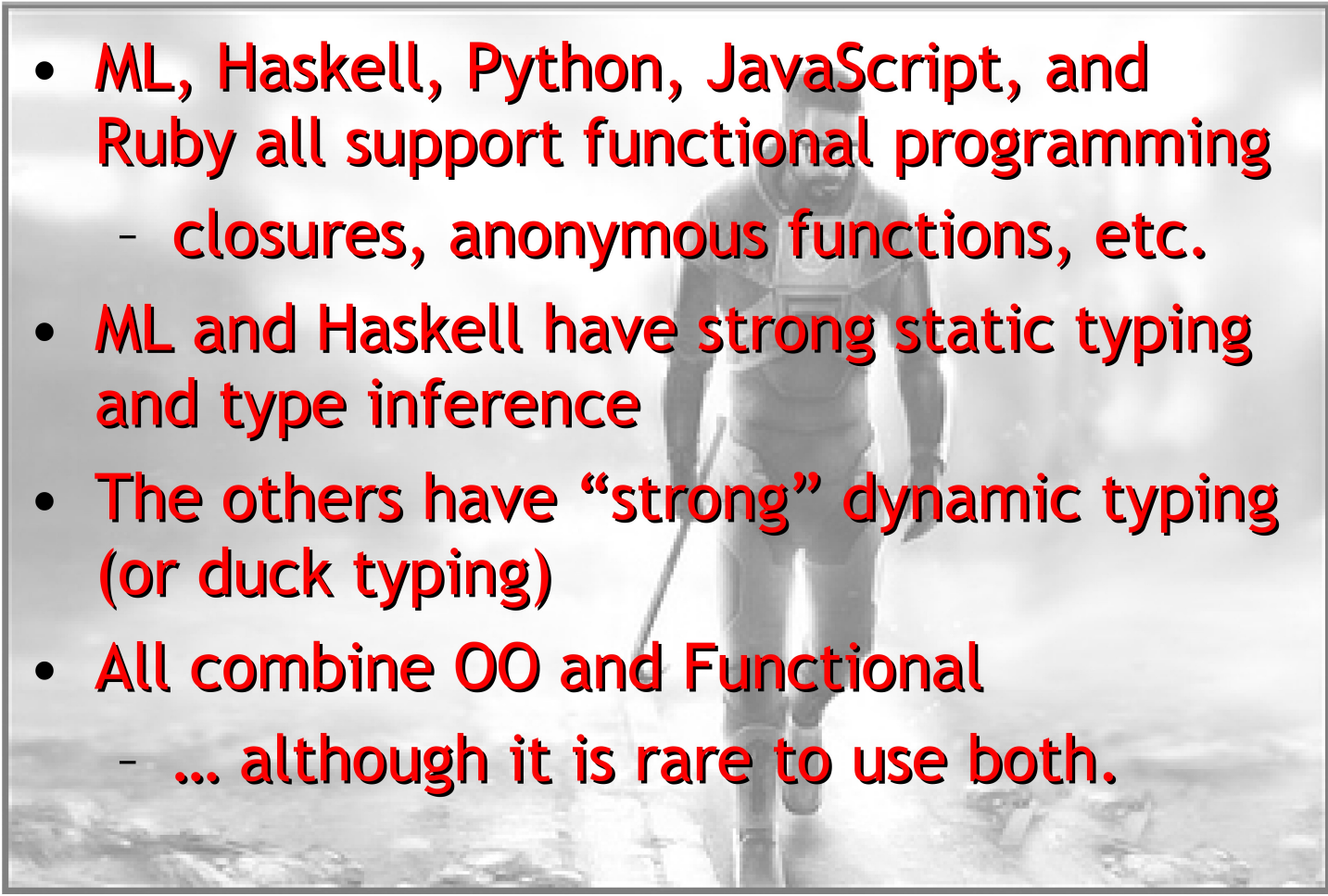
What's the worst case running time?

# Sorting Examples

- `langs = [ "fortran"; "algol"; "c" ]`
- `courses = [ 216; 333; 415 ]`
- sort (fun a b -> `a < b`) langs
  - [ "algol"; "c"; "fortran" ]
- sort (fun a b -> `a > b`) langs
  - [ "fortran"; "c"; "algol" ]
- sort (fun a b -> `strlen a < strlen b`) langs
  - [ "c"; "algol"; "fortran" ]
- sort (fun a b -> `match is_odd a, is_odd b with`
  - | `true, false -> true` (\* odd numbers first \*)
  - | `false, true -> false` (\* even numbers last \*)
  - | `_, _ -> a < b` (\* otherwise ascending \*)) `courses`
    - [ 333 ; 415 ; 216 ]



*Java uses  
Inner Classes  
for this.*

- 
- **ML, Haskell, Python, JavaScript, and Ruby all support functional programming**
    - **closures, anonymous functions, etc.**
  - **ML and Haskell have strong static typing and type inference**
  - **The others have “strong” dynamic typing (or duck typing)**
  - **All combine OO and Functional**
    - **... although it is rare to use both.**

# MULTIFUNCTIONALTY

One tool. One million uses.

# Modern Languages

- This is the most widely-spoken first language in the European Union. It is the third-most taught foreign language in the English-speaking world, after French and Spanish. Its word order is a bit more relaxed than English (since nouns are inflected to indicate their cases, as in Latin) - infamously, verbs often appear at the very end of a subordinate clause. The language's famous “Storm and Stress” movement produced classics such as *Faust*.

# Natural Languages

- This linguist and cognitive scientist is famous for, among other things, the sentence **“Colorless green ideas sleep furiously”**. Introduced in his 1957 work *Syntactic Structures*, the sentence is correct but has not understandable meaning, thus demonstrating the distinction between syntax and semantics. Compare **“Time flies like an arrow; fruit flies like a banana.”** which illustrates garden path syntactic ambiguity.

# Cool Overview

- Classroom Object-Oriented Language
- Design to
  - Be implementable in one semester
  - Give a taste of implementing modern features
    - Abstraction
    - Static Typing
    - Inheritance
    - Dynamic Dispatch
    - And more ...
  - But many “grungy” things are left out

# A Simple Example

```
class Point {  
    x : Int <- 0;  
    y : Int <- 0;  
};
```

- Cool programs are sets of class definitions
  - A special **Main** class with a special method **main**
  - Like Java
- class = a collection of fields and methods
- Instances of a class are objects

# Cool Objects

```
class Point {  
    x : Int <- 0;  
    y : Int; (* use default value *)  
};
```

- The expression “**new Point**” creates a new object of class **Point**
- An object can be thought of as a record with a slot for each attribute (= field)

x	y
0	0



# Methods

```
class Point {  
  x : Int <- 0;  
  y : Int <- 0;  
  movePoint(newx : Int, newy : Int) : Point {  
    { x <- newx;  
      y <- newy;  
      self;  
    } -- close block expression  
  }; -- close method  
}; -- close class
```

- A class can also define methods for manipulating its attributes
- Methods refer to the current object using **self**

# Aside: Semicolons

```
class Point {  
  x : Int <- 0;  
  y : Int <- 0;  
  movePoint(newx : Int, newy : Int) : Point {  
    { x <- newx;  
      y <- newy;  
      self;  
    } -- close block expression  
  }; -- close method  
}; -- close class
```

Yes, it's  
somewhat arbitrary.  
Still, don't get it wrong.



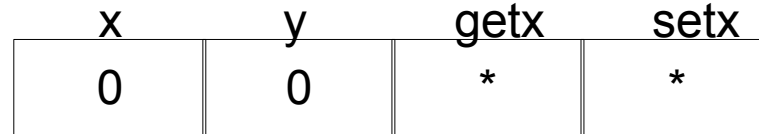
# Information Hiding

- Methods are **global**
- Attributes are **local** to a class
  - They can *only* be accessed by *that class's methods*

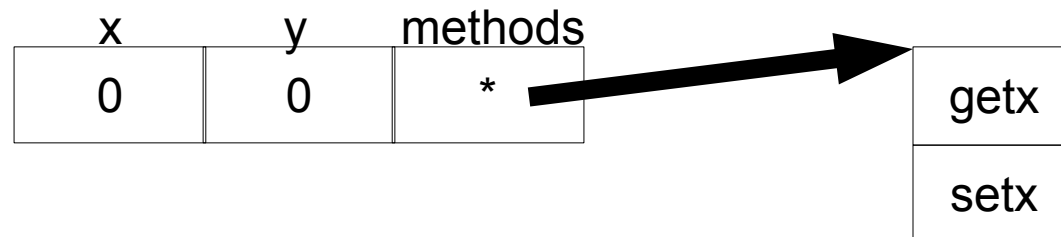
```
class Point {  
    x : Int <- 0;  
    y : Int <- 0;  
    getx () : Int { x } ;  
    setx (newx : Int) : Int { x <- newx };  
};
```

# Methods and Object Layout

- Each object knows how to access the code of its methods
- As if the object contains a slot pointing to the code



- In reality, implementations save space by sharing these pointers among instances of the same class



# Inheritance

- We can extend points to color points using **subclassing** => **class hierarchy**

```
class ColorPoint extends Point {  
  color : Int <- 0;  
  movePoint(newx:Int, newy:Int) : Point {  
    { color <- 0;  
      x <- newx; y <- newy;  
      self;  
    }  
  };  
};
```

Note references to fields x y –  
They're defined in Point!

x	y	color	movePoint
0	0	0	*

# Kool Types

- Every class is a **type**
- Base (built-in, predefined) classes:
  - **Int** for integers
  - **Bool** for booleans: **true**, **false**
  - **String** for strings
  - **Object** root of class hierarchy
- All variables must be declared
  - compiler infers types for expressions (like Java)



# Cool Type Checking

- **x : Point;**
- **x <- new ColorPoint;**
- ... is well-typed if **Point** is an ancestor of **ColorPoint** in the class hierarchy
  - Anywhere a **Point** is expected, a **ColorPoint** can be used (Liskov, ...)
- Rephrase: ... is well-typed if **ColorPoint** is a subtype of **Point**
- Type safety: a well-typed program *cannot* result in run-time type errors

# Method Invocation and Inheritance

- Methods are invoked by (dynamic) dispatch
- Understanding dispatch in the presence of inheritance is a subtle aspect of OO
  - `p : Point;`
  - `p <- new ColorPoint;`
  - `p.movePoint(1,2);`
- `p` has static type `Point`
- `p` has dynamic type `ColorPoint`
- `p.movePoint` must invoke `ColorPoint` version

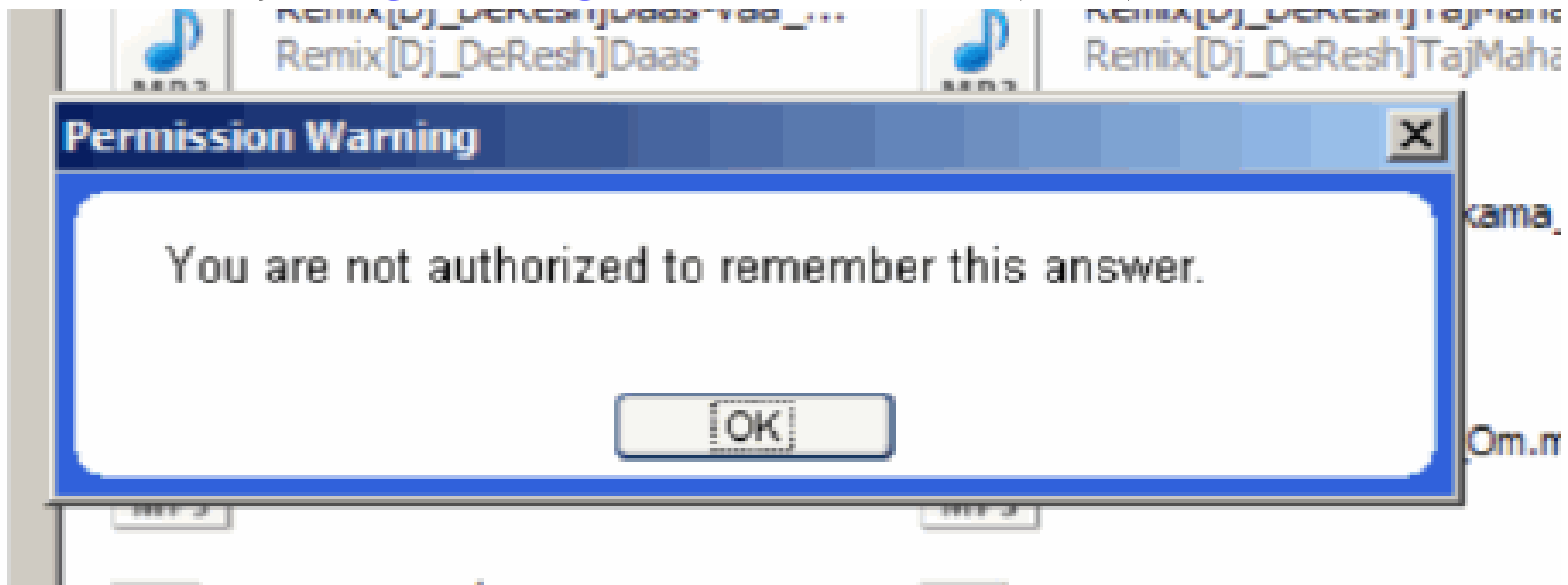


# Other Expressions

- Cool is an expression language (like Ocaml)
  - Every expression has a type and a value
  - Conditionals           if **E** then **E** else **E** fi
  - Loops                   while **E** loop **E** pool
  - Case/Switch           case **E** of **x** : **Type** => **E** ; ... esac
  - Assignment           **x** <- **E**
  - Primitive I/O         out\_string(**E**), in\_string(), ...
  - Arithmetic, Logic Operations, ...
- Missing: arrays, floats, interfaces, exceptions
  - Plus: you tell me!

# Cool Memory Management

- Memory is allocated every time “**new E**” executes
- Memory is deallocated automatically when an object is not reachable anymore
  - Done by a **garbage collector** (GC)



# Course Project

- A complete **interpreter**
  - Cool Source ==> Executed Program
  - No optimizations
  - Also no GC
- Split in 4 programming assignments (PAs)
- There is adequate time to complete assignments
  - But start early and follow directions
- PA2-5 ==> individual or teams (of max 2)
- (Compilers: Also alone or teams of two.)

# Real-Time OCaml Demo

- I will code up these, with explanations, until time runs out.
  - Read in a list of integers and print the sum of all of the odd inputs.
  - Read in a list of integers and determine if any sublist of that input sums to zero.
  - Read in a directed graph and determine if node END is reachable from node START.
- You pick the order.
- Bonus: Asymptotic running times?

# Homework

- PA1 Due
- Reading: Chapters 2.1 - 2.2, On-Line
- Bonus for getting this far: questions about fold are very popular on tests! If I say “write me a function that does foozle to a list”, you should be able to code it up with fold.