

## Homework #3

Ryan Huang [huang@cs.jhu.edu](mailto:huang@cs.jhu.edu)

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1. Microsoft .NET provides a synchronization primitive called a `CountdownEvent`. Programs use `CountdownEvent` to synchronize on the completion of many threads (similar to `CountDownLatch` in Java). A `CountdownEvent` is initialized with a count, and a `CountdownEvent` can be in two states, nonsignalled and signalled. Threads use a `CountdownEvent` in the nonsignalled state to `Wait` (block) until the internal count reaches zero. When the internal count of a `CountdownEvent` reaches zero, the `CountdownEvent` transitions to the signalled state and wakes up (unblocks) all waiting threads. Once a `CountdownEvent` has transitioned from nonsignalled to signalled, the `CountdownEvent` remains in the signalled state. In the nonsignalled state, at any time a thread may call the `Decrement` operation to decrease the count and `Increment` to increase the count. In the signalled state, `Wait`, `Decrement`, and `Increment` have no effect and return immediately.
  - (a) Use pseudo-code to implement a thread-safe `CountdownEvent` using locks and condition variables by implementing the following methods:

```
class CountdownEvent {
    ...private variables...
    CountdownEvent (int count) { ... }
    void Increment () { ... }
    void Decrement () { ... }
    void Wait () { ... }
}
```

Notes:

- The `CountdownEvent` constructor takes an integer count as input and initializes the `CountdownEvent` counter with count. Positive

values of count cause the CountdownEvent to be constructed in the nonsignalled state. Other values of count will construct it in the signalled state.

- Increment increments the internal counter.
- Decrement decrements the internal counter. If the counter reaches zero, the CountdownEvent transitions to the signalled state and unblocks any waiting threads.
- Wait blocks the calling thread if the CountdownEvent is in the nonsignalled state, and otherwise returns.
- Each of these methods is relatively short.

(b) Semaphores also increment and decrement. How do the semantics of a CountdownEvent differ from a Semaphore?

2. A common pattern in parallel scientific programs is to have a set of threads do a computation in a sequence of phases. In each phase  $i$ , all threads must finish phase  $i$  before any thread starts computing phase  $i + 1$ . One way to accomplish this is with barrier synchronization. At the end of each phase, each thread executes `Barrier::Done(n)`, where  $n$  is the number of threads in the computation. A call to `Barrier::Done` blocks until all of the  $n$  threads have called `Barrier::Done`. Then, all threads proceed. You may assume that the process allocates a new Barrier for each iteration, and that all threads of the program will call Done with the same value.

(a) Implement a Barrier using a CountdownEvent in the previous exercise.

(b) Write a monitor that implements Barrier using Mesa semantics.

```
monitor Barrier {  
    ...  
}
```

(c) Implement Barrier using an explicit lock and condition variable.

```
class Barrier {  
    ...private variables...  
    void Done (int n) {  
        ...  
    }  
    ...  
}
```

3. Consider a problem in which there is a producer  $p$  and two consumers  $c1$  and  $c2$ . The producer produces pairs of values  $\langle a, b \rangle$ . The producer does not have to wait in `Put` for a consumer, and the monitor will have to accumulate the values in auxiliary data structures to ensure nothing gets lost (you can assume the use of lists or arrays). Assume that `Put` can accumulate at most  $k$  pairs of values. Consumer  $c1$  consumes the  $a$  values of these pairs and  $c2$  consumes the  $b$  values of these pairs. A consumer consumes only one value per call.

Hint: This problem is very similar to the producer/consumer problem-it just so happens that objects are produced in pairs, and each part of a pair is consumed individually.

Write a Mesa-style monitor for this problem. It should have three entry methods: `void Put(int a, b)` that  $p$  would use to produce values, `int GetA(void)` that  $c1$  would use to consume  $a$  values, and `int GetB(void)` that  $c2$  would use to consume  $b$  values. For synchronization, you should only use condition variables.

An example sequence of calls could be:

```
Put(10,20)
GetA() -> returns 10
Put(300,400)
GetA() -> returns 300
GetB() -> returns 20
GetA() blocks the caller
```

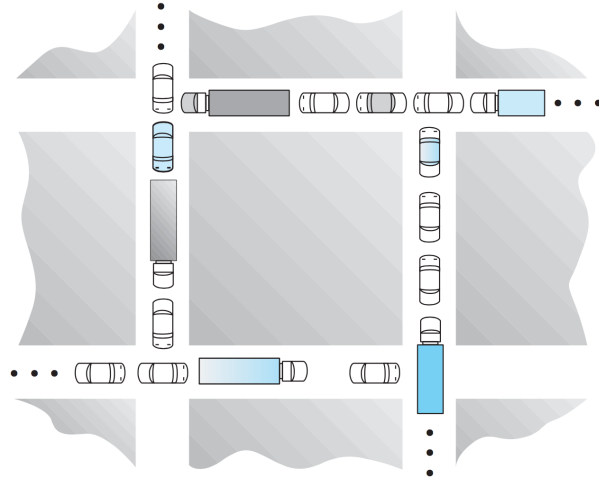
4. Demonstrate that monitors and semaphores are equivalent so they can be used to implement the same types of synchronization problems.
5. [Anderson] You have been hired by a company to do climate modelling of oceans. The inner loop of the program matches atoms of different types as they form molecules. In an excessive reliance on threads, each atom is represented by a thread.
  - (a) Your task is to write code to form water out of two hydrogen threads and one oxygen thread ( $H_2O$ ). You are to write the two procedures: `HArrives()` and `OArrives()`. A water molecule forms when two  $H$  threads are present and one  $O$  thread; otherwise, the atoms must wait.

Once all three are present, one of the threads calls `MakeWater()`, and only then, all three depart.

- (b) The company wants to extend its work to handle cloud modelling. Your task is to write code to form ozone out of three oxygen threads. Each of the threads calls `OArrives()`, and when three are present, one calls `MakeOzone()`, and only then, all three depart.
- (c) Extending the product line into beer production, your task is to write code to form alcohol ( $C_2H_6O$ ) out of two carbon atoms, six hydrogens, and one oxygen. You must use locks and Mesa-style condition variables to implement your solutions. Obviously, an atom that arrives after the molecule is made must wait for a different group of atoms to be present. There should be no busy-waiting and you should correctly handle spurious wakeups. There must also be no useless waiting: atoms should not wait if there is a sufficient number of each type.

6. [Silberschatz] Windows Vista provides a new lightweight synchronization tool called a *slim reader-writer* (SRW) lock. Whereas most implementations of reader-writer locks favor either readers or writers, or perhaps order waiting threads using a FIFO policy, slim reader-writer locks favor neither readers nor writers and do not order waiting threads in a FIFO queue. Explain the benefits of providing such a synchronization tool.

7. [Silberschatz] Consider the traffic deadlock depicted in the following figure.



- a) Show that the four necessary conditions for deadlock indeed hold in this example.
- b) State a simple rule that will avoid deadlocks in this system
8. [Silberschatz] A single-lane bridge connects the two Vermont villages of North Tunbridge and South Tunbridge. Farmers in the two villages use this bridge to deliver their produce to the neighboring town. The bridge can become deadlocked if a northbound and a southbound farmer get on the bridge at the same time. (Vermont farmers are stubborn and are unable to back up.) Using semaphores and/or mutex locks, design an algorithm in pseudocode that prevents deadlock.
- (a) Using exactly one semaphore, design an algorithm that prevents deadlock. Initially, do not be concerned about starvation (the situation in which northbound farmers prevent southbound farmers from using the bridge, or vice versa).
- (b) Modify your solution so that it is starvation-free.
9. [Silberschatz] Consider the variation of the Dining Philosophers problem (See Section 31.6 of the OSTEP textbook for a description of the problem), where all unused forks are placed in the center of the table and any philosopher can eat with any two forks. Assume that requests for forks are made one at a time. Describe a simple rule for determining whether a particular request can be satisfied without causing deadlock given the current allocation of forks to philosophers.
10. Annabelle, Bertrand, Chloe and Dag are working on their term papers in CS 318, which is a 10,000 word essay on My All-Time Favorite Race Conditions. To help them work on their papers, they have one dictionary, two copies of Roget's Thesaurus, and two coffee cups.
- Annabelle needs to use the dictionary and a thesaurus to write her paper;
- Bertrand needs a thesaurus and a coffee cup to write his paper;
  - Chloe needs a dictionary and a thesaurus to write her paper;
  - Dag needs two coffee cups to write his paper (he likes to have a cup of regular and a cup of decaf at the same time to keep himself in balance).

Consider the following state:

- Annabelle has a thesaurus and need the dictionary.
- Bertrand has a thesaurus and a coffee cup.
- Chloe has the dictionary and needs a thesaurus.
- Dag has a coffee cup and needs another coffee cup.
  - Is the system deadlocked in this state? Explain using a resource allocation graph.
  - Is this state reachable if the four people allocated and released their resources using the Banker's algorithm? Explain.