

# EECS 498-004: Introduction to Natural Language Processing

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# Machine Translation

- Automatically translate one natural language into another.

**Mary didn't slap the green witch.**



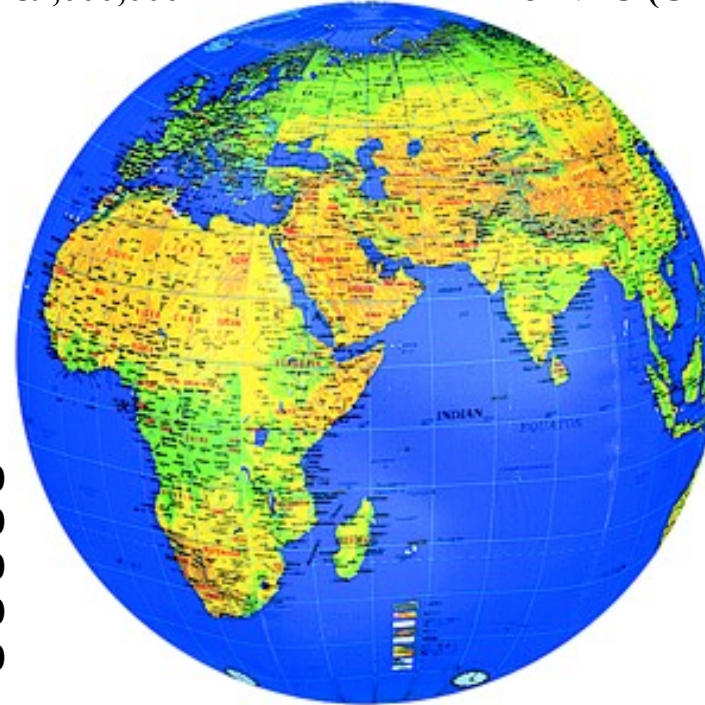
**Maria no dió una bofetada a la bruja verde.  
(Mary do not gave a slap to the witch green.)**

# Thousands of Languages Are Spoken

**MANDARIN** 885,000,000  
**SPANISH** 332,000,000  
**ENGLISH** 322,000,000  
**BENGALI** 189,000,000

**TURKISH** 59,000,000  
**URDU** 58,000,000  
**MIN NAN (China)** 49,000,000  
**JINYU (China)** 45,000,000

**HINDI** 182,000,000  
**PORTUGUESE** 170,000,000  
**RUSSIAN** 170,000,000  
**JAPANESE** 125,000,000  
**GERMAN** 98,000,000



**GUJARATI** 44,000,000  
**POLISH** 44,000,000  
**ARABIC** 42,500,000  
**UKRAINIAN** 41,000,000

**WU (China)** 77,175,000  
**JAVANESE** 75,500,800  
**KOREAN** 75,000,000  
**FRENCH** 72,000,000  
**VIETNAMESE** 67,662,000

**ITALIAN** 37,000,000  
**XIANG (China)** 36,015,000  
**MALAYALAM** 34,022,000  
**HAKKA (China)** 34,000,000

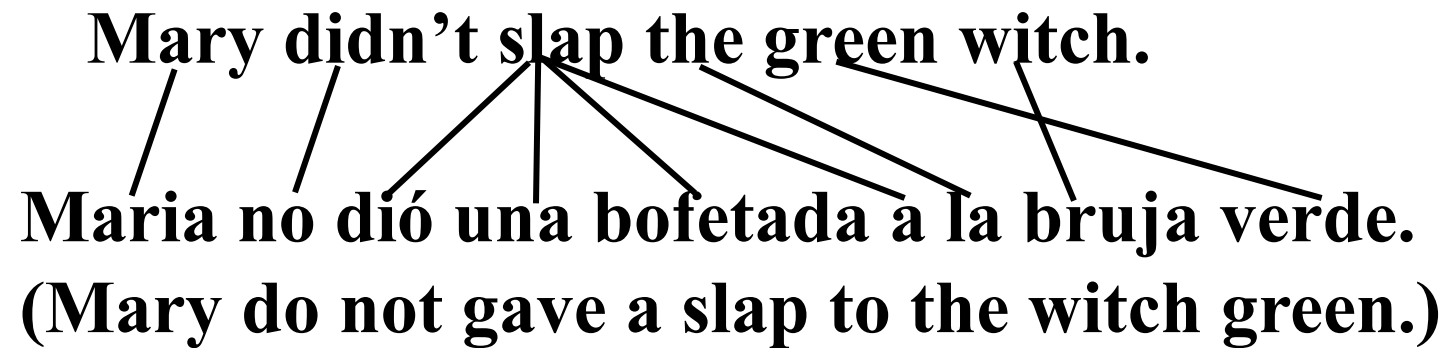
**TELUGU** 66,350,000  
**YUE (China)** 66,000,000  
**MARATHI** 64,783,000  
**TAMIL** 63,075,000

**KANNADA** 33,663,000  
**ORIYA** 31,000,000  
**PANJABI** 30,000,000  
**SUNDA** 27,000,000

Source: Ethnologue

# Word Alignment

- Shows mapping between words in one language and the other.



# Translation Quality: what's the current status?

- Achieving literary quality translation is very difficult.
- Existing MT systems can generate rough translations that frequently at least convey the gist of a document.
- High quality translations possible when specialized to narrow domains, e.g. weather forecasts.
- Some MT systems used in ***computer-aided translation*** in which a bilingual human post-edits the output to produce more readable accurate translations.

# Outline



- Issues in machine translation (MT)
- Direct transfer and syntactic transfer
- Statistical MT and noisy channel model
- MT evaluation

## Ambiguity Resolution is Required for Translation

- Syntactic and semantic ambiguities must be properly resolved for correct translation:
  - “John **plays** the guitar.” → “John **toca** la guitarra.”
  - “John **plays** soccer.” → “John **juega** el fútbol.”
- An apocryphal story is that an early MT system gave the following results when translating from English to Russian and then back to English:
  - “The spirit is willing but the flesh is weak.” ⇒ “The liquor is good but the meat is spoiled.”
  - “Out of sight, out of mind.” ⇒ “Invisible idiot.”

# Issues: Lexical Gaps

- Some words in one language do not have a corresponding term in the other.
  - Rivière (river that flows into ocean) and fleuve (river that does not flow into ocean) in French
  - Schadenfreude (feeling good about another's pain) in German.
  - Oyakoko (filial piety) in Japanese



# Issues: Differing Word Orders

- English word order is subject – verb – object (SVO)
- Japanese word order is subject – object – verb (SOV)

English: IBM bought Lotus


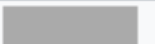



Japanese: *IBM Lotus bought*

English: Sources said that IBM bought Lotus yesterday

Japanese: *Sources yesterday IBM Lotus bought that said*

# Issues: Differing Word Orders

- English word order is subject – verb – object (SVO)
- Japanese word order is subject – object – verb (SOV)

| Word order | English equivalent | Proportion of languages  | Example languages   |
|------------|--------------------|--|---|
| SOV        | "She him loves."   | 45%  | Sanskrit, Hindi, Ancient Greek, Latin, Japanese, Korean           |
| SVO        | "She loves him."   | 42%  | Chinese, English, French, Hausa, Italian, Malay, Russian, Spanish |
| VSO        | "Loves she him."   | 9%   | Biblical Hebrew, Arabic, Irish, Filipino, Tuareg-Berber, Welsh    |
| VOS        | "Loves him she."   | 3%   | Malagasy, Baure   |
| OVS        | "Him loves she."   | 1%  | Apalaí, Hixkaryana  |
| OSV        | "Him she loves."   | 0%   | Warao, (certain dialects of) Korean                               |

Subject, **O**bject, **V**erb

# Issues: Syntactic Structure is not Preserved Across Translations

The bottle floated into the cave

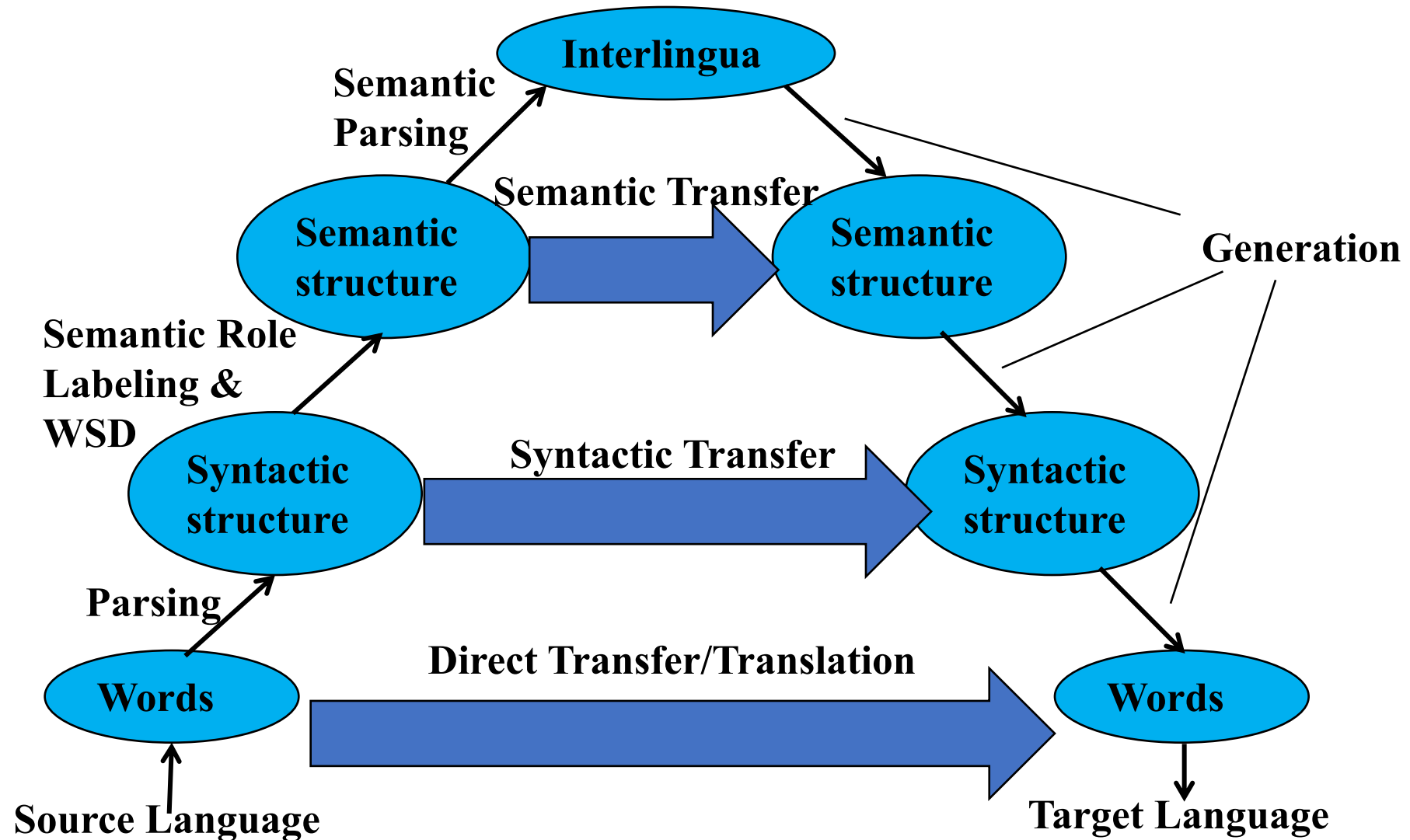


La botella entro a la cuerva flotando  
(the bottle entered the cave floating)

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# Vauquois Triangle



# Direct Transfer/Translation

- Translation is word-by-word
- Very little analysis of the source text (e.g., no syntactic or semantic analysis)
- Relies on a large bilingual dictionary. For each word in the source language, the dictionary specifies a set of rules for translating that word.

## CLASSIC SOUPS

|   |   |   |     |                           | Sm.  | Lg.                            |         |
|---|---|---|-----|---------------------------|--|--------------------------------|---------|
| 清 | 燉 | 雞 | 湯   | 57.                       | House Chicken Soup (Chicken, Celery,<br>Potato, Onion, Carrot) ..... | 1.50 2.75                      |         |
| 雞 | 飯 | 湯 | 58. | Chicken Rice Soup .....   | 1.85   | 3.25                           |         |
| 雞 | 麵 | 湯 | 59. | Chicken Noodle Soup ..... | 1.85   | 3.25                           |         |
| 廣 | 東 | 雲 | 吞   | 60.                       | Cantonese Wonton Soup.....   | 1.50 2.75                      |         |
| 蕃 | 茄 | 蛋 | 湯   | 61.                       | Tomato Clear Egg Drop Soup .....                                     | 1.65 2.95                      |         |
| 雲 | 吞 | 湯 | 62. | Regular Wonton Soup ..... | 1.10   | 2.10                           |         |
| 酸 | 辣 | 湯 | 63. | Hot & Sour Soup .....     | 1.10   | 2.10                           |         |
| 蛋 | 花 | 湯 | 64. | Egg Drop Soup.....        | 1.10   | 2.10                           |         |
| 雲 | 吞 | 湯 | 65. | Egg Drop Wonton Mix.....  | 1.10   | 2.10                           |         |
| 豆 | 腐 | 菜 | 湯   | 66.                       | Tofu Vegetable Soup .....  | NA 3.50                        |         |
| 雞 | 玉 | 米 | 湯   | 67.                       | Chicken Corn Cream Soup .....  | NA 3.50                        |         |
| 蟹 | 肉 | 玉 | 米   | 湯                         | 68.  | Crab Meat Corn Cream Soup..... | NA 3.50 |
| 海 | 鮮 | 湯 | 69. | Seafood Soup.....         | NA   | 3.50                           |         |

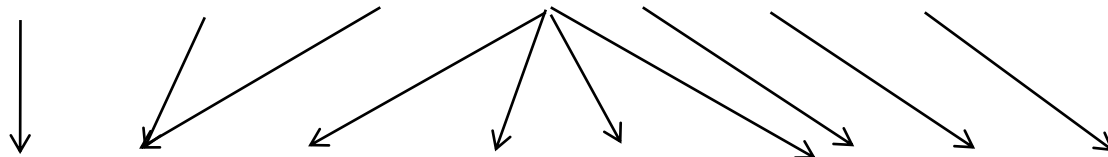
# Direct Transfer/Translation

- Morphological Analysis

- Mary didn't slap the green witch. →  
Mary DO:PAST not slap the green witch.

- Lexical Transfer

- Mary DO:PAST not slap the green witch.



- Maria no dar:PAST una bofetada a la verde bruja.

- Lexical Reordering

- Maria no dar:PAST una bofetada a la bruja verde.



- Morphological generation

- Maria no dió una bofetada a la bruja verde.



# An Example of a set of Direct Translation Rules

Rules for translating *much* or *many* into Russian:

**if** preceding word is *how* **return** *skol'ko*  
**else if** preceding word is *as* **return** *stol'ko zhe*  
**else if** word is *much*  
    **if** preceding word is *very* **return** *nil*  
    **else if** following word is a noun **return** *mnogo*  
**else** (word is many)  
    **if** preceding word is a preposition and following word is noun **return** *mnogii*  
    **else return** *mnogo*

# Lack of any analysis of the source language causes several problems

- Difficult or impossible to capture long-range reorderings

English: Sources said that IBM bought Lotus yesterday  
Japanese: *Sources yesterday IBM Lotus bought that said*

- Words are translated without disambiguation of their syntactic role e.g., that can be a complementizer or determiner, and will often be translated differently for these two cases

They said *that* ...

They like *that* ice-cream

# Possible Solution

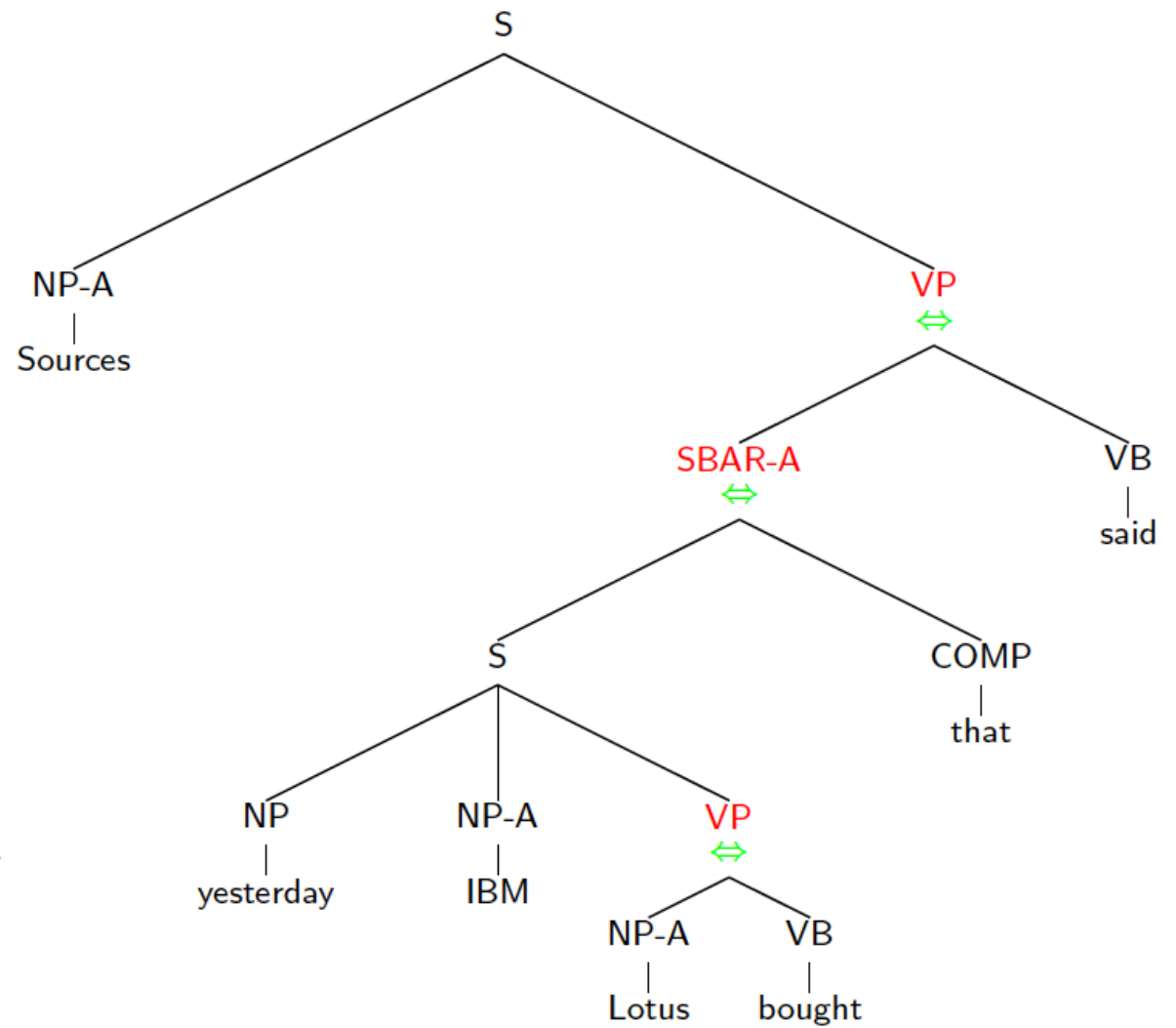
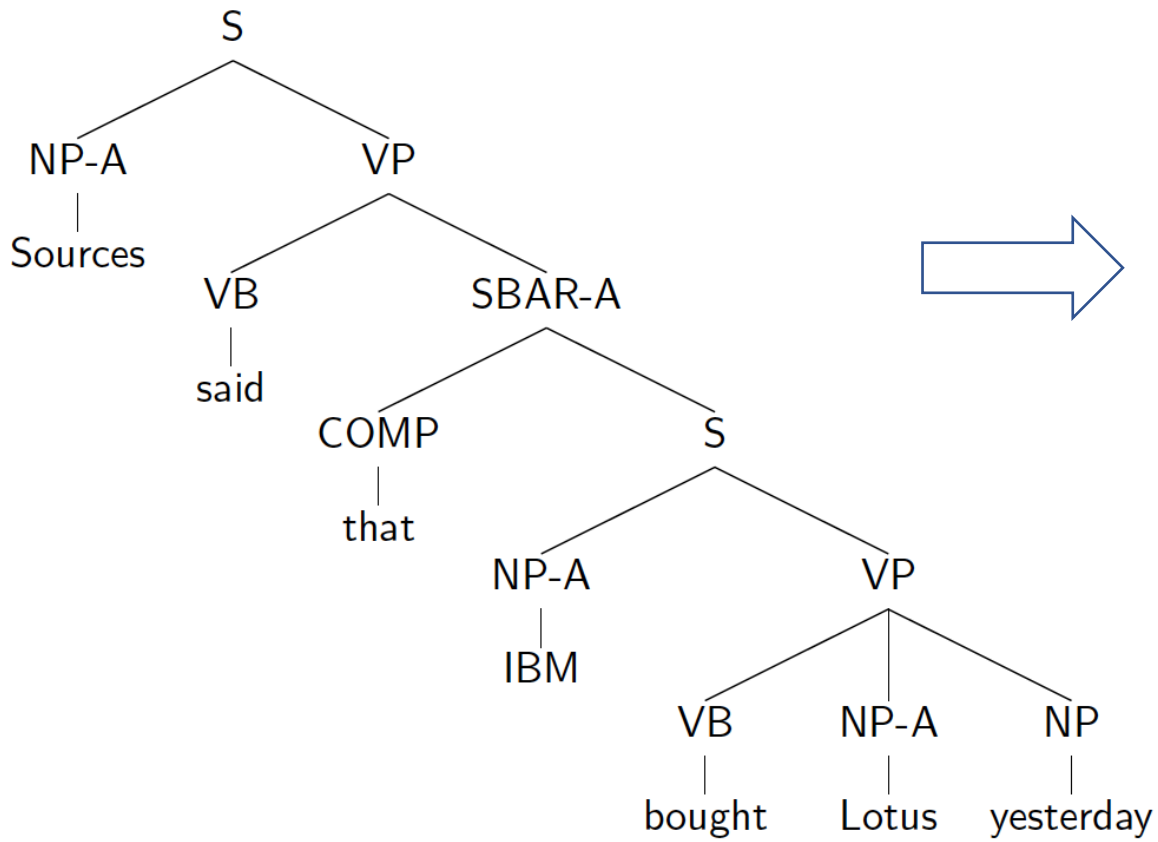
- Analysis: Analyze the source language sentence; for example, build a **syntactic analysis** of the source language sentence.
- Transfer: Convert the source-language parse tree to a target-language parse tree.
- Generation: Convert the target-language parse tree to an output sentence.

# Syntactic Transfer

- Simple lexical reordering does not adequately handle more dramatic reordering such as that required to translate from an SVO to an SOV language.

# Syntactic Transfer

- Simple lexical reordering does not adequately handle more dramatic reordering such as that required to translate from an SVO to an SOV language.
- Need syntactic transfer rules that map parse tree for one language into one for another.
  - English to Spanish:
    - $NP \rightarrow ADJ\ Nom \Rightarrow NP \rightarrow Nom\ ADJ$
  - English to Japanese:
    - $VP \rightarrow V\ NP \Rightarrow VP \rightarrow NP\ V$
    - $PP \rightarrow P\ NP \Rightarrow PP \rightarrow NP\ P$



⇒ Japanese: *Sources yesterday IBM Lotus bought that said*

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# Statistical MT

- Manually encoding comprehensive bilingual lexicons and transfer rules is difficult.
- SMT acquires knowledge needed for translation from a *parallel corpus* or *bitext* that contains the same set of documents in two languages.
- The Canadian Hansards (parliamentary proceedings in French and English) is a well-known parallel corpus.
- First align the sentences in the corpus based on simple methods that use coarse cues like sentence length to give bilingual sentence pairs.
- Then align the words in parallel sentences

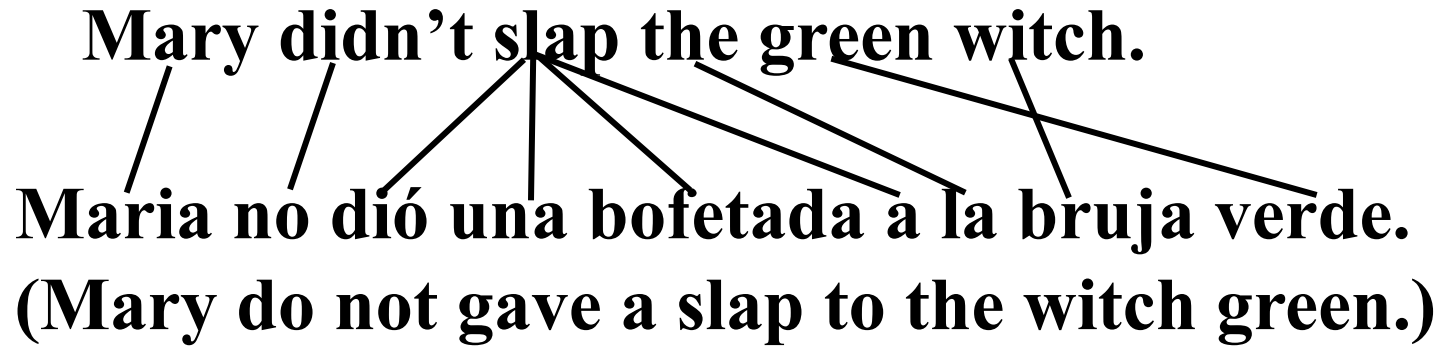


# Word Alignment

**Mary didn't slap the green witch.**

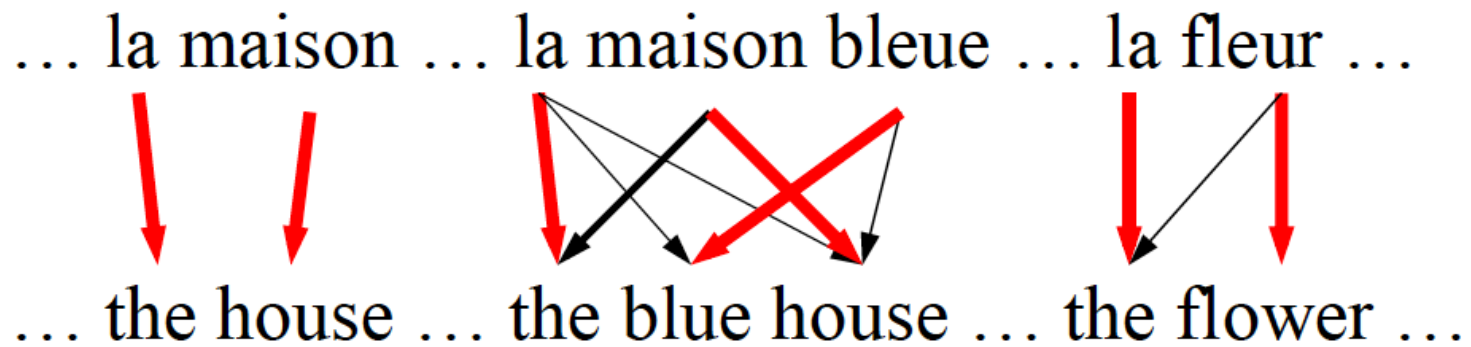
**Maria no dió una bofetada a la bruja verde.**

**(Mary do not gave a slap to the witch green.)**



# Word Alignment

- Basic idea: co-occurrence between words and phrases (like a bipartite matching)



- The IBM models (will not be discussed in class, but reference here: <http://www.cs.columbia.edu/~mcollins/courses/nlp2011/notes/ibm12.pdf>)

| English  | French       | $P(f   e)$ |
|----------|--------------|------------|
| national | nationale    | 0.47       |
|          | national     | 0.42       |
|          | nationaux    | 0.05       |
|          | nationales   | 0.03       |
| the      | le           | 0.50       |
|          | la           | 0.21       |
|          | les          | 0.16       |
|          | l'           | 0.09       |
|          | ce           | 0.02       |
|          | cette        | 0.01       |
| farmers  | agriculteurs | 0.44       |
|          | les          | 0.42       |
|          | cultivateurs | 0.05       |
|          | producteurs  | 0.02       |

After aligning a large number of sentences, we get a **probabilistic translation table**

[Brown et al 93]

# Next: Picking a Good Translation

- A good translation should be ***faithful*** and correctly convey the information and tone of the original source sentence.
- A good translation should also be ***fluent***, grammatically well structured and readable in the target language.
- Final objective:

$$T_{best} = \operatorname{argmax}_{T \in \text{Target}} \text{faithfulness}(T, S) \text{ fluency}(T)$$

# Noisy Channel Model

- Based on analogy to information-theoretic model used to decode messages transmitted via a communication channel that adds errors.
- Assume that source sentence was generated by a “noisy” transformation of some target language sentence and then use Bayesian analysis to recover the most likely target sentence that generated it.

Translate foreign language sentence  $F=f_1, f_2, \dots, f_m$  to an English sentence  $\hat{E} = e_1, e_2, \dots, e_I$  that maximizes  $P(E | F)$

# Bayesian Analysis of Noisy Channel

$$\begin{aligned}\hat{E} &= \operatorname{argmax}_{E \in \text{English}} P(E | F) \\ &= \operatorname{argmax}_{E \in \text{English}} \frac{P(F | E)P(E)}{P(F)} \\ &= \operatorname{argmax}_{E \in \text{English}} \underbrace{P(F | E)}_{\text{Translation Model}} \underbrace{P(E)}_{\text{Language Model}}\end{aligned}$$

**Translation Model**   **Language Model**

A **decoder** determines the most probable translation  $\hat{E}$  given  $F$

Translation from Spanish to English, candidate translations based on  $p(\textit{Spanish} | \textit{English})$  alone:

Que hambre tengo yo

→

What hunger have  $p(s|e) = 0.000014$

Hungry I am so  $p(s|e) = 0.000001$

I am so hungry  $p(s|e) = 0.0000015$

Have i that hunger  $p(s|e) = 0.000020$

...

(This is where the translation table comes in!)

With  $p(\text{Spanish} | \text{English}) \times p(\text{English})$ :

Que hambre tengo yo

→

What hunger have  $p(s|e)p(e) = 0.000014 \times 0.000001$

Hungry I am so  $p(s|e)p(e) = 0.000001 \times 0.0000014$

I am so hungry  $p(s|e)p(e) = 0.0000015 \times 0.0001$

Have i that hunger  $p(s|e)p(e) = 0.000020 \times 0.000000098$



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# Evaluating MT

- Human subjective evaluation is the best but is time-consuming and expensive.
- Automated evaluation comparing the output to multiple human reference translations is cheaper and correlates with human judgements.

# Human Evaluation of MT

- Ask humans to estimate MT output on several dimensions.
  - **Fluency**: Is the result grammatical, understandable, and readable in the target language.
  - **Fidelity**: Does the result correctly convey the information in the original source language.

# Computer-Aided Translation Evaluation

- **Edit cost:** Measure the number of changes that a human translator must make to correct the MT output.
  - Number of words changed
  - Amount of time taken to edit
  - Number of keystrokes needed to edit

# Automatic Evaluation of MT

- Collect one or more human *reference translations* of the source.
- Compare MT output to these reference translations.
- Score result based on similarity to the reference translations.
  - BLEU

# BLEU

- Determine number of  $n$ -grams of various sizes that the MT output shares with the reference translations.
- Compute a modified precision measure of the  $n$ -grams in MT result.

# BLEU Example

Cand 1: **Mary** no **slap** **the** **witch** **green**

Cand 2: **Mary** did not give a smack to a green witch.

Ref 1: **Mary** did not **slap** **the** **green** **witch**.

Ref 2: **Mary** did not smack **the** **green** **witch**.

Ref 3: **Mary** did not hit a **green** sorceress.

**Cand 1 Unigram Precision: 5/6**

# BLEU Example

Cand 1: Mary no slap the witch green.

Cand 2: Mary did not give a smack to a green witch.

Ref 1: Mary did not slap the green witch.

Ref 2: Mary did not smack the green witch.

Ref 3: Mary did not hit a green sorceress.

**Cand 1 Bigram Precision: 1/5**



# BLEU Example

Cand 1: Mary no slap the witch green.

Cand 2: Mary did not give a smack to a green witch.

Ref 1: Mary did not slap the green witch.

Ref 2: Mary did not smack the green witch.

Ref 3: Mary did not hit a green sorceress.

Clip match count of each  $n$ -gram to maximum count of the  $n$ -gram in any single reference translation

**Cand 2 Unigram Precision: 7/10**

# BLEU Example

**Cand 1:** Mary no slap the witch green.

**Cand 2:** Mary did not give a smack to a green witch.

**Ref 1:** Mary did not slap the green witch.

**Ref 2:** Mary did not smack the green witch.

**Ref 3:** Mary did not hit a green sorceress.

**Cand 2 Bigram Precision: 4/9**

# Modified $N$ -Gram Precision

- Average  $n$ -gram precision over all  $n$ -grams up to size  $N$  (typically 4, 2 in this example) using geometric mean.

$$p_n = \frac{\sum_{C \in \text{corpus}} \sum_{n\text{-gram} \in C} \text{count}_{\text{clip}}(n\text{-gram})}{\sum_{C \in \text{corpus}} \sum_{n\text{-gram} \in C} \text{count}(n\text{-gram})}$$

$$p = \sqrt[N]{\prod_{n=1}^N p_n}$$

$$\text{Cand 1: } p = \sqrt[2]{\frac{5}{6} \frac{1}{5}} = 0.408$$

$$\text{Cand 2: } p = \sqrt[2]{\frac{7}{10} \frac{4}{9}} = 0.558$$

# Brevity Penalty

- Not easy to compute recall to complement precision since there are multiple alternative gold-standard references and don't need to match all of them.
- Instead, use a penalty for translations that are shorter than the reference translations.
- Define effective reference length,  $r$ , for each sentence as the length of the reference sentence with the largest number of  $n$ -gram matches. Let  $c$  be the candidate sentence length.

$$BP = \begin{cases} 1 & \text{if } c > r \\ e^{(1-r/c)} & \text{if } c \leq r \end{cases}$$

# BLEU Score

- Final BLEU Score:  $BLEU = BP \times p$

**Cand 1:** Mary no slap the witch green.

**Best Ref:** Mary did not slap the green witch.

$$c = 6, \quad r = 7, \quad BP = e^{(1-7/6)} = 0.846$$

$$BLEU = 0.846 \times 0.408 = 0.345$$

**Cand 2:** Mary did not give a smack to a green witch.

**Best Ref:** Mary did not smack the green witch.

$$c = 10, \quad r = 7, \quad BP = 1$$

$$BLEU = 1 \times 0.558 = 0.558$$

# BLEU Score Issues

- BLEU has been shown to correlate with human evaluation when comparing outputs from different SMT systems.
- However, it does not correlate with human judgments when comparing SMT systems with manually developed MT (Systran) or MT with human translations.
- Other MT evaluation metrics have been proposed that claim to overcome some of the limitations of BLEU (e.g. METEOR, NIST, etc).