

# Lecture 20: Generative Models, Part 2



# Midterm Regrades

The deadline for requesting midterm regrades is  
**Tuesday November 17, 11:59pm**

If you've submitted a regrade request and haven't heard back,  
**make a new post about it on Piazza**

# Assignment 5: Object Detection

Single-stage detector

Two-stage detector

~~Due on Monday November 16, 11:59pm~~

Due on Wednesday November 18, 11:59pm

# Assignment 6: Generative Models

Variational Auto-Encoders

Generative Adversarial Networks

Planning to release on **Wednesday November 18**

Will be due **three weeks** after release (due to Thanksgiving),  
currently **Wednesday December 9**

# Wednesday: Guest Lecture – Andrej Karpathy



Senior Director of AI at Tesla  
Founding member of OpenAI  
PhD at Stanford  
Designer of CS231N

Talk Title:  
Applying ConvNets in Practice

# Last Time: Supervised vs Unsupervised Learning

## Supervised Learning

**Data:**  $(x, y)$

$x$  is data,  $y$  is label

**Goal:** Learn a *function* to map  $x \rightarrow y$

**Examples:** Classification, regression, object detection, semantic segmentation, image captioning, etc.

## Unsupervised Learning

**Data:**  $x$

Just data, no labels!

**Goal:** Learn some underlying hidden *structure* of the data

**Examples:** Clustering, dimensionality reduction, feature learning, density estimation, etc.

# Last Time: Discriminative vs Generative Models

## **Discriminative Model:**

Learn a probability distribution  $p(y|x)$

## **Generative Model:**

Learn a probability distribution  $p(x)$

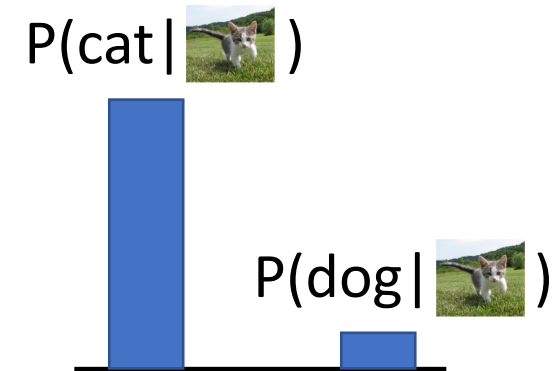
**Conditional Generative Model:** Learn  $p(x|y)$

**Data:  $x$**



## **Density Function**

$p(x)$  assigns a positive number to each possible  $x$ ; higher numbers mean  $x$  is more likely



Density functions are **normalized**:

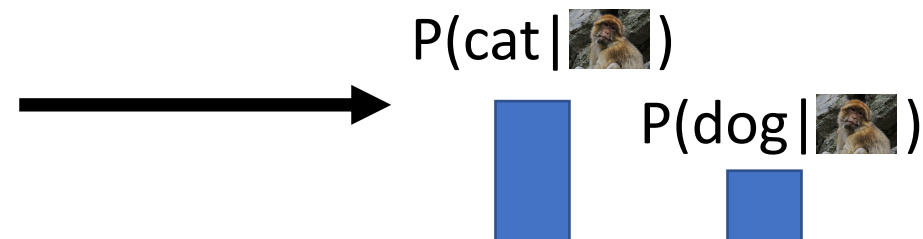
$$\int_X p(x) dx = 1$$

Different values of  $x$  **compete** for density

# Last Time: Discriminative vs Generative Models

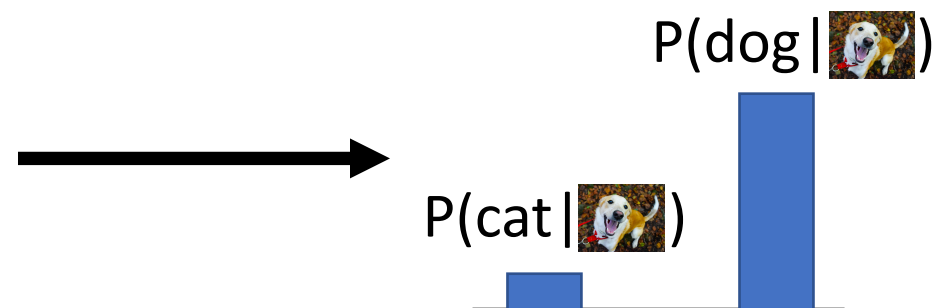
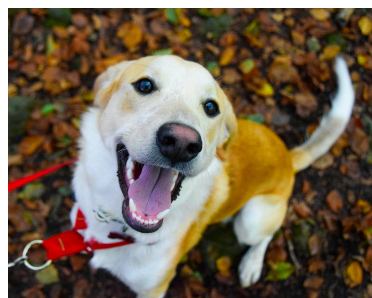
## **Discriminative Model:**

Learn a probability distribution  $p(y|x)$



## **Generative Model:**

Learn a probability distribution  $p(x)$



## **Conditional Generative Model:** Learn $p(x|y)$

Discriminative model: No way for the model to handle unreasonable inputs; it must give label distributions for all images

[Monkey image](#) is CC0 Public Domain



# Last Time: Discriminative vs Generative Models

## Discriminative Model:

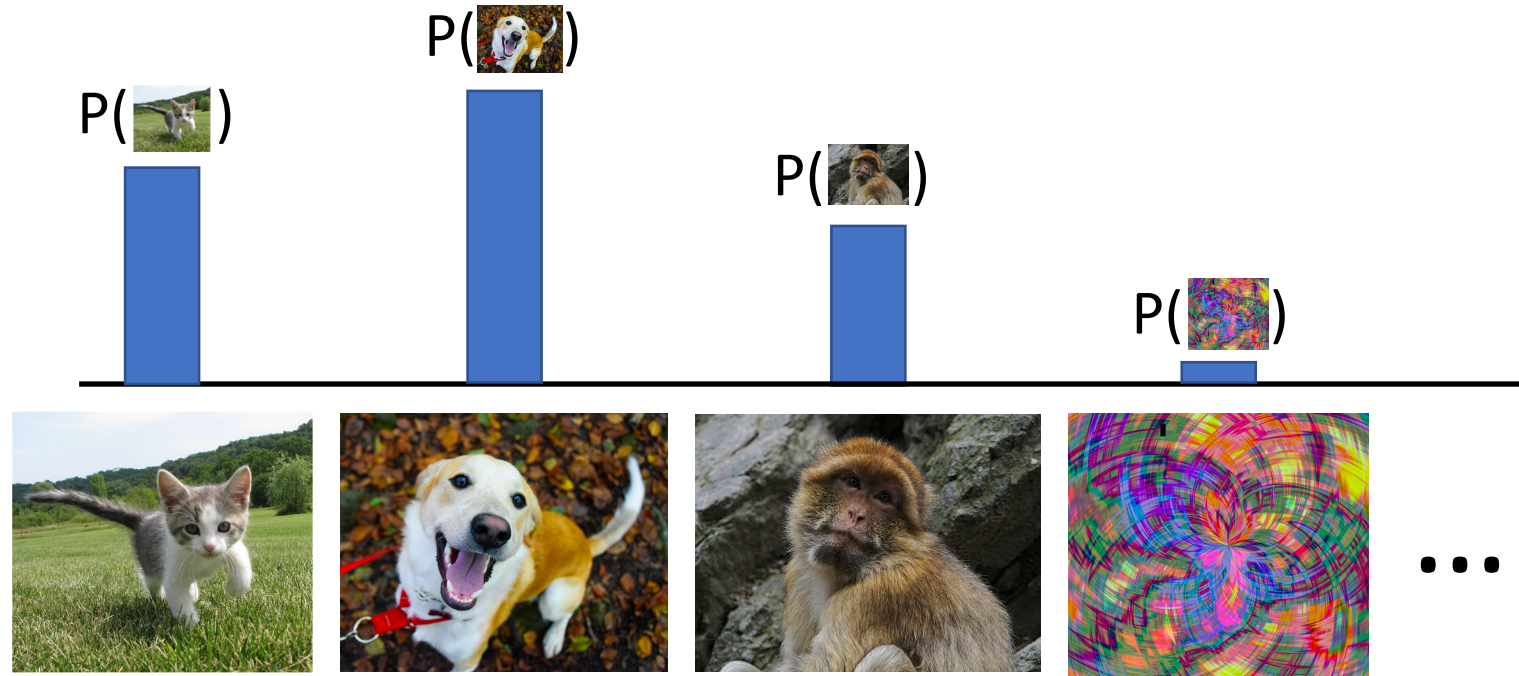
Learn a probability distribution  $p(y|x)$

## Generative Model:

Learn a probability distribution  $p(x)$

## Conditional Generative

**Model:** Learn  $p(x|y)$



Generative model: All possible images compete with each other for probability mass

Requires deep image understanding! Is a dog more likely to sit or stand? How about 3-legged dog vs 3-armed monkey?



# Last Time: Discriminative vs Generative Models

## Discriminative Model:

Learn a probability distribution  $p(y|x)$

## Generative Model:

Learn a probability distribution  $p(x)$

**Conditional Generative Model:** Learn  $p(x|y)$

Recall **Bayes' Rule**:

$$\underbrace{P(x|y)}_{\text{Conditional Generative Model}} = \frac{\underbrace{P(y|x)}_{\text{Discriminative Model}}}{\underbrace{P(y)}_{\text{Prior over labels}}} \underbrace{P(x)}_{\text{(Unconditional) Generative Model}}$$

We can build a conditional generative model from other components!

# Last Time: Taxonomy of Generative Models

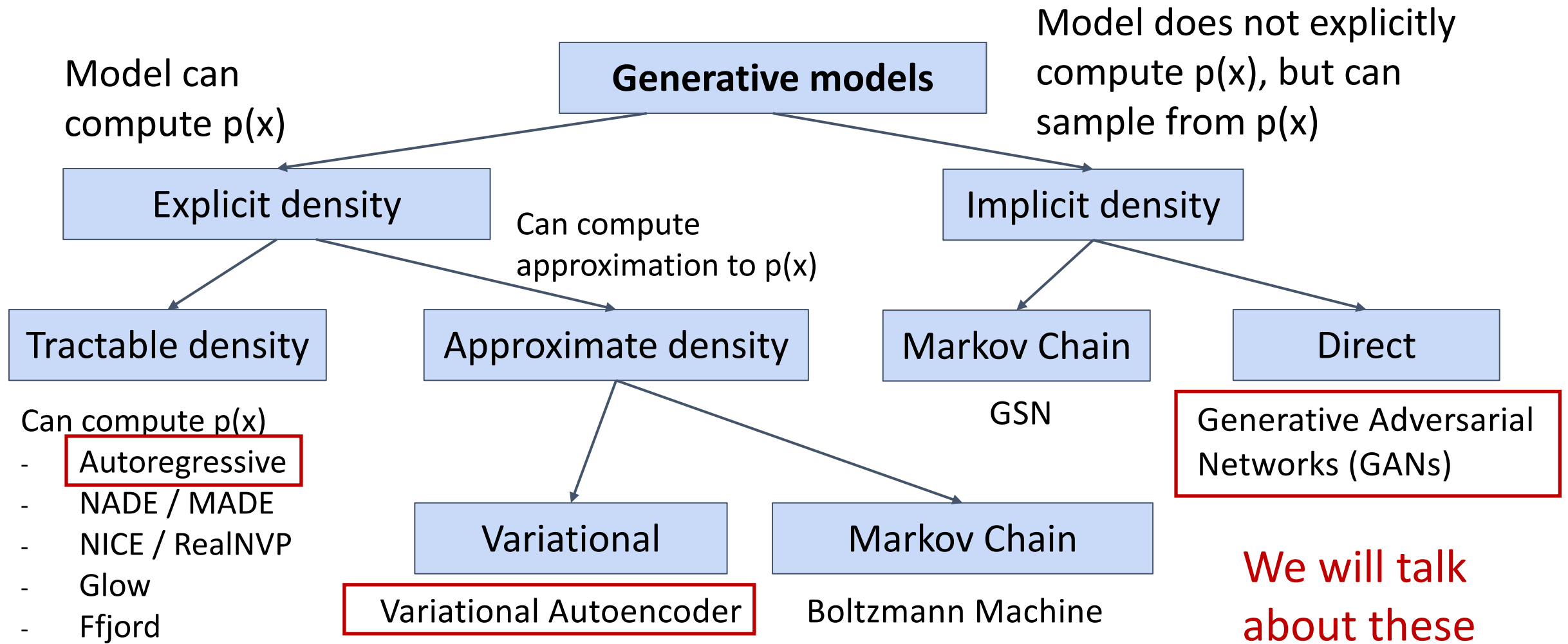


Figure adapted from Ian Goodfellow, Tutorial on Generative Adversarial Networks, 2017.

# Last Time: Autoregressive Models

## Explicit Density Function

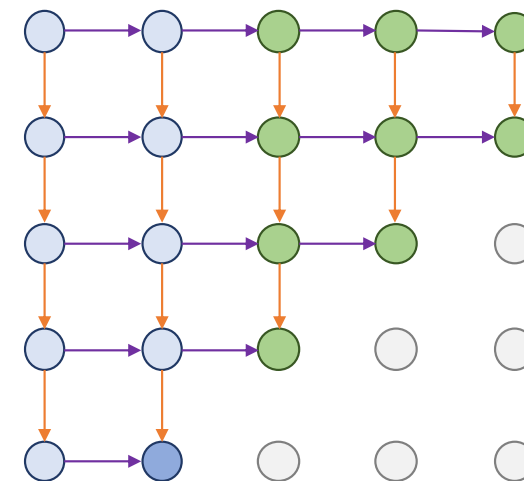
$$\begin{aligned} p(x) &= p(x_1, x_2, x_3, \dots, x_T) \\ &= p(x_1)p(x_2 | x_1)p(x_3 | x_1, x_2) \dots \\ &= \prod_{t=1}^T p(x_t | x_1, \dots, x_{t-1}) \end{aligned}$$

Train by maximizing  
log-likelihood of  
training data

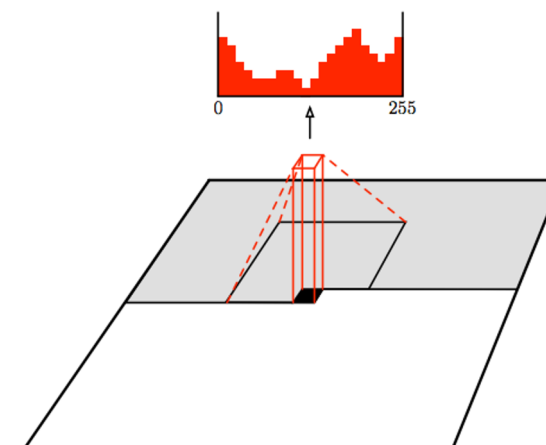
Van den Oord et al, "Pixel Recurrent Neural Networks", ICML 2016

Van den Oord et al, "Conditional Image Generation with PixelCNN Decoders", NeurIPS 2016

PixelRNN



PixelCNN



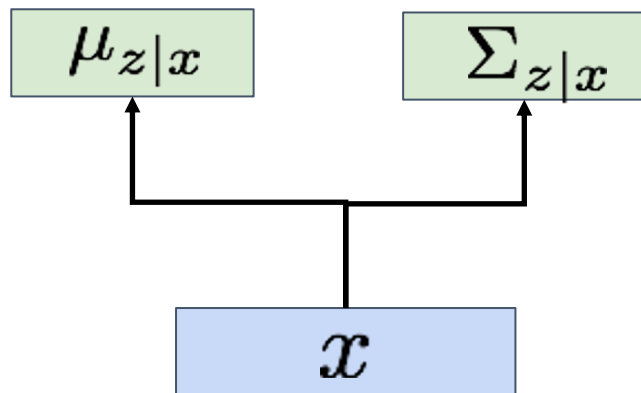
# Last Time: Variational Autoencoders

Jointly train **encoder**  $q$  and **decoder**  $p$  to maximize the **variational lower bound** on the data likelihood

$$\log p_{\theta}(x) \geq E_{z \sim q_{\phi}(z|x)} [\log p_{\theta}(x|z)] - D_{KL} \left( q_{\phi}(z|x), p(z) \right)$$

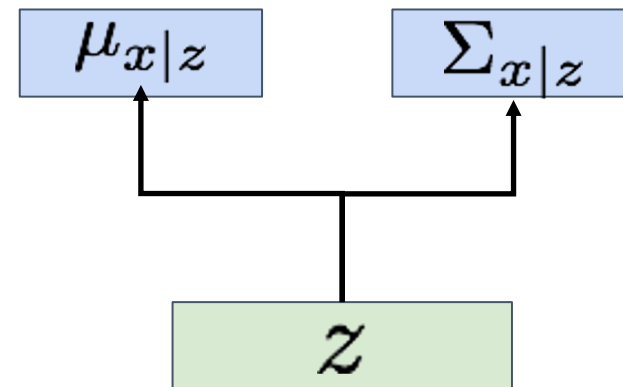
**Encoder Network**

$$q_{\phi}(z | x) = N(\mu_{z|x}, \Sigma_{z|x})$$



**Decoder Network**

$$p_{\theta}(x | z) = N(\mu_{x|z}, \Sigma_{x|z})$$



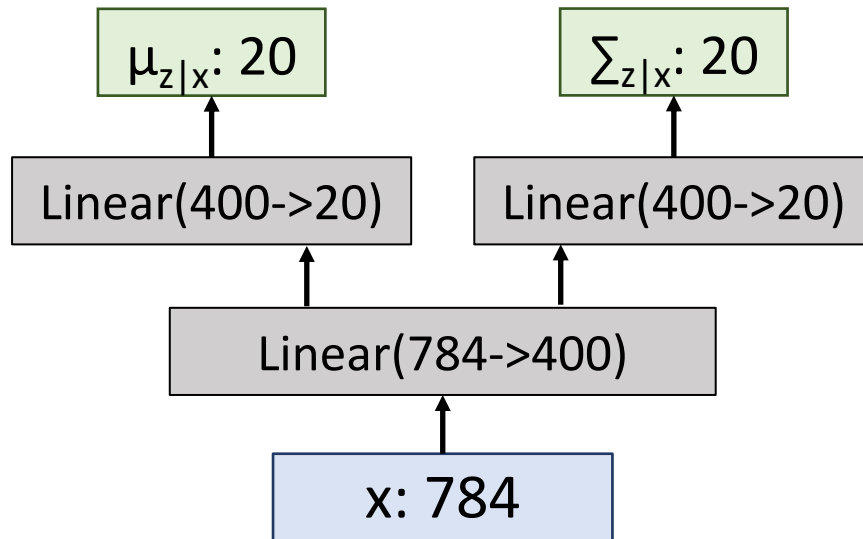
# Example: Fully-Connected VAE

x: 28x28 image, flattened to 784-dim vector

z: 20-dim vector

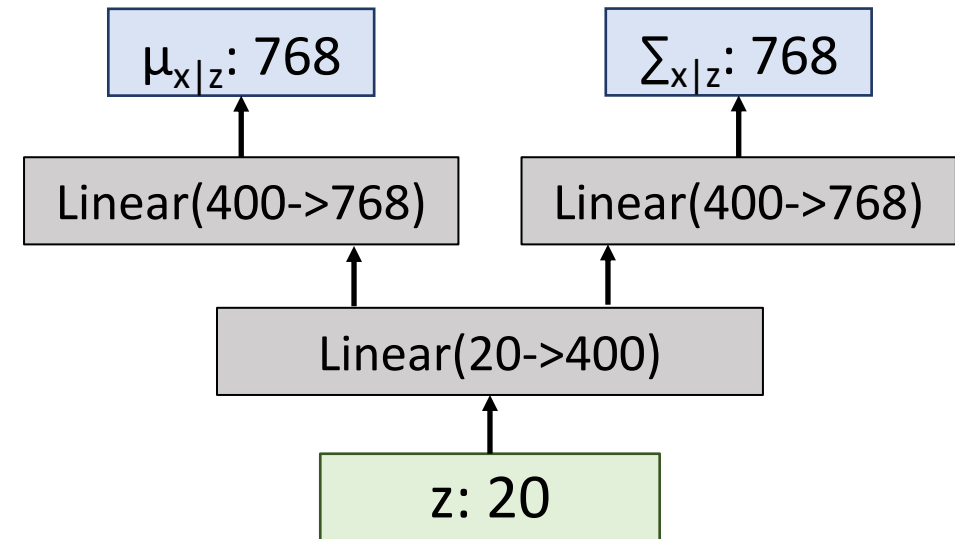
## Encoder Network

$$q_{\phi}(z | x) = N(\mu_{z|x}, \Sigma_{z|x})$$



## Decoder Network

$$p_{\theta}(x | z) = N(\mu_{x|z}, \Sigma_{x|z})$$



# Variational Autoencoders

Train by maximizing the  
**variational lower bound**

$$E_{z \sim q_{\phi}(z|x)} [\log p_{\theta}(x|z)] - D_{KL} (q_{\phi}(z|x), p(z))$$

Input  
Data



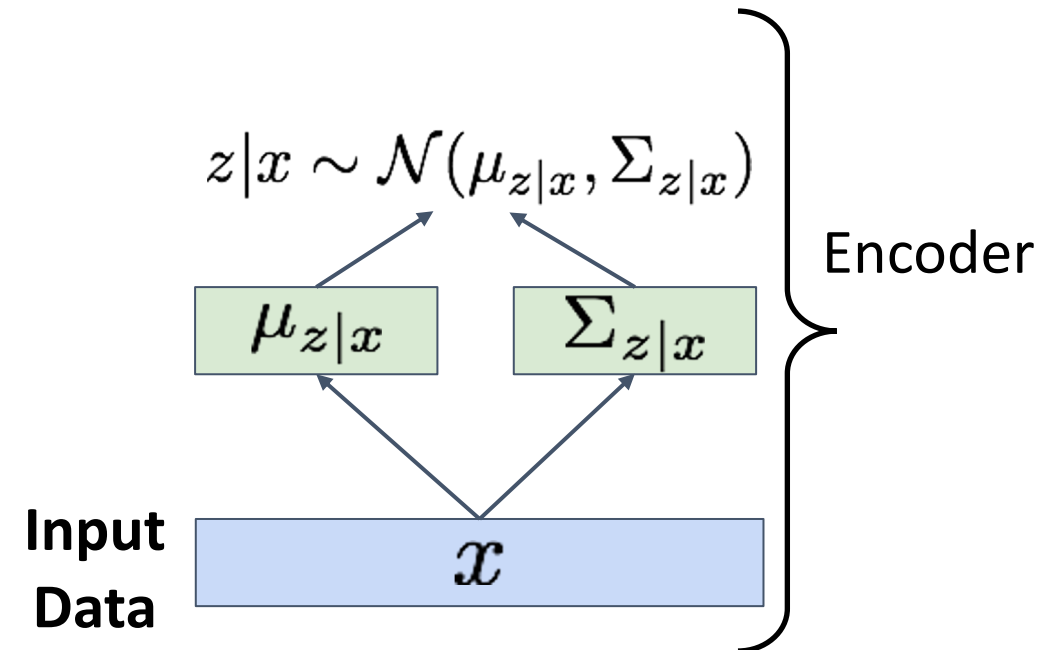
$x$

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1. Run input data through **encoder** to get a distribution over latent codes

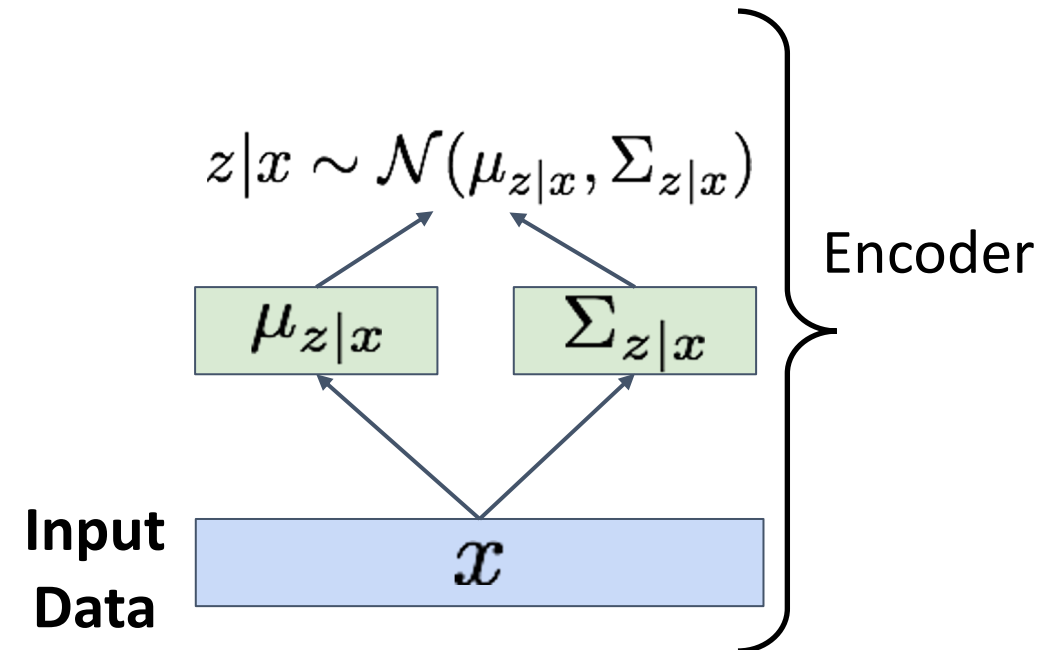


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2. **Encoder output should match the prior  $p(z)$ !**





# Variational Autoencoders

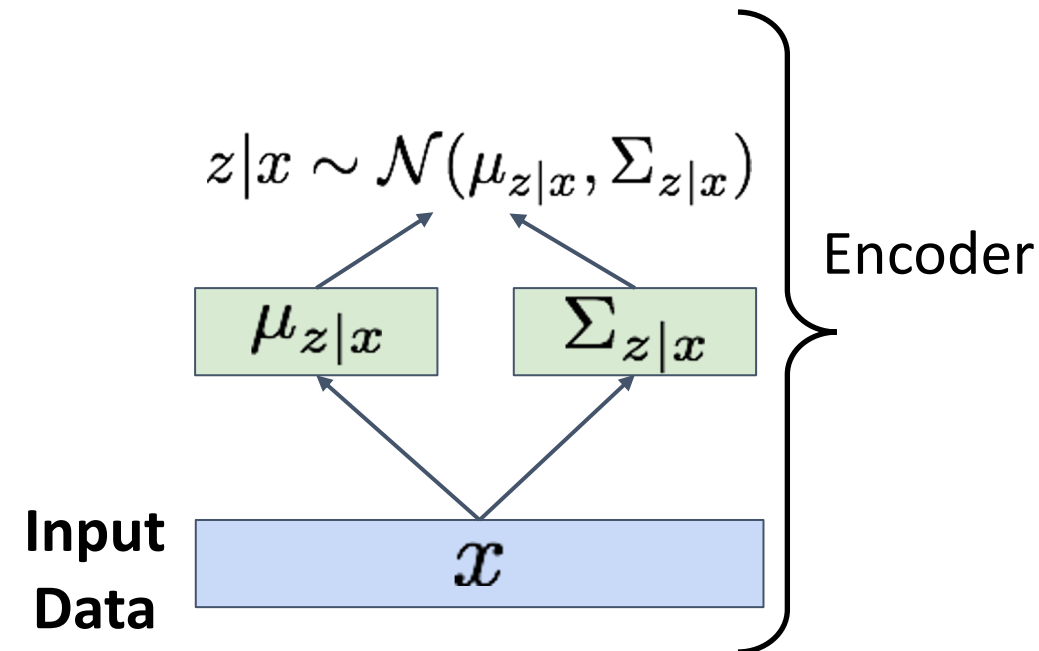
Train by maximizing the  
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$$E_{z \sim q_\phi(z|x)} [\log p_\theta(x|z)] - \boxed{D_{KL}(q_\phi(z|x), p(z))}$$

1. Run input data through **encoder** to get a distribution over latent codes
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$$\begin{aligned} -D_{KL}(q_\phi(z|x), p(z)) &= \int_z q_\phi(z|x) \log \frac{p(z)}{q_\phi(z|x)} dz \\ &= \int_z N(z; \mu_{z|x}, \Sigma_{z|x}) \log \frac{N(z; 0, I)}{N(z; \mu_{z|x}, \Sigma_{z|x})} dz \\ &= \frac{1}{2} \sum_{j=1}^J \left( 1 + \log \left( (\Sigma_{z|x})_j^2 \right) - (\mu_{z|x})_j^2 - (\Sigma_{z|x})_j^2 \right) \end{aligned}$$

Closed form solution when  
 $q_\phi$  is diagonal Gaussian and  
 $p$  is unit Gaussian!  
(Assume  $z$  has dimension  $J$ )

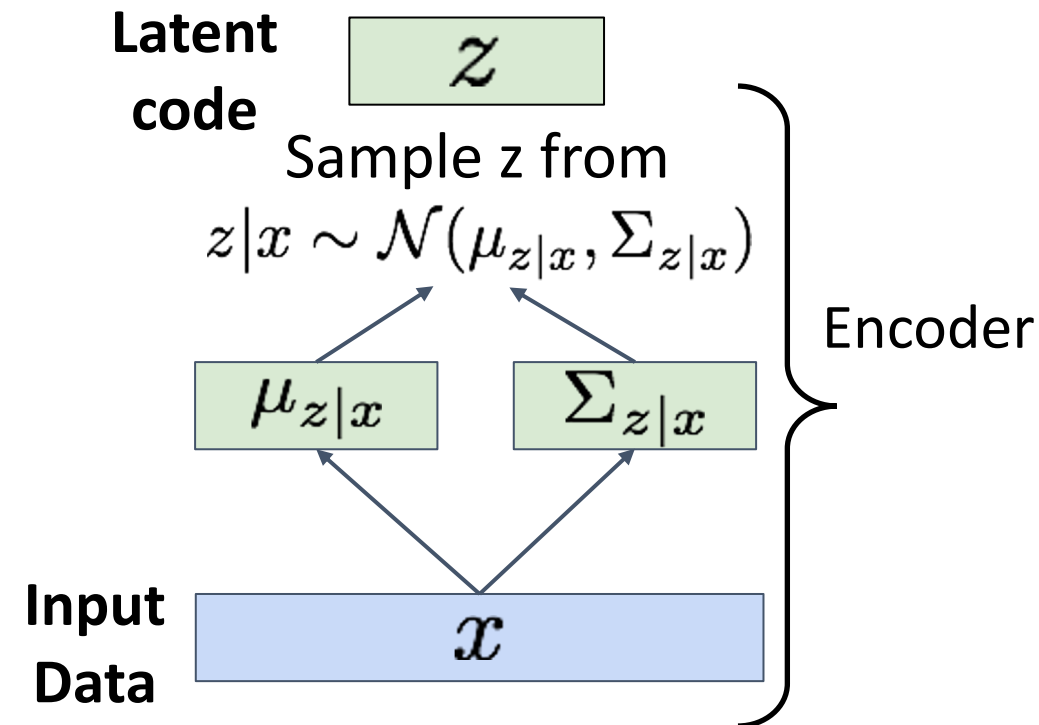


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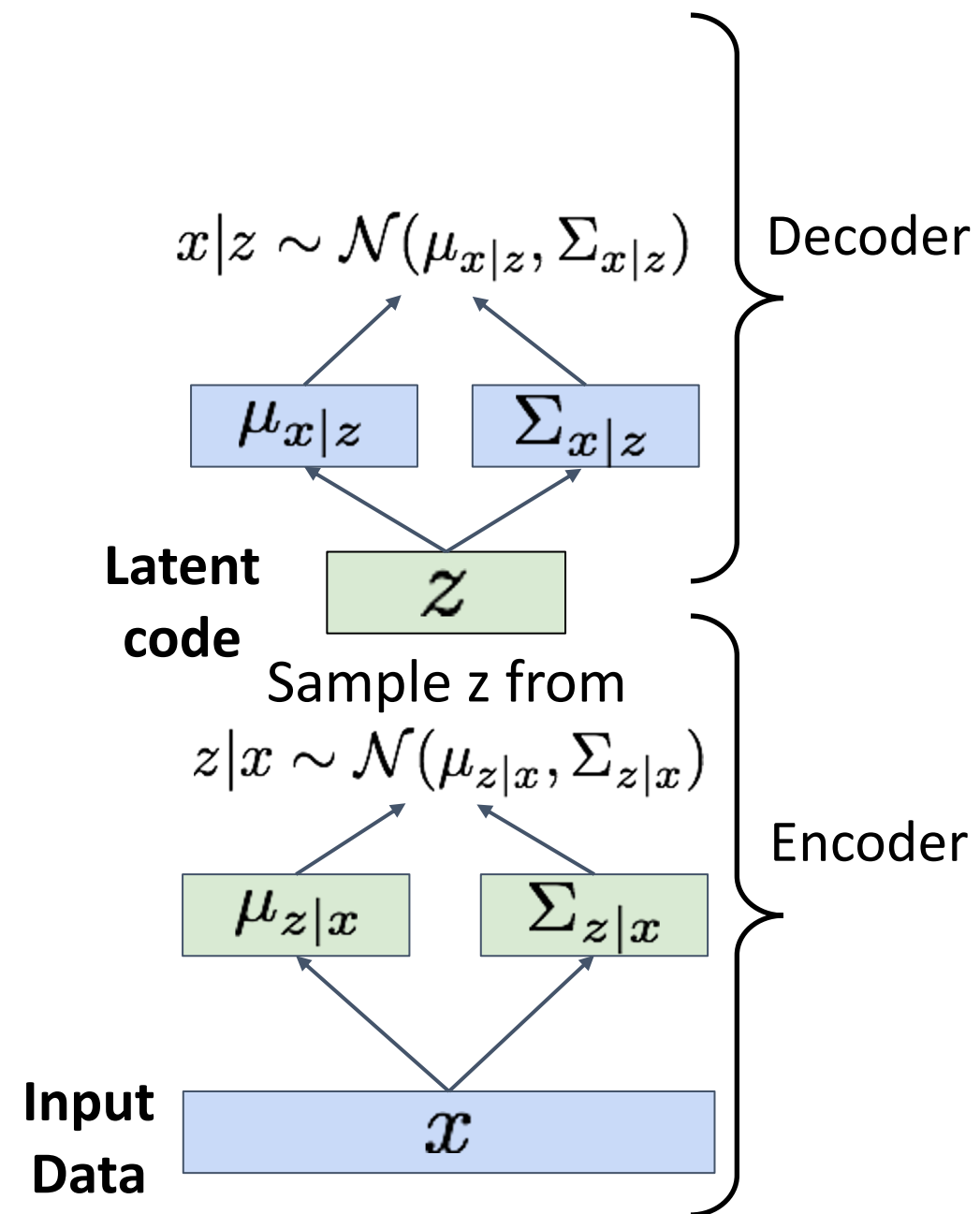


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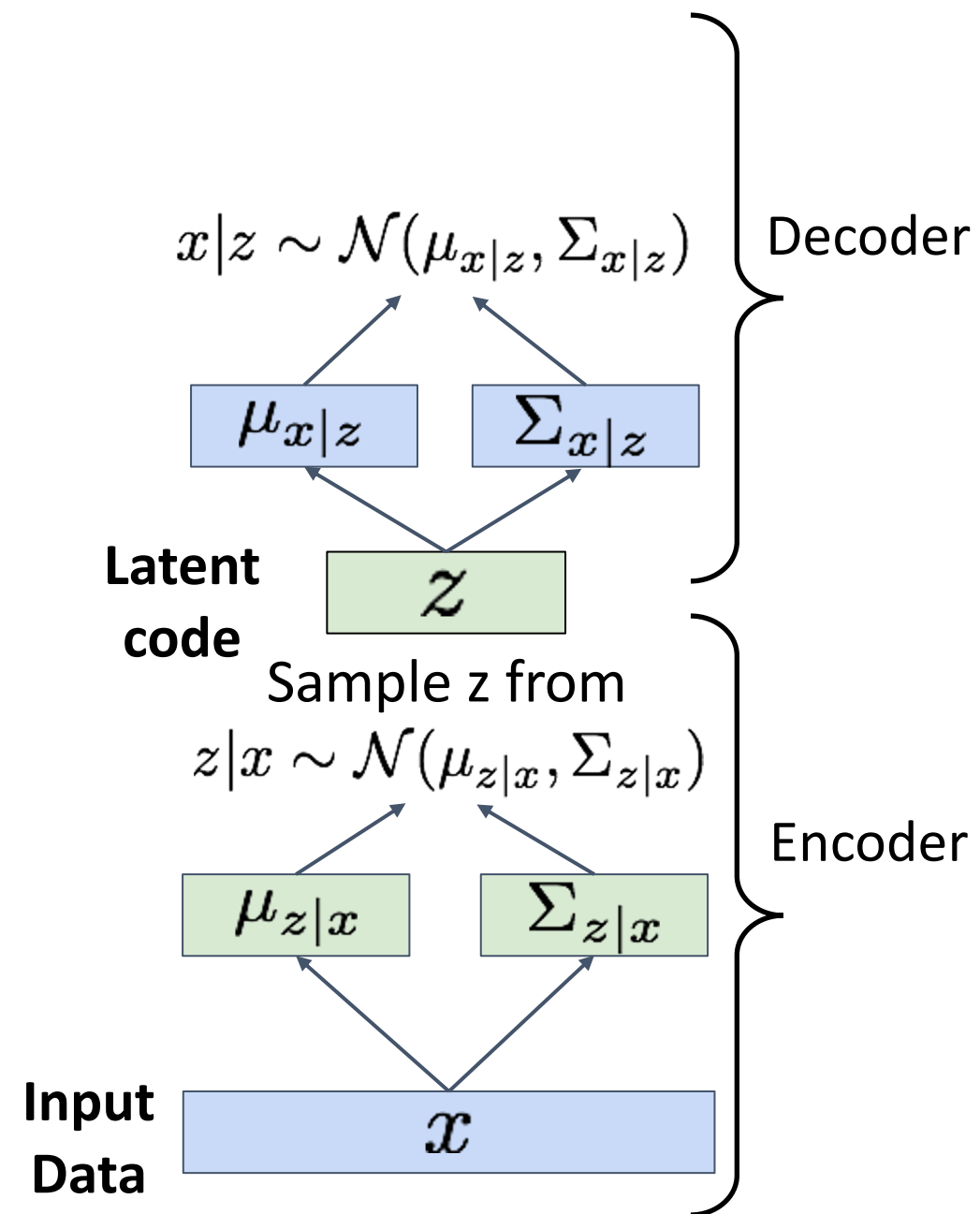


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5. **Original input data should be likely under the distribution output from (4)!**



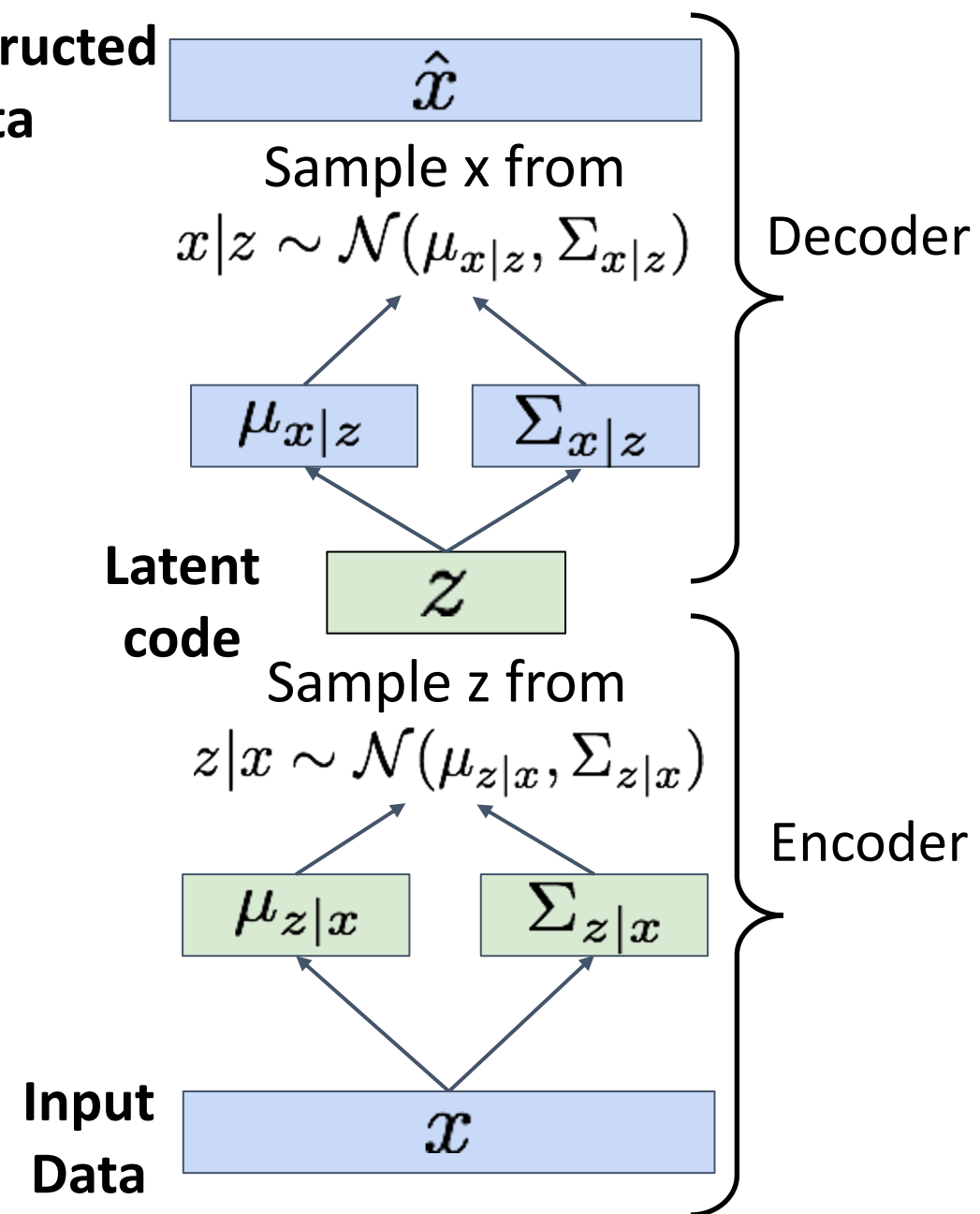
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6. Can sample a reconstruction from (4)

Reconstructed  
data

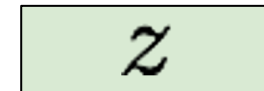


# Variational Autoencoders: Generating Data

After training we can  
generate new data!

1. Sample  $z$  from prior  $p(z)$

**Latent  
code**

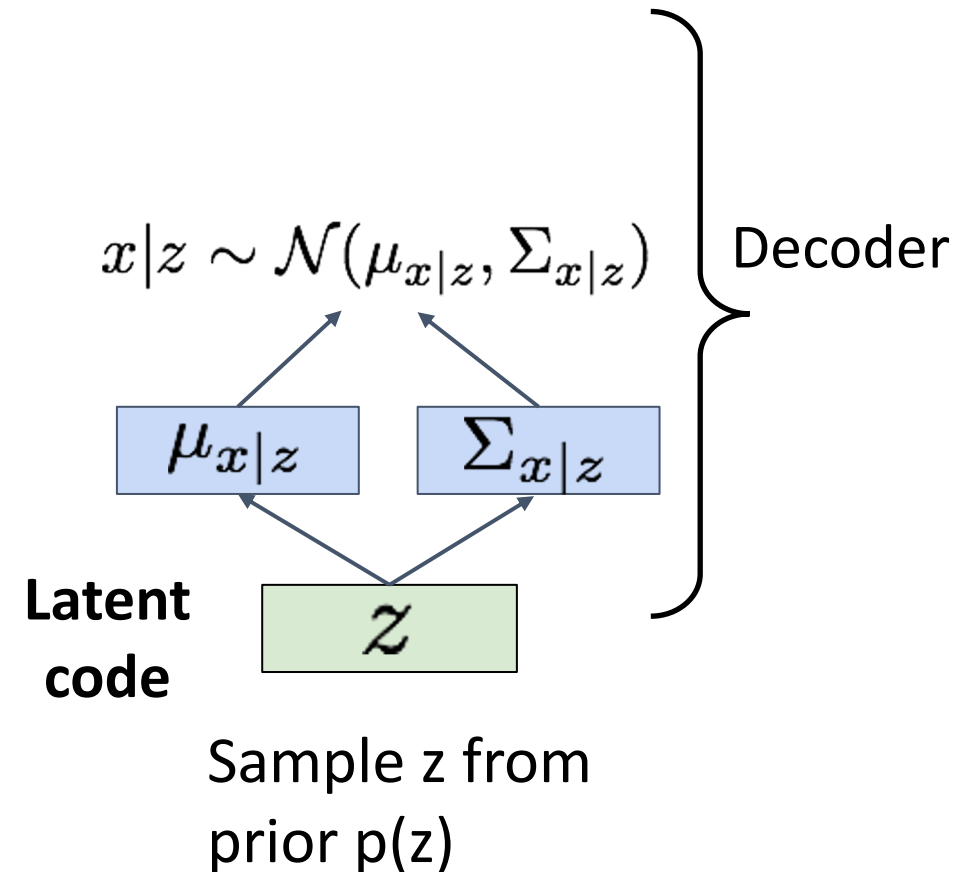


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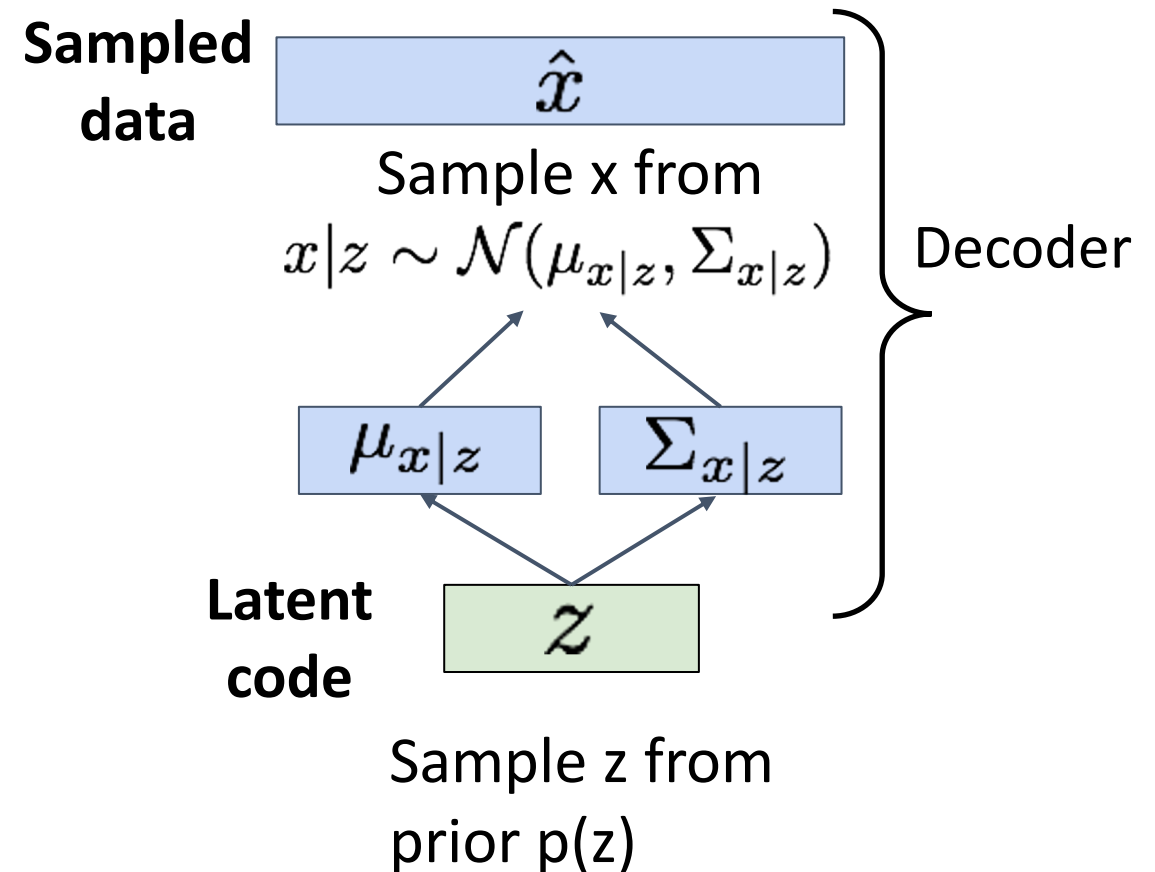
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2. Run sampled  $z$  through decoder to get distribution over data  $x$
3. Sample from distribution in (2) to generate data





# Variational Autoencoders: Generating Data

32x32 CIFAR-10



Labeled Faces in the Wild

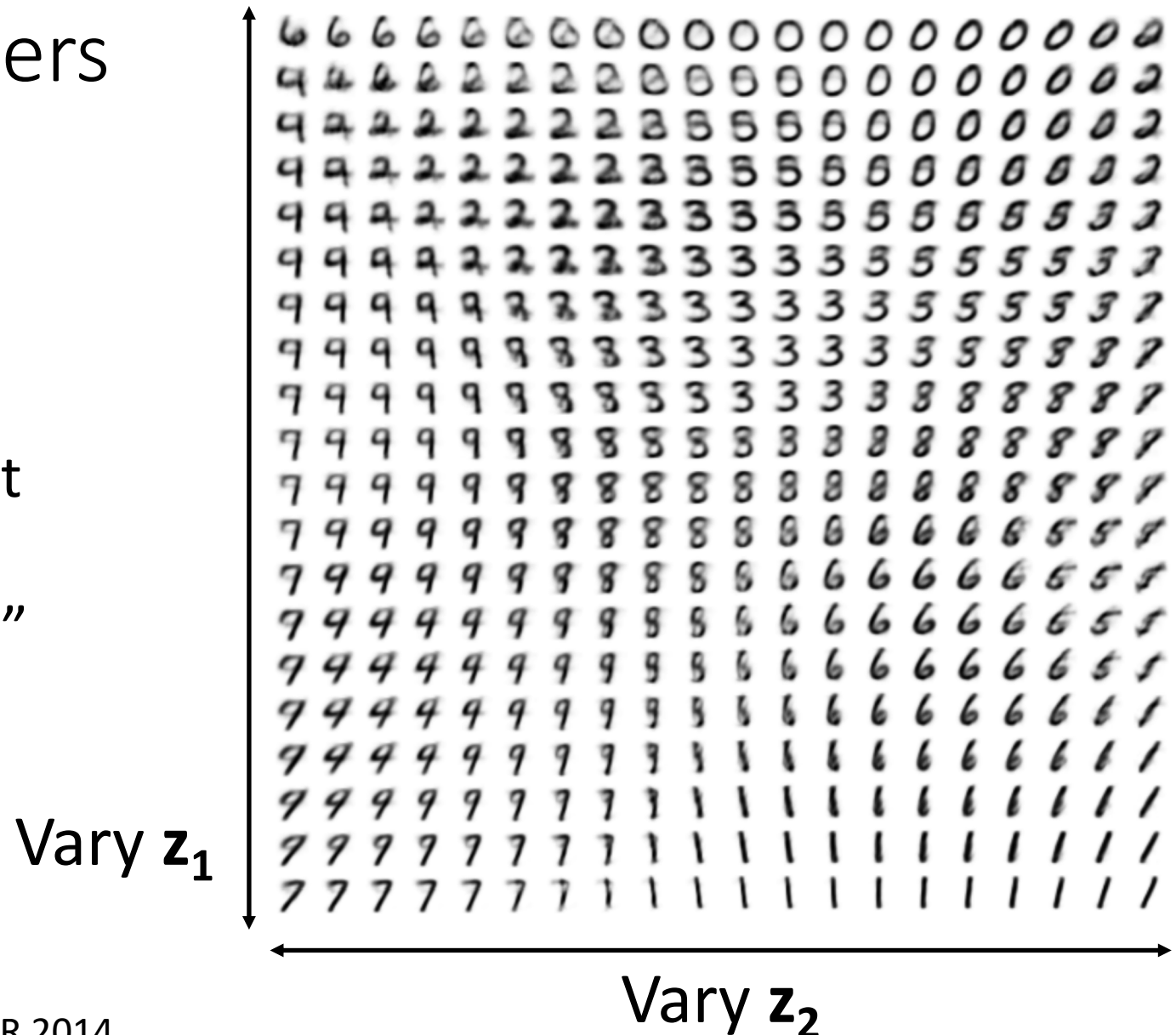


Figures from (L) Dirk Kingma et al. 2016; (R) Anders Larsen et al. 2017.

# Variational Autoencoders

The diagonal prior on  $p(z)$  causes dimensions of  $z$  to be independent

“Disentangling factors of variation”

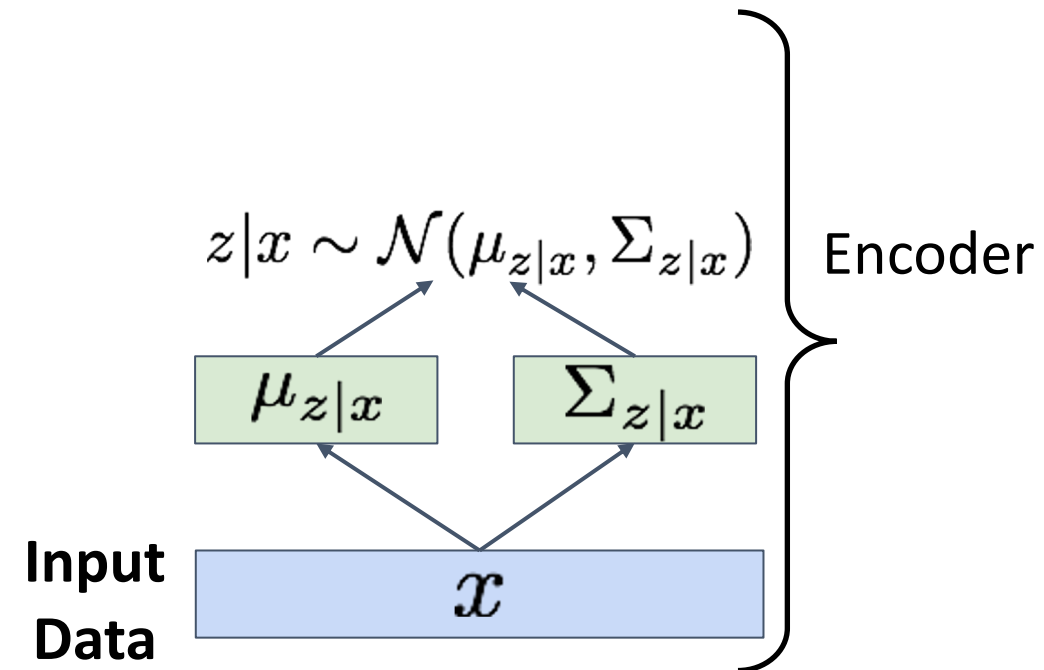


Kingma and Welling, Auto-Encoding Variational Bayes, ICLR 2014

# Variational Autoencoders

After training we can **edit images**

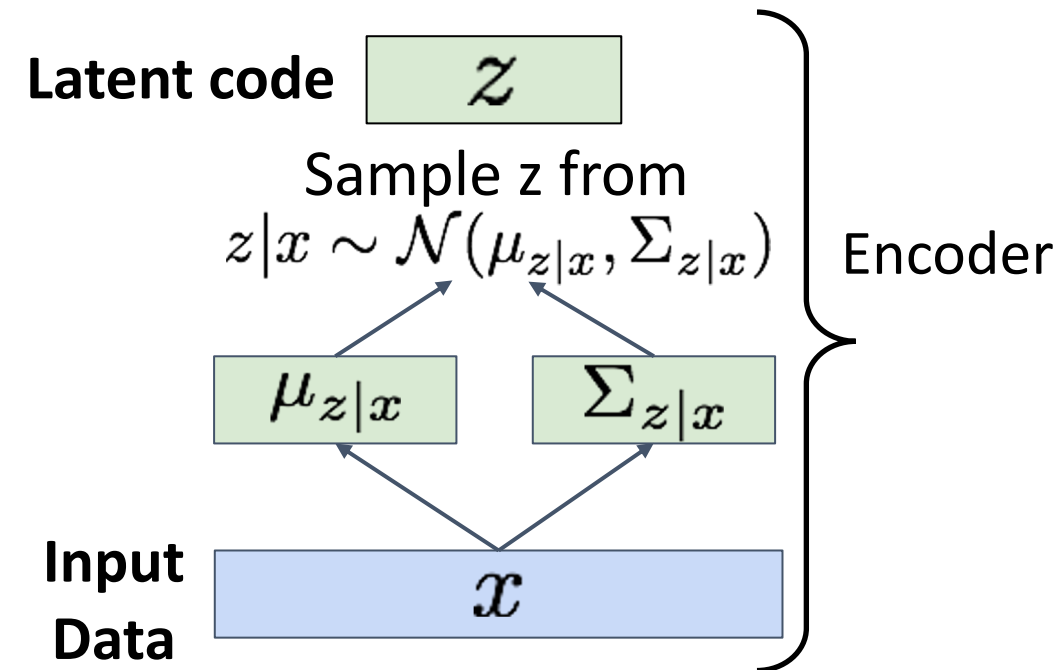
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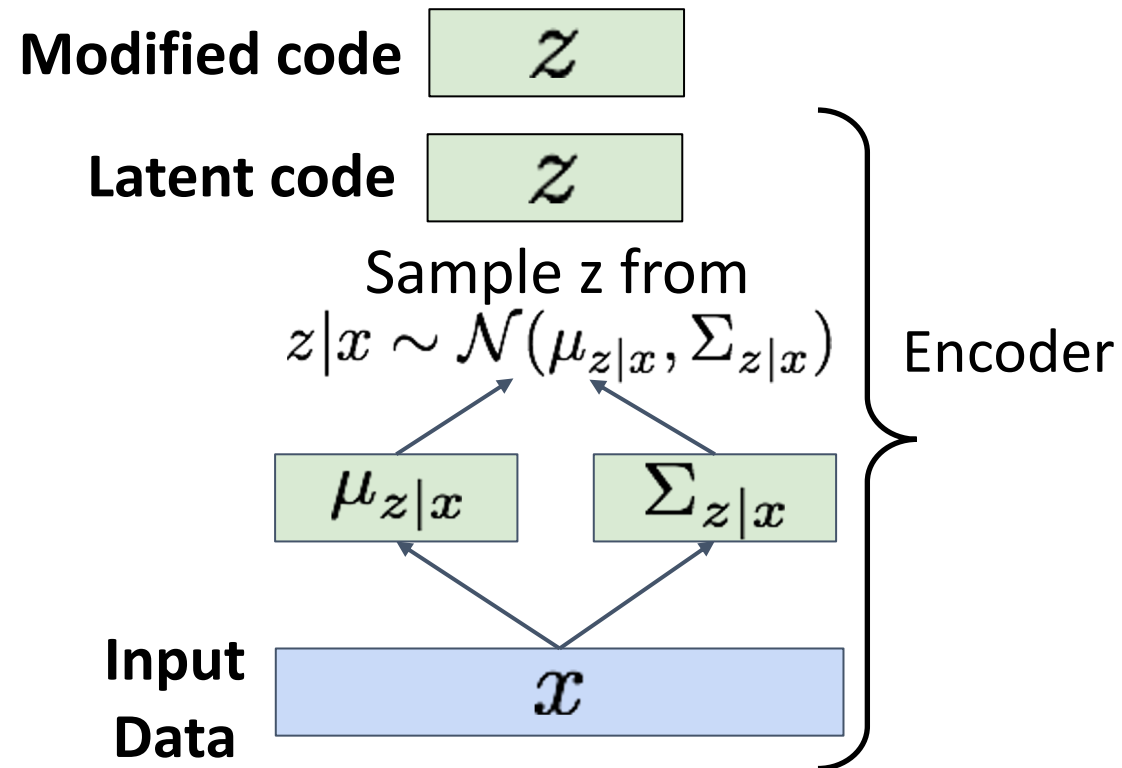
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2. Sample code  $z$  from encoder output



# Variational Autoencoders

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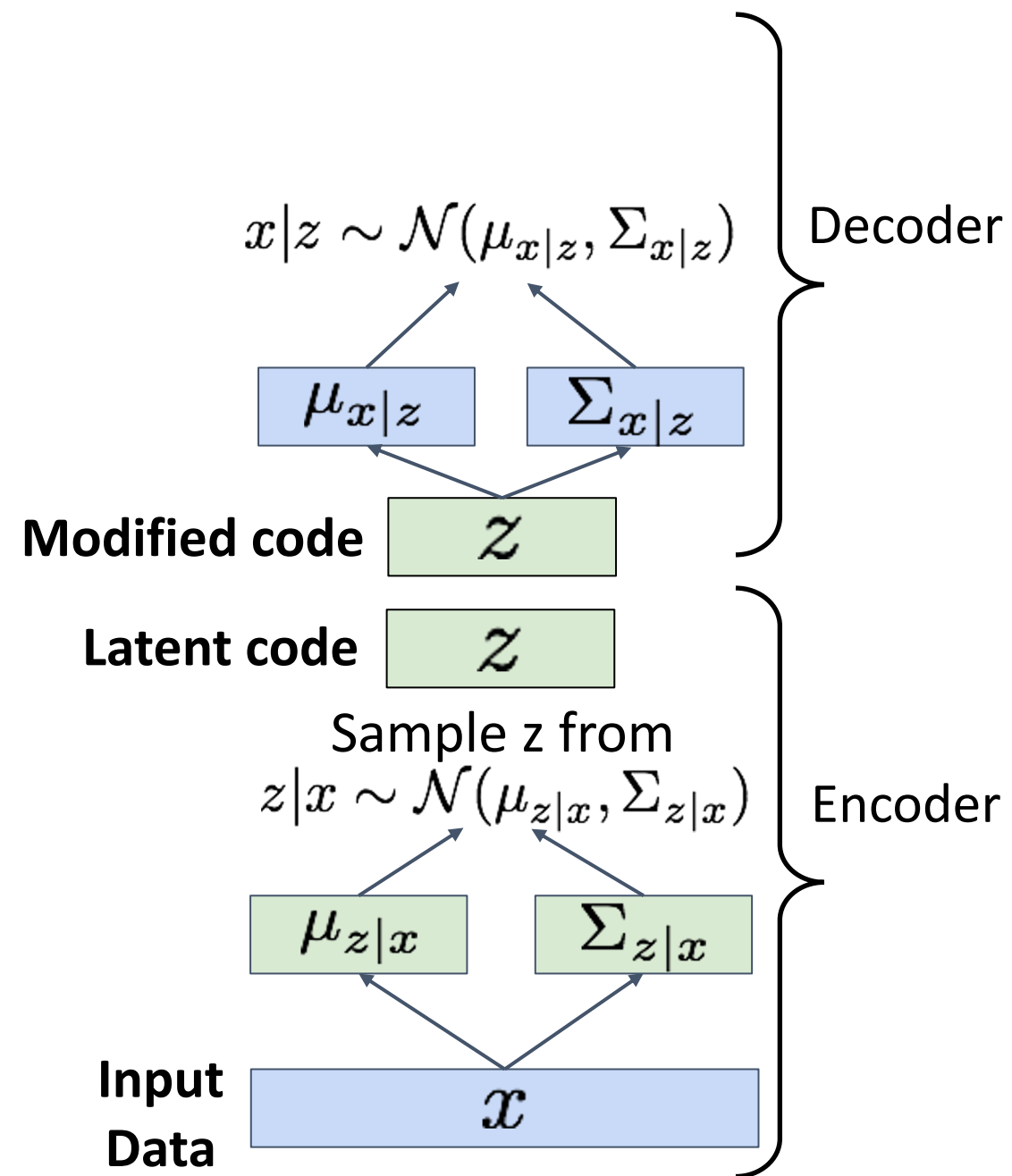
1. Run input data through **encoder** to get a distribution over latent codes
2. Sample code  $z$  from encoder output
3. Modify some dimensions of sampled code



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After training we can **edit images**

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2. Sample code  $z$  from encoder output
3. Modify some dimensions of sampled code
4. Run modified  $z$  through **decoder** to get a distribution over data sample

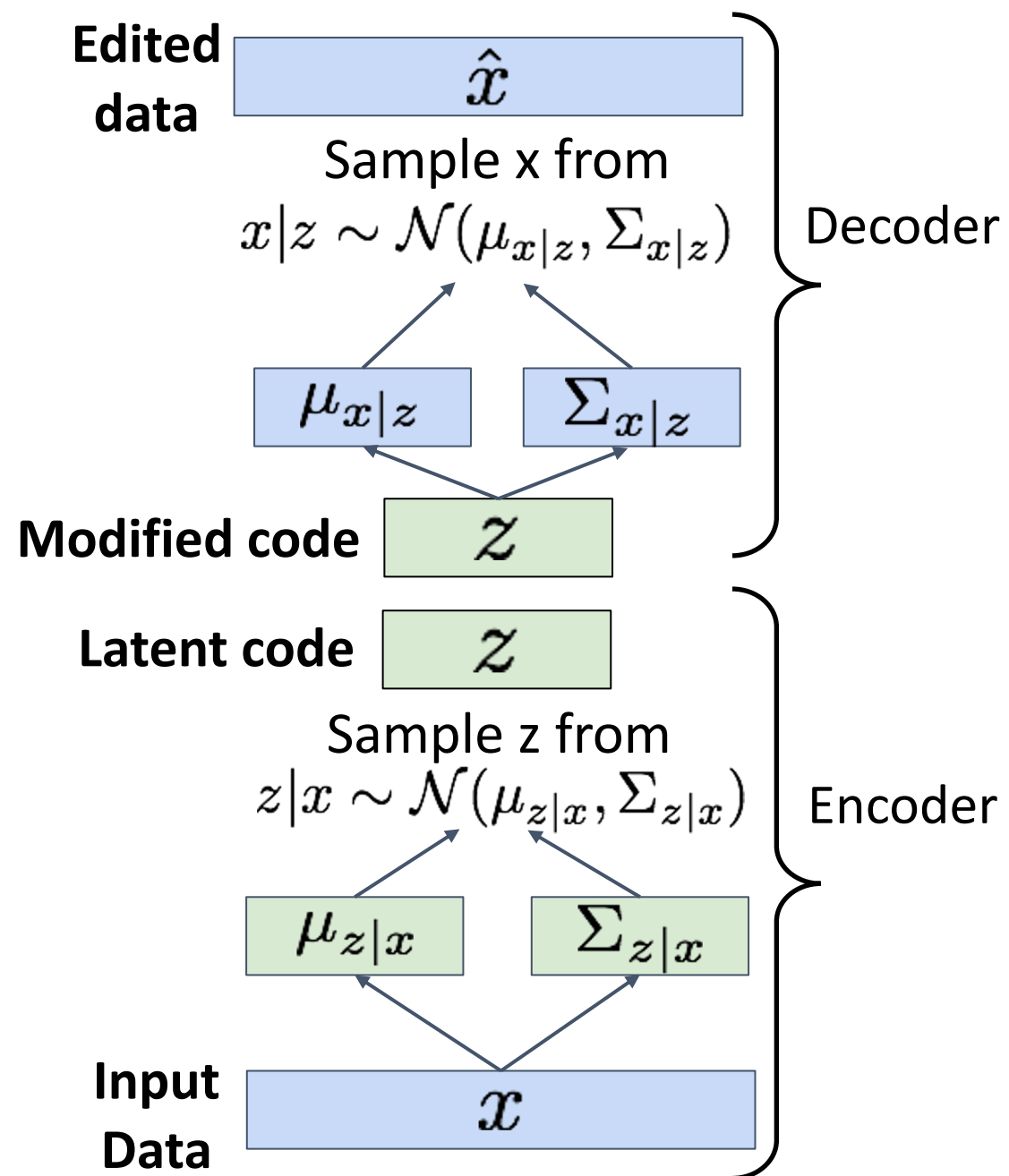




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5. Sample new data from (4)



# Variational Autoencoders

The diagonal prior on  $p(z)$  causes dimensions of  $z$  to be independent

“Disentangling factors of variation”

Degree of smile

Vary  $z_1$

Head pose

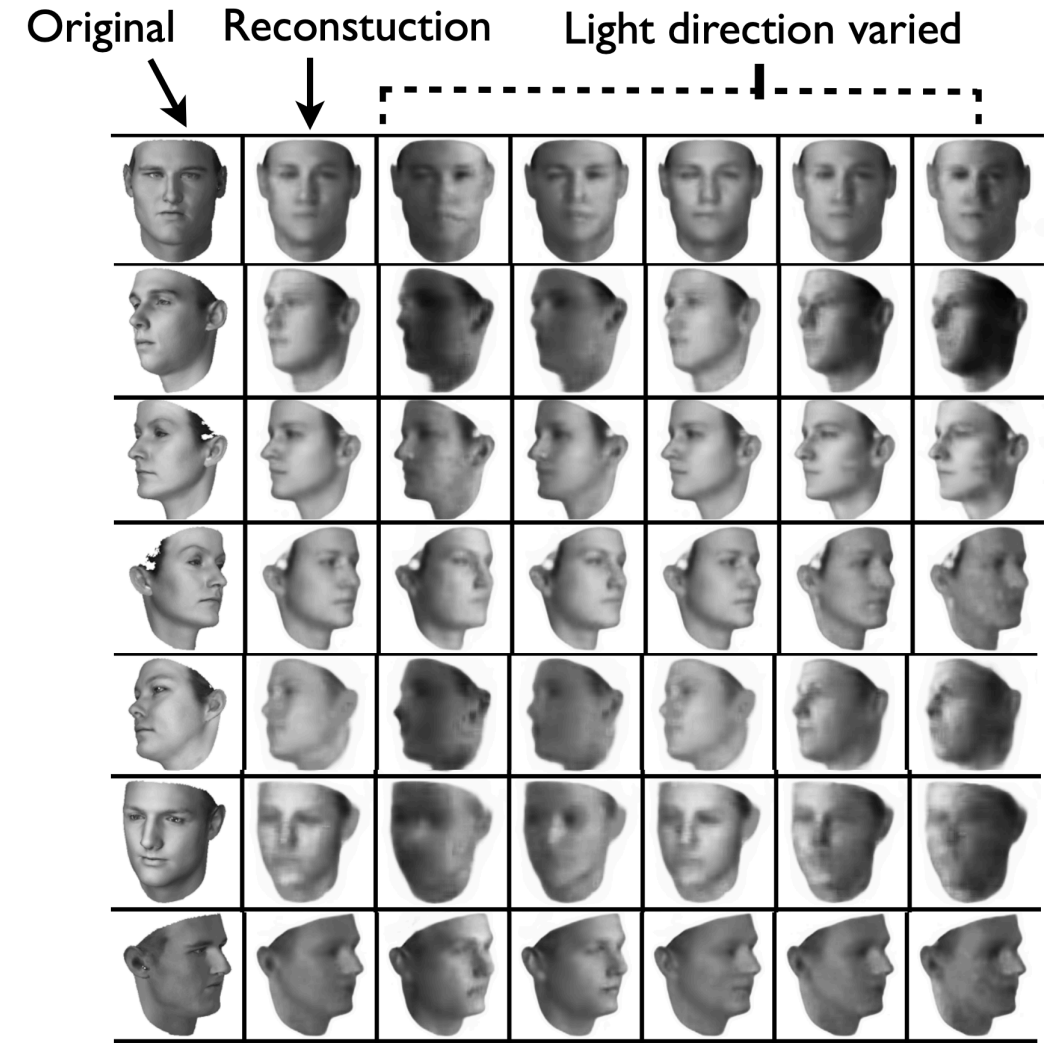
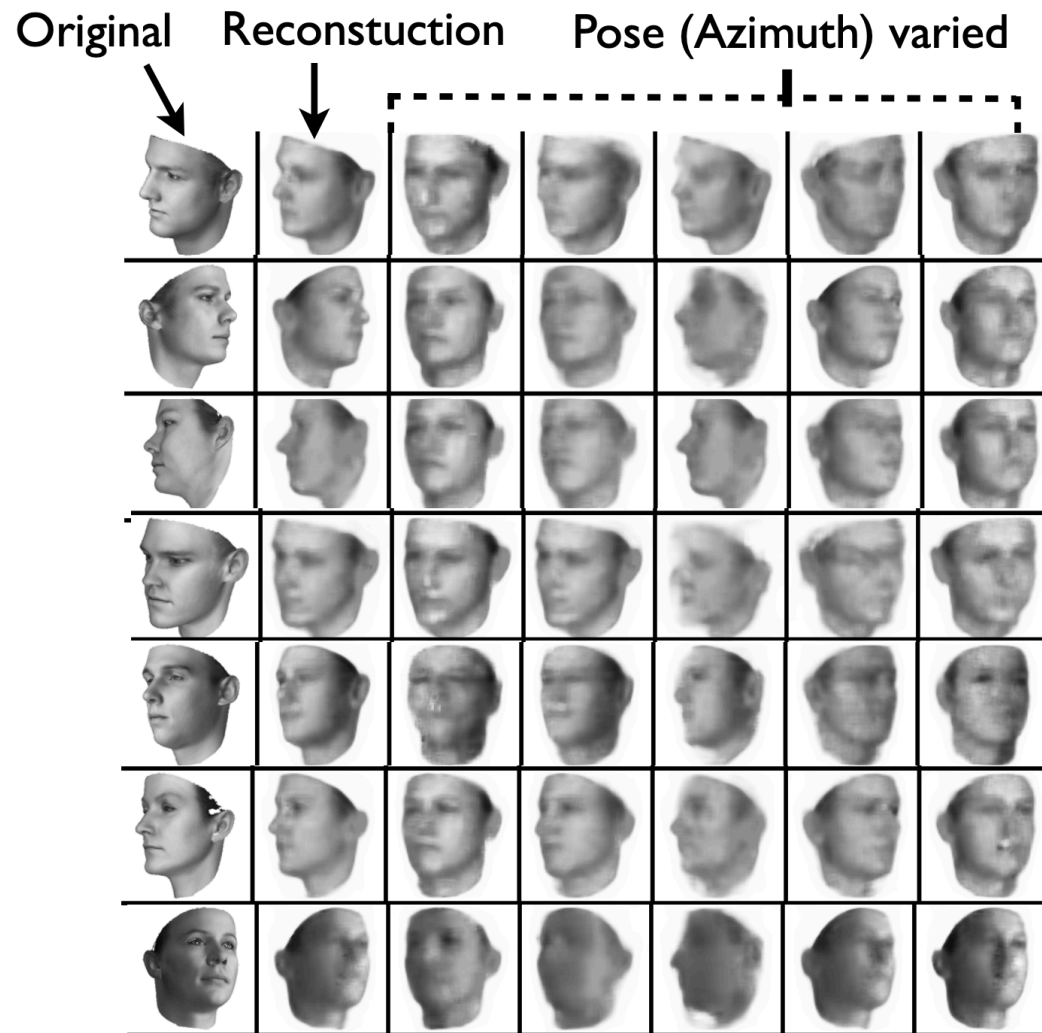
Vary  $z_2$



Kingma and Welling, Auto-Encoding Variational Bayes, ICLR 2014



# Variational Autoencoders: Image Editing



Kulkarni et al, "Deep Convolutional Inverse Graphics Networks", NeurIPS 2014

# Variational Autoencoder: Summary

Probabilistic spin to traditional autoencoders => allows generating data

Defines an intractable density => derive and optimize a (variational) lower bound

## Pros:

- Principled approach to generative models
- Allows inference of  $q(z|x)$ , can be useful feature representation for other tasks

## Cons:

- Maximizes lower bound of likelihood: okay, but not as good evaluation as PixelRNN/PixelCNN
- Samples blurrier and lower quality compared to state-of-the-art (GANs)

## Active areas of research:

- More flexible approximations, e.g. richer approximate posterior instead of diagonal Gaussian, e.g., Gaussian Mixture Models (GMMs)
- Incorporating structure in latent variables, e.g., Categorical Distributions

# So far: Two types of generative models

## Autoregressive models

- Directly maximize  $p(\text{data})$
- High-quality generated images
- Slow to generate images
- No explicit latent codes

## Variational models

- Maximize lower-bound on  $p(\text{data})$
- Generated images often blurry
- Very fast to generate images
- Learn rich latent codes

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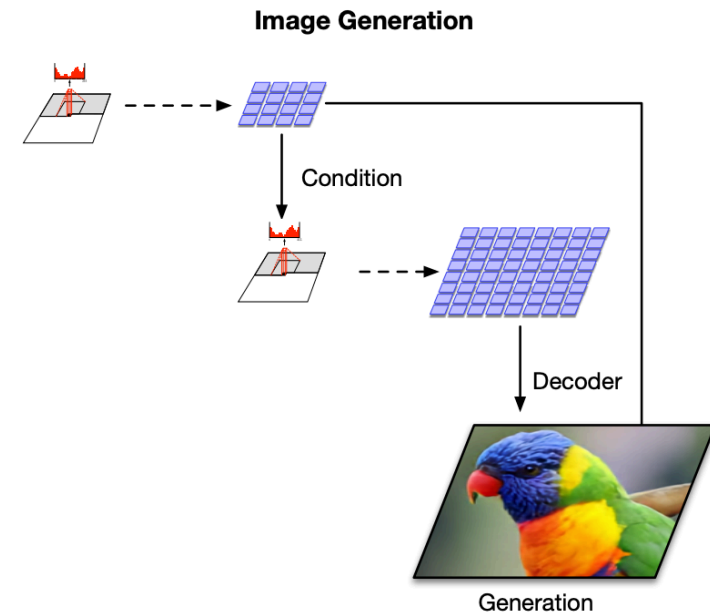
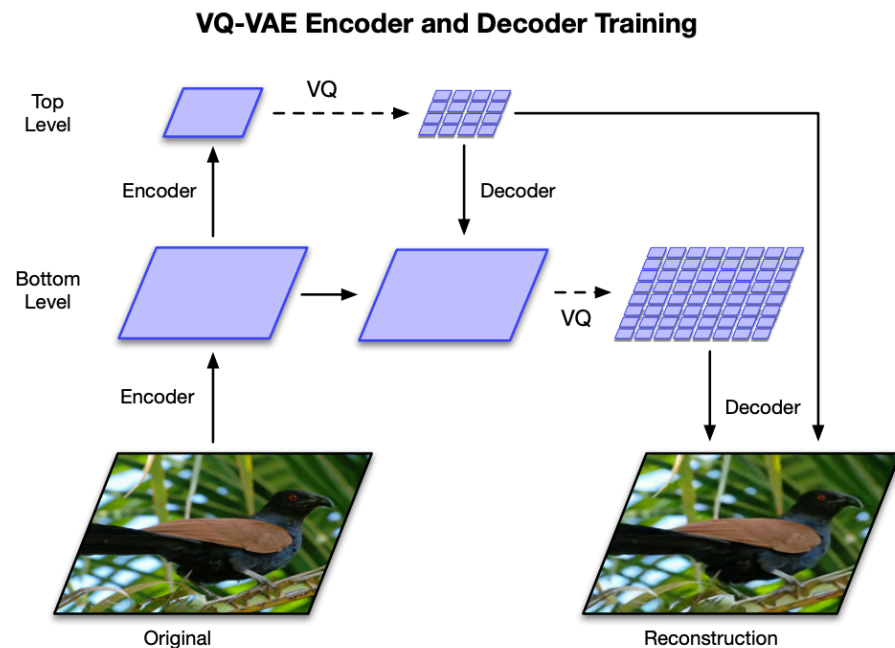
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- Very fast to generate images
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Can we combine them and get the best of both worlds?

# Combining VAE + Autoregressive: Vector-Quantized Variational Autoencoder (VQ-VAE2)

Train a VAE-like model to generate multiscale grids of latent codes

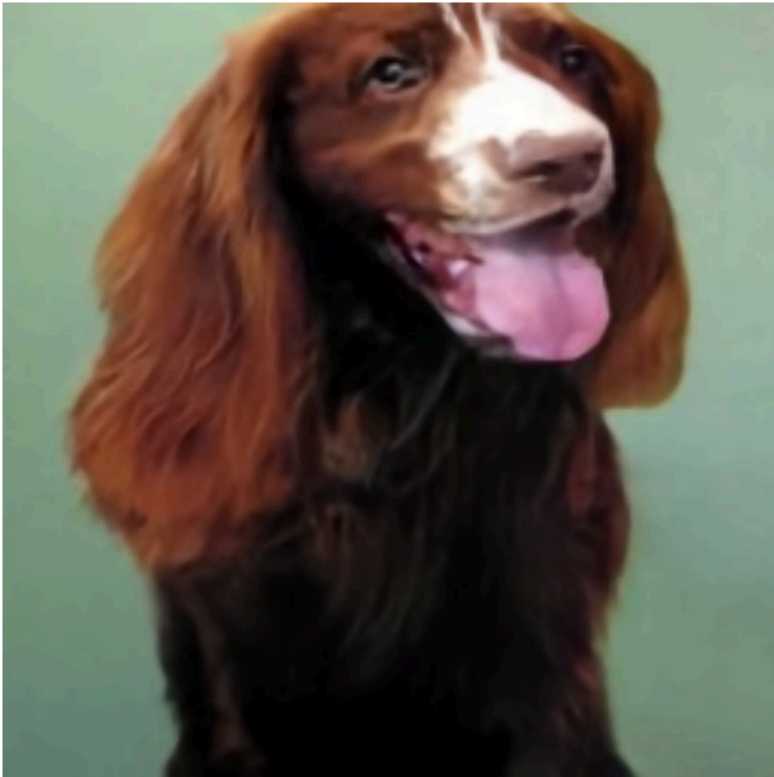
Use a multiscale PixelCNN to sample in latent code space



Razavi et al, "Generating Diverse High-Fidelity Images with VQ-VAE-2", NeurIPS 2019

# Combining VAE + Autoregressive: VQ-VAE2

256 x 256 class-conditional samples, trained on ImageNet



Razavi et al, "Generating Diverse High-Fidelity Images with VQ-VAE-2", NeurIPS 2019



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# Combining VAE + Autoregressive: VQ-VAE2

1024 x 1024 generated faces, trained on FFHQ



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# Generative Models So Far:

**Autoregressive Models** directly maximize likelihood of training data:

$$p_{\theta}(x) = \prod_{i=1}^N p_{\theta}(x_i | x_1, \dots, x_{i-1})$$

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**Generative Adversarial Networks** give up on modeling  $p(x)$ , but allow us to draw samples from  $p(x)$

# Generative Adversarial Networks

**Setup:** Assume we have data  $x_i$  drawn from distribution  $p_{\text{data}}(x)$ . Want to sample from  $p_{\text{data}}$ .

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**Idea:** Introduce a latent variable  $z$  with simple prior  $p(z)$ .

Sample  $z \sim p(z)$  and pass to a **Generator Network**  $x = G(z)$

Then  $x$  is a sample from the **Generator distribution**  $p_G$ . Want  $p_G = p_{\text{data}}$ !

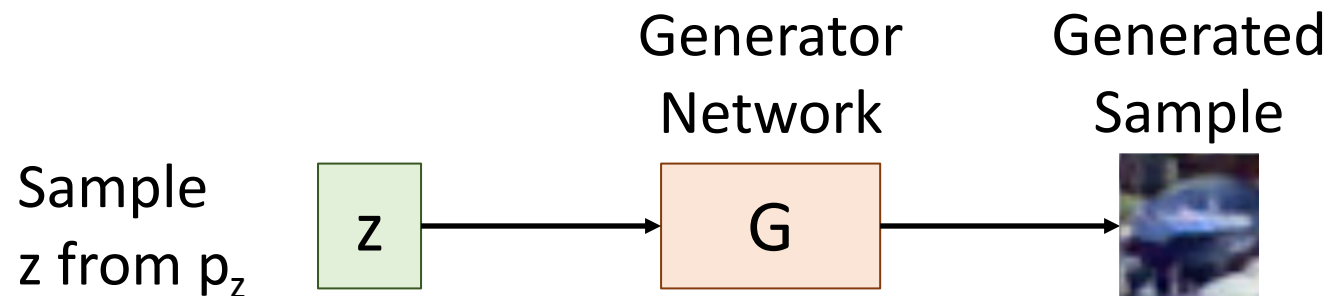
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Train **Generator Network**  $G$  to convert  $z$  into fake data  $x$  sampled from  $p_G$

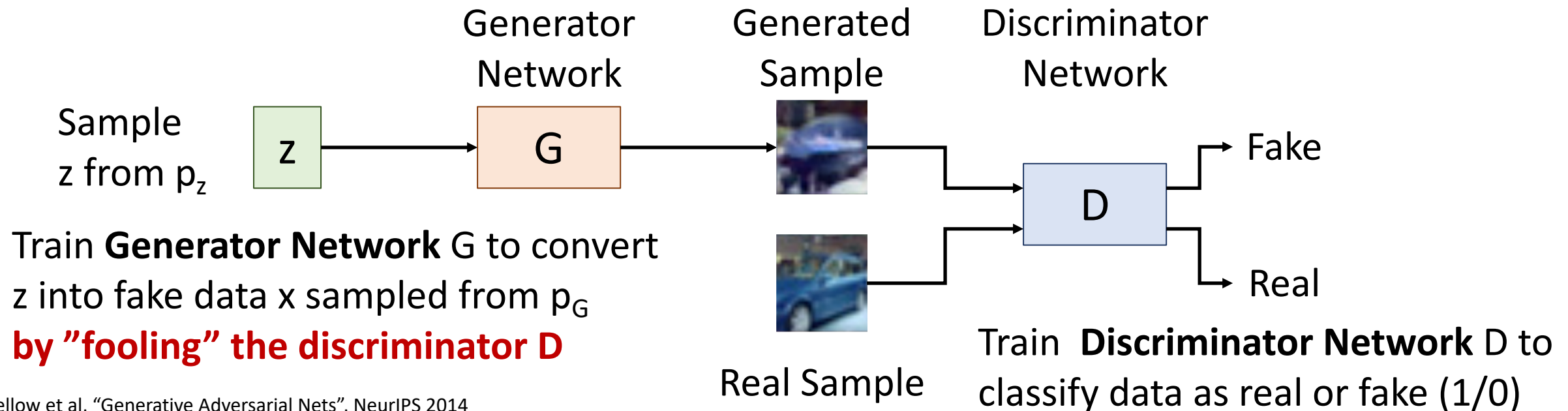
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Goodfellow et al, "Generative Adversarial Nets", NeurIPS 2014



# Generative Adversarial Networks

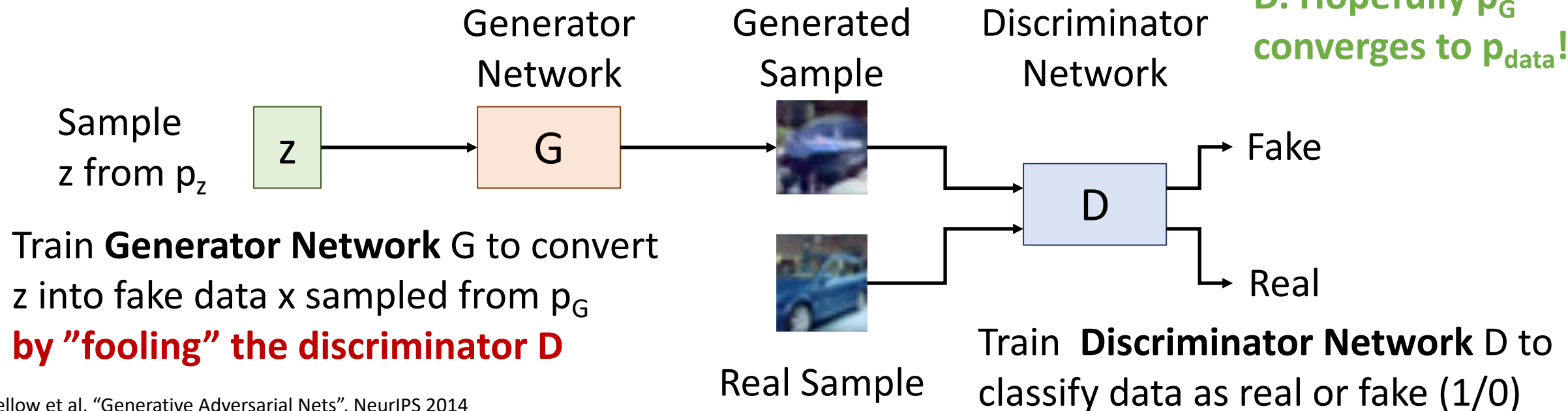
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**Idea:** Introduce a latent variable  $z$  with simple prior  $p(z)$ .

Sample  $z \sim p(z)$  and pass to a **Generator Network**  $x = G(z)$

Then  $x$  is a sample from the **Generator distribution**  $p_G$ . Want  $p_G = p_{\text{data}}$ !

**Jointly train G and D. Hopefully  $p_G$  converges to  $p_{\text{data}}$ !**



Goodfellow et al, "Generative Adversarial Nets", NeurIPS 2014

# Generative Adversarial Networks: Training Objective

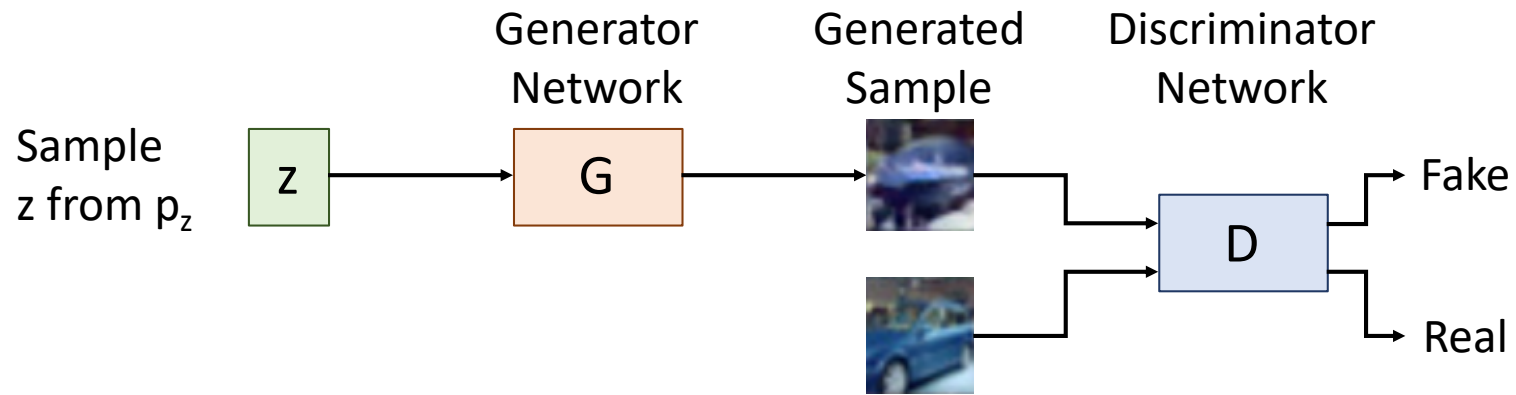
Jointly train generator  $G$  and discriminator  $D$  with a **minimax game**

$$\min_G \max_D \left( E_{x \sim p_{data}} [\log D(x)] + E_{z \sim p(z)} \left[ \log \left( 1 - D(G(z)) \right) \right] \right)$$

# Generative Adversarial Networks: Training Objective

Jointly train generator G and discriminator D with a **minimax game**

$$\min_{\mathbf{G}} \max_{\mathbf{D}} \left( E_{x \sim p_{data}} [\log \mathbf{D}(x)] + E_{\mathbf{z} \sim p(\mathbf{z})} \left[ \log \left( 1 - \mathbf{D}(\mathbf{G}(\mathbf{z})) \right) \right] \right)$$



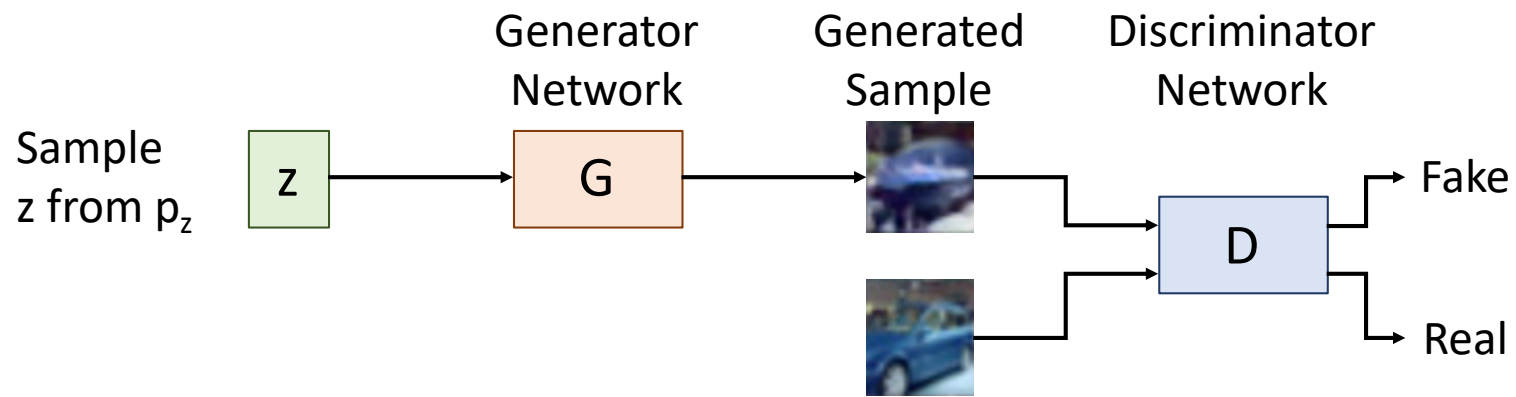
Goodfellow et al, "Generative Adversarial Nets", NeurIPS 2014

# Generative Adversarial Networks: Training Objective

Jointly train generator G and discriminator D with a **minimax game**

Discriminator wants  
 $D(x) = 1$  for real data

$$\min_G \max_D \left( E_{x \sim p_{data}} [\log D(x)] + E_{z \sim p(z)} [\log (1 - D(G(z)))] \right)$$



Goodfellow et al, "Generative Adversarial Nets", NeurIPS 2014

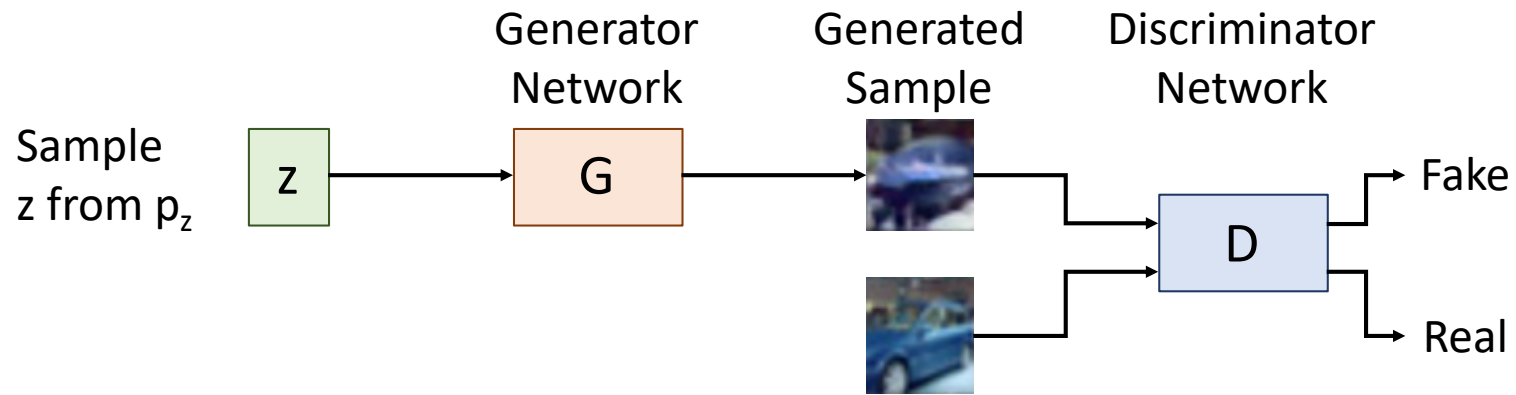
# Generative Adversarial Networks: Training Objective

Jointly train generator G and discriminator D with a **minimax game**

Discriminator wants  
 $D(x) = 1$  for real data

Discriminator wants  
 $D(x) = 0$  for fake data

$$\min_G \max_D \left( E_{x \sim p_{data}} [\log D(x)] + E_{z \sim p(z)} \left[ \log \left( 1 - D(G(z)) \right) \right] \right)$$



Goodfellow et al, "Generative Adversarial Nets", NeurIPS 2014

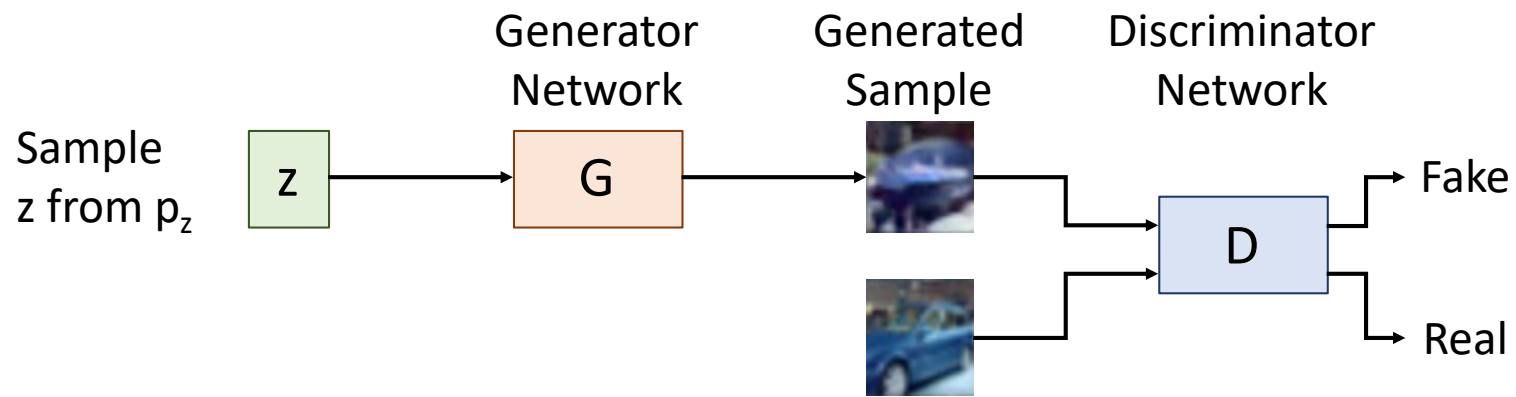
# Generative Adversarial Networks: Training Objective

Jointly train generator G and discriminator D with a **minimax game**

Discriminator wants  
 $D(x) = 1$  for real data

Discriminator wants  
 $D(x) = 0$  for fake data

$$\min_G \max_D \left( E_{x \sim p_{data}} [\log D(x)] + E_{z \sim p(z)} \left[ \log \left( 1 - D(G(z)) \right) \right] \right)$$



Generator wants  
 $D(x) = 1$  for fake data

# Generative Adversarial Networks: Training Objective

Jointly train generator G and discriminator D with a **minimax game**

Train G and D using alternating gradient updates

$$\min_{\textcolor{brown}{G}} \max_{\textcolor{blue}{D}} \left( E_{x \sim p_{data}} [\log \textcolor{blue}{D}(x)] + E_{\textcolor{green}{z} \sim p(\textcolor{green}{z})} \left[ \log \left( 1 - \textcolor{blue}{D}(\textcolor{brown}{G}(\textcolor{green}{z})) \right) \right] \right)$$

# Generative Adversarial Networks: Training Objective

Jointly train generator G and discriminator D with a **minimax game**

Train G and D using alternating gradient updates

$$\min_{\mathbf{G}} \max_{\mathbf{D}} \left( E_{x \sim p_{data}} [\log \mathbf{D}(x)] + E_{\mathbf{z} \sim p(\mathbf{z})} \left[ \log \left( 1 - \mathbf{D}(\mathbf{G}(\mathbf{z})) \right) \right] \right)$$
$$= \min_{\mathbf{G}} \max_{\mathbf{D}} V(\mathbf{G}, \mathbf{D})$$



# Generative Adversarial Networks: Training Objective

Jointly train generator  $G$  and discriminator  $D$  with a **minimax game**

Train  $G$  and  $D$  using alternating gradient updates

$$\min_{\mathbf{G}} \max_{\mathbf{D}} \left( E_{x \sim p_{data}} [\log \mathbf{D}(x)] + E_{\mathbf{z} \sim p(\mathbf{z})} \left[ \log \left( 1 - \mathbf{D}(\mathbf{G}(\mathbf{z})) \right) \right] \right)$$

$$= \min_{\mathbf{G}} \max_{\mathbf{D}} V(\mathbf{G}, \mathbf{D})$$

For  $t$  in  $1, \dots T$ :

1. (Update  $\mathbf{D}$ )  $\mathbf{D} = \mathbf{D} + \alpha_{\mathbf{D}} \frac{\partial V}{\partial \mathbf{D}}$
2. (Update  $\mathbf{G}$ )  $\mathbf{G} = \mathbf{G} - \alpha_{\mathbf{G}} \frac{\partial V}{\partial \mathbf{G}}$

# Generative Adversarial Networks: Training Objective

Jointly train generator  $G$  and discriminator  $D$  with a **minimax game**

Train  $G$  and  $D$  using alternating gradient updates

$$\min_{\mathbf{G}} \max_{\mathbf{D}} \left( E_{x \sim p_{data}} [\log \mathbf{D}(x)] + E_{\mathbf{z} \sim p(\mathbf{z})} \left[ \log \left( 1 - \mathbf{D}(\mathbf{G}(\mathbf{z})) \right) \right] \right)$$

$$= \min_{\mathbf{G}} \max_{\mathbf{D}} V(\mathbf{G}, \mathbf{D})$$

We are not minimizing any overall loss! No training curves to look at!

For  $t$  in  $1, \dots T$ :

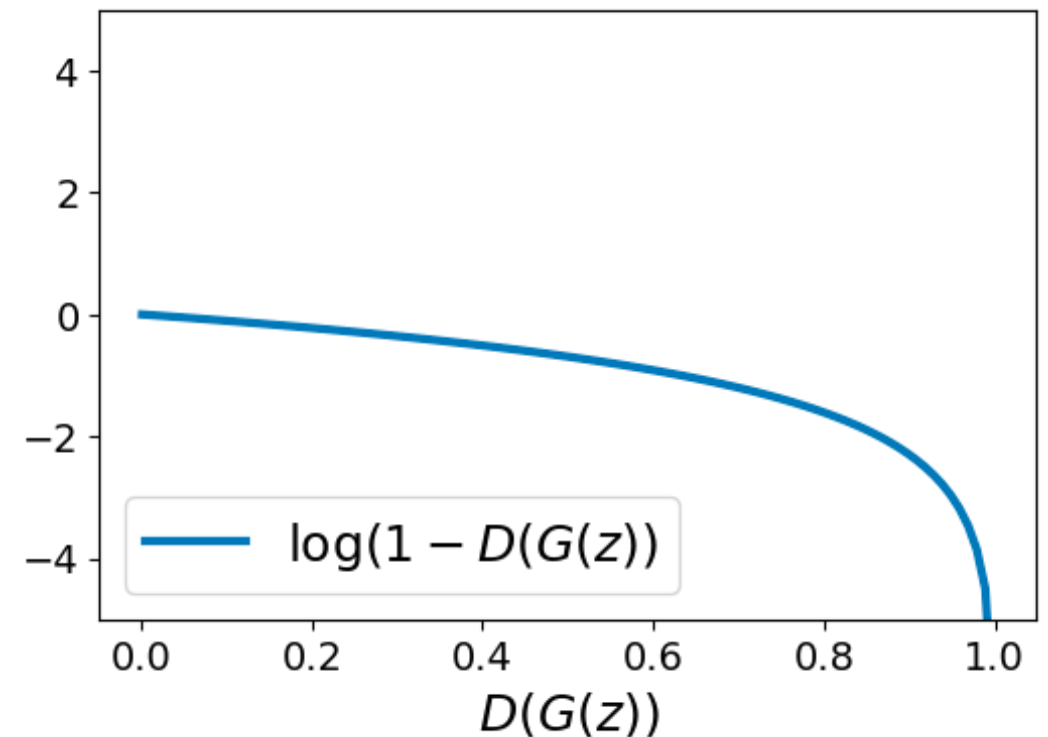
1. (Update  $\mathbf{D}$ )  $\mathbf{D} = \mathbf{D} + \alpha_{\mathbf{D}} \frac{\partial V}{\partial \mathbf{D}}$
2. (Update  $\mathbf{G}$ )  $\mathbf{G} = \mathbf{G} - \alpha_{\mathbf{G}} \frac{\partial V}{\partial \mathbf{G}}$

# Generative Adversarial Networks: Training Objective

Jointly train generator  $G$  and discriminator  $D$  with a **minimax game**

$$\min_G \max_D \left( E_{x \sim p_{data}} [\log D(x)] + E_{z \sim p(z)} \left[ \log \left( 1 - D(G(z)) \right) \right] \right)$$

At start of training, generator is very bad  
and discriminator can easily tell apart  
real/fake, so  $D(G(z))$  close to 0



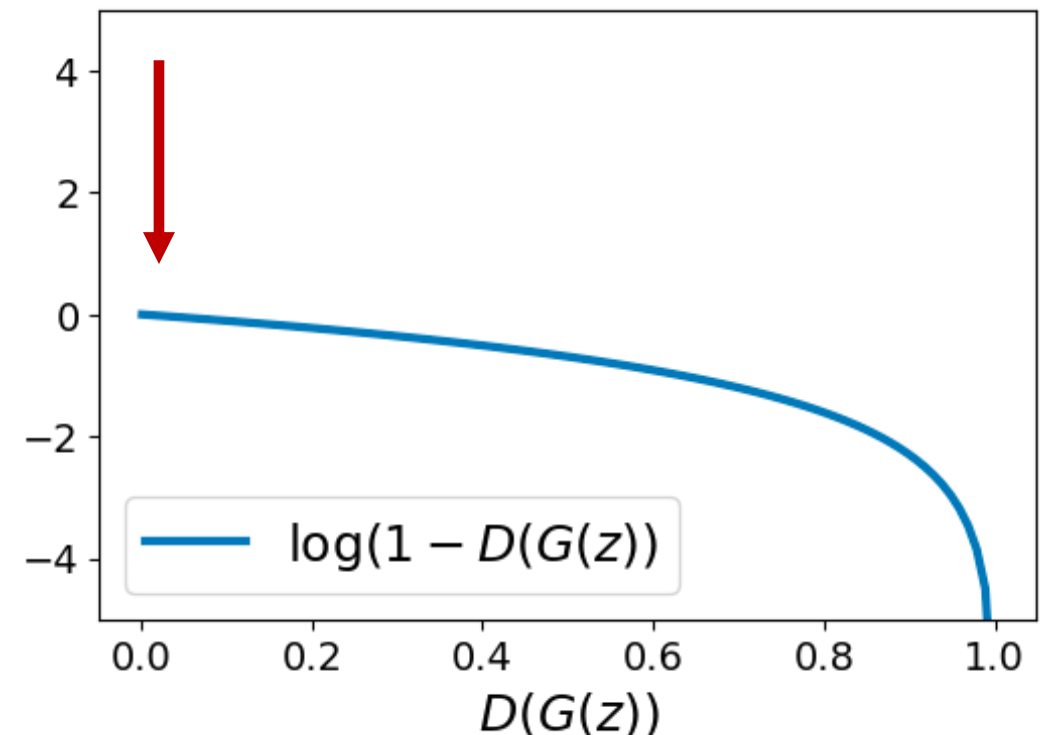
# Generative Adversarial Networks: Training Objective

Jointly train generator  $G$  and discriminator  $D$  with a **minimax game**

$$\min_G \max_D \left( E_{x \sim p_{data}} [\log D(x)] + E_{z \sim p(z)} \left[ \log \left( 1 - D(G(z)) \right) \right] \right)$$

At start of training, generator is very bad and discriminator can easily tell apart real/fake, so  $D(G(z))$  close to 0

**Problem:** Vanishing gradients for  $G$



# Generative Adversarial Networks: Training Objective

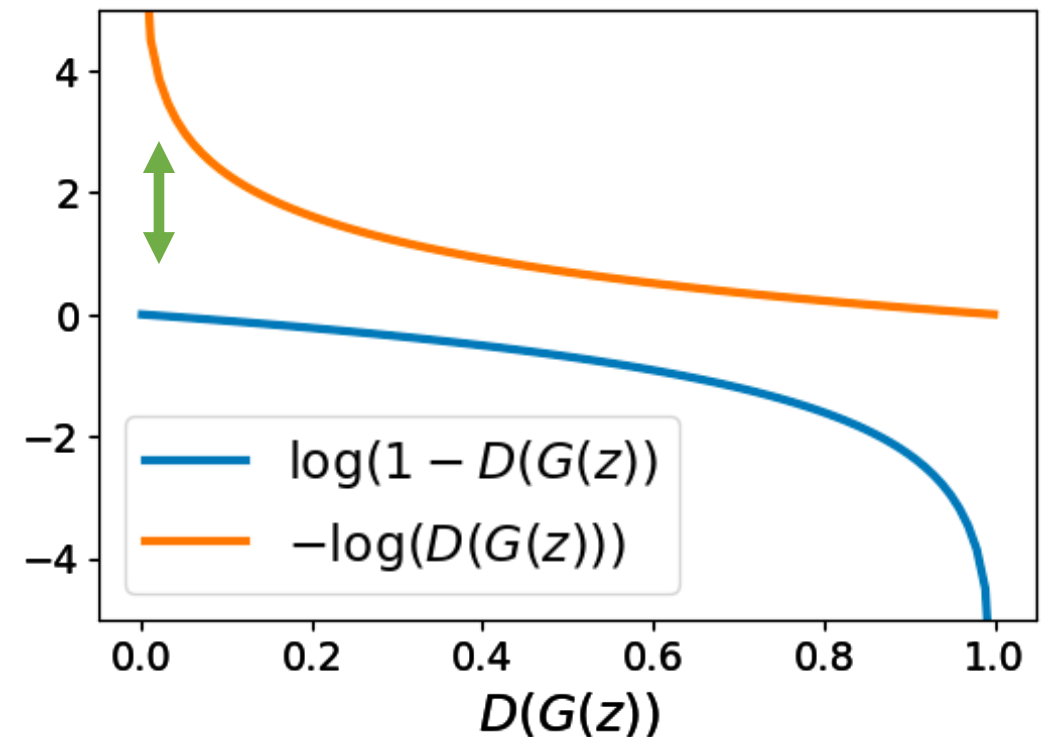
Jointly train generator  $G$  and discriminator  $D$  with a **minimax game**

$$\min_G \max_D \left( E_{x \sim p_{data}} [\log D(x)] + E_{z \sim p(z)} \left[ \log \left( 1 - D(G(z)) \right) \right] \right)$$

At start of training, generator is very bad and discriminator can easily tell apart real/fake, so  $D(G(z))$  close to 0

**Problem:** Vanishing gradients for  $G$

**Solution:** Right now  $G$  is trained to minimize  $\log(1-D(G(z)))$ . Instead, train  $G$  to minimize  $-\log(D(G(z)))$ . Then  $G$  gets strong gradients at start of training!



# Generative Adversarial Networks: Optimality

Jointly train generator G and discriminator D with a **minimax game**

Why is this particular objective a good idea?

$$\min_{\mathbf{G}} \max_{\mathbf{D}} \left( E_{x \sim p_{data}} [\log \mathbf{D}(x)] + E_{\mathbf{z} \sim p(\mathbf{z})} \left[ \log \left( 1 - \mathbf{D}(\mathbf{G}(\mathbf{z})) \right) \right] \right)$$

This minimax game achieves its global minimum when  $p_G = p_{data}$ !

# Generative Adversarial Networks: Optimality

$$\min_{\textcolor{brown}{G}} \max_{\textcolor{blue}{D}} \left( E_{x \sim p_{data}} [\log \textcolor{blue}{D}(x)] + E_{z \sim p(z)} \left[ \log \left( 1 - \textcolor{blue}{D}(\textcolor{brown}{G}(\textcolor{green}{z})) \right) \right] \right)$$

(Our objective so far)

# Generative Adversarial Networks: Optimality

$$\min_{\textcolor{brown}{G}} \max_{\textcolor{blue}{D}} \left( E_{x \sim p_{data}} [\log \textcolor{blue}{D}(x)] + E_{z \sim p(z)} \left[ \log \left( 1 - \textcolor{blue}{D}(\textcolor{brown}{G}(z)) \right) \right] \right)$$

$$= \min_{\textcolor{brown}{G}} \max_{\textcolor{blue}{D}} \left( E_{x \sim p_{data}} [\log \textcolor{blue}{D}(x)] + E_{x \sim p_{\textcolor{brown}{G}}} [\log(1 - \textcolor{blue}{D}(x))] \right)$$

(Change of variables on second term)



# Generative Adversarial Networks: Optimality

$$\begin{aligned} & \min_{\textcolor{brown}{G}} \max_{\textcolor{blue}{D}} \left( E_{x \sim p_{data}} [\log \textcolor{blue}{D}(x)] + E_{z \sim p(z)} \left[ \log \left( 1 - \textcolor{blue}{D}(\textcolor{brown}{G}(\textcolor{green}{z})) \right) \right] \right) \\ &= \min_{\textcolor{brown}{G}} \max_{\textcolor{blue}{D}} \left( E_{x \sim p_{data}} [\log \textcolor{blue}{D}(x)] + E_{x \sim p_{\textcolor{brown}{G}}} [\log(1 - \textcolor{blue}{D}(x))] \right) \\ &= \min_{\textcolor{brown}{G}} \max_{\textcolor{blue}{D}} \int_X (p_{data}(x) \log \textcolor{blue}{D}(x) + p_{\textcolor{brown}{G}}(x) \log(1 - \textcolor{blue}{D}(x))) dx \end{aligned}$$

(Definition of expectation)

# Generative Adversarial Networks: Optimality

$$\begin{aligned} & \min_{\textcolor{brown}{G}} \max_{\textcolor{blue}{D}} \left( E_{x \sim p_{data}} [\log \textcolor{blue}{D}(x)] + E_{z \sim p(z)} \left[ \log \left( 1 - \textcolor{blue}{D}(\textcolor{brown}{G}(\textcolor{green}{z})) \right) \right] \right) \\ &= \min_{\textcolor{brown}{G}} \max_{\textcolor{blue}{D}} \left( E_{x \sim p_{data}} [\log \textcolor{blue}{D}(x)] + E_{x \sim p_{\textcolor{brown}{G}}} [\log(1 - \textcolor{blue}{D}(x))] \right) \\ &= \min_{\textcolor{brown}{G}} \int_X \max_{\textcolor{blue}{D}} (p_{data}(x) \log \textcolor{blue}{D}(x) + p_{\textcolor{brown}{G}}(x) \log(1 - \textcolor{blue}{D}(x))) dx \end{aligned}$$

(Push  $\max_D$  inside integral)

# Generative Adversarial Networks: Optimality

$$\min_{\textcolor{brown}{G}} \max_{\textcolor{blue}{D}} \left( E_{x \sim p_{data}} [\log \textcolor{blue}{D}(x)] + E_{z \sim p(z)} \left[ \log \left( 1 - \textcolor{blue}{D}(\textcolor{brown}{G}(\textcolor{green}{z})) \right) \right] \right)$$

$$= \min_{\textcolor{brown}{G}} \max_{\textcolor{blue}{D}} \left( E_{x \sim p_{data}} [\log \textcolor{blue}{D}(x)] + E_{x \sim p_{\textcolor{brown}{G}}} [\log(1 - \textcolor{blue}{D}(x))] \right)$$

$$= \min_{\textcolor{brown}{G}} \int_X \max_{\textcolor{blue}{D}} (\textcolor{red}{p}_{data}(\textcolor{red}{x}) \log \textcolor{purple}{D}(\textcolor{red}{x}) + \textcolor{yellow}{p}_G(\textcolor{red}{x}) \log(1 - \textcolor{purple}{D}(\textcolor{red}{x}))) dx$$

$$f(y) = \textcolor{red}{a} \log \textcolor{purple}{y} + \textcolor{yellow}{b} \log(1 - \textcolor{purple}{y})$$

(Side computation to compute max)

# Generative Adversarial Networks: Optimality

$$\min_G \max_D \left( E_{x \sim p_{data}} [\log D(x)] + E_{z \sim p(z)} \left[ \log \left( 1 - D(G(z)) \right) \right] \right)$$

$$= \min_G \max_D \left( E_{x \sim p_{data}} [\log D(x)] + E_{x \sim p_G} [\log(1 - D(x))] \right)$$

$$= \min_G \int_X \max_D \left( p_{data}(x) \log D(x) + p_G(x) \log(1 - D(x)) \right) dx$$

$$f(y) = a \log y + b \log(1 - y)$$

$$f'(y) = \frac{a}{y} - \frac{b}{1 - y}$$

# Generative Adversarial Networks: Optimality

$$\min_G \max_D \left( E_{x \sim p_{data}} [\log D(x)] + E_{z \sim p(z)} \left[ \log \left( 1 - D(G(z)) \right) \right] \right)$$

$$= \min_G \max_D \left( E_{x \sim p_{data}} [\log D(x)] + E_{x \sim p_G} [\log(1 - D(x))] \right)$$

$$= \min_G \int_X \max_D \left( p_{data}(x) \log D(x) + p_G(x) \log(1 - D(x)) \right) dx$$

$$f(y) = a \log y + b \log(1 - y) \quad f'(y) = 0 \iff y = \frac{a}{a+b} \text{ (local max)}$$

$$f'(y) = \frac{a}{y} - \frac{b}{1-y}$$

# Generative Adversarial Networks: Optimality

$$\min_G \max_D \left( E_{x \sim p_{data}} [\log D(x)] + E_{z \sim p(z)} \left[ \log \left( 1 - D(G(z)) \right) \right] \right)$$

$$= \min_G \max_D \left( E_{x \sim p_{data}} [\log D(x)] + E_{x \sim p_G} [\log(1 - D(x))] \right)$$

$$= \min_G \int_X \max_D \left( p_{data}(x) \log D(x) + p_G(x) \log(1 - D(x)) \right) dx$$

$$f(y) = a \log y + b \log(1 - y) \quad f'(y) = 0 \iff y = \frac{a}{a+b} \text{ (local max)}$$

$$f'(y) = \frac{a}{y} - \frac{b}{1-y} \quad \text{Optimal Discriminator: } D_G^*(x) = \frac{p_{data}(x)}{p_{data}(x) + p_G(x)}$$

# Generative Adversarial Networks: Optimality

$$\begin{aligned} & \min_{\mathbf{G}} \max_{\mathbf{D}} \left( E_{x \sim p_{data}} [\log \mathbf{D}(x)] + E_{z \sim p(z)} \left[ \log \left( 1 - \mathbf{D}(\mathbf{G}(z)) \right) \right] \right) \\ &= \min_{\mathbf{G}} \max_{\mathbf{D}} \left( E_{x \sim p_{data}} [\log \mathbf{D}(x)] + E_{x \sim p_{\mathbf{G}}} [\log(1 - \mathbf{D}(x))] \right) \\ &= \min_{\mathbf{G}} \int_X \max_{\mathbf{D}} \left( p_{data}(x) \log \mathbf{D}(x) + p_{\mathbf{G}}(x) \log(1 - \mathbf{D}(x)) \right) dx \end{aligned}$$

**Optimal Discriminator:**  $\mathbf{D}_{\mathbf{G}}^*(x) = \frac{p_{data}(x)}{p_{data}(x) + p_{\mathbf{G}}(x)}$

# Generative Adversarial Networks: Optimality

$$\begin{aligned} \min_G \max_D & \left( E_{x \sim p_{data}} [\log D(x)] + E_{z \sim p(z)} [\log (1 - D(G(z)))] \right) \\ &= \min_G \max_D \left( E_{x \sim p_{data}} [\log D(x)] + E_{x \sim p_G} [\log (1 - D(x))] \right) \\ &= \min_G \int_X \left( p_{data}(x) \log D_G^*(x) + p_G(x) \log (1 - D_G^*(x)) \right) dx \end{aligned}$$

**Optimal Discriminator:**  $D_G^*(x) = \frac{p_{data}(x)}{p_{data}(x) + p_G(x)}$



# Generative Adversarial Networks: Optimality

$$\begin{aligned} & \min_{\mathbf{G}} \max_{\mathbf{D}} \left( E_{x \sim p_{data}} [\log \mathbf{D}(x)] + E_{z \sim p(z)} \left[ \log \left( 1 - \mathbf{D}(\mathbf{G}(z)) \right) \right] \right) \\ &= \min_{\mathbf{G}} \max_{\mathbf{D}} \left( E_{x \sim p_{data}} [\log \mathbf{D}(x)] + E_{x \sim p_{\mathbf{G}}} [\log(1 - \mathbf{D}(x))] \right) \\ &= \min_{\mathbf{G}} \int_X \left( p_{data}(x) \log \mathbf{D}_{\mathbf{G}}^*(x) + p_{\mathbf{G}}(x) \log(1 - \mathbf{D}_{\mathbf{G}}^*(x)) \right) dx \\ &= \min_{\mathbf{G}} \int_X \left( p_{data}(x) \log \frac{p_{data}(x)}{p_{data}(x) + p_{\mathbf{G}}(x)} + p_{\mathbf{G}}(x) \log \frac{p_{\mathbf{G}}(x)}{p_{data}(x) + p_{\mathbf{G}}(x)} \right) dx \\ & \quad \text{Optimal Discriminator: } \mathbf{D}_{\mathbf{G}}^*(x) = \frac{p_{data}(x)}{p_{data}(x) + p_{\mathbf{G}}(x)} \end{aligned}$$

# Generative Adversarial Networks: Optimality

$$\min_{\textcolor{brown}{G}} \max_{\textcolor{blue}{D}} \left( E_{x \sim p_{data}} [\log \textcolor{blue}{D}(x)] + E_{z \sim p(z)} \left[ \log \left( 1 - \textcolor{blue}{D}(\textcolor{brown}{G}(\textcolor{green}{z})) \right) \right] \right)$$
$$= \min_{\textcolor{brown}{G}} \int_X \left( p_{data}(x) \log \frac{p_{data}(x)}{p_{data}(x) + p_{\textcolor{brown}{G}}(x)} + p_{\textcolor{brown}{G}}(x) \log \frac{p_{\textcolor{brown}{G}}(x)}{p_{data}(x) + p_{\textcolor{brown}{G}}(x)} \right) dx$$

# Generative Adversarial Networks: Optimality

$$\begin{aligned} & \min_G \max_D \left( E_{x \sim p_{data}} [\log D(x)] + E_{z \sim p(z)} \left[ \log \left( 1 - D(G(z)) \right) \right] \right) \\ &= \min_G \int_X \left( p_{data}(x) \log \frac{p_{data}(x)}{p_{data}(x) + p_G(x)} + p_G(x) \log \frac{p_G(x)}{p_{data}(x) + p_G(x)} \right) dx \\ &= \min_G \left( E_{x \sim p_{data}} \left[ \log \frac{p_{data}(x)}{p_{data}(x) + p_G(x)} \right] + E_{x \sim p_G} \left[ \log \frac{p_G(x)}{p_{data}(x) + p_G(x)} \right] \right) \end{aligned}$$

(Definition of expectation)

# Generative Adversarial Networks: Optimality

$$\begin{aligned} & \min_G \max_D \left( E_{x \sim p_{data}} [\log D(x)] + E_{z \sim p(z)} \left[ \log \left( 1 - D(G(z)) \right) \right] \right) \\ &= \min_G \int_X \left( p_{data}(x) \log \frac{p_{data}(x)}{p_{data}(x) + p_G(x)} + p_G(x) \log \frac{p_G(x)}{p_{data}(x) + p_G(x)} \right) dx \\ &= \min_G \left( E_{x \sim p_{data}} \left[ \log \frac{2}{2} \frac{p_{data}(x)}{p_{data}(x) + p_G(x)} \right] + E_{x \sim p_G} \left[ \log \frac{2}{2} \frac{p_G(x)}{p_{data}(x) + p_G(x)} \right] \right) \end{aligned}$$

(Multiply by a constant)

# Generative Adversarial Networks: Optimality

$$\begin{aligned} & \min_G \max_D \left( E_{x \sim p_{data}} [\log D(x)] + E_{z \sim p(z)} \left[ \log \left( 1 - D(G(z)) \right) \right] \right) \\ &= \min_G \int_X \left( p_{data}(x) \log \frac{p_{data}(x)}{p_{data}(x) + p_G(x)} + p_G(x) \log \frac{p_G(x)}{p_{data}(x) + p_G(x)} \right) dx \\ &= \min_G \left( E_{x \sim p_{data}} \left[ \log \frac{2}{2} \frac{p_{data}(x)}{p_{data}(x) + p_G(x)} \right] + E_{x \sim p_G} \left[ \log \frac{2}{2} \frac{p_G(x)}{p_{data}(x) + p_G(x)} \right] \right) \\ &= \min_G \left( E_{x \sim p_{data}} \left[ \log \frac{2 * p_{data}(x)}{p_{data}(x) + p_G(x)} \right] + E_{x \sim p_G} \left[ \log \frac{2 * p_G(x)}{p_{data}(x) + p_G(x)} \right] - \log 4 \right) \end{aligned}$$

# Generative Adversarial Networks: Optimality

$$\min_{\textcolor{brown}{G}} \max_{\textcolor{blue}{D}} \left( E_{x \sim p_{data}} [\log \textcolor{blue}{D}(x)] + E_{z \sim p(z)} \left[ \log \left( 1 - \textcolor{blue}{D}(\textcolor{brown}{G}(z)) \right) \right] \right)$$

$$= \min_{\textcolor{brown}{G}} \left( E_{x \sim p_{data}} \left[ \log \frac{\textcolor{violet}{2} * p_{data}(x)}{p_{data}(x) + p_{\textcolor{brown}{G}}(x)} \right] + E_{x \sim p_{\textcolor{brown}{G}}} \left[ \log \frac{\textcolor{violet}{2} * p_{\textcolor{brown}{G}}(x)}{p_{data}(x) + p_{\textcolor{brown}{G}}(x)} \right] - \log 4 \right)$$

# Generative Adversarial Networks: Optimality

$$\min_G \max_D \left( E_{x \sim p_{data}} [\log D(x)] + E_{z \sim p(z)} \left[ \log \left( 1 - D(G(z)) \right) \right] \right)$$
$$= \min_G \left( E_{x \sim p_{data}} \left[ \log \frac{2 * p_{data}(x)}{p_{data}(x) + p_G(x)} \right] + E_{x \sim p_G} \left[ \log \frac{2 * p_G(x)}{p_{data}(x) + p_G(x)} \right] - \log 4 \right)$$

**Kullback-Leibler Divergence:**

$$KL(p, q) = E_{x \sim p} \left[ \log \frac{p(x)}{q(x)} \right]$$

# Generative Adversarial Networks: Optimality

$$\min_G \max_D \left( E_{x \sim p_{data}} [\log D(x)] + E_{z \sim p(z)} \left[ \log \left( 1 - D(G(z)) \right) \right] \right)$$

$$= \min_G \left( E_{x \sim p_{data}} \left[ \log \frac{2 * p_{data}(x)}{p_{data}(x) + p_G(x)} \right] + E_{x \sim p_G} \left[ \log \frac{2 * p_G(x)}{p_{data}(x) + p_G(x)} \right] - \log 4 \right)$$

**Kullback-Leibler Divergence:**

$$KL(p, q) = E_{x \sim p} \left[ \log \frac{p(x)}{q(x)} \right]$$



# Generative Adversarial Networks: Optimality

$$\begin{aligned} & \min_G \max_D \left( E_{x \sim p_{data}} [\log D(x)] + E_{z \sim p(z)} \left[ \log \left( 1 - D(G(z)) \right) \right] \right) \\ &= \min_G \left( E_{x \sim p_{data}} \left[ \log \frac{2 * p_{data}(x)}{p_{data}(x) + p_G(x)} \right] + E_{x \sim p_G} \left[ \log \frac{2 * p_G(x)}{p_{data}(x) + p_G(x)} \right] - \log 4 \right) \\ &= \min_G \left( KL \left( p_{data}, \frac{p_{data} + p_G}{2} \right) + KL \left( p_G, \frac{p_{data} + p_G}{2} \right) - \log 4 \right) \end{aligned}$$

**Kullback-Leibler Divergence:**

$$KL(p, q) = E_{x \sim p} \left[ \log \frac{p(x)}{q(x)} \right]$$

# Generative Adversarial Networks: Optimality

$$\begin{aligned} & \min_G \max_D \left( E_{x \sim p_{data}} [\log D(x)] + E_{z \sim p(z)} \left[ \log \left( 1 - D(G(z)) \right) \right] \right) \\ &= \min_G \left( E_{x \sim p_{data}} \left[ \log \frac{2 * p_{data}(x)}{p_{data}(x) + p_G(x)} \right] + E_{x \sim p_G} \left[ \log \frac{2 * p_G(x)}{p_{data}(x) + p_G(x)} \right] - \log 4 \right) \\ &= \min_G \left( KL \left( p_{data}, \frac{p_{data} + p_G}{2} \right) + KL \left( p_G, \frac{p_{data} + p_G}{2} \right) - \log 4 \right) \end{aligned}$$

# Generative Adversarial Networks: Optimality

$$\begin{aligned} & \min_G \max_D \left( E_{x \sim p_{data}} [\log D(x)] + E_{z \sim p(z)} \left[ \log \left( 1 - D(G(z)) \right) \right] \right) \\ &= \min_G \left( E_{x \sim p_{data}} \left[ \log \frac{2 * p_{data}(x)}{p_{data}(x) + p_G(x)} \right] + E_{x \sim p_G} \left[ \log \frac{2 * p_G(x)}{p_{data}(x) + p_G(x)} \right] - \log 4 \right) \\ &= \min_G \left( KL \left( p_{data}, \frac{p_{data} + p_G}{2} \right) + KL \left( p_G, \frac{p_{data} + p_G}{2} \right) - \log 4 \right) \end{aligned}$$

**Jensen-Shannon Divergence:**

$$JSD(p, q) = \frac{1}{2} KL \left( p, \frac{p + q}{2} \right) + \frac{1}{2} KL \left( q, \frac{p + q}{2} \right)$$

# Generative Adversarial Networks: Optimality

$$\begin{aligned} & \min_G \max_D \left( E_{x \sim p_{data}} [\log D(x)] + E_{z \sim p(z)} \left[ \log \left( 1 - D(G(z)) \right) \right] \right) \\ &= \min_G \left( E_{x \sim p_{data}} \left[ \log \frac{2 * p_{data}(x)}{p_{data}(x) + p_G(x)} \right] + E_{x \sim p_G} \left[ \log \frac{2 * p_G(x)}{p_{data}(x) + p_G(x)} \right] - \log 4 \right) \\ &= \min_G \left( KL \left( p_{data}, \frac{p_{data} + p_G}{2} \right) + KL \left( p_G, \frac{p_{data} + p_G}{2} \right) - \log 4 \right) \end{aligned}$$

**Jensen-Shannon Divergence:**

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$$\begin{aligned} & \min_G \max_D \left( E_{x \sim p_{data}} [\log D(x)] + E_{z \sim p(z)} \left[ \log \left( 1 - D(G(z)) \right) \right] \right) \\ &= \min_G \left( E_{x \sim p_{data}} \left[ \log \frac{2 * p_{data}(x)}{p_{data}(x) + p_G(x)} \right] + E_{x \sim p_G} \left[ \log \frac{2 * p_G(x)}{p_{data}(x) + p_G(x)} \right] - \log 4 \right) \\ &= \min_G \left( KL \left( p_{data}, \frac{p_{data} + p_G}{2} \right) + KL \left( p_G, \frac{p_{data} + p_G}{2} \right) - \log 4 \right) \\ &= \min_G (2 * JSD(p_{data}, p_G) - \log 4) \end{aligned}$$

**Jensen-Shannon Divergence:**

$$JSD(p, q) = \frac{1}{2} KL \left( p, \frac{p + q}{2} \right) + \frac{1}{2} KL \left( q, \frac{p + q}{2} \right)$$

# Generative Adversarial Networks: Optimality

$$\begin{aligned} & \min_G \max_D \left( E_{x \sim p_{data}} [\log D(x)] + E_{z \sim p(z)} \left[ \log \left( 1 - D(G(z)) \right) \right] \right) \\ &= \min_G \left( E_{x \sim p_{data}} \left[ \log \frac{2 * p_{data}(x)}{p_{data}(x) + p_G(x)} \right] + E_{x \sim p_G} \left[ \log \frac{2 * p_G(x)}{p_{data}(x) + p_G(x)} \right] - \log 4 \right) \\ &= \min_G \left( KL \left( p_{data}, \frac{p_{data} + p_G}{2} \right) + KL \left( p_G, \frac{p_{data} + p_G}{2} \right) - \log 4 \right) \\ &= \min_G (2 * JSD(p_{data}, p_G) - \log 4) \end{aligned}$$

JSD is always nonnegative, and zero only when the two distributions are equal!

Thus  $p_{data} = p_G$  is the global min, QED

**Jensen-Shannon Divergence:**

$$JSD(p, q) = \frac{1}{2} KL \left( p, \frac{p + q}{2} \right) + \frac{1}{2} KL \left( q, \frac{p + q}{2} \right)$$

# Generative Adversarial Networks: Optimality

$$\min_{\textcolor{brown}{G}} \max_{\textcolor{blue}{D}} \left( E_{x \sim p_{data}} [\log \textcolor{blue}{D}(x)] + E_{z \sim p(z)} \left[ \log \left( 1 - \textcolor{blue}{D}(\textcolor{brown}{G}(\textcolor{green}{z})) \right) \right] \right) \\ = \min_{\textcolor{brown}{G}} (2 * JSD(p_{data}, p_{\textcolor{brown}{G}}) - \log 4)$$

# Generative Adversarial Networks: Optimality

$$\min_G \max_D \left( E_{x \sim p_{data}} [\log D(x)] + E_{z \sim p(z)} \left[ \log \left( 1 - D(G(z)) \right) \right] \right) \\ = \min_G (2 * JSD(p_{data}, p_G) - \log 4)$$

**Summary:** The global minimum of the minimax game happens when:

1.  $D_G^*(x) = \frac{p_{data}(x)}{p_{data}(x) + p_G(x)}$  (Optimal discriminator for any G)
2.  $p_G(x) = p_{data}(x)$  (Optimal generator for optimal D)



# Generative Adversarial Networks: Optimality

$$\min_G \max_D \left( E_{x \sim p_{data}} [\log D(x)] + E_{z \sim p(z)} \left[ \log \left( 1 - D(G(z)) \right) \right] \right) \\ = \min_G (2 * JSD(p_{data}, p_G) - \log 4)$$

**Summary:** The global minimum of the minimax game happens when:

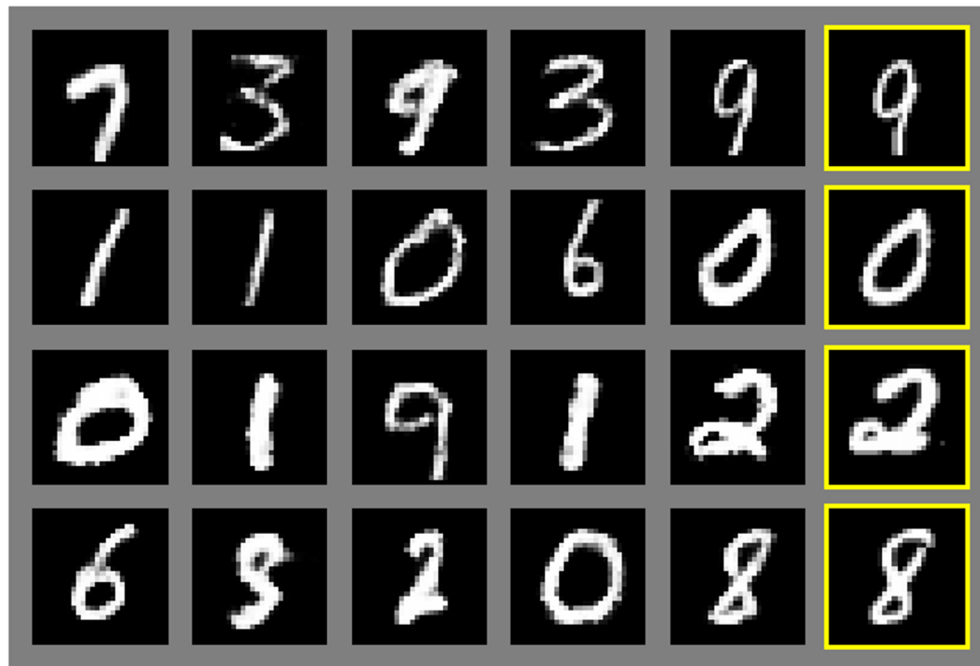
1.  $D_G^*(x) = \frac{p_{data}(x)}{p_{data}(x) + p_G(x)}$  (Optimal discriminator for any G)
2.  $p_G(x) = p_{data}(x)$  (Optimal generator for optimal D)

## Caveats:

1. G and D are neural nets with fixed architecture. We don't know whether they can actually represent the optimal D and G.
2. This tells us nothing about convergence to the optimal solution

# Generative Adversarial Networks: Results

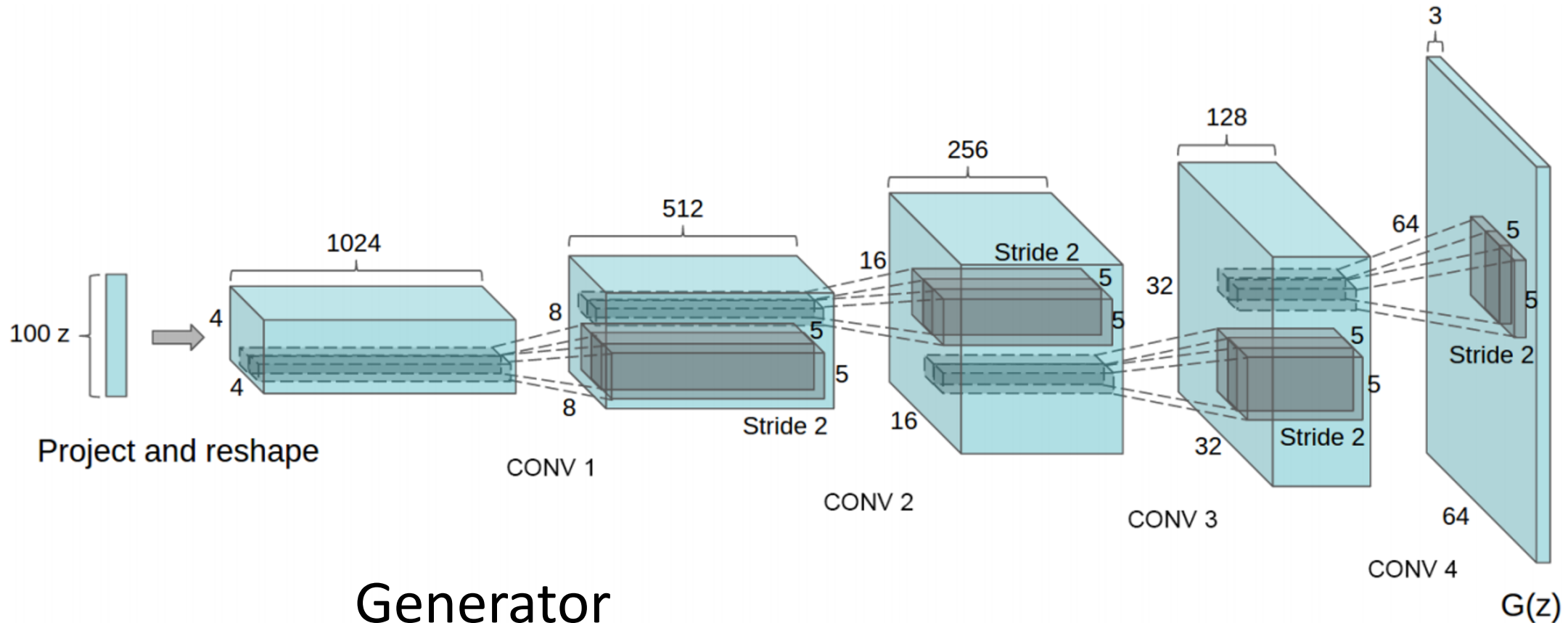
Generated samples



Nearest neighbor from training set

Goodfellow et al, "Generative Adversarial Nets", NeurIPS 2014

# Generative Adversarial Networks: DC-GAN



Generator

Radford et al, "Unsupervised Representation Learning with Deep Convolutional Generative Adversarial Networks", ICLR 2016



# Generative Adversarial Networks: DC-GAN

Samples from the model look much better!



Radford et al,  
ICLR 2016



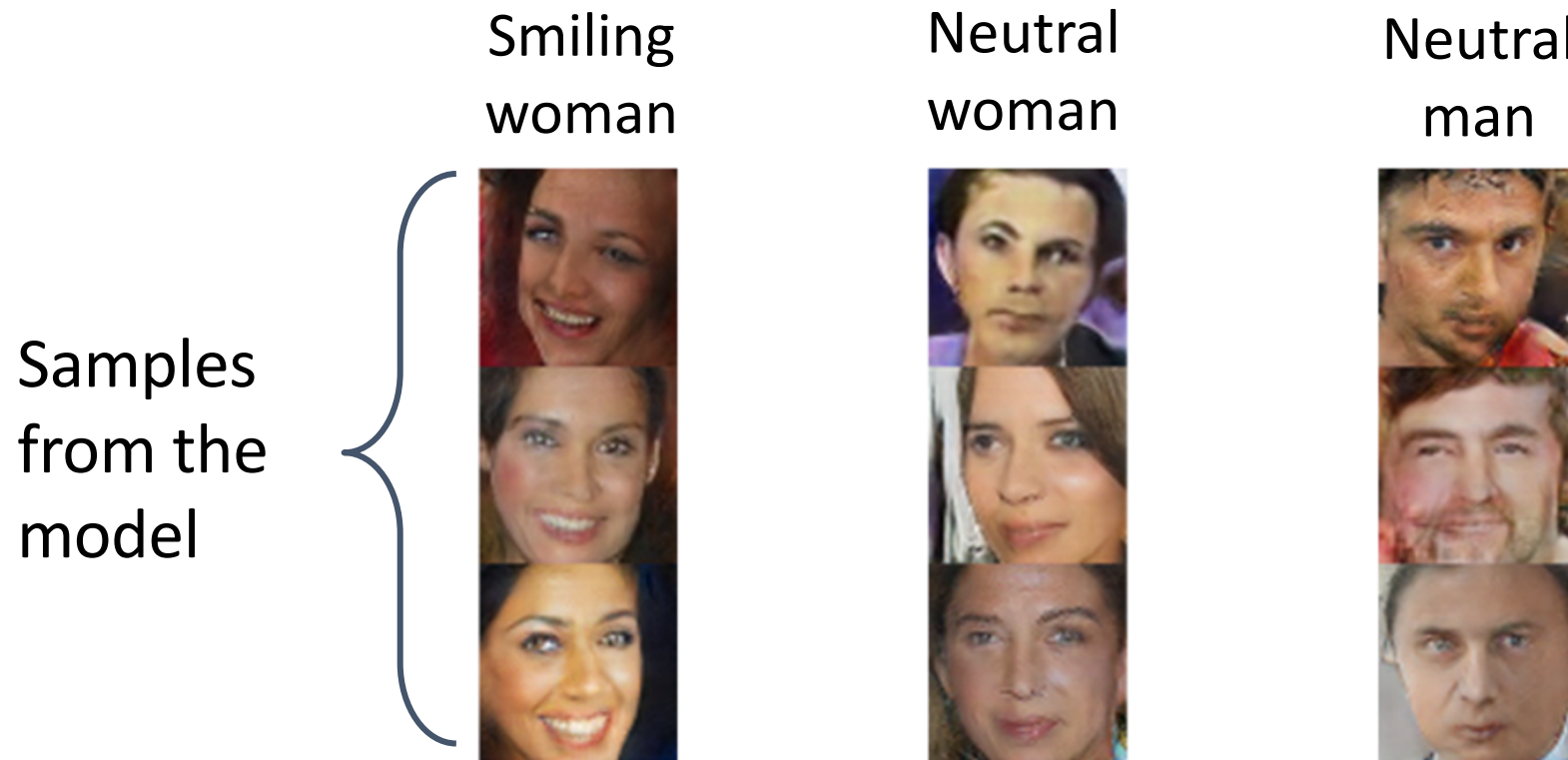
# Generative Adversarial Networks: Interpolation

Interpolating  
between  
points in  
latent  $z$   
space

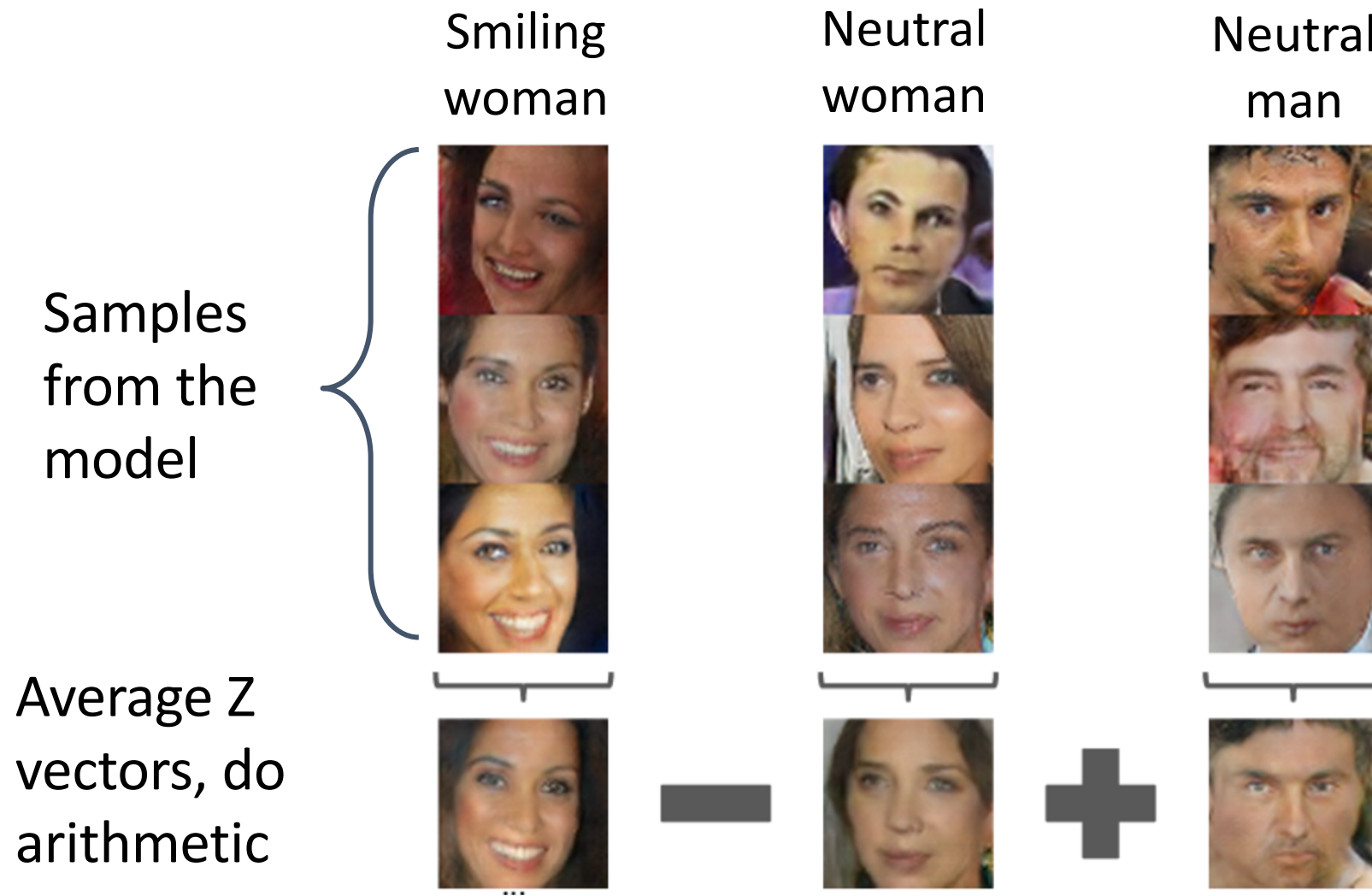


Radford et al,  
ICLR 2016

# Generative Adversarial Networks: Vector Math



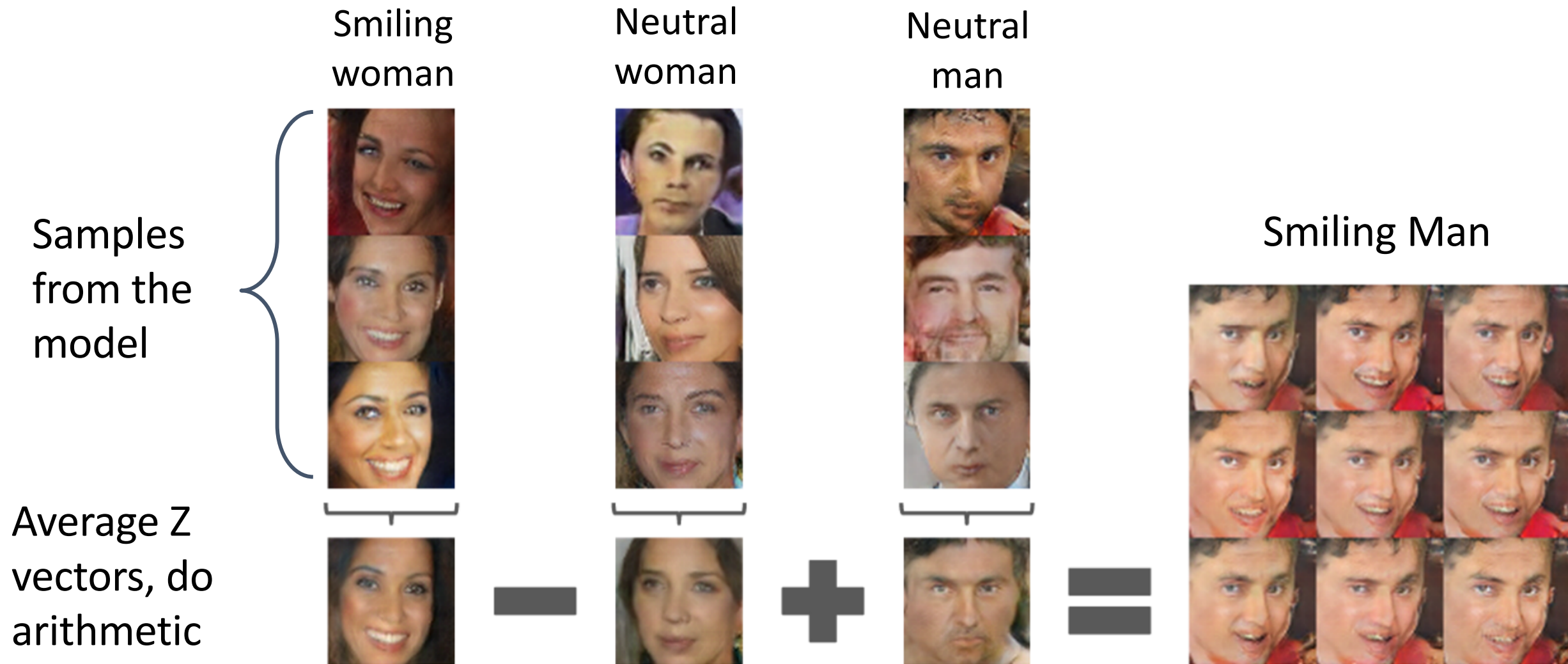
# Generative Adversarial Networks: Vector Math



Radford et al, ICLR 2016



# Generative Adversarial Networks: Vector Math



Radford et al, ICLR 2016



# Generative Adversarial Networks: Vector Math

Man with  
glasses

Man w/o  
glasses

Woman  
w/o glasses

Samples  
from the  
model

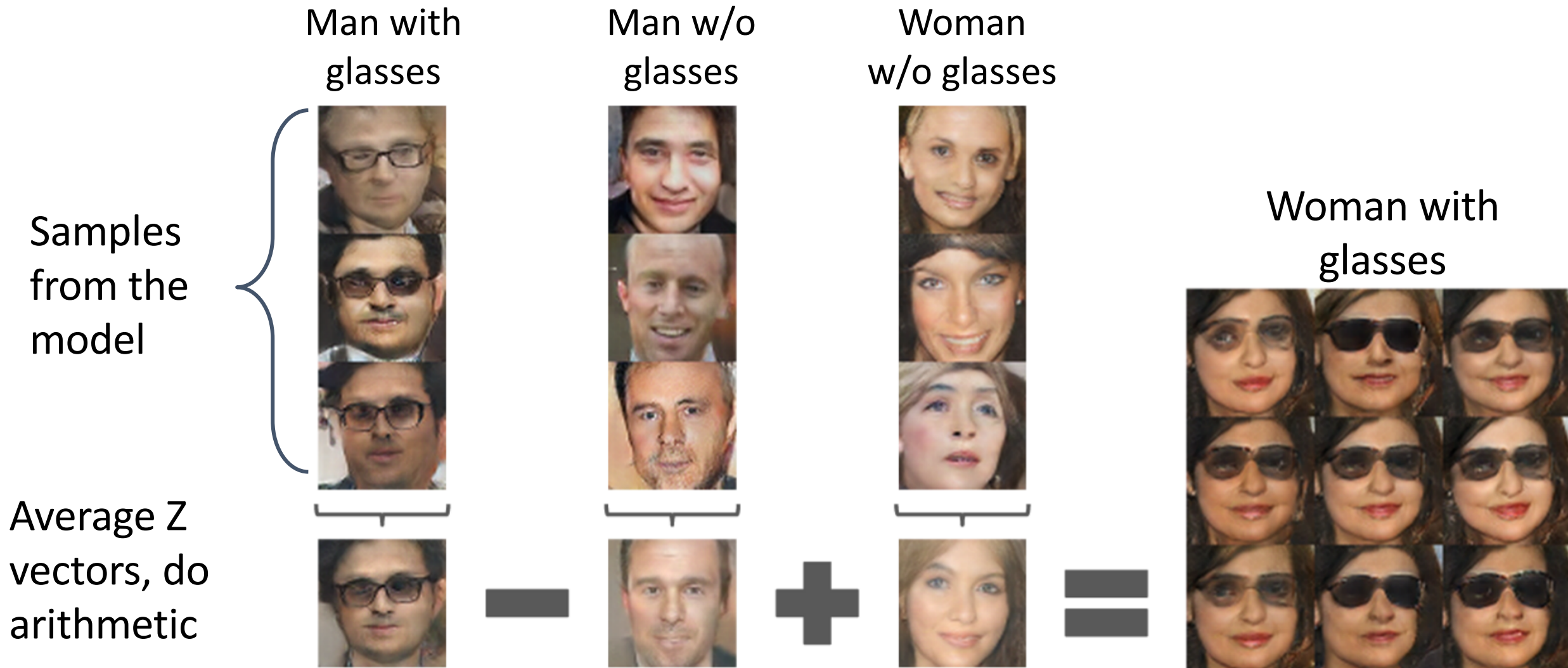


Average Z  
vectors, do  
arithmetic



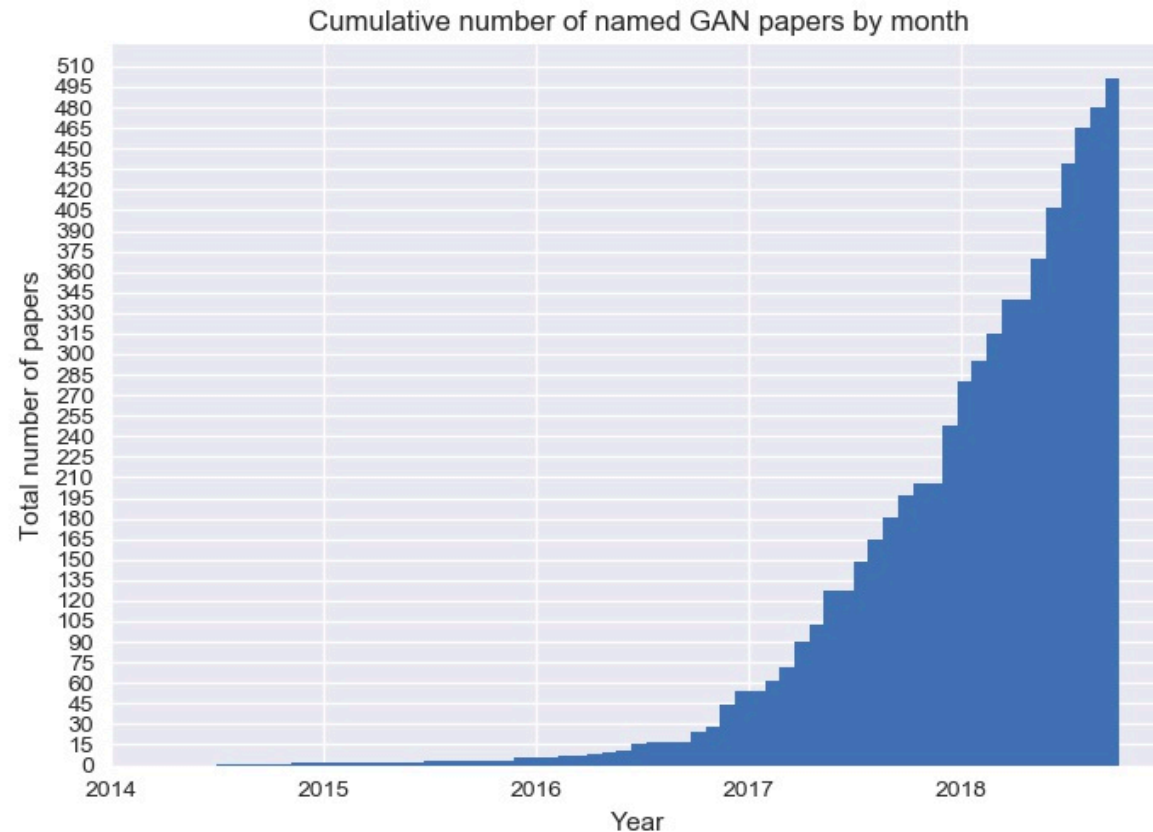
Radford et al, ICLR 2016

# Generative Adversarial Networks: Vector Math



Radford et al, ICLR 2016

# 2017 to present: Explosion of GANs



- 3D-ED-GAN - Shape Inpainting using 3D Generative Adversarial Network and Recurrent Convolutional Networks
- 3D-GAN - Learning a Probabilistic Latent Space of Object Shapes via 3D Generative-Adversarial Modeling (github)
- 3D-IWGAN - Improved Adversarial Systems for 3D Object Generation and Reconstruction (github)
- 3D-PhysNet - 3D-PhysNet: Learning the Intuitive Physics of Non-Rigid Object Deformations
- 3D-RecGAN - 3D Object Reconstruction from a Single Depth View with Adversarial Learning (github)
- ABC-GAN - ABC-GAN: Adaptive Blur and Control for improved training stability of Generative Adversarial Networks (github)
- ABC-GAN - GANs for LIFE: Generative Adversarial Networks for Likelihood Free Inference
- AC-GAN - Conditional Image Synthesis With Auxiliary Classifier GANs
- acGAN - Face Aging With Conditional Generative Adversarial Networks
- ACGAN - Coverless Information Hiding Based on Generative adversarial networks
- acGAN - On-line Adaptive Curriculum Learning for GANs
- ACTUAL - ACTUAL: Actor-Critic Under Adversarial Learning
- AdaGAN - AdaGAN: Boosting Generative Models
- Adaptive GAN - Customizing an Adversarial Example Generator with Class-Conditional GANs
- AdvEntuRe - AdvEntuRe: Adversarial Training for Textual Entailment with Knowledge-Guided Examples
- AdvGAN - Generating adversarial examples with adversarial networks
- AE-GAN - AE-GAN: adversarial eliminating with GAN
- AE-OT - Latent Space Optimal Transport for Generative Models
- AEGAN - Learning Inverse Mapping by Autoencoder based Generative Adversarial Nets
- AF-DCGAN - AF-DCGAN: Amplitude Feature Deep Convolutional GAN for Fingerprint Construction in Indoor Localization System
- AFIGAN - Amortised MAP Inference for Image Super-resolution
- AIM - Generating Informative and Diverse Conversational Responses via Adversarial Information Maximization
- AL-CGAN - Learning to Generate Images of Outdoor Scenes from Attributes and Semantic Layouts
- ALI - Adversarially Learned Inference (github)
- AlignGAN - AlignGAN: Learning to Align Cross-Domain Images with Conditional Generative Adversarial Networks
- AlphaGAN - AlphaGAN: Generative adversarial networks for natural image matting
- AM-GAN - Activation Maximization Generative Adversarial Nets
- AmbientGAN - AmbientGAN: Generative models from lossy measurements (github)
- AMC-GAN - Video Prediction with Appearance and Motion Conditions
- AnoGAN - Unsupervised Anomaly Detection with Generative Adversarial Networks to Guide Marker Discovery
- APD - Adversarial Distillation of Bayesian Neural Network Posteriors
- APE-GAN - APE-GAN: Adversarial Perturbation Elimination with GAN
- ARAE - Adversarially Regularized Autoencoders for Generating Discrete Structures (github)
- ARDA - Adversarial Representation Learning for Domain Adaptation
- ARIGAN - ARIGAN: Synthetic Arabidopsis Plants using Generative Adversarial Network
- ArtGAN - ArtGAN: Artwork Synthesis with Conditional Categorical GANs
- ASDL-GAN - Automatic Steganographic Distortion Learning Using a Generative Adversarial Network
- ATA-GAN - Attention-Aware Generative Adversarial Networks (ATA-GANs)
- Attention-GAN - Attention-GAN for Object Transfiguration in Wild Images
- AtiGAN - Arbitrary Facial Attribute Editing: Only Change What You Want (github)
- AttnGAN - AttnGAN: Fine-Grained Text to Image Generation with Attentional Generative Adversarial Networks (github)
- AVID - AVID: Adversarial Visual Irregularity Detection
- B-DCGAN - B-DCGAN: Evaluation of Binarized DCGAN for FPGA
- b-GAN - Generative Adversarial Nets from a Density Ratio Estimation Perspective
- BAGAN - BAGAN: Data Augmentation with Balancing GAN
- Bayesian GAN - Deep and Hierarchical Implicit Models
- Bayesian GAN - Bayesian GAN (github)
- BCGAN - Bayesian Conditional Generative Adversarial Networks
- BCGAN - Bidirectional Conditional Generative Adversarial networks
- BEAM - Boltzmann Encoded Adversarial Machines
- BEGAN - BEGAN: Boundary Equilibrium Generative Adversarial Networks
- BEGAN-CS - Escaping from Collapsing Modes in a Constrained Space
- Bellman GAN - Distributional Multivariate Policy Evaluation and Exploration with the Bellman

- BGAN - Binary Generative Adversarial Networks for Image Retrieval (github)
- Bi-GAN - Autonomously and Simultaneously Refining Deep Neural Network Parameters by a Bi-Generative Adversarial Network Aided Genetic Algorithm
- BicycleGAN - Toward Multimodal Image-to-Image Translation (github)
- BiGAN - Adversarial Feature Learning
- BinGAN - BinGAN: Learning Compact Binary Descriptors with a Regularized GAN
- BourGAN - BourGAN: Generative Networks with Metric Embeddings
- BranchGAN - Branched Generative Adversarial Networks for Multi-Scale Image Manifold Learning
- BRE - Improving GAN Training via Binarized Representation Entropy (BRE) Regularization (github)
- BridgeGAN - Generative Adversarial Frontal View to Bird View Synthesis
- BS-GAN - Boundary-Seeking Generative Adversarial Networks
- BubGAN - BubGAN: Bubble Generative Adversarial Networks for Synthesizing Realistic Bubbly Flow Images
- BWGAN - Banach Wasserstein GAN
- C-GAN - Face Aging with Contextual Generative Adversarial Nets
- C-RNN-GAN - C-RNN-GAN: Continuous recurrent neural networks with adversarial training (github)
- CA-GAN - Composition-aided Sketch-realistic Portrait Generation
- CaloGAN - CaloGAN: Simulating 3D High Energy Particle Showers in Multi-Layer Electromagnetic Calorimeters with Generative Adversarial Networks (github)
- CAN - CAN: Creative Adversarial Networks, Generating Art by Learning About Styles and Deviating from Style Norms
- CapsGAN - CapsGAN: Using Dynamic Routing for Generative Adversarial Networks
- CapsuleGAN - CapsuleGAN: Generative Adversarial Capsule Network
- CatGAN - Unsupervised and Semi-supervised Learning with Categorical Generative Adversarial Networks
- CatGAN - CatGAN: Coupled Adversarial Transfer for Domain Generation
- CausalGAN - CausalGAN: Learning Causal Implicit Generative Models with Adversarial Training
- CC-GAN - Semi-Supervised Learning with Context-Conditional Generative Adversarial Networks (github)
- cd-GAN - Conditional Image-to-Image Translation
- CdcGAN - Simultaneously Color-Depth Super-Resolution with Conditional Generative Adversarial Network
- CE-GAN - Deep Learning for Imbalance Data Classification using Class Expert Generative Adversarial Network
- CFG-GAN - Composite Functional Gradient Learning of Generative Adversarial Models
- CGAN - Conditional Generative Adversarial Nets
- CGAN - Controllable Generative Adversarial Network
- Chekhov GAN - An Online Learning Approach to Generative Adversarial Networks
- ciGAN - Conditional Infilling GANs for Data Augmentation in Mammogram Classification
- CincGAN - Unsupervised Image Super-Resolution using Cycle-In-Cycle Generative Adversarial Networks
- CipherGAN - Unsupervised Cipher Cracking Using Discrete GANs
- ClusterGAN - ClusterGAN: Latent Space Clustering in Generative Adversarial Networks
- CM-GAN - CM-GANs: Cross-modal Generative Adversarial Networks for Common Representation Learning
- CoAtt-GAN - Are You Talking to Me? Reasoned Visual Dialog Generation through Adversarial Learning
- CoGAN - Coupled Generative Adversarial Networks
- ComboGAN - ComboGAN: Unstrained Scalability for Image Domain Translation (github)
- ConceptGAN - Learning Compositional Visual Concepts with Mutual Consistency
- Conditional cycleGAN - Conditional CycleGAN for Attribute Guided Face Image Generation
- contrast-GAN - Generative Semantic Manipulation with Contrasting GAN
- Context-RNN-GAN - Contextual RNN-GANs for Abstract Reasoning Diagram Generation
- CorrGAN - Correlated discrete data generation using adversarial training
- Coulomb GAN - Coulomb GANs: Provably Optimal Nash Equilibria via Potential Fields
- Cover-GAN - Generative Steganography with Kerckhoffs' Principle based on Generative Adversarial Networks
- cowboy - Defending Against Adversarial Attacks by Leveraging an Entire GAN
- CR-GAN - CR-GAN: Learning Complete Representations for Multi-view Generation
- Cramér GAN - The Cramer Distance as a Solution to Biased Wasserstein Gradients
- Cross-GAN - Crossing Generative Adversarial Networks for Cross-View Person Re-identification
- crVAE-GAN - Channel-Recurrent Variational Autoencoders
- CS-GAN - Improving Neural Machine Translation with Conditional Sequence Generative Adversarial Nets
- CSG - Speech-Driven Expressive Talking Lips with Conditional Sequential Generative Adversarial Networks
- CT-GAN - CT-GAN: Conditional Transformation Generative Adversarial Network for Image Attribute Modification
- CVAE-GAN - CVAE-GAN: Fine-Grained Image Generation through Asymmetric Training
- CycleGAN - Unpaired Image-to-Image Translation using Cycle-Consistent Adversarial Networks

<https://github.com/hindupuravinash/the-gan-zoo>



# GAN Improvements: Improved Loss Functions

## Wasserstein GAN (WGAN)



Arjovsky, Chintala, and Bottou, "Wasserstein GAN", 2017

## WGAN with Gradient Penalty (WGAN-GP)



Gulrajani et al, "Improved Training of Wasserstein GANs", NeurIPS 2017



# GAN Improvements: Higher Resolution

256 x 256 bedrooms



1024 x 1024 faces



Karras et al, "Progressive Growing of GANs for Improved Quality, Stability, and Variation", ICLR 2018



# GAN Improvements: Higher Resolution

512 x 384 cars

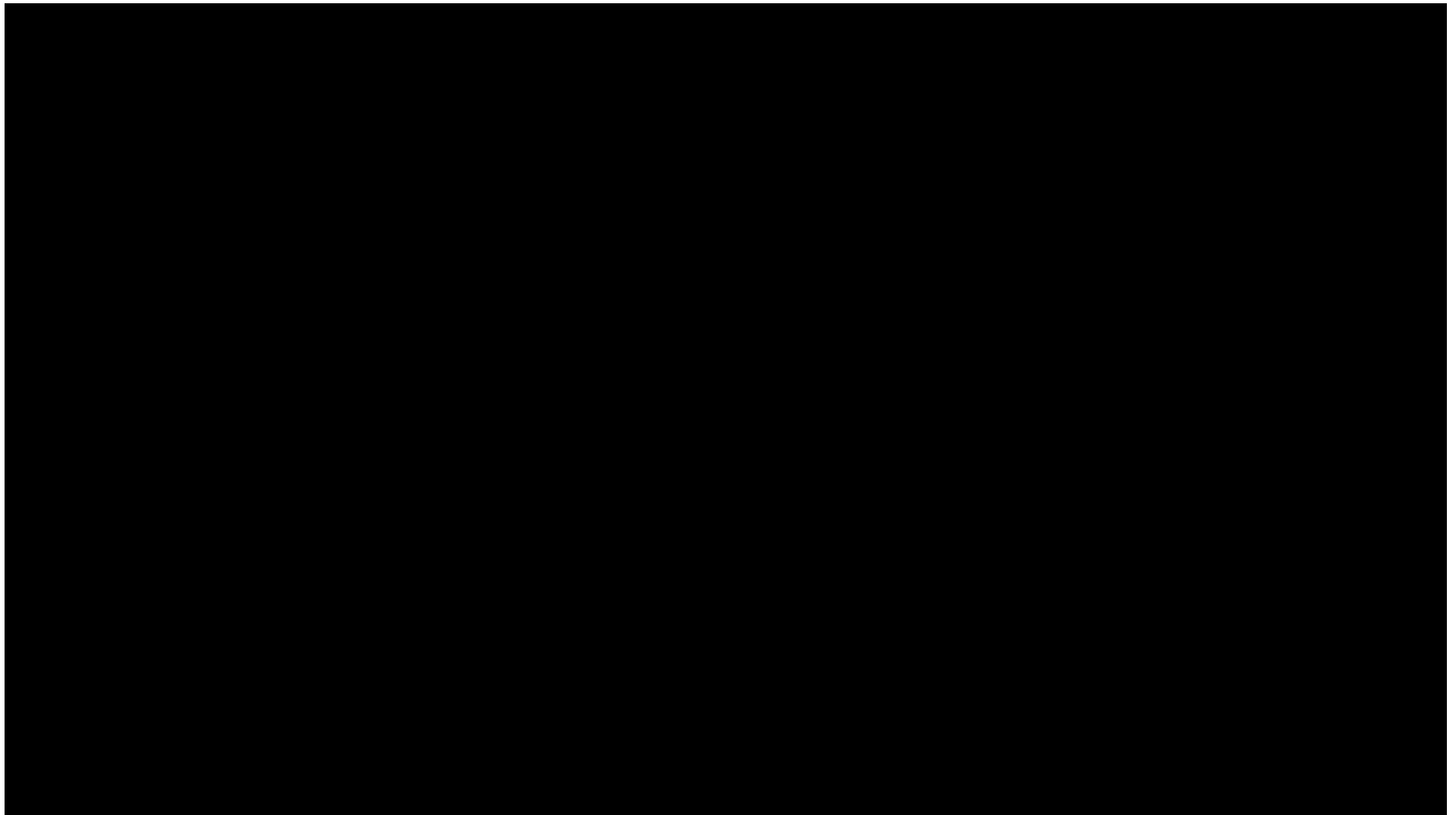


1024 x 1024 faces



Karras et al, "A Style-Based Generator Architecture for Generative Adversarial Networks", CVPR 2019

Images are licensed under [CC BY-NC 4.0](https://creativecommons.org/licenses/by-nc/4.0/)



Karras et al, "A Style-Based Generator Architecture for Generative Adversarial Networks", CVPR 2019

Video is licensed under [CC BY-NC 4.0](#).  
Source: [https://drive.google.com/drive/folders/1NFO7\\_vH0t98J13ckJYFd7kuaTkYeRJ86](https://drive.google.com/drive/folders/1NFO7_vH0t98J13ckJYFd7kuaTkYeRJ86)



# Current State-of-the-Art: StyleGAN2



Karras et al, “Analyzing and Improving the Image Quality of StyleGAN”, CVPR 2020



# Conditional GANs

**Recall:** Conditional Generative Models learn  $p(x|y)$  instead of  $p(x)$   
Make generator and discriminator both take label  $y$  as an additional input!

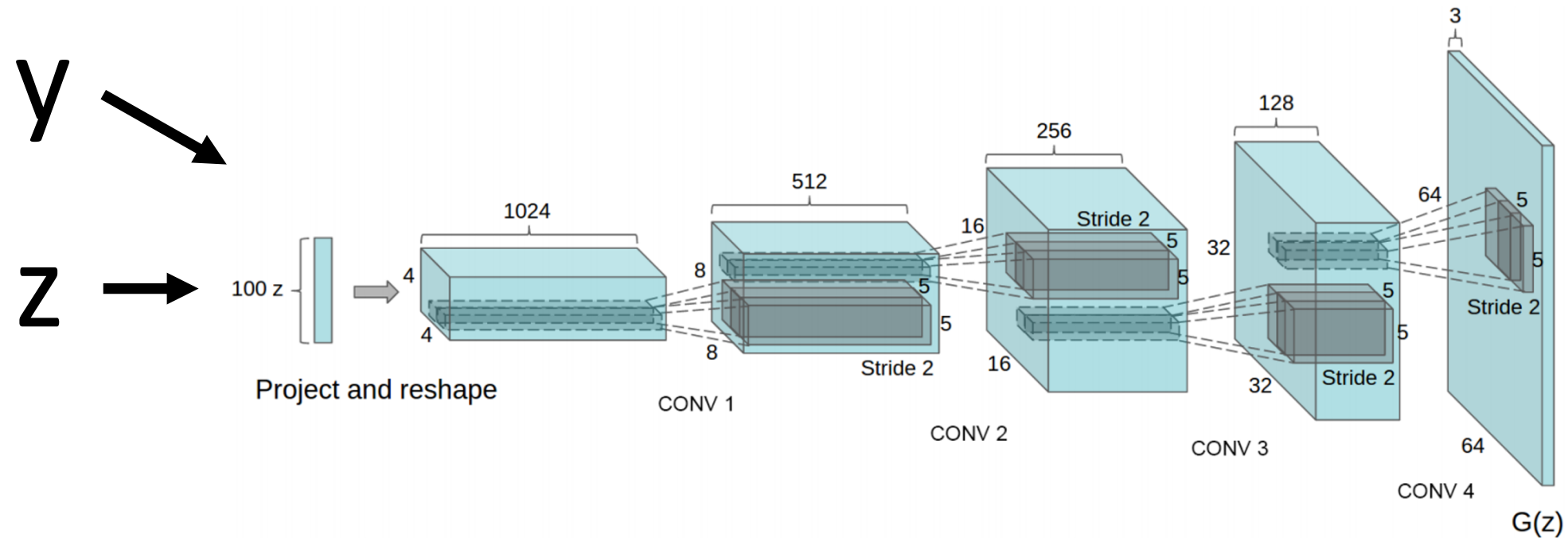


Figure credit: Radford et al, "Unsupervised Representation Learning with Deep Convolutional Generative Adversarial Networks", ICLR 2016

# Conditional GANs: Conditional Batch Normalization

## Batch Normalization

$$\begin{aligned}\mu_j &= \frac{1}{N} \sum_{i=1}^N x_{i,j} \\ \sigma_j^2 &= \frac{1}{N} \sum_{i=1}^N (x_{i,j} - \mu_j)^2 \\ \hat{x}_{i,j} &= \frac{x_{i,j} - \mu_j}{\sqrt{\sigma_j^2 + \epsilon}} \\ y_{i,j} &= \gamma_j \hat{x}_{i,j} + \beta_j\end{aligned}$$



Learn a separate  
scale and shift  
for each  
different label  $y$

## Conditional Batch Normalization

$$\begin{aligned}\mu_j &= \frac{1}{N} \sum_{i=1}^N x_{i,j} \\ \sigma_j^2 &= \frac{1}{N} \sum_{i=1}^N (x_{i,j} - \mu_j)^2 \\ \hat{x}_{i,j} &= \frac{x_{i,j} - \mu_j}{\sqrt{\sigma_j^2 + \epsilon}} \\ y_{i,j} &= \gamma_j^y \hat{x}_{i,j} + \beta_j^y\end{aligned}$$

# Conditional GANs: Spectral Normalization

Welsh springer spaniel



Fire truck



Daisy



Miyato et al, "Spectral Normalization for Generative Adversarial Networks", ICLR 2018

128x128 images on ImageNet



# Conditional GANs: Self-Attention

Goldfish



Indigo bunting



Redshank



Saint Bernard

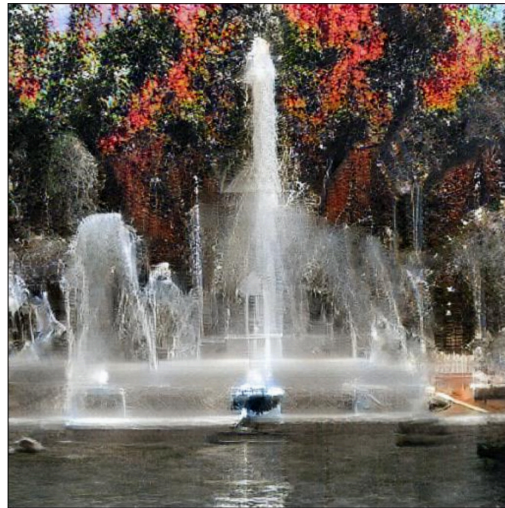


Zhang et al, "Self-Attention Generative Adversarial Networks", ICML 2019

128x128 images on ImageNet



# Conditional GANs: BigGAN

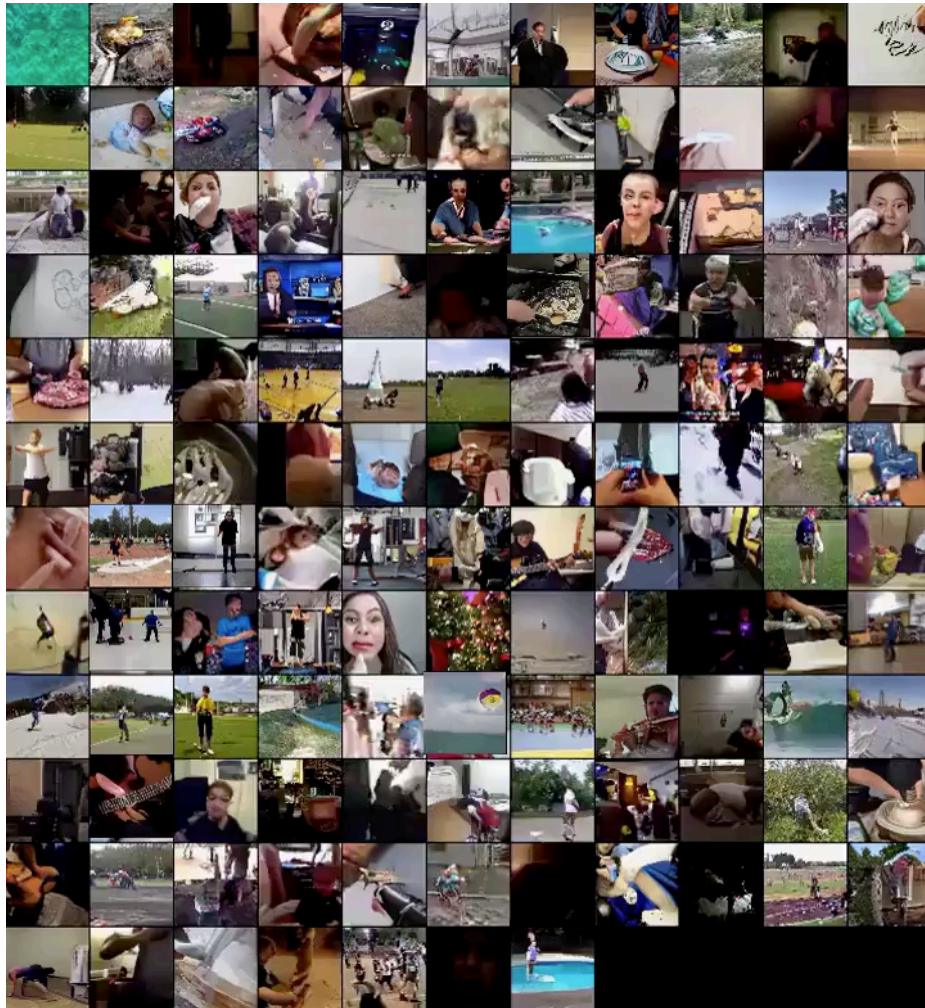


Brock et al, "Large Scale GAN Training for High Fidelity Natural Image Synthesis", ICLR 2019

512x512 images on ImageNet

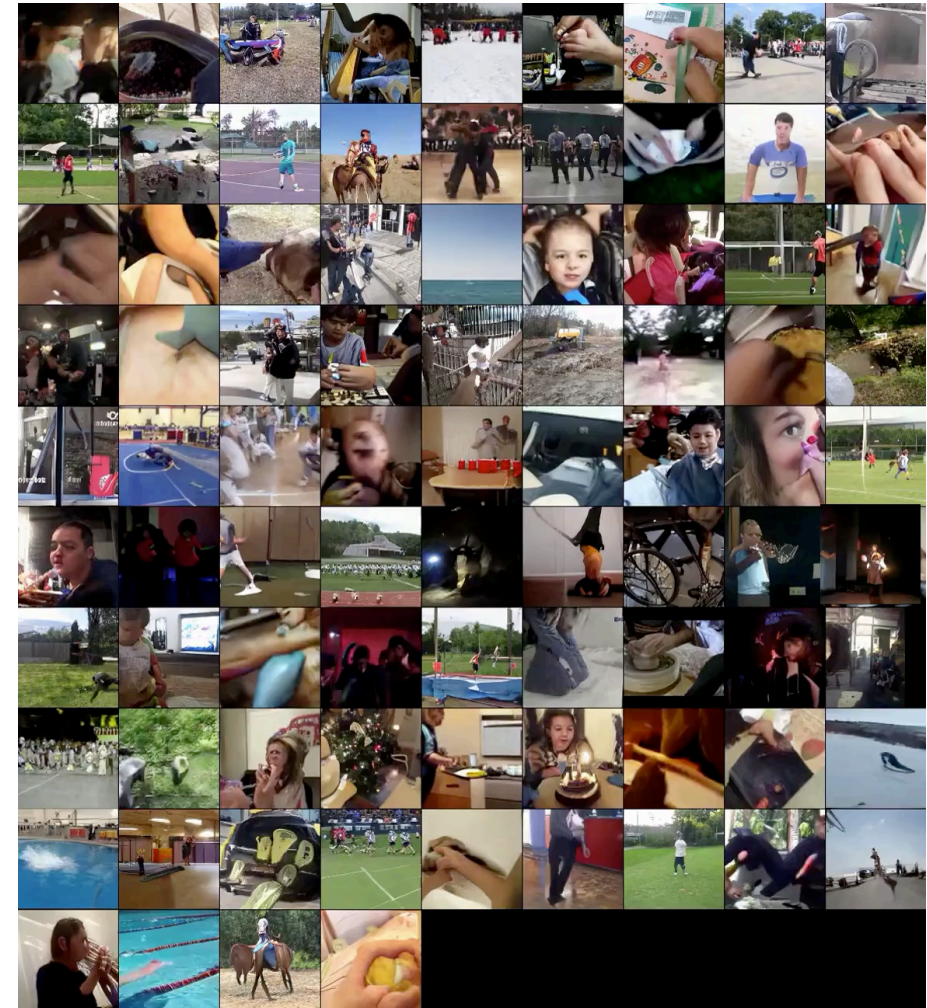


# Generating Videos with GANs



64x64 images, 48 frames

<https://drive.google.com/file/d/1FjOQYdUuxPXvS8yeOhXdPQMMapUQakLi/view>



128x128 images, 12 frames

[https://drive.google.com/file/d/165Yxuvvu3viOy-39LhhSDGtczbWphj\\_i/view](https://drive.google.com/file/d/165Yxuvvu3viOy-39LhhSDGtczbWphj_i/view)



# Conditioning on more than labels! Text to Image

This bird is red and brown in color, with a stubby beak



The bird is short and stubby with yellow on its body



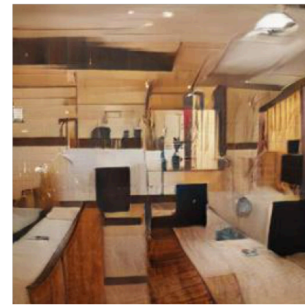
A bird with a medium orange bill white body gray wings and webbed feet



This small black bird has a short, slightly curved bill and long legs



A picture of a very clean living room



A group of people on skis stand in the snow



Eggs fruit candy nuts and meat served on white dish



A street sign on a stoplight pole in the middle of a day



Zhang et al, "StackGAN++: Realistic Image Synthesis with Stacked Generative Adversarial Networks.", TPAMI 2018

Zhang et al, "StackGAN: Text to Photo-realistic Image Synthesis with Stacked Generative Adversarial Networks.", ICCV 2017

Reed et al, "Generative Adversarial Text-to-Image Synthesis", ICML 2016

# Image Super-Resolution: Low-Res to High-Res

bicubic  
(21.59dB/0.6423)



SRResNet  
(23.53dB/0.7832)



SRGAN  
(21.15dB/0.6868)



original



Ledig et al, "Photo-Realistic Single Image Super-Resolution Using a Generative Adversarial Network", CVPR 2017



# Image-to-Image Translation: Pix2Pix

Labels to Street Scene

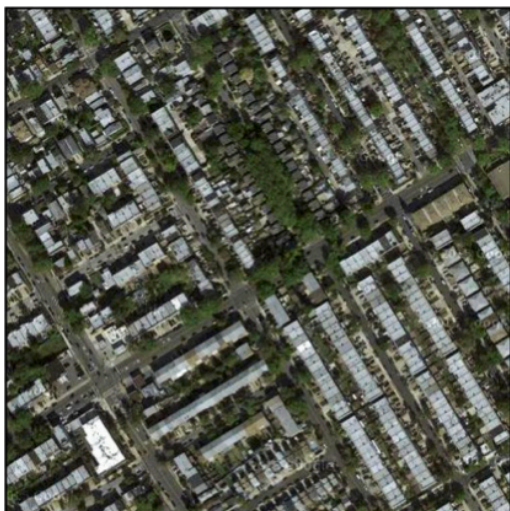


input



output

Aerial to Map

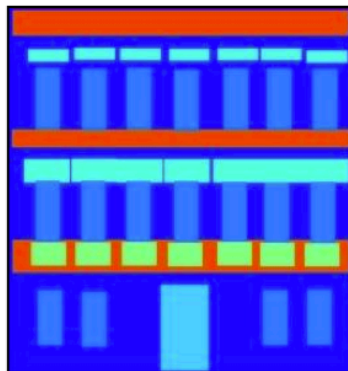


input

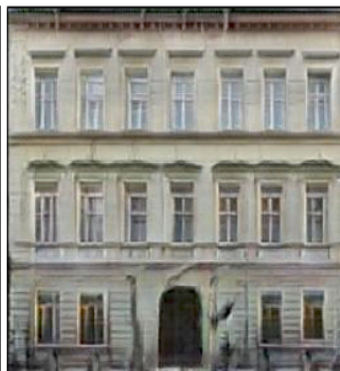


output

Labels to Facade



input

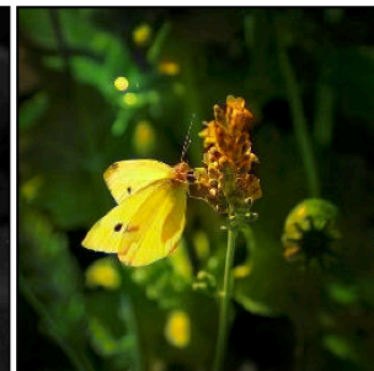


output

BW to Color

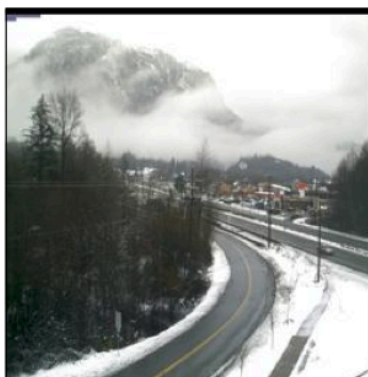


input



output

Day to Night



input



output

Edges to Photo



input

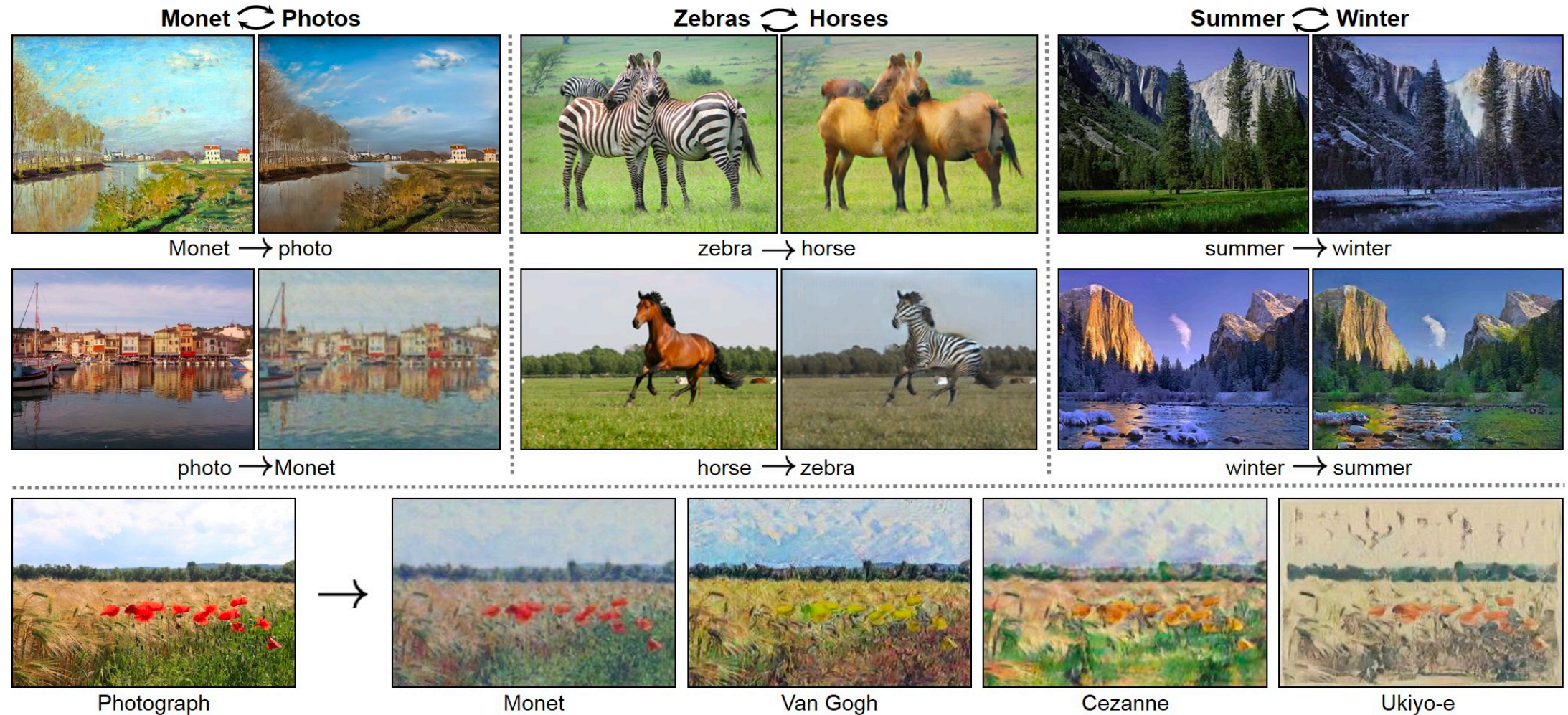


output

Isola et al, "Image-to-Image Translation with Conditional Adversarial Nets", CVPR 2017



# Unpaired Image-to-Image Translation: CycleGAN



Zhu et al, "Unpaired Image-to-Image Translation using Cycle-Consistent Adversarial Networks", ICCV 2017

# Unpaired Image-to-Image Translation: CycleGAN

Input Video: Horse

Output Video: Zebra



<https://www.youtube.com/watch?v=9reHvktowLY>

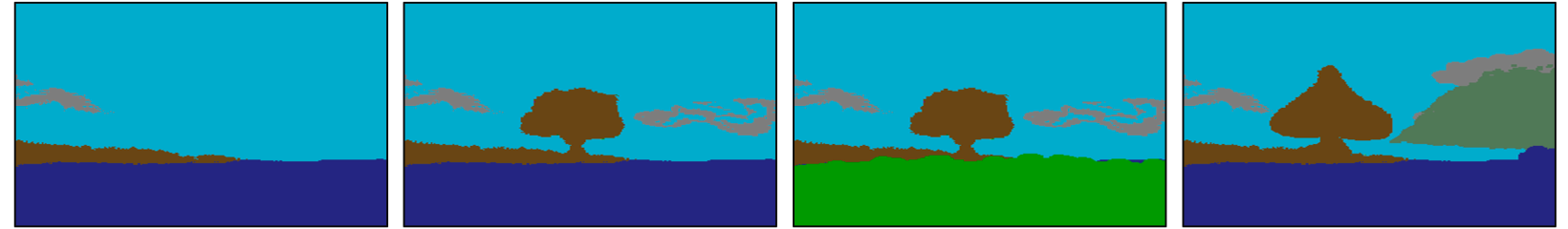
Zhu et al, "Unpaired Image-to-Image Translation using Cycle-Consistent Adversarial Networks", ICCV 2017



# Label Map to Image

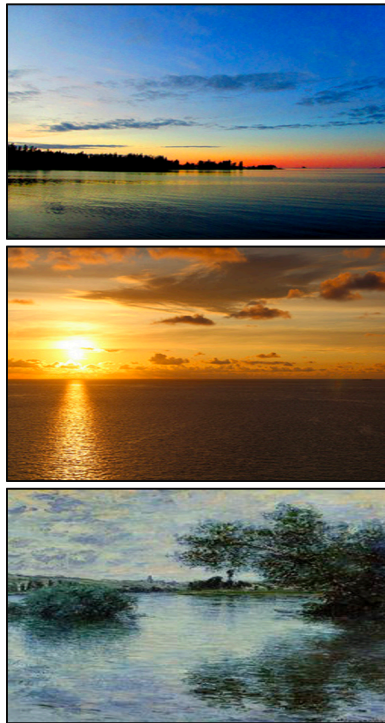
Input: Label Map

cloud	sky
tree	mountain
sea	grass



Semantic Manipulation Using Segmentation Map →

Input:  
Style  
Image

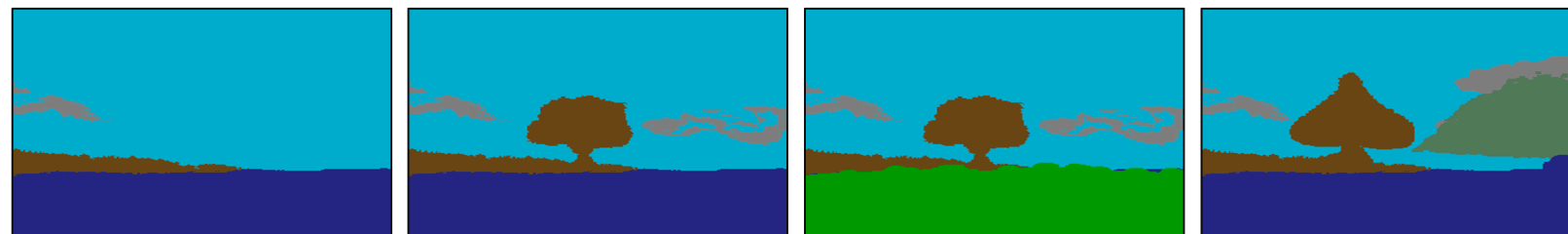


Stylization using Guide Images ↓

# Label Map to Image

Input: Label Map

cloud	sky
tree	mountain
sea	grass

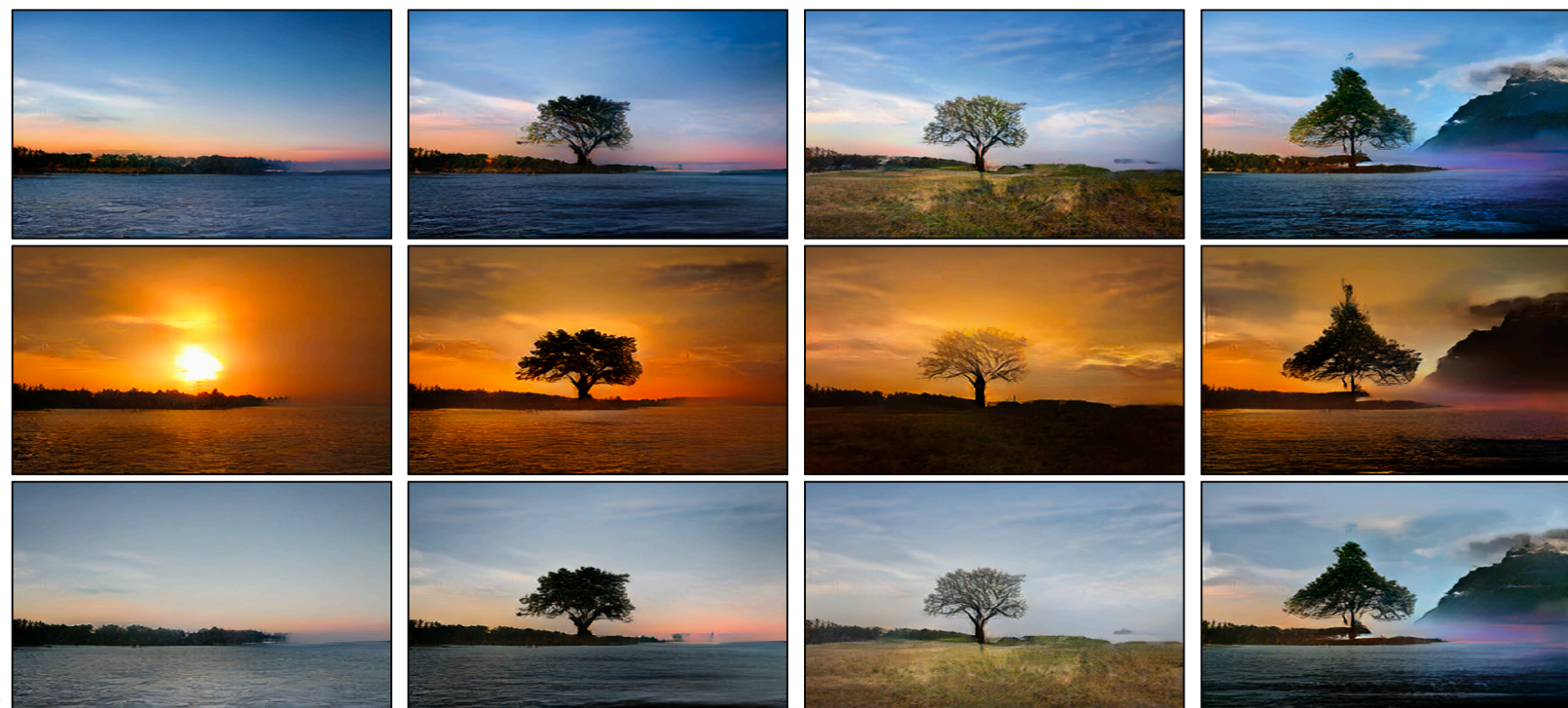


Semantic Manipulation Using Segmentation Map →

Input:  
Style  
Image

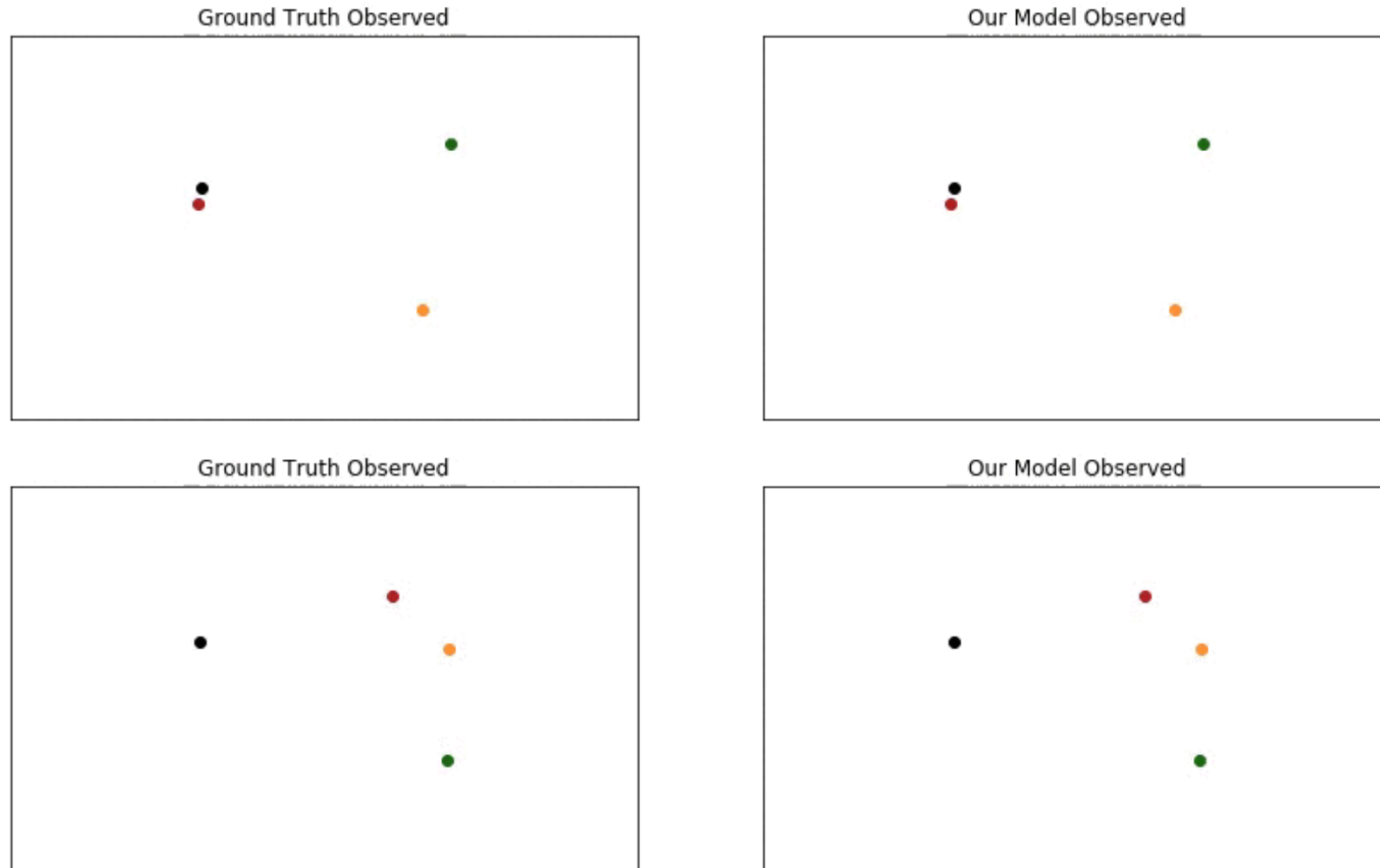


Stylization using Guide Images ↓



Park et al, "Semantic Image Synthesis with Spatially-Adaptive Normalization", CVPR 2019

# GANs: Not just for images! Trajectory Prediction



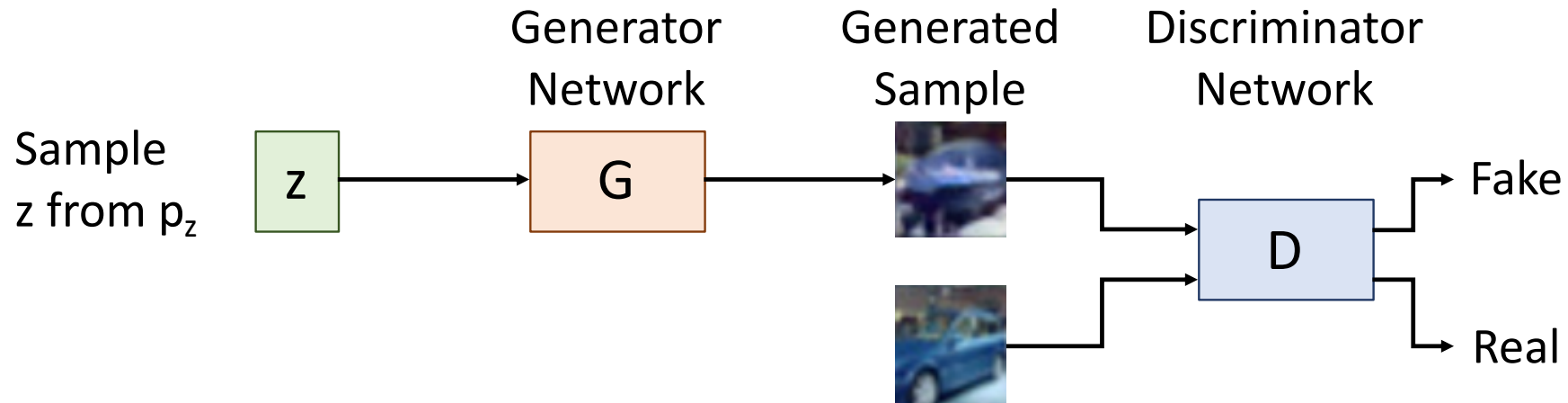
Gupta, **Johnson**, Li, Savarese, Alahi, "Social GAN: Socially Acceptable Trajectories with Generative Adversarial Networks", CVPR 2018

# GAN Summary

Jointly train two networks:

**Discriminator:** Classify data as real or fake

**Generator:** Generate data that fools the discriminator



Under some assumptions, generator converges to true data distribution  
Many applications! Very active area of research!

# Taxonomy of Generative Models

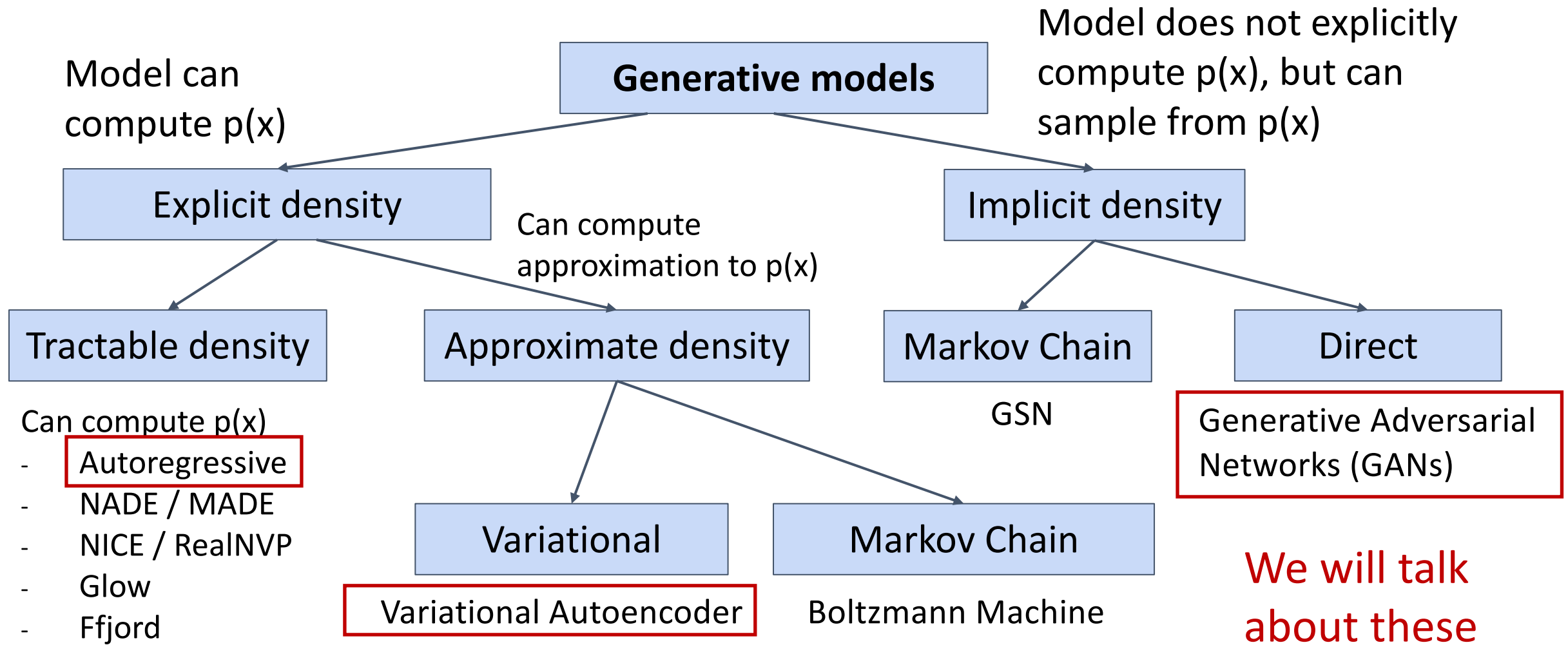


Figure adapted from Ian Goodfellow, Tutorial on Generative Adversarial Networks, 2017.



# Generative Models Summary

**Autoregressive Models** directly maximize likelihood of training data:

$$p_{\theta}(x) = \prod_{i=1}^N p_{\theta}(x_i | x_1, \dots, x_{i-1})$$

Good image quality, can evaluate with perplexity. Slow to generate data, needs tricks to scale up.

**Variational Autoencoders** introduce a latent  $z$ , and maximize a lower bound:

$$p_{\theta}(x) = \int_Z p_{\theta}(x|z)p(z)dz \geq E_{z \sim q_{\phi}(z|x)}[\log p_{\theta}(x|z)] - D_{KL}(q_{\phi}(z|x), p(z))$$

Latent  $z$  allows for powerful interpolation and editing applications.

**Generative Adversarial Networks** give up on modeling  $p(x)$ , but allow us to draw samples from  $p(x)$ . Difficult to evaluate, but best qualitative results today

Next Time:  
Guest Lecture: