

# Lecture 16: Convolutional Networks II

# Administrative

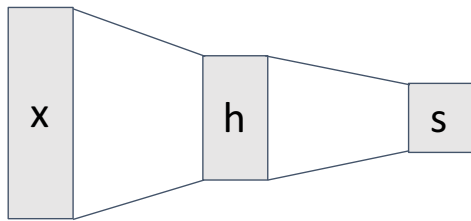
HW4 Released, due Monday March 29, 11:59pm ET

## Course Project:

- We will give ~6 suggested project descriptions
- Choose one, or propose your own
- We expect ~1 HW of work per person for project

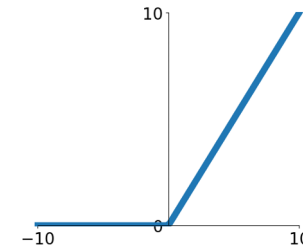
# Last Time: Convolutional Networks

## Fully-Connected Layers



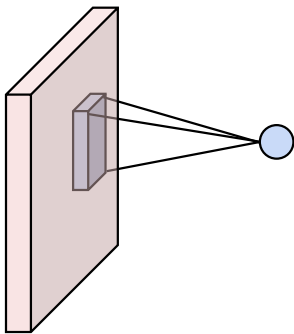
$$y = Wx + b$$

## Activation Function

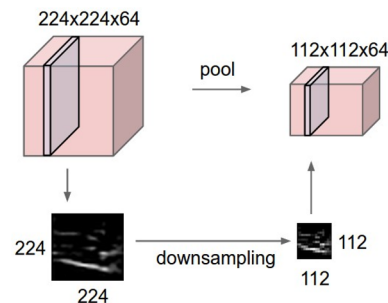


$$y = \max(0, x)$$

## Convolution Layers



## Pooling Layers

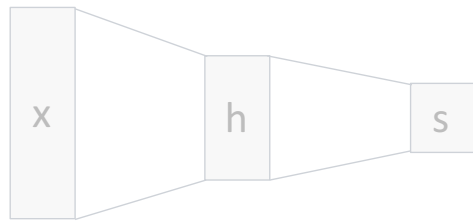


## Normalization

$$\hat{x}_{i,j} = \frac{x_{i,j} - \mu_j}{\sqrt{\sigma_j^2 + \epsilon}}$$

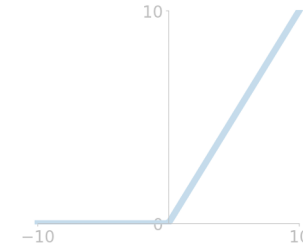
# Components of a Convolutional Network

## Fully-Connected Layers



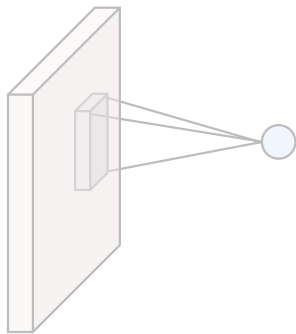
$$y = Wx + b$$

## Activation Function

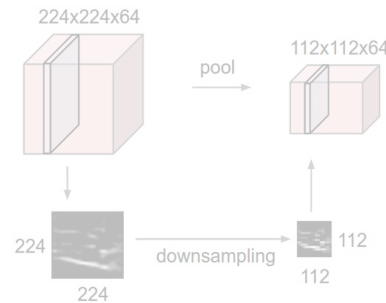


$$y = \max(0, x)$$

## Convolution Layers



## Pooling Layers



## Normalization

$$\hat{x}_{i,j} = \frac{x_{i,j} - \mu_j}{\sqrt{\sigma_j^2 + \epsilon}}$$

# Batch Normalization

**Idea:** “Normalize” the outputs of each layer so they have zero mean and unit variance

Why? Helps reduce “internal covariate shift”, improves optimization

# Batch Normalization

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Why? Helps reduce “internal covariate shift”, improves optimization

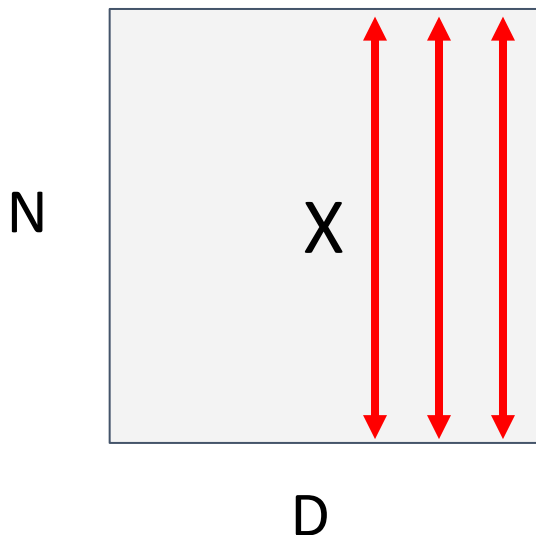
We can normalize a batch of activations like this:

$$\hat{x} = \frac{x - E[x]}{\sqrt{\text{Var}[x]}}$$

This is a **differentiable function**, so we can use it as an operator in our networks and backprop through it!

# Batch Normalization

**Input:**  $x \in \mathbb{R}^{N \times D}$



$$\mu_j = \frac{1}{N} \sum_{i=1}^N x_{i,j}$$

Per-channel mean, shape is D

$$\sigma_j^2 = \frac{1}{N} \sum_{i=1}^N (x_{i,j} - \mu_j)^2$$

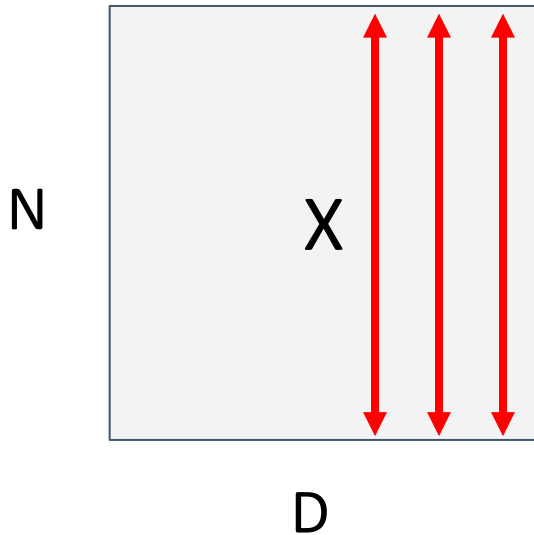
Per-channel std, shape is D

$$\hat{x}_{i,j} = \frac{x_{i,j} - \mu_j}{\sqrt{\sigma_j^2 + \epsilon}}$$

Normalized x,  
Shape is N x D

# Batch Normalization

Input:  $x \in \mathbb{R}^{N \times D}$



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Normalized x,  
Shape is N x D

**Problem:** What if zero-mean, unit variance is too restrictive?



# Batch Normalization

**Input:**  $x \in \mathbb{R}^{N \times D}$

**Learnable scale and shift parameters:**

$$\gamma, \beta \in \mathbb{R}^D$$

Learning  $\gamma = \sigma$ ,  $\beta = \mu$   
will recover the identity  
function (in expectation)

$$\mu_j = \frac{1}{N} \sum_{i=1}^N x_{i,j}$$

Per-channel  
mean, shape is D

$$\sigma_j^2 = \frac{1}{N} \sum_{i=1}^N (x_{i,j} - \mu_j)^2$$

Per-channel  
std, shape is D

$$\hat{x}_{i,j} = \frac{x_{i,j} - \mu_j}{\sqrt{\sigma_j^2 + \epsilon}}$$

Normalized x,  
Shape is N x D

$$y_{i,j} = \gamma_j \hat{x}_{i,j} + \beta_j$$

Output,  
Shape is N x D

# Batch Normalization

**Problem:** Estimates depend on minibatch; can't do this at test-time!

**Input:**  $x \in \mathbb{R}^{N \times D}$

**Learnable scale and shift parameters:**

$$\gamma, \beta \in \mathbb{R}^D$$

Learning  $\gamma = \sigma, \beta = \mu$  will recover the identity function (in expectation)

$$\mu_j = \frac{1}{N} \sum_{i=1}^N x_{i,j}$$

Per-channel mean, shape is D

$$\sigma_j^2 = \frac{1}{N} \sum_{i=1}^N (x_{i,j} - \mu_j)^2$$

Per-channel std, shape is D

$$\hat{x}_{i,j} = \frac{x_{i,j} - \mu_j}{\sqrt{\sigma_j^2 + \epsilon}}$$

Normalized x, Shape is N x D

$$y_{i,j} = \gamma_j \hat{x}_{i,j} + \beta_j$$

Output, Shape is N x D

# Batch Normalization: Test-Time

**Input:**  $x \in \mathbb{R}^{N \times D}$

**Learnable scale and shift parameters:**

$$\gamma, \beta \in \mathbb{R}^D$$

Learning  $\gamma = \sigma, \beta = \mu$   
will recover the identity  
function (in expectation)

$\mu_j =$  (Running) average of values seen during training  
Per-channel mean, shape is D

$\sigma_j^2 =$  (Running) average of values seen during training  
Per-channel std, shape is D

$$\hat{x}_{i,j} = \frac{x_{i,j} - \mu_j}{\sqrt{\sigma_j^2 + \epsilon}}$$

Normalized x,  
Shape is N x D

$$y_{i,j} = \gamma_j \hat{x}_{i,j} + \beta_j$$

Output,  
Shape is N x D

# Batch Normalization: Test-Time

**Input:**  $x \in \mathbb{R}^{N \times D}$

$\mu_j =$  (Running) average of values seen during training  
Per-channel mean, shape is D

**Learnable scale and shift parameters:**

$\sigma_j^2 =$  (Running) average of values seen during training  
Per-channel std, shape is D

$\gamma, \beta \in \mathbb{R}^D$

$\hat{x}_{i,j} = \frac{x_{i,j} - \mu_j}{\sqrt{\sigma_j^2 + \epsilon}}$   
Normalized x,  
Shape is N x D

During testing batchnorm becomes a linear operator!

Can be fused with the previous fully-connected or conv layer

$y_{i,j} = \gamma_j \hat{x}_{i,j} + \beta_j$   
Output,  
Shape is N x D

# Batch Normalization for ConvNets

Batch Normalization for  
**fully-connected** networks

$$x : N \times D$$

Normalize



$$\mu, \sigma : 1 \times D$$

$$\gamma, \beta : 1 \times D$$

$$y = \frac{(x - \mu)}{\sigma} \gamma + \beta$$

Batch Normalization for  
**convolutional** networks  
(Spatial Batchnorm, BatchNorm2D)

$$x : N \times C \times H \times W$$

Normalize

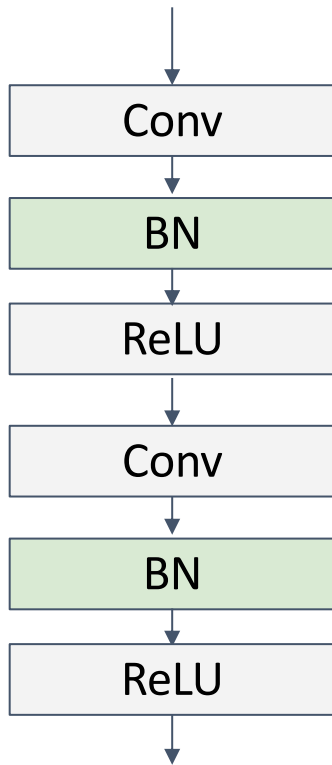


$$\mu, \sigma : 1 \times C \times 1 \times 1$$

$$\gamma, \beta : 1 \times C \times 1 \times 1$$

$$y = \frac{(x - \mu)}{\sigma} \gamma + \beta$$

# Batch Normalization

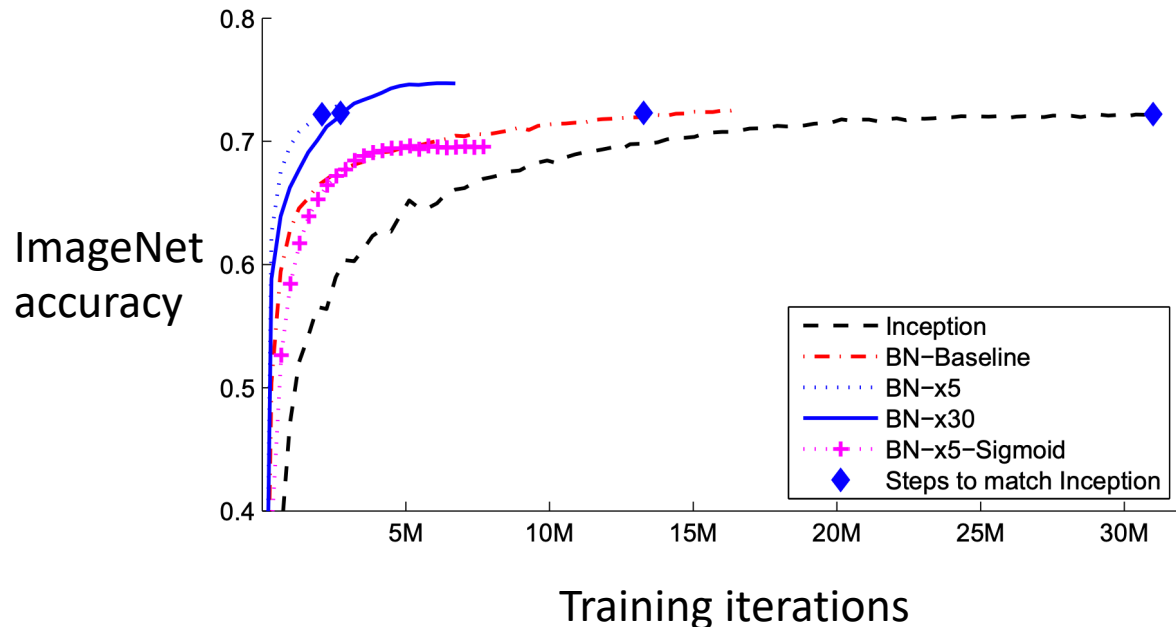
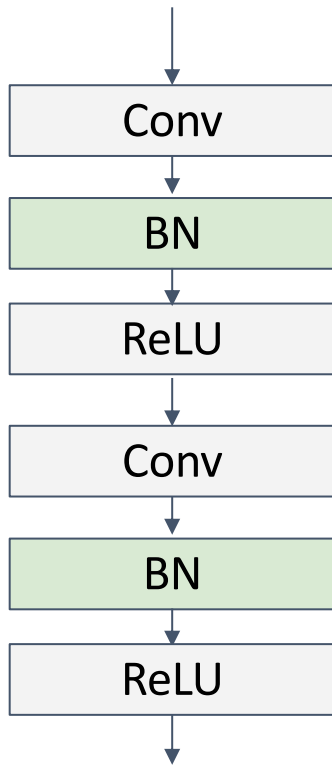


Usually inserted after Fully Connected or Convolutional layers, and before nonlinearity.

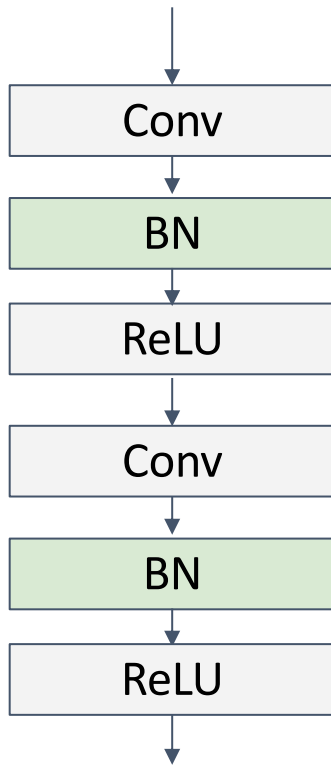
$$\hat{x} = \frac{x - E[x]}{\sqrt{\text{Var}[x]}}$$

# Batch Normalization

- Makes deep networks **much** easier to train!
- Allows higher learning rates, faster convergence
- Networks become more robust to initialization
- Acts as regularization during training
- Free at test-time: can be fused with conv!



# Batch Normalization

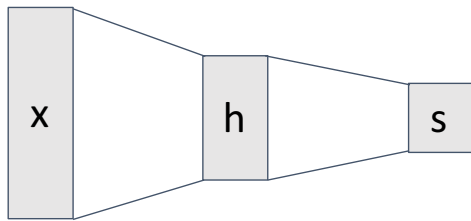


- Makes deep networks **much** easier to train!
- Allows higher learning rates, faster convergence
- Networks become more robust to initialization
- Acts as regularization during training
- Free at test-time: can be fused with conv!
- **Not well-understood theoretically**
- **Behaves differently during training and testing: this is a very common source of bugs!**



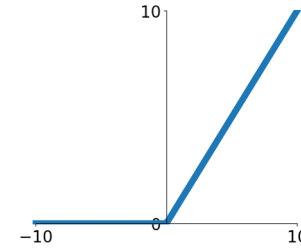
# Convolutional Networks

## Fully-Connected Layers



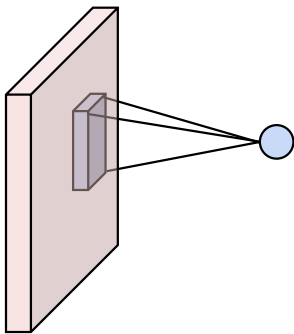
$$y = Wx + b$$

## Activation Function

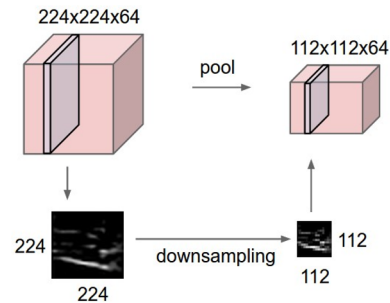


$$y = \max(0, x)$$

## Convolution Layers



## Pooling Layers



## Normalization

$$\hat{x}_{i,j} = \frac{x_{i,j} - \mu_j}{\sqrt{\sigma_j^2 + \epsilon}}$$

# Convolutional Networks

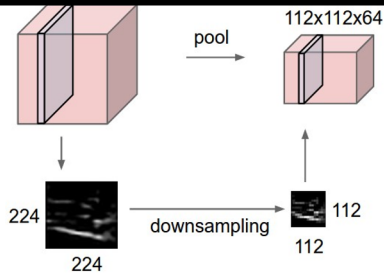
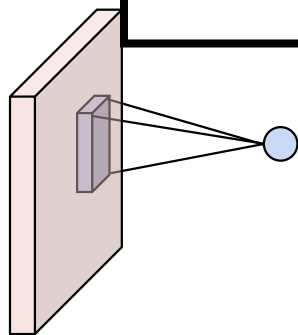
Fully-Connected Layers

Activation Function

How can we combine these components into full architectures?

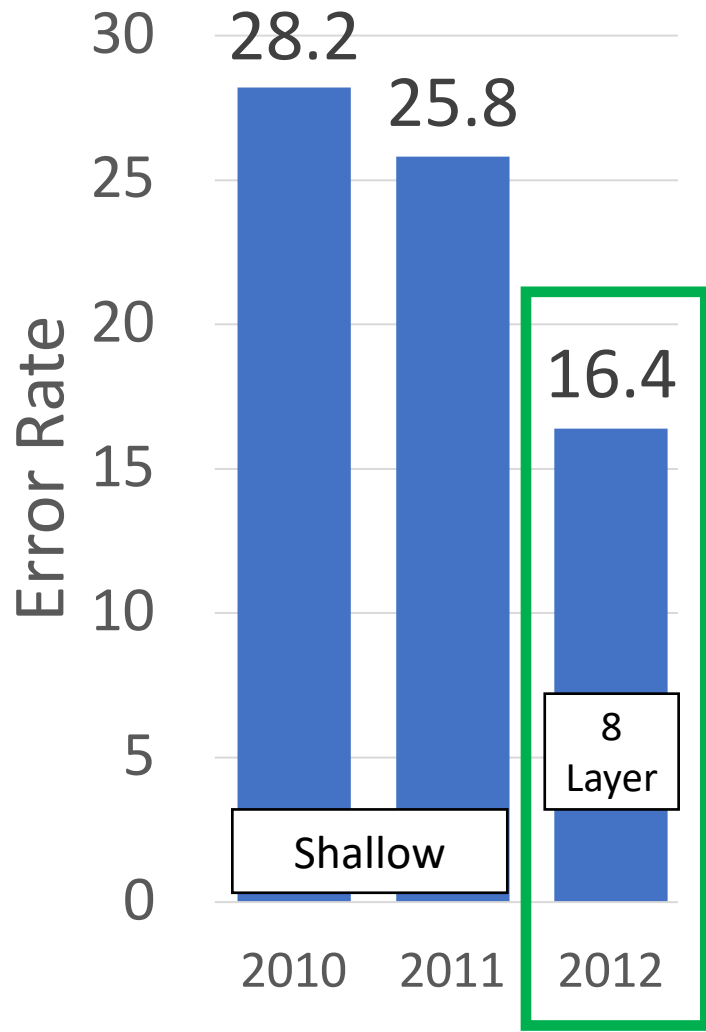
Convolution

Activation



$$\hat{x}_{i,j} = \frac{x_{i,j} - \mu_j}{\sqrt{\sigma_j^2 + \epsilon}}$$

# ImageNet Classification Challenge

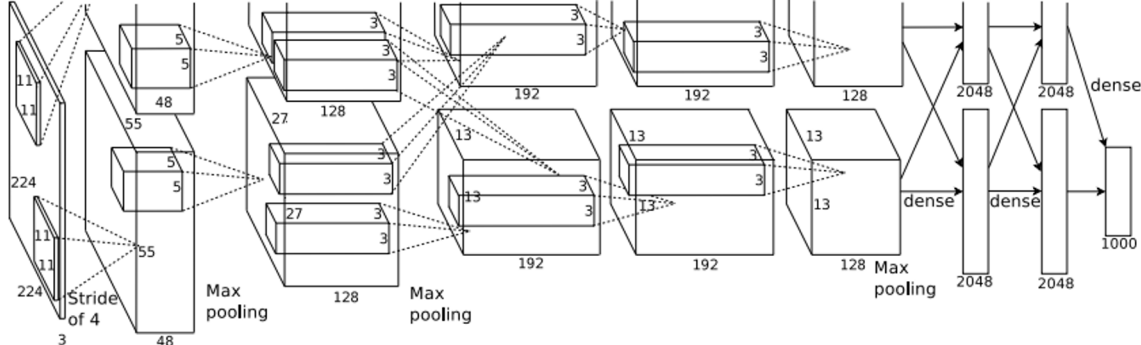


Lin et al

Sanchez &  
Perronnin

Krizhevsky et al  
(AlexNet)

# AlexNet



227 x 227 inputs

5 Convolutional layers

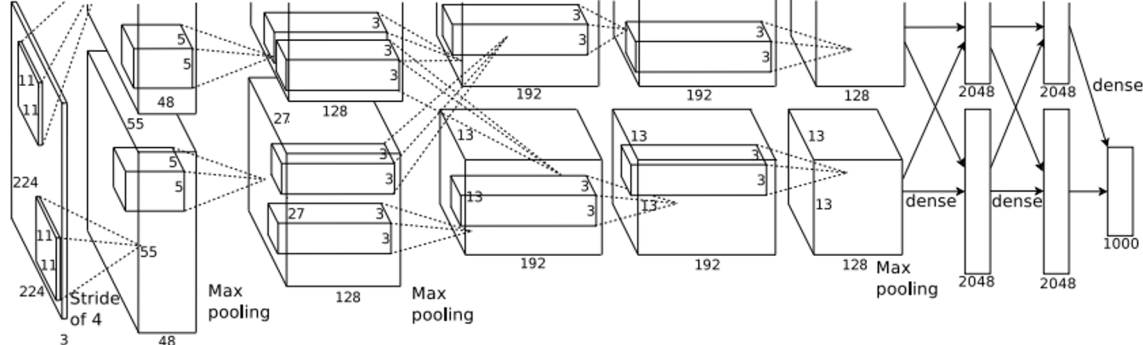
Max pooling

3 fully-connected layers

ReLU nonlinearities

Figure copyright Alex Krizhevsky, Ilya Sutskever, and Geoffrey Hinton, 2012. Reproduced with permission.

# AlexNet



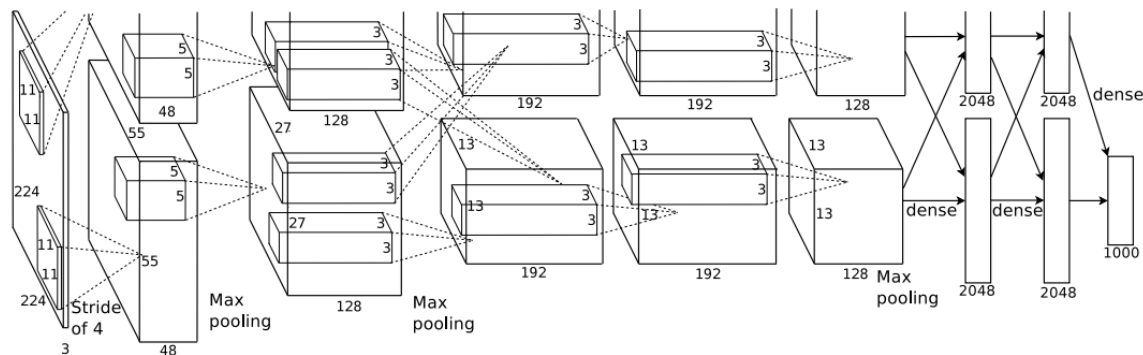
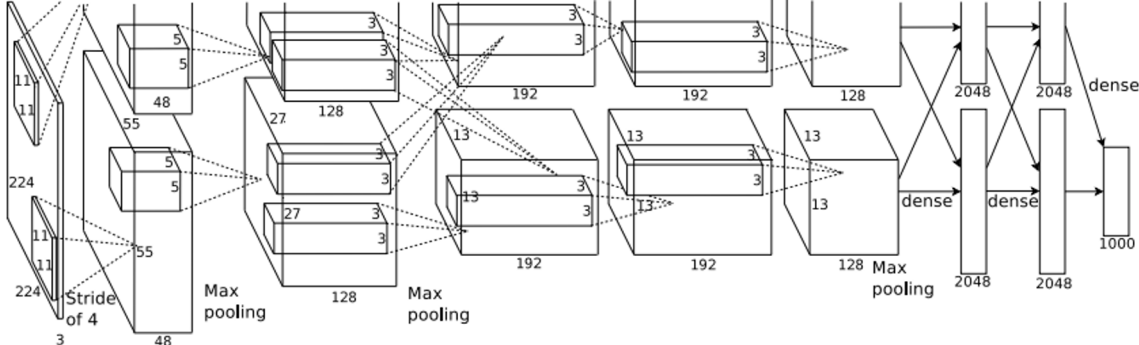
227 x 227 inputs  
5 Convolutional layers  
Max pooling  
3 fully-connected layers  
ReLU nonlinearities

Used “Local response normalization”;  
Not used anymore

Trained on two GTX 580 GPUs – only  
3GB of memory each! Model split  
over two GPUs

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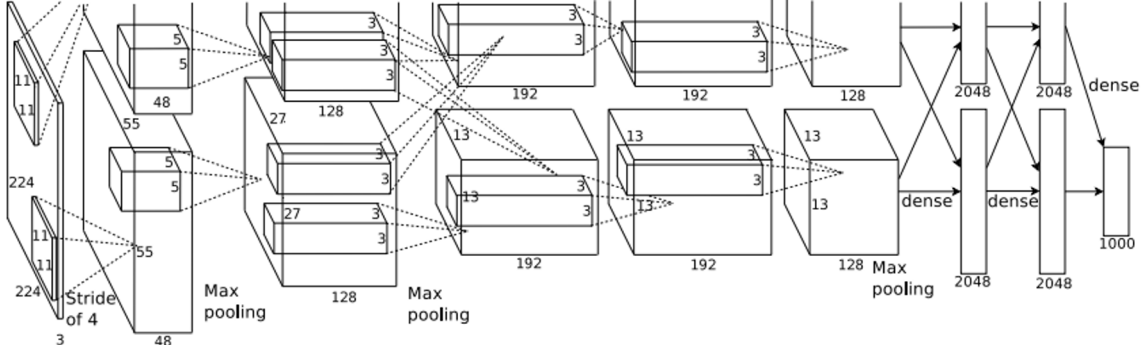
# AlexNet



**Figure 2:** An illustration of the architecture of our CNN, explicitly showing the delineation of responsibilities between the two GPUs. One GPU runs the layer-parts at the top of the figure while the other runs the layer-parts at the bottom. The GPUs communicate only at certain layers. The network's input is 150,528-dimensional, and the number of neurons in the network's remaining layers is given by 253,440–186,624–64,896–64,896–43,264–4096–4096–1000.

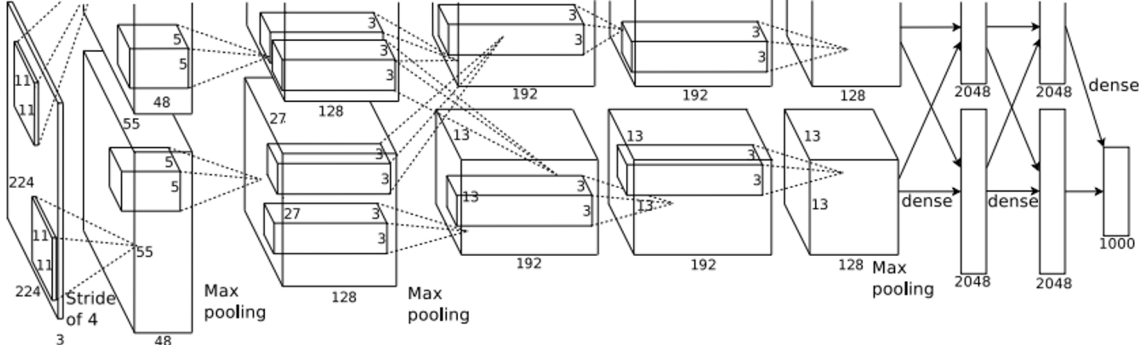
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# AlexNet



	Input size		Layer				Output size	
Layer	C	H / W	filters	kernel	stride	pad	C	H / W
conv1	3	227	64	11	4	2	?	

# AlexNet

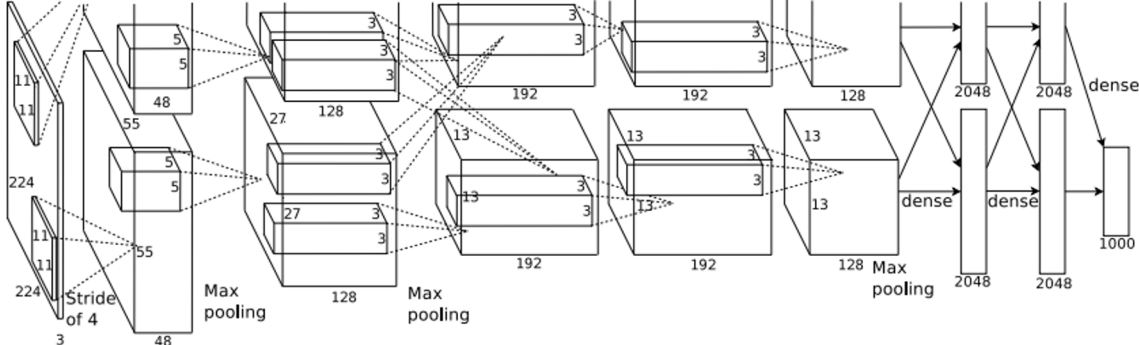


Layer	Input size			Layer				Output size	
	C	H / W	filters	kernel	stride	pad	C	H / W	
conv1	3	227	64	11	4	2	64	?	

Recall: Output channels = number of filters



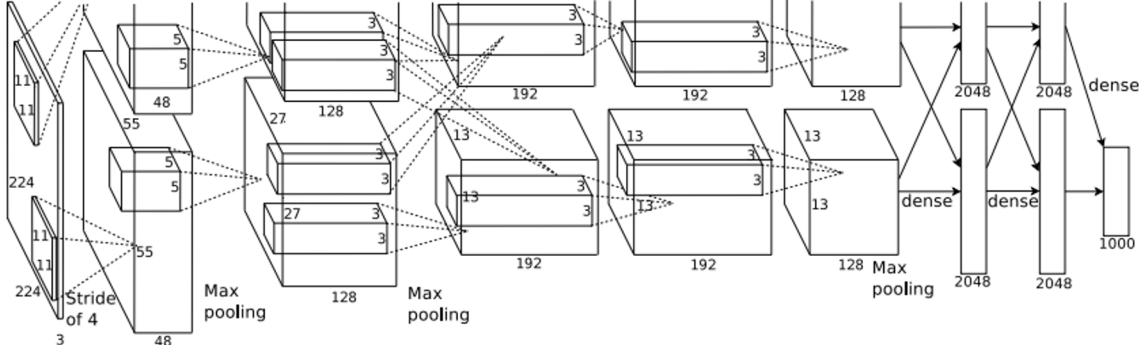
# AlexNet



	Input size		Layer				Output size	
Layer	C	H / W	filters	kernel	stride	pad	C	H / W
conv1	3	227	64	11	4	2	64	56

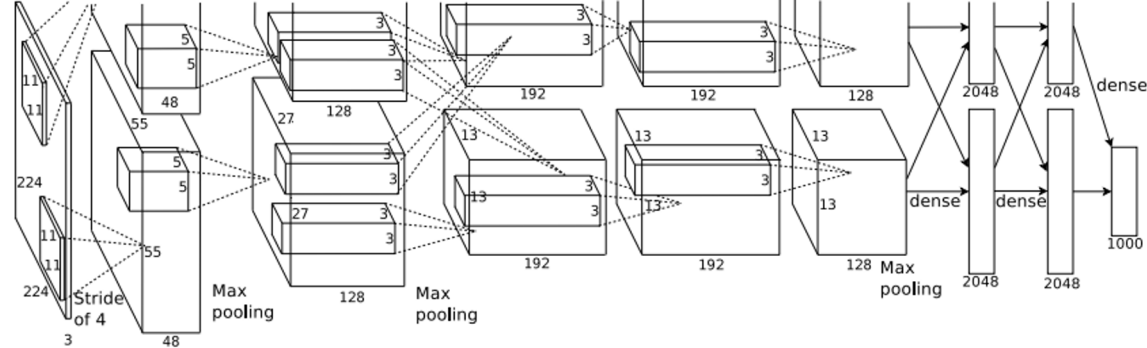
$$\begin{aligned}
 \text{Recall: } W' &= (W - K + 2P) / S + 1 \\
 &= 227 - 11 + 2 \cdot 2) / 4 + 1 \\
 &= 220 / 4 + 1 = 56
 \end{aligned}$$

# AlexNet



	Input size		Layer				Output size		
Layer	C	H / W	filters	kernel	stride	pad	C	H / W	memory (KB)
conv1	3	227	64	11	4	2	64	56	?

# AlexNet



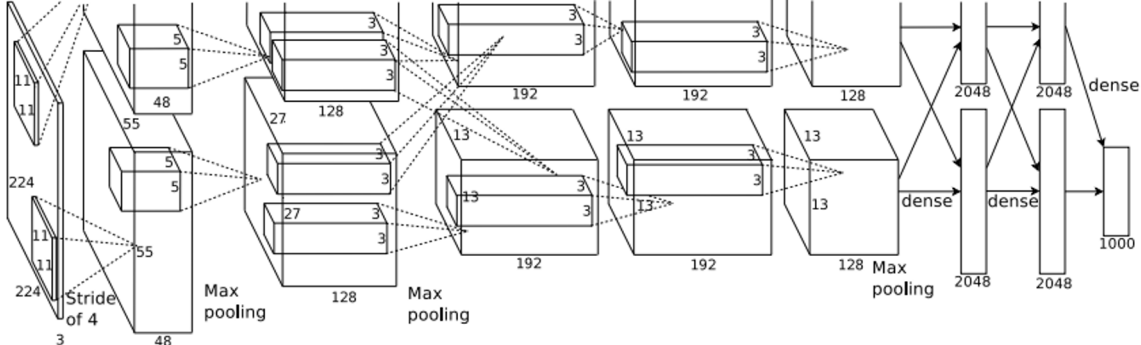
Layer	Input size		Layer				Output size		
	C	H / W	filters	kernel	stride	pad	C	H / W	memory (KB)
conv1	3	227	64	11	4	2	64	56	784

$$\begin{aligned} \text{Number of output elements} &= C * H' * W' \\ &= 64 * 56 * 56 = 200,704 \end{aligned}$$

Bytes per element = 4 (for 32-bit floating point)

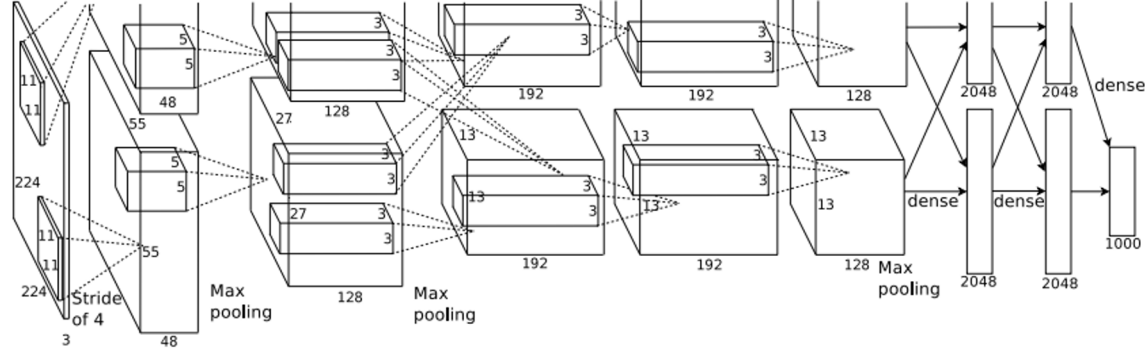
$$\begin{aligned} \text{KB} &= (\text{number of elements}) * (\text{bytes per elem}) / 1024 \\ &= 200704 * 4 / 1024 \\ &= \mathbf{784} \end{aligned}$$

# AlexNet



Layer	Input size			Layer				Output size			
	C	H / W	filters	kernel	stride	pad	C	H / W	memory (KB)	params (k)	
conv1	3	227	64	11	4	2	64	56	784	?	

# AlexNet



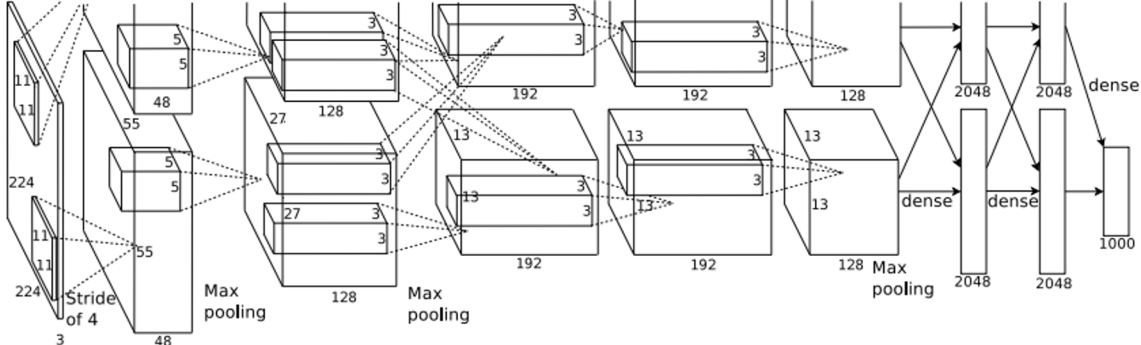
	Input size		Layer				Output size			
Layer	C	H / W	filters	kernel	stride	pad	C	H / W	memory (KB)	params (k)
conv1	3	227	64	11	4	2	64	56	784	23

$$\begin{aligned}\text{Weight shape} &= C_{\text{out}} \times C_{\text{in}} \times K \times K \\ &= 64 \times 3 \times 11 \times 11\end{aligned}$$

$$\text{Bias shape} = C_{\text{out}} = 64$$

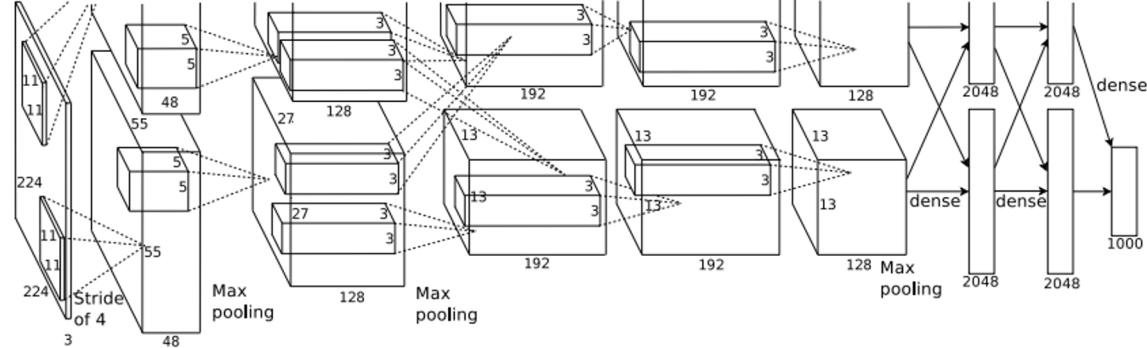
$$\begin{aligned}\text{Number of weights} &= 64 * 3 * 11 * 11 + 64 \\ &= \mathbf{23,296}\end{aligned}$$

# AlexNet



Layer	Input size			Layer				Output size			memory (KB)	params (k)	flop (M)
	C	H / W		filters	kernel	stride	pad	C	H / W				
conv1	3	227		64	11	4	2	64	56		784	23	?

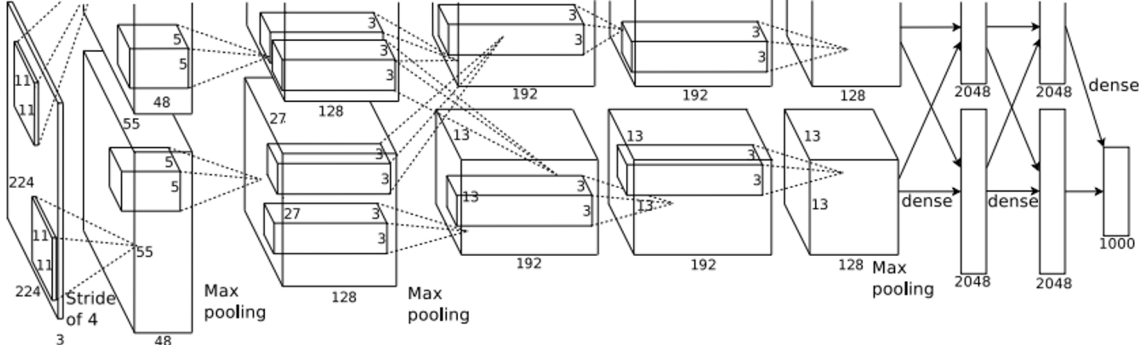
# AlexNet



	Input size		Layer				Output size				
Layer	C	H / W	filters	kernel	stride	pad	C	H / W	memory (KB)	params (k)	flop (M)
conv1	3	227	64	11	4	2	64	56	784	23	73

Number of floating point operations (multiply+add)  
 = (number of output elements) \* (ops per output elem)  
 =  $(C_{out} \times H' \times W')$  \*  $(C_{in} \times K \times K)$   
 =  $(64 * 56 * 56) * (3 * 11 * 11)$   
 =  $200,704 * 363$   
 = **72,855,552**

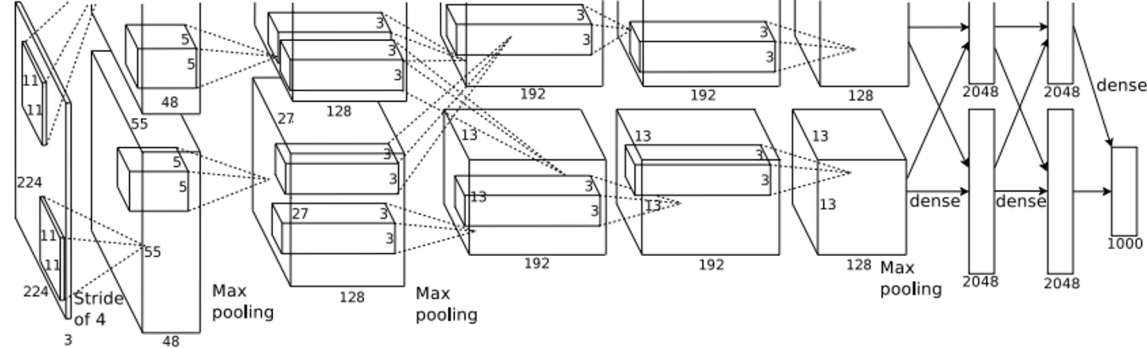
# AlexNet



Layer	Input size		Layer				Output size		memory (KB)	params (k)	flop (M)
	C	H / W	filters	kernel	stride	pad	C	H / W			
conv1	3	227	64	11	4	2	64	56	784	23	73
pool1	64	56		3	2	0	?				



# AlexNet



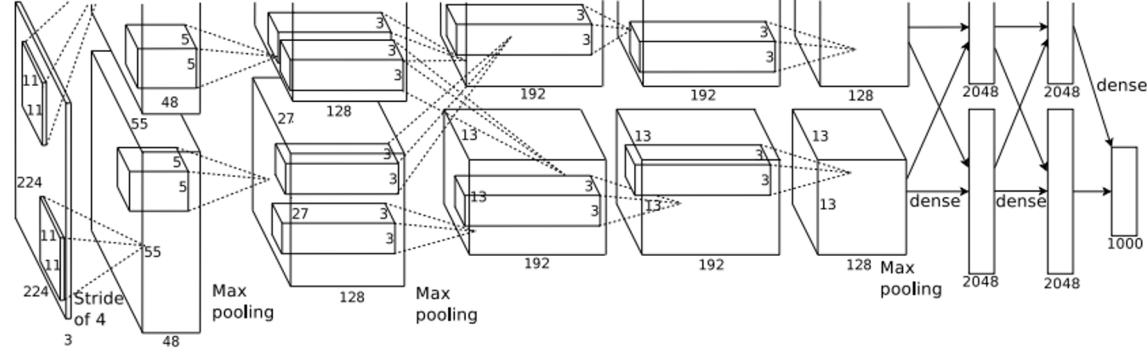
Layer	Input size		Layer				Output size		memory (KB)	params (k)	flop (M)
	C	H / W	filters	kernel	stride	pad	C	H / W			
conv1	3	227	64	11	4	2	64	56	784	23	73
pool1	64	56		3	2	0	64	27			

For pooling layer:

#output channels = #input channels = 64

$$\begin{aligned}
 W' &= \text{floor}((W - K) / S + 1) \\
 &= \text{floor}(53 / 2 + 1) = \text{floor}(27.5) = \mathbf{27}
 \end{aligned}$$

# AlexNet



Layer	Input size		Layer				Output size		memory (KB)	params (k)	flop (M)
	C	H / W	filters	kernel	stride	pad	C	H / W			
conv1	3	227	64	11	4	2	64	56	784	23	73
pool1	64	56		3	2	0	64	27	182	?	

$$\# \text{output elems} = C_{\text{out}} \times H' \times W'$$

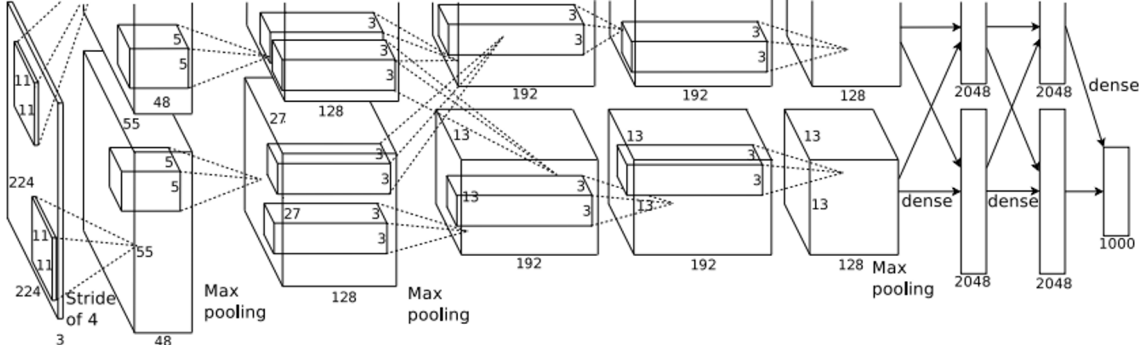
$$\text{Bytes per elem} = 4$$

$$\text{KB} = C_{\text{out}} * H' * W' * 4 / 1024$$

$$= 64 * 27 * 27 * 4 / 1024$$

$$= \mathbf{182.25}$$

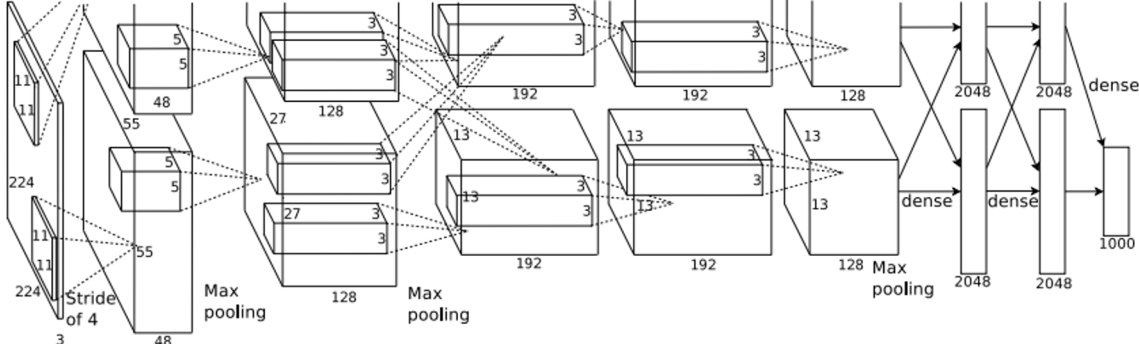
# AlexNet



Layer	Input size		Layer				Output size		memory (KB)	params (k)	flop (M)
	C	H / W	filters	kernel	stride	pad	C	H / W			
conv1	3	227	64	11	4	2	64	56	784	23	73
pool1	64	56		3	2	0	64	27	182	0	?

Pooling layers have no learnable parameters!

# AlexNet



Layer	Input size		Layer				Output size		memory (KB)	params (k)	flop (M)
	C	H / W	filters	kernel	stride	pad	C	H / W			
conv1	3	227	64	11	4	2	64	56	784	23	73
pool1	64	56		3	2	0	64	27	182	0	0

Floating-point ops for pooling layer

= (number of output positions) \* (flops per output position)

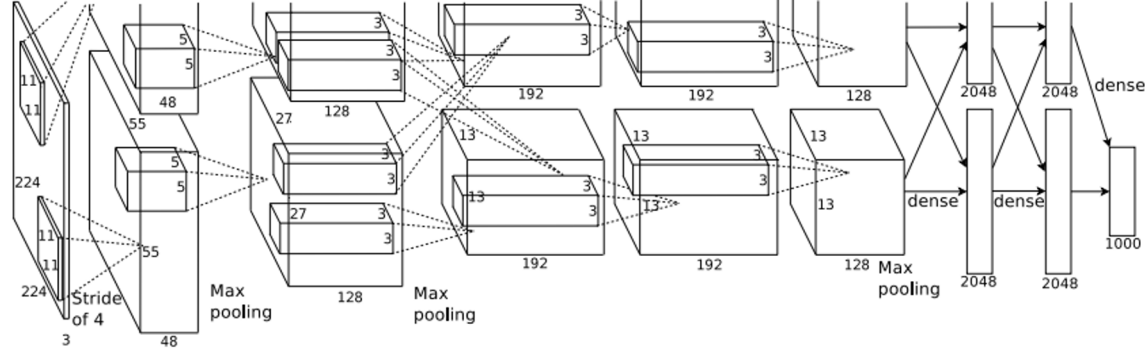
=  $(C_{out} * H' * W') * (K * K)$

=  $(64 * 27 * 27) * (3 * 3)$

= 419,904

= **0.4 MFLOP**

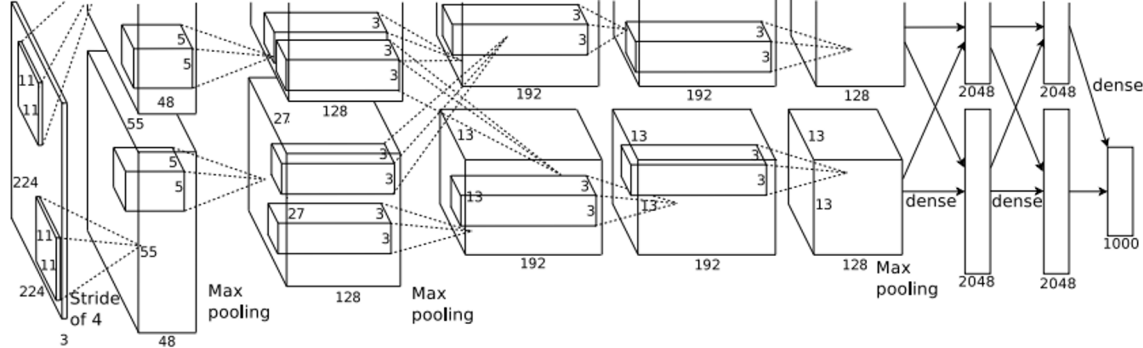
# AlexNet



Layer	Input size		Layer				Output size		memory (KB)	params (k)	flop (M)
	C	H / W	filters	kernel	stride	pad	C	H / W			
conv1	3	227	64	11	4	2	64	56	784	23	73
pool1	64	56		3	2	0	64	27	182	0	0
conv2	64	27	192	5	1	2	192	27	547	307	224
pool2	192	27		3	2	0	192	13	127	0	0
conv3	192	13	384	3	1	1	384	13	254	664	112
conv4	384	13	256	3	1	1	256	13	169	885	145
conv5	256	13	256	3	1	1	256	13	169	590	100
pool5	256	13		3	2	0	256	6	36	0	0
flatten	256	6					9216		36	0	0

$$\begin{aligned}
 \text{Flatten output size} &= C_{in} \times H \times W \\
 &= 256 * 6 * 6 \\
 &= \mathbf{9216}
 \end{aligned}$$

# AlexNet

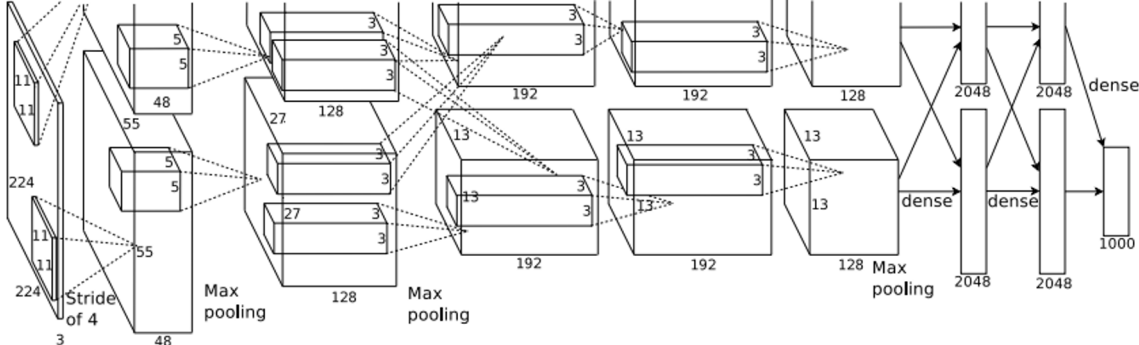


Layer	Input size		Layer				Output size		memory (KB)	params (k)	flop (M)
	C	H / W	filters	kernel	stride	pad	C	H / W			
conv1	3	227	64	11	4	2	64	56	784	23	73
pool1	64	56		3	2	0	64	27	182	0	0
conv2	64	27	192	5	1	2	192	27	547	307	224
pool2	192	27		3	2	0	192	13	127	0	0
conv3	192	13	384	3	1	1	384	13	254	664	112
conv4	384	13	256	3	1	1	256	13	169	885	145
conv5	256	13	256	3	1	1	256	13	169	590	100
pool5	256	13		3	2	0	256	6	36	0	0
flatten	256	6					9216		36	0	0
fc6	9216		4096				4096		16	37,749	38

$$\begin{aligned}
 \text{FC params} &= C_{\text{in}} * C_{\text{out}} + C_{\text{out}} \\
 &= 9216 * 4096 + 4096 \\
 &= 37,725,832
 \end{aligned}$$

$$\begin{aligned}
 \text{FC flops} &= C_{\text{in}} * C_{\text{out}} \\
 &= 9216 * 4096 \\
 &= 37,748,736
 \end{aligned}$$

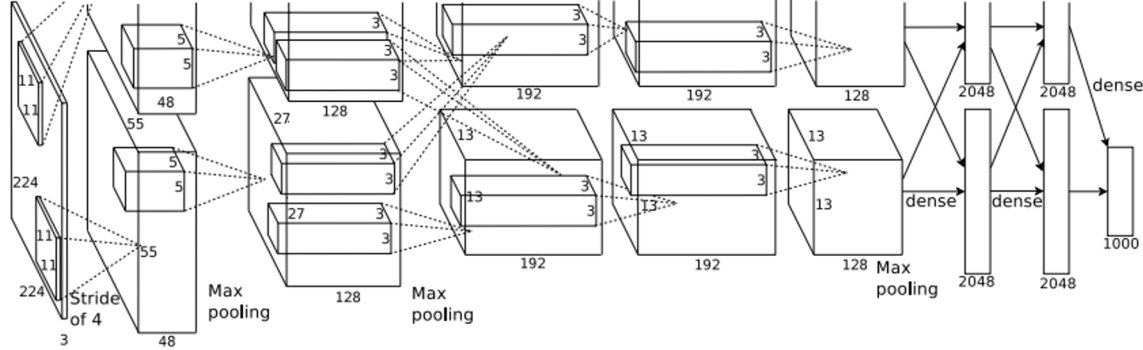
# AlexNet



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fc6	9216		4096				4096		16	37,749	38
fc7	4096		4096				4096		16	16,777	17
fc8	4096		1000				1000		4	4,096	4

# AlexNet

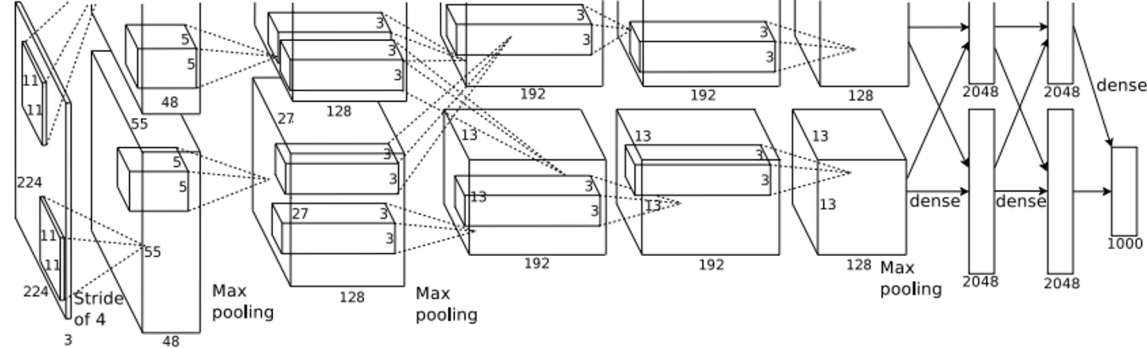
How to choose this?  
Trial and error =



Layer	Input size		Layer				Output size				
	C	H / W	filters	kernel	stride	pad	C	H / W	memory (KB)	params (k)	flop (M)
conv1	3	227	64	11	4	2	64	56	784	23	73
pool1	64	56			3	2	64	27	182	0	0
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fc8	4096		1000				1000		4	4,096	4



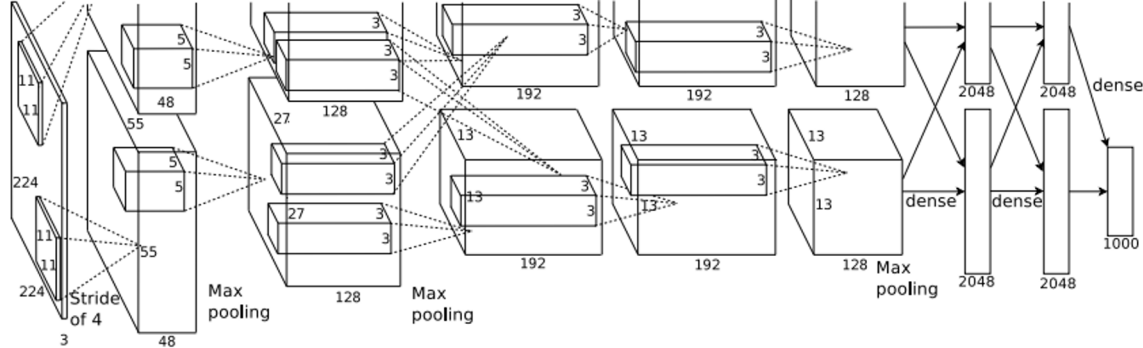
# AlexNet



Interesting trends here!

Layer	Input size		Layer				Output size		memory (KB)	params (k)	flop (M)
	C	H / W	filters	kernel	stride	pad	C	H / W			
conv1	3	227	64	11	4	2	64	56	784	23	73
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# AlexNet

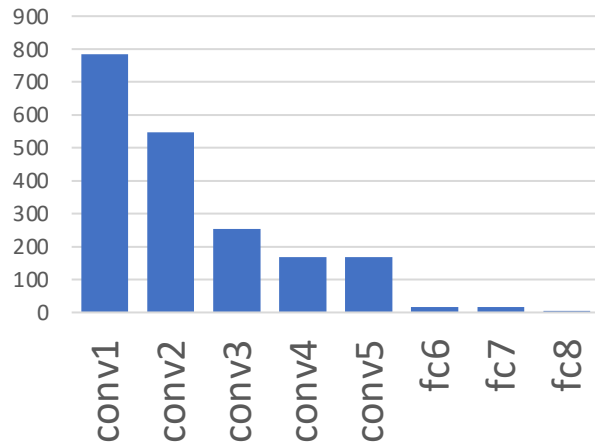


Most of the **memory usage** is in the early convolution layers

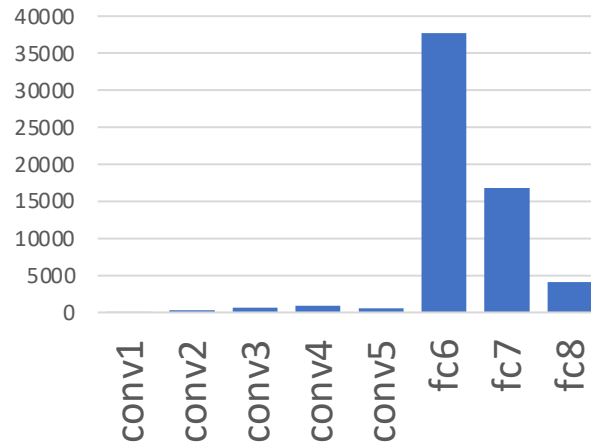
Nearly all **parameters** are in the fully-connected layers

Most **floating-point ops** occur in the convolution layers

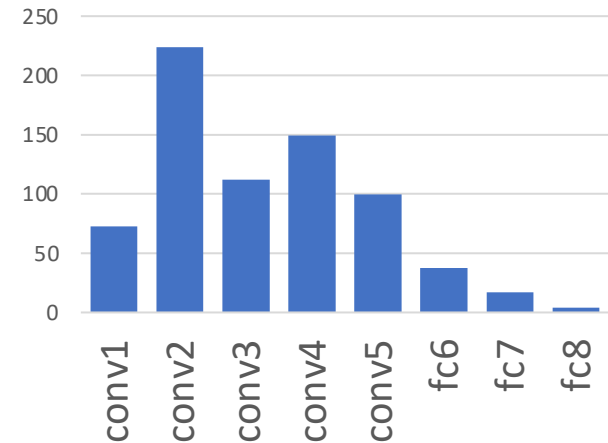
Memory (KB)



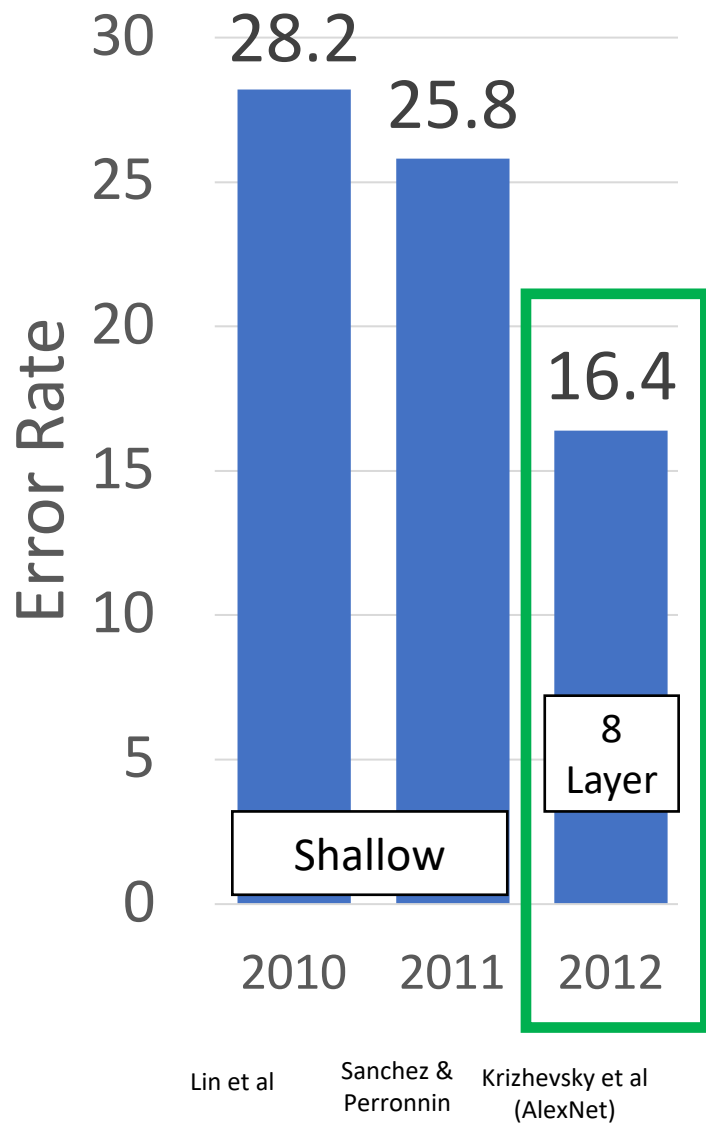
Params (K)



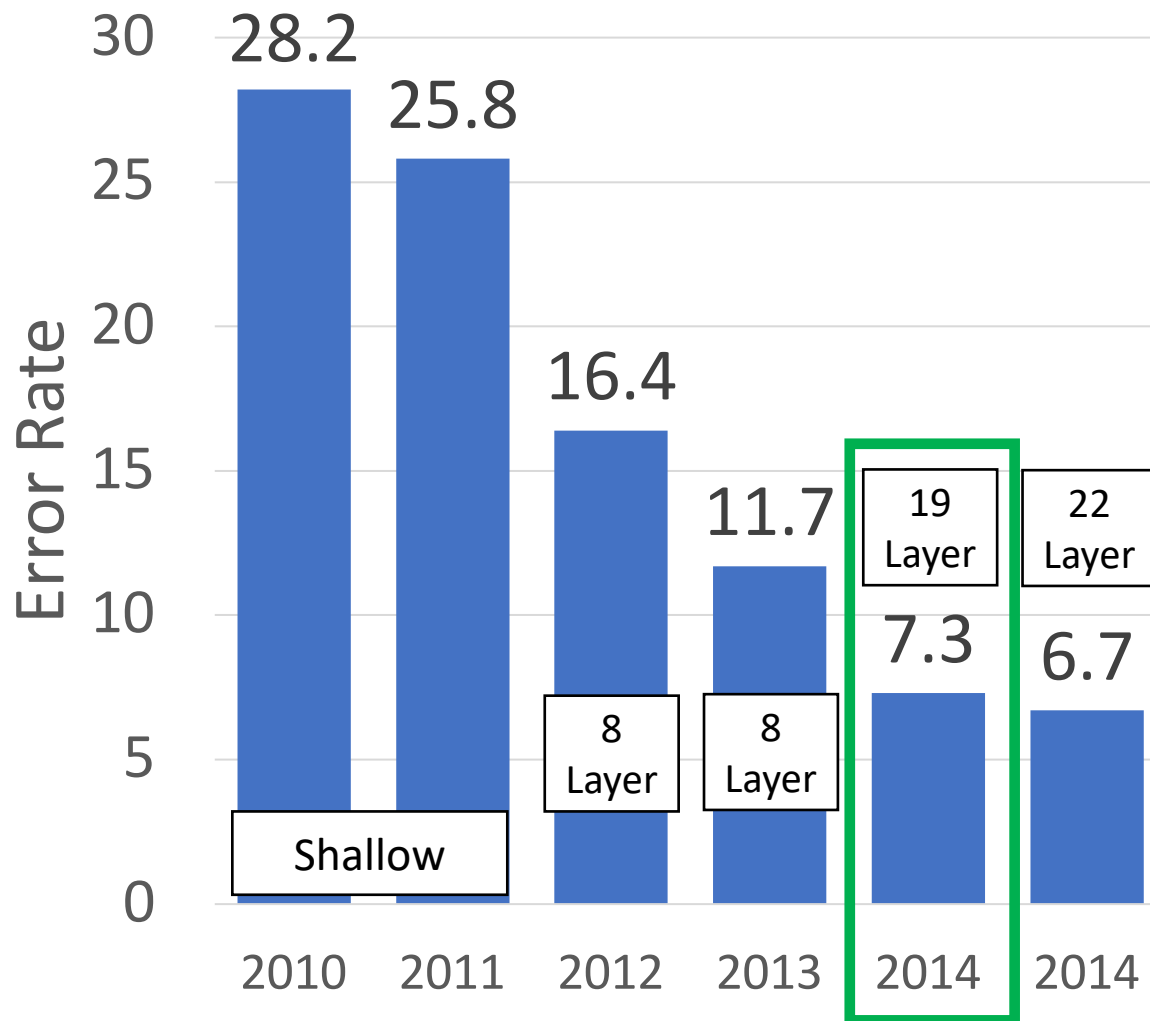
MFLOP



# ImageNet Classification Challenge



# ImageNet Classification Challenge



Lin et al

Sanchez &  
Perronnin

Krizhevsky et al  
(AlexNet)

Zeiler &  
Fergus

Simonyan &  
Zisserman (VGG)

Szegedy et al  
(GoogLeNet)

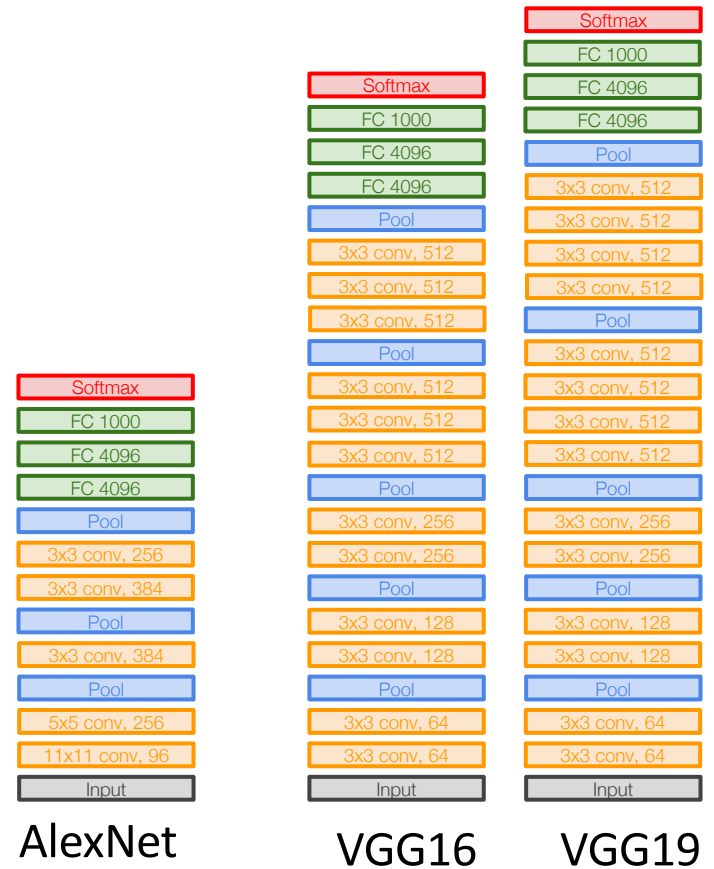
# VGG: Deeper Networks, Regular Design

## VGG Design rules:

All conv are 3x3 stride 1 pad 1

All max pool are 2x2 stride 2

After pool, double #channels



AlexNet

VGG16

VGG19

# VGG: Deeper Networks, Regular Design

## VGG Design rules:

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All max pool are 2x2 stride 2

After pool, double #channels

Network has 5 convolutional **stages**:

Stage 1: conv-conv-pool

Stage 2: conv-conv-pool

Stage 3: conv-conv-pool

Stage 4: conv-conv-conv-[conv]-pool

Stage 5: conv-conv-conv-[conv]-pool

(VGG-19 has 4 conv in stages 4 and 5)



# VGG: Deeper Networks, Regular Design

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All max pool are 2x2 stride 2

After pool, double #channels

## Option 1:

Conv(5x5, C → C)

Params:  $25C^2$

FLOPs:  $25C^2HW$



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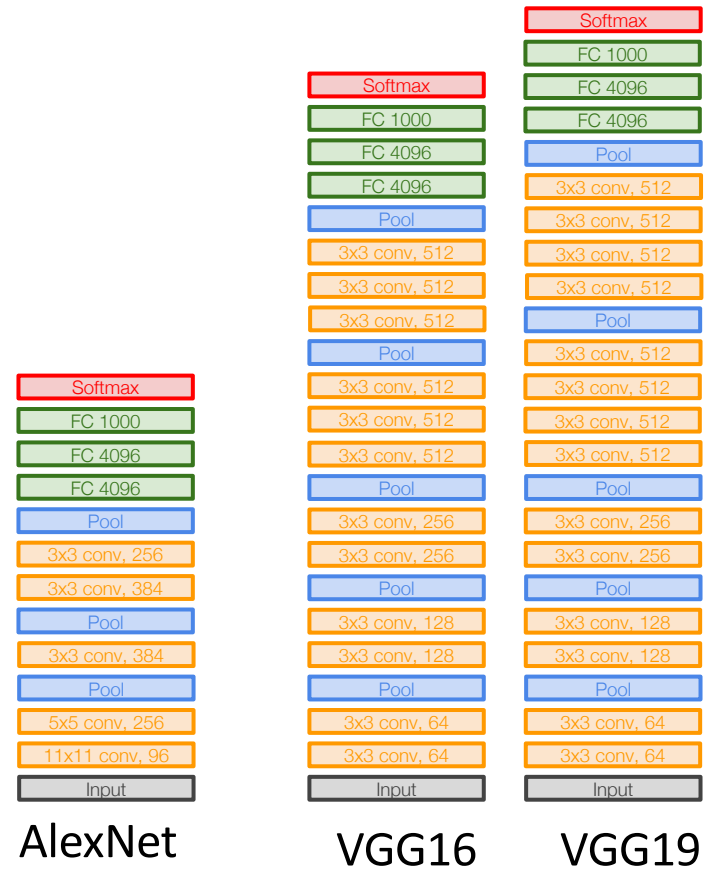
### Option 2:

Conv(3x3, C → C)

Conv(3x3, C → C)

Params:  $18C^2$

FLOPs:  $18C^2HW$



AlexNet

VGG16

VGG19



# VGG: Deeper Networks, Regular Design

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FLOPs:  $25C^2HW$

### Option 2:

Conv(3x3, C → C)

Conv(3x3, C → C)

Params:  $18C^2$

FLOPs:  $18C^2HW$

Two 3x3 conv has same receptive field as a single 5x5 conv, but has fewer parameters and takes less computation!



# VGG: Deeper Networks, Regular Design

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All conv are 3x3 stride 1 pad 1

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After pool, double #channels

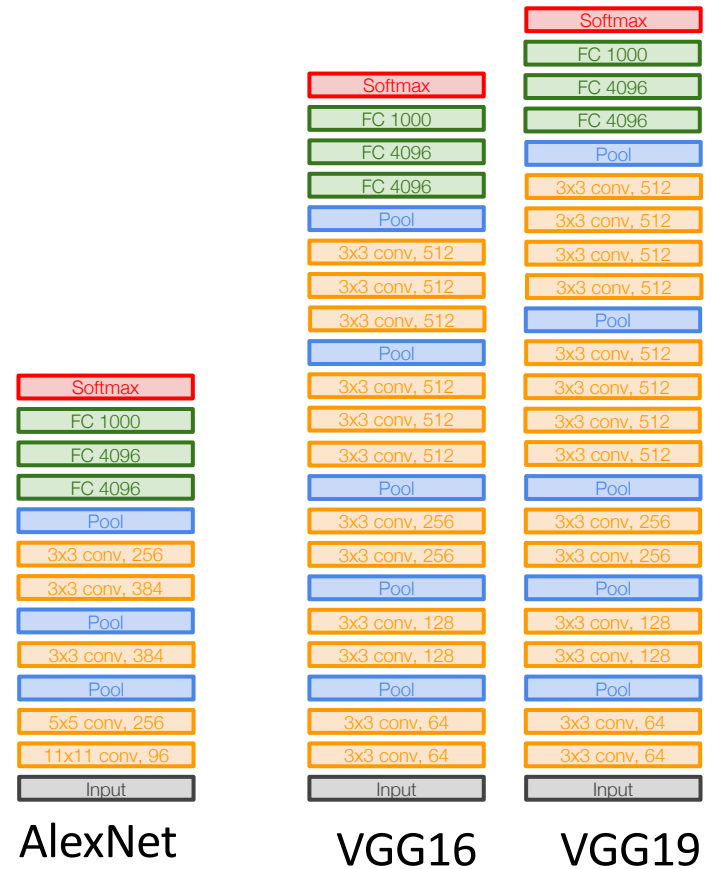
Input: C x 2H x 2W

Layer: Conv(3x3, C->C)

Memory: 4HWC

Params:  $9C^2$

FLOPs:  $36HWC^2$



Simonyan and Zissermann, "Very Deep Convolutional Networks for Large-Scale Image Recognition", ICLR 2015

# VGG: Deeper Networks, Regular Design

## VGG Design rules:

All conv are 3x3 stride 1 pad 1

All max pool are 2x2 stride 2

After pool, double #channels

Input:  $C \times 2H \times 2W$

Layer: Conv(3x3,  $C \rightarrow C$ )

Memory: 4HWC

Params:  $9C^2$

FLOPs:  $36HWC^2$

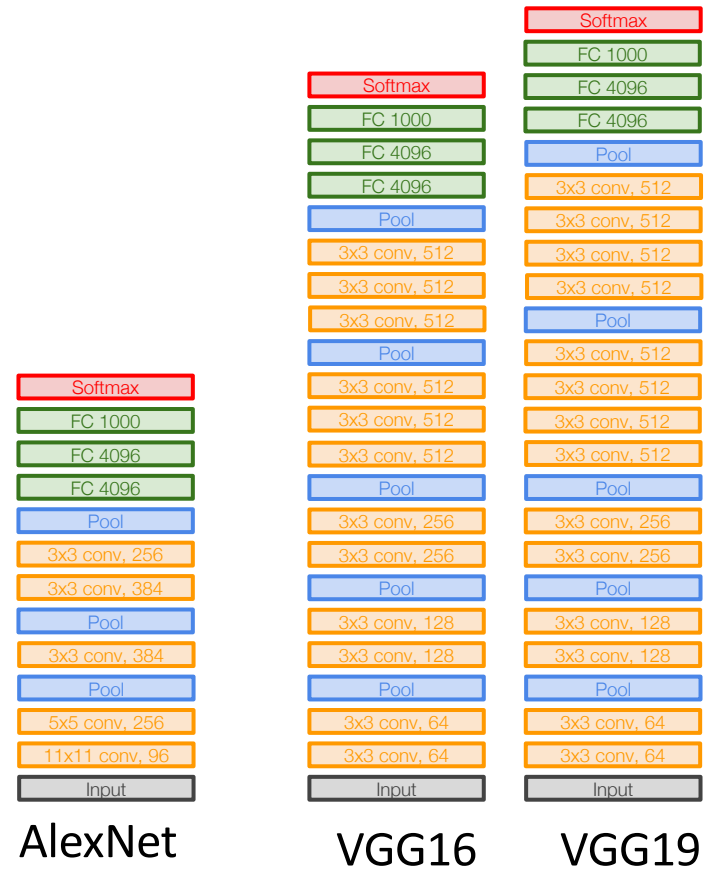
Input:  $2C \times H \times W$

Conv(3x3,  $2C \rightarrow 2C$ )

Memory: 2HWC

Params:  $36C^2$

FLOPs:  $36HWC^2$



# VGG: Deeper Networks, Regular Design

## VGG Design rules:

All conv are 3x3 stride 1 pad 1

All max pool are 2x2 stride 2

After pool, double #channels

Input:  $C \times 2H \times 2W$

Layer: Conv(3x3,  $C \rightarrow C$ )

Memory: 4HWC

Params:  $9C^2$

FLOPs:  $36HWC^2$

Input:  $2C \times H \times W$

Conv(3x3,  $2C \rightarrow 2C$ )

Memory: 2HWC

Params:  $36C^2$

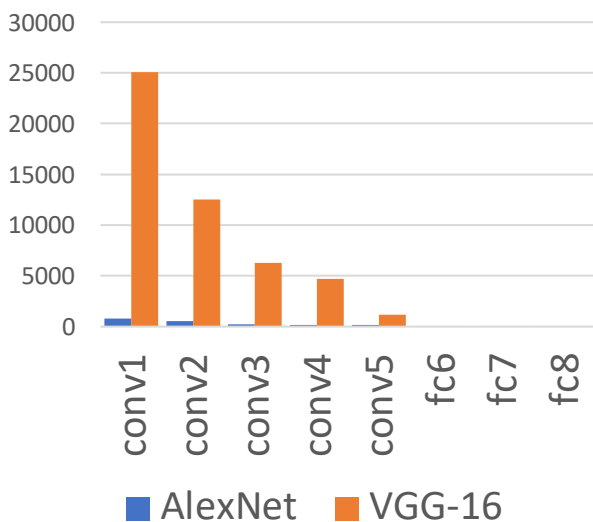
FLOPs:  $36HWC^2$

Conv layers at each spatial resolution take the same amount of computation!

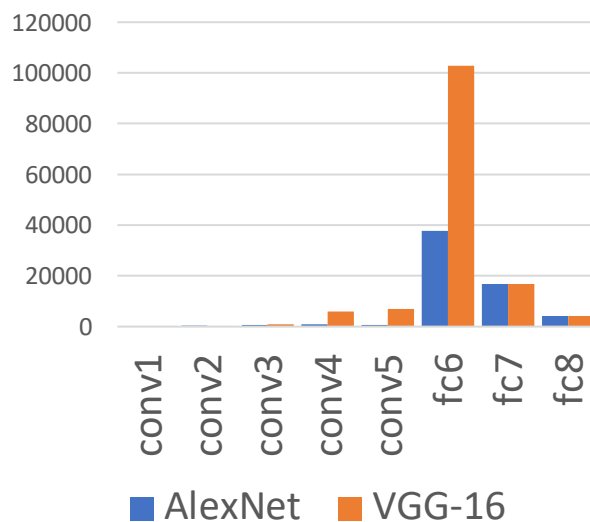


# AlexNet vs VGG-16: Much Bigger!

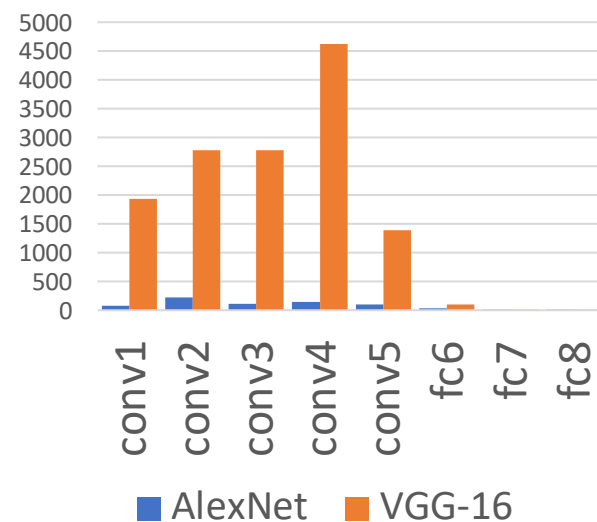
AlexNet vs VGG-16  
(Memory, KB)



AlexNet vs VGG-16  
(Params, M)



AlexNet vs VGG-16  
(MFLOPs)

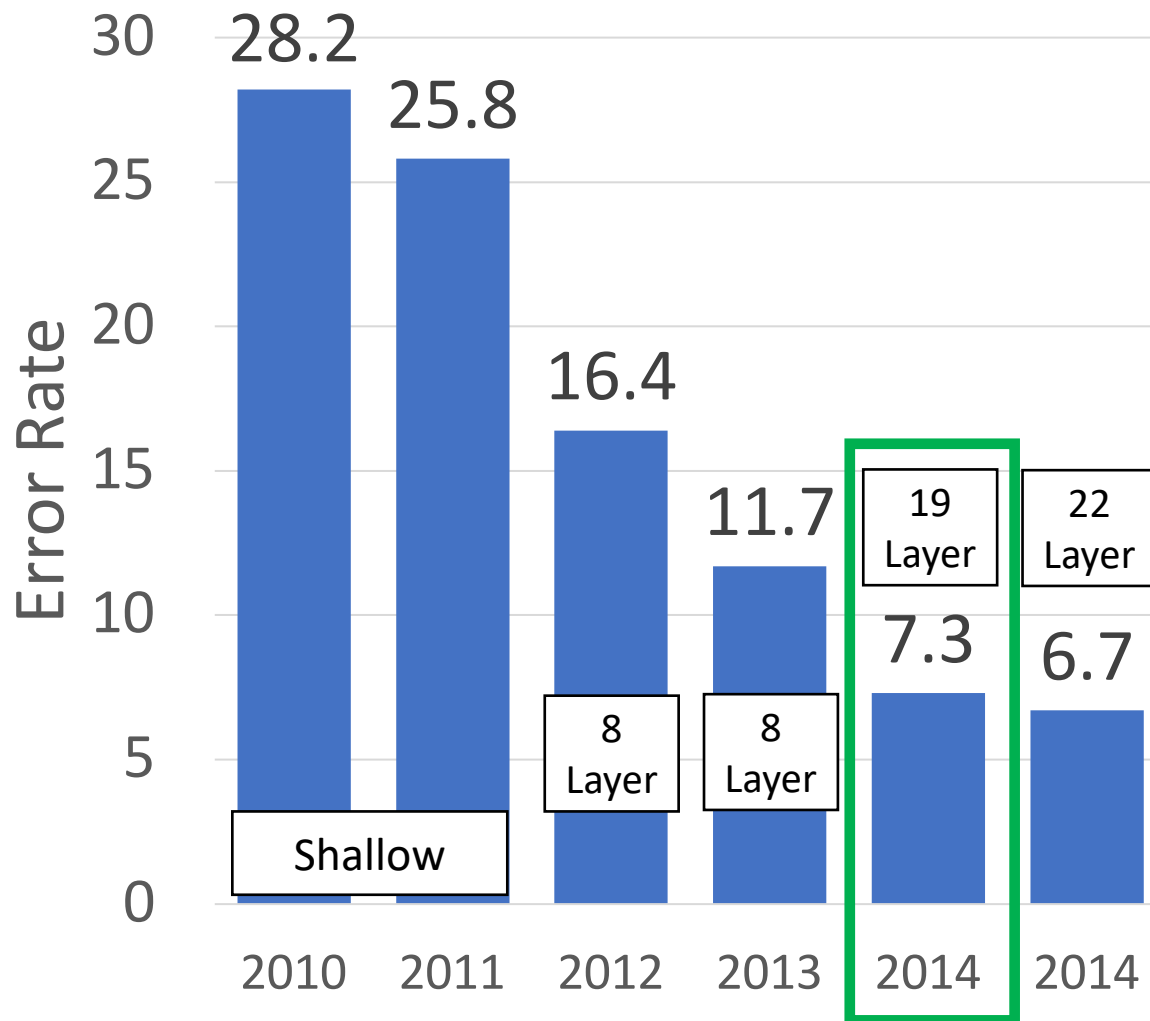


AlexNet total: 1.9 MB  
VGG-16 total: 48.6 MB (25x)

AlexNet total: 61M  
VGG-16 total: 138M (2.3x)

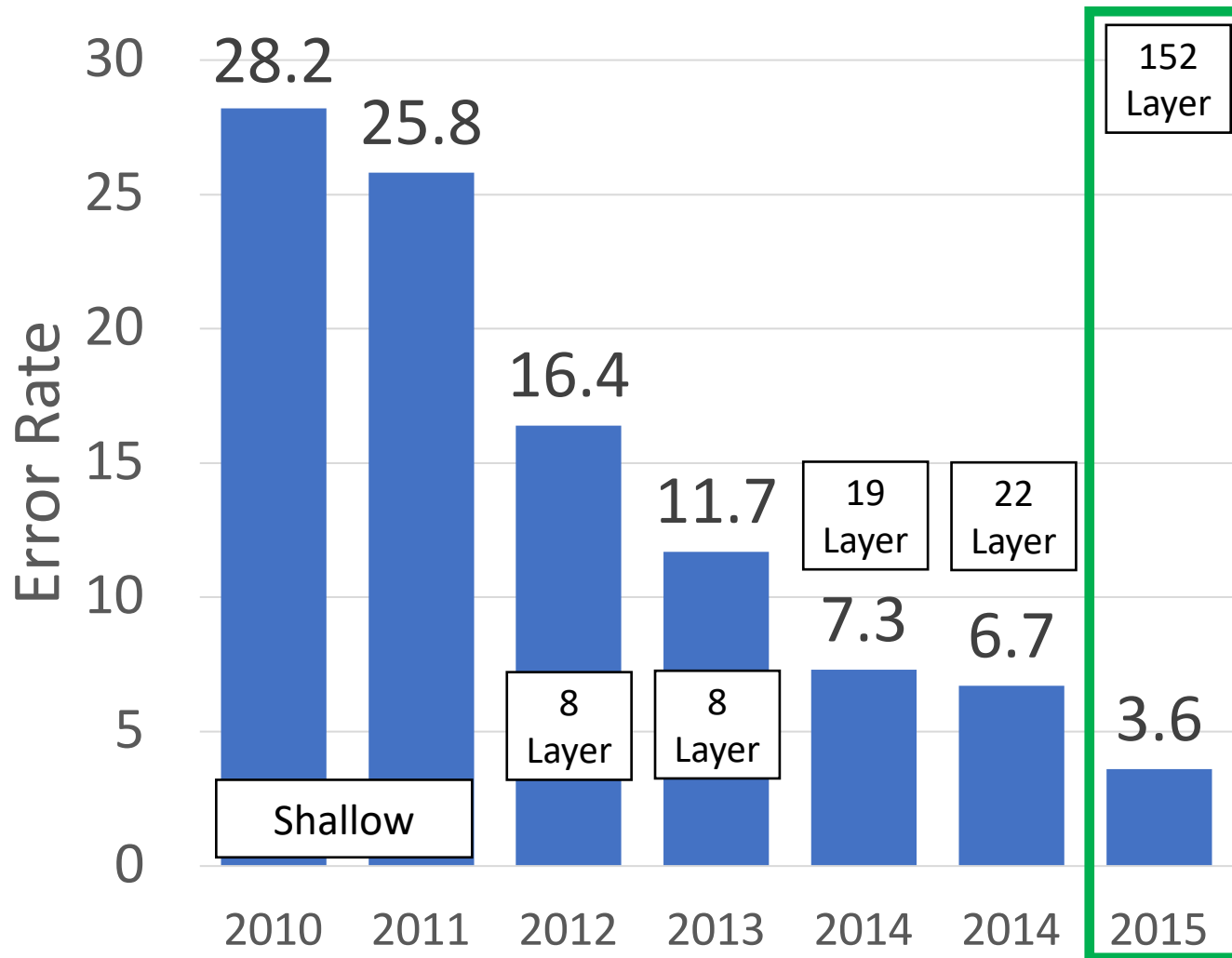
AlexNet total: 0.7 GFLOP  
VGG-16 total: 13.6 GFLOP (19.4x)

# ImageNet Classification Challenge



Lin et al    Sanchez & Perronnin    Krizhevsky et al (AlexNet)    Zeiler & Fergus    Simonyan & Zisserman (VGG)    Szegedy et al (GoogLeNet)

# ImageNet Classification Challenge



Lin et al    Sanchez & Perronnin    Krizhevsky et al (AlexNet)    Zeiler & Fergus    Simonyan & Zisserman (VGG)    Szegedy et al (GoogLeNet)    He et al (ResNet)

# Residual Networks

Once we have Batch Normalization, we can train networks with 10+ layers. What happens as we go deeper?

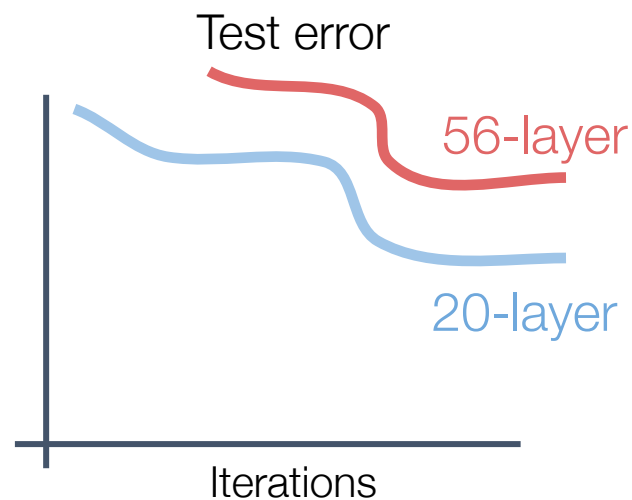


# Residual Networks

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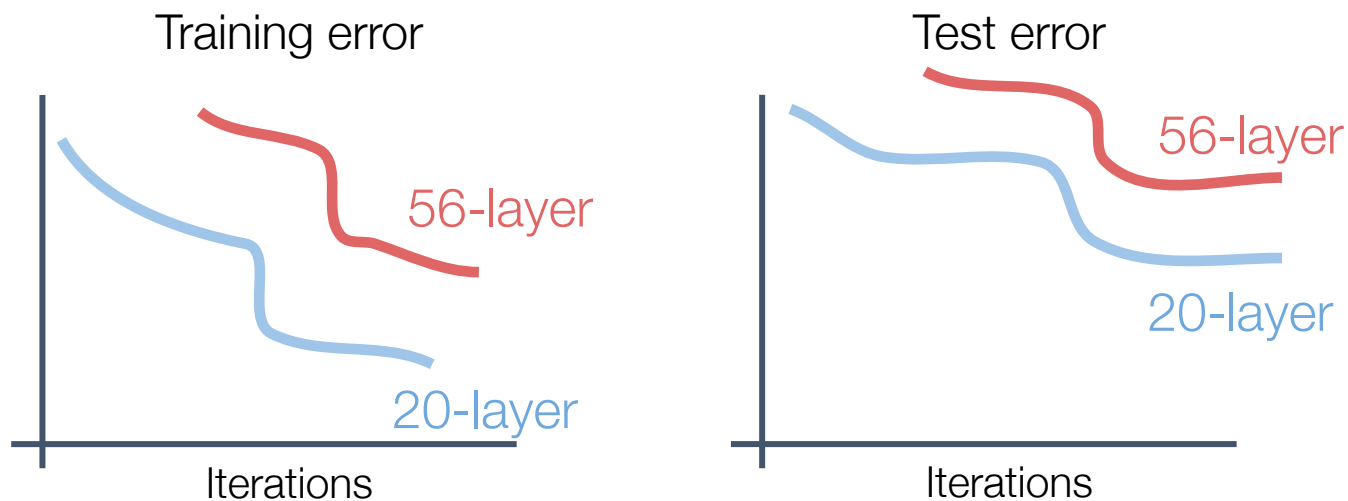
Deeper model does worse than shallow model!

Initial guess: Deep model is **overfitting** since it is much bigger than the other model



# Residual Networks

Once we have Batch Normalization, we can train networks with 10+ layers. What happens as we go deeper?



In fact the deep model seems to be **underfitting** since it also performs worse than the shallow model on the training set! It is actually **underfitting**

# Residual Networks

A deeper model can emulate a shallower model: copy layers from shallower model, set extra layers to identity

Thus deeper models should do at least as good as shallow models

**Hypothesis:** This is an optimization problem. Deeper models are harder to optimize, and in particular don't learn identity functions to emulate shallow models

# Residual Networks

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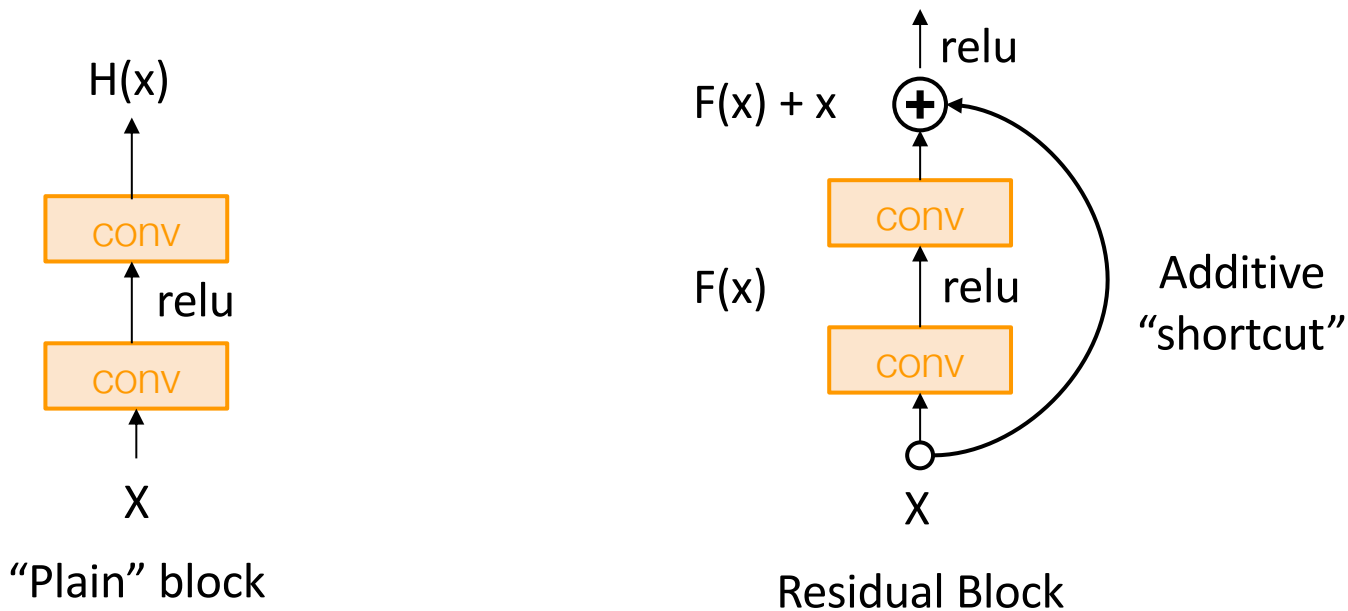
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**Solution:** Change the network so learning identity functions with extra layers is easy!

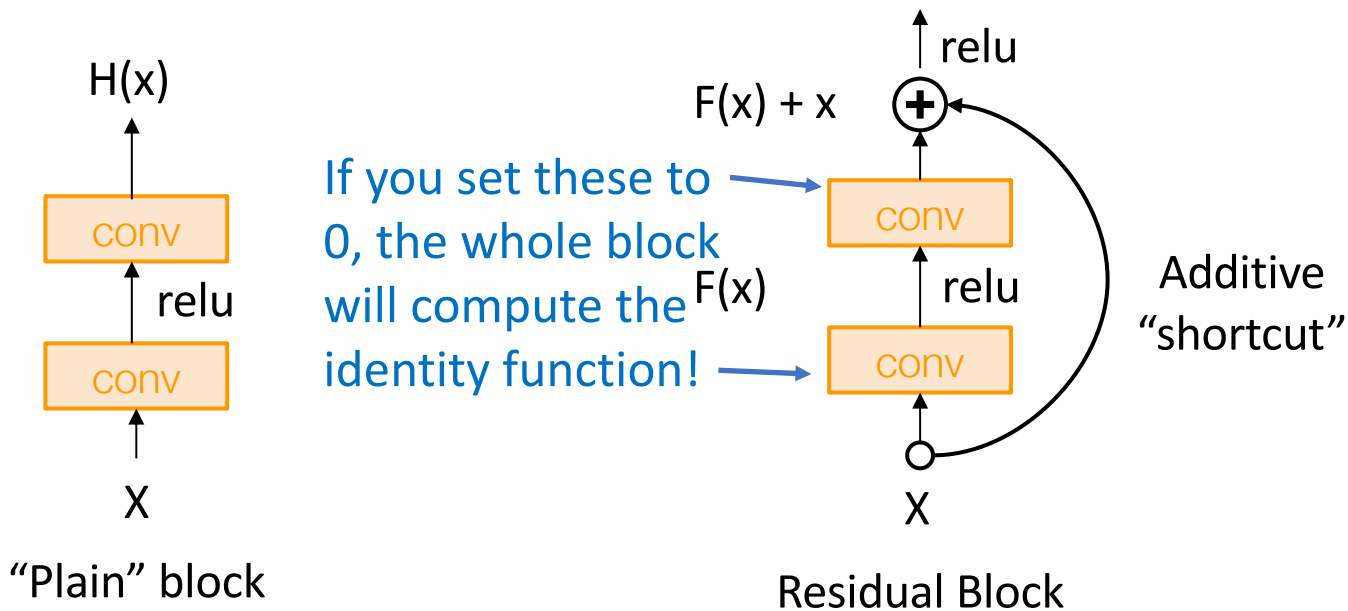
# Residual Networks

**Solution:** Change the network so learning identity functions with extra layers is easy!



# Residual Networks

**Solution:** Change the network so learning identity functions with extra layers is easy!

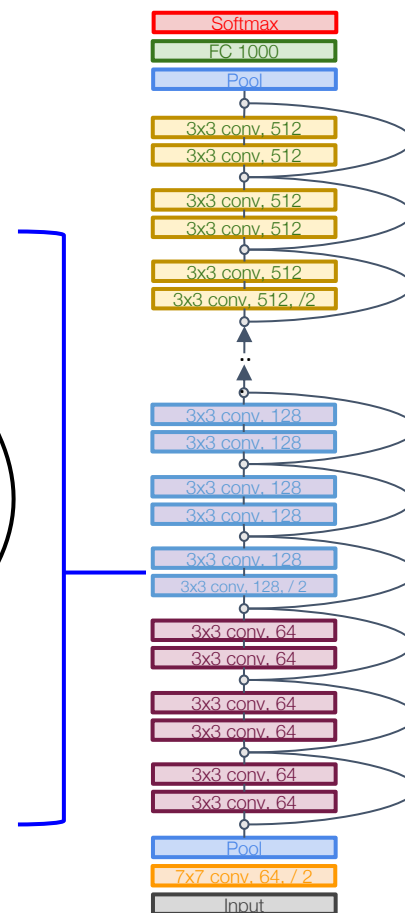
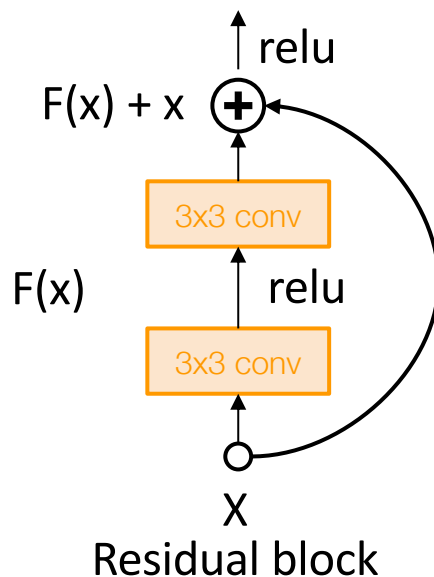


# Residual Networks

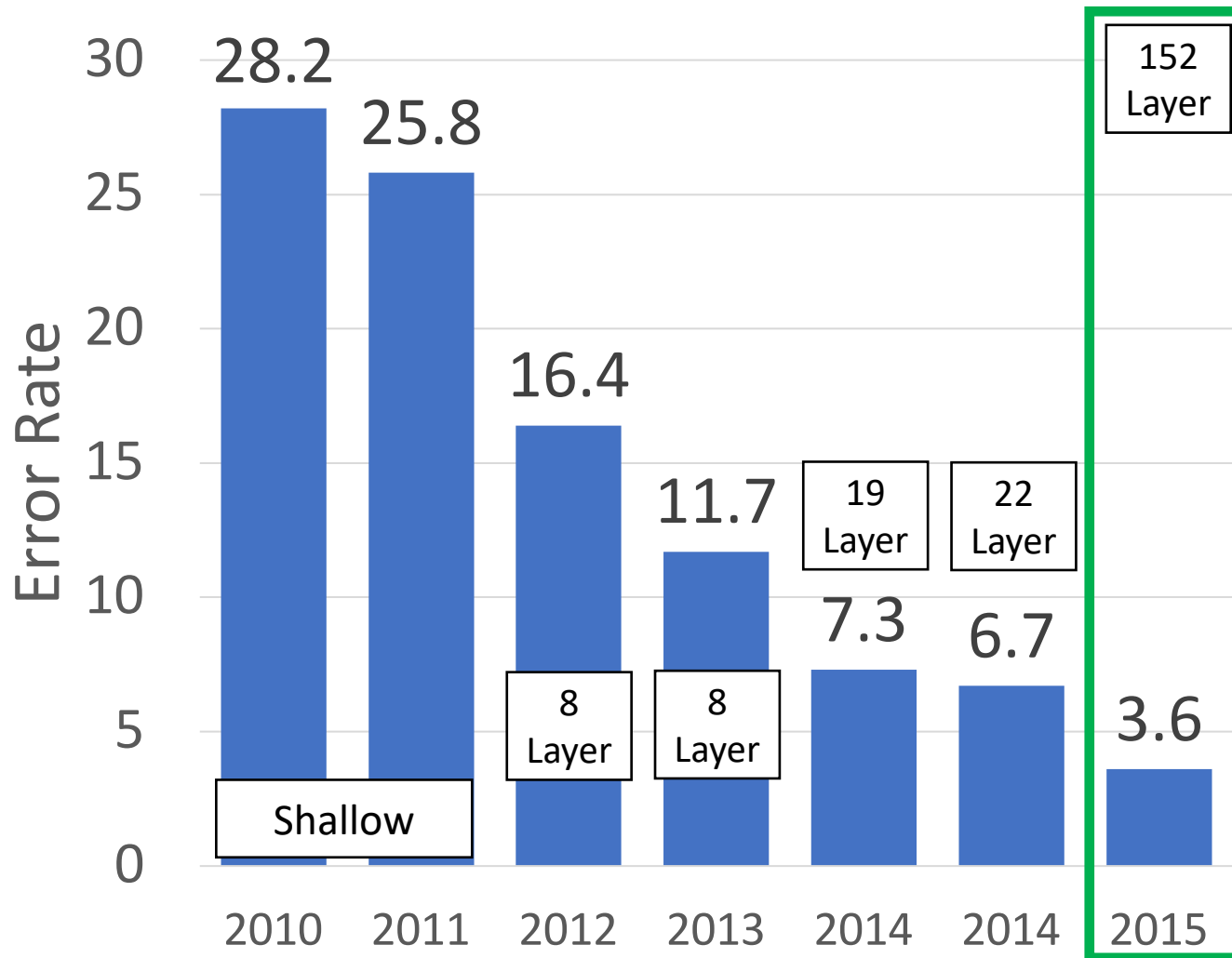
A residual network is a stack of many residual blocks

Regular design, like VGG:  
each residual block has two 3x3 conv

Network is divided into **stages**: the first block of each stage halves the resolution (with stride-2 conv) and doubles the number of channels



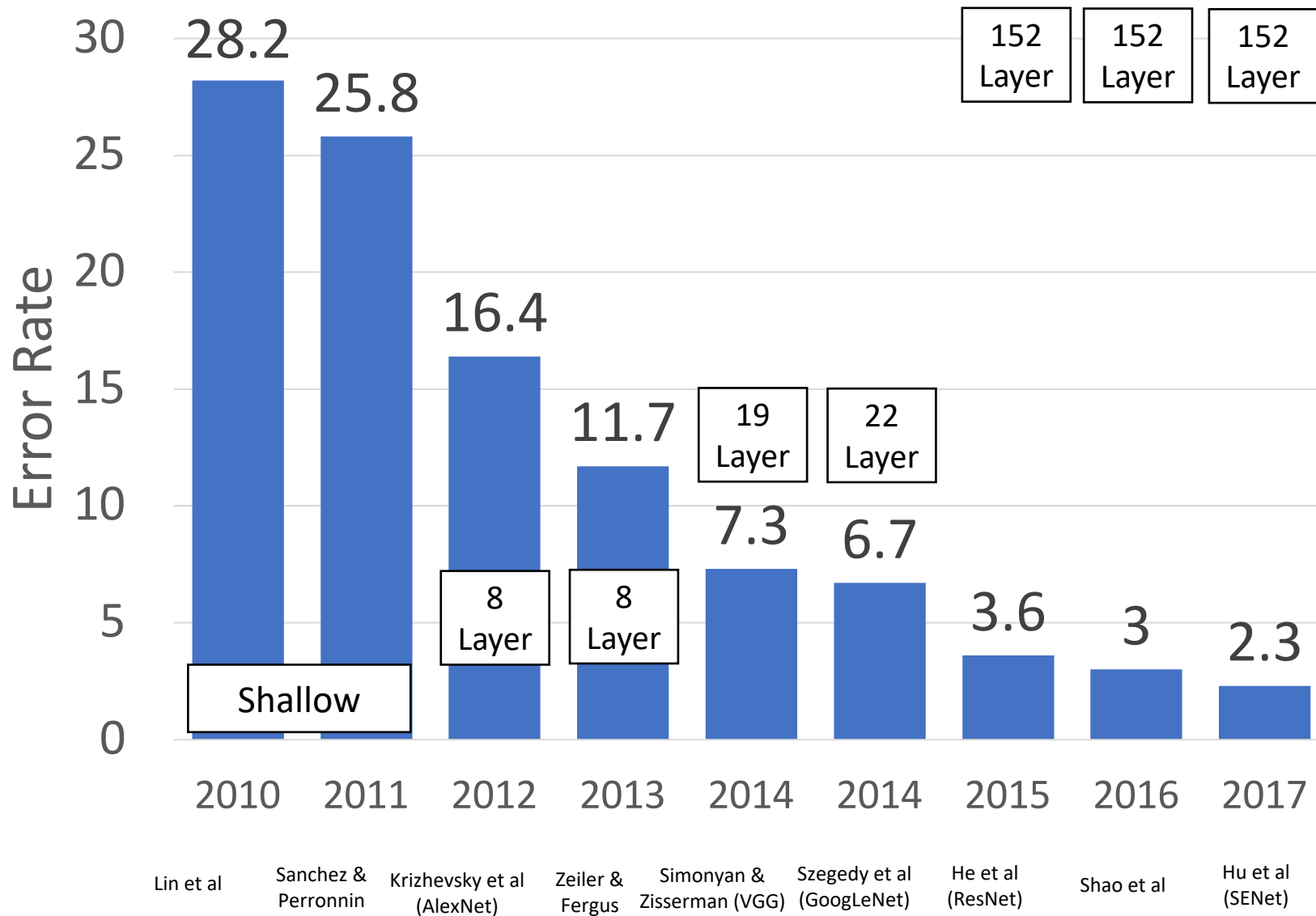
# ImageNet Classification Challenge



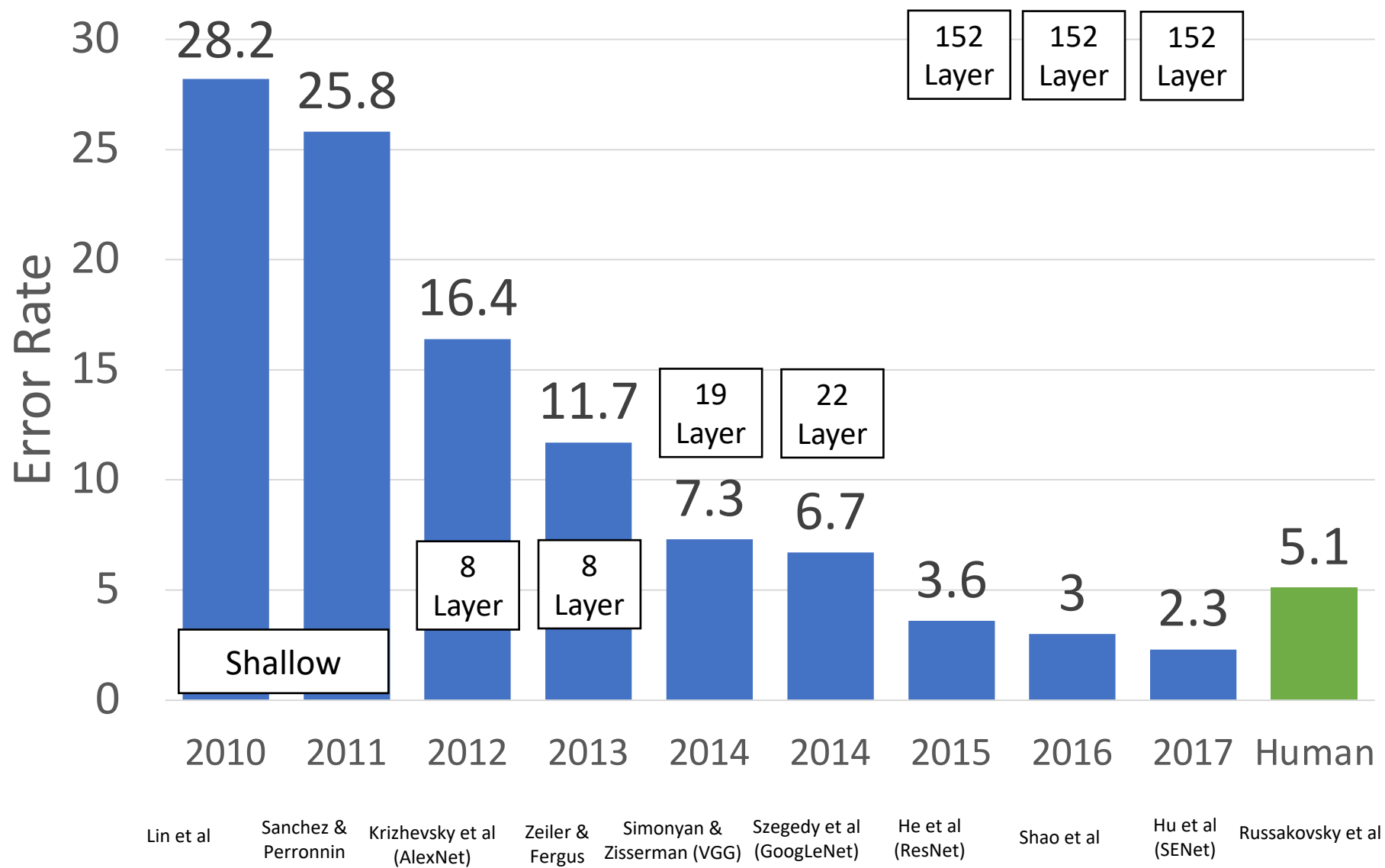
Lin et al    Sanchez & Perronnin    Krizhevsky et al (AlexNet)    Zeiler & Fergus    Simonyan & Zisserman (VGG)    Szegedy et al (GoogLeNet)    He et al (ResNet)



# ImageNet Classification Challenge



# ImageNet Classification Challenge



# Training Convolutional Networks

1. Download big datasets
2. Design CNN architecture
3. Initialize Weights
4. For  $t = 1$  to  $T$ :
  1. Form minibatch
  2. Compute loss + gradient
  3. Update Weights
5. Apply trained model to task

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# Weight Initialization: Activation Statistics

Forward pass for a 6-layer net with hidden size 4096

```
dims = [4096] * 7
hs = []
x = np.random.randn(16, dims[0])
for Din, Dout in zip(dims[:-1], dims[1:]):
    W = 0.01 * np.random.randn(Din, Dout)
    x = np.tanh(x.dot(W))
    hs.append(x)
```

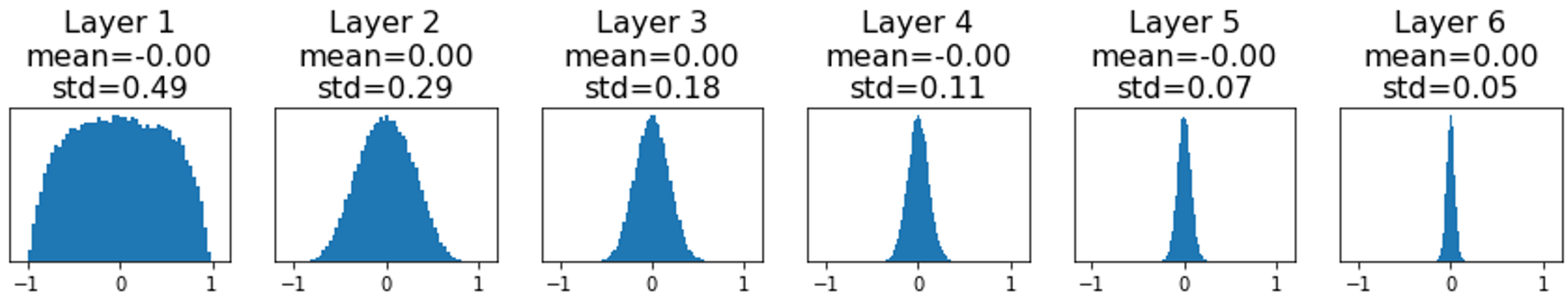
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All activations tend to zero for deeper network layers

Q: What do the gradients  $dL/dW$  look like?



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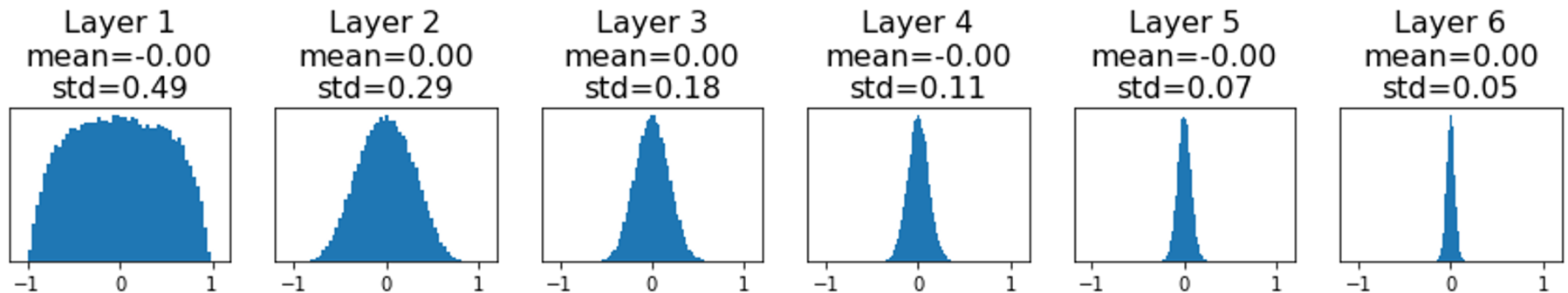
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All activations tend to zero for deeper network layers

Q: What do the gradients  $dL/dW$  look like?

A: All zero, no learning =(



Weights are **too small** at initialization!

# Weight Initialization: Activation Statistics

Increase scale of weights at initialization 0.01 -> 0.05

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dims = [4096] * 7
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```



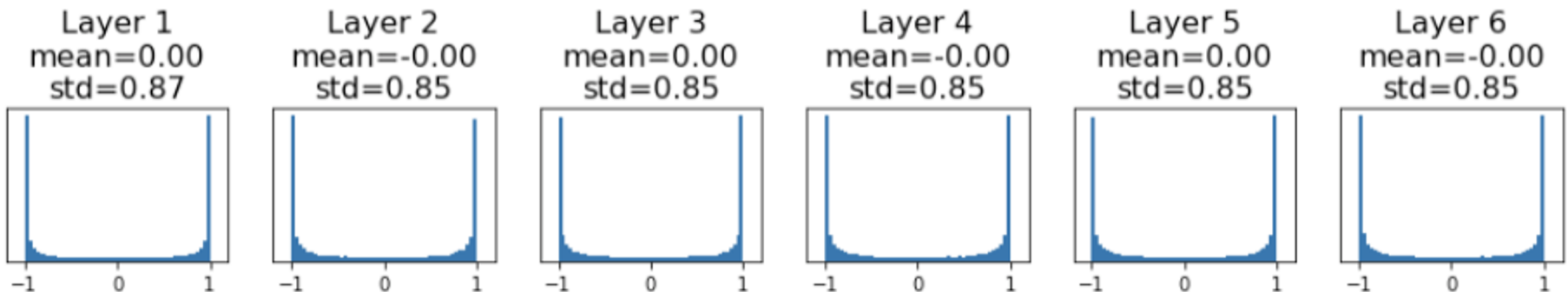
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```

All activations saturate

Q: What do the gradients look like?



Weights are **too big** at initialization!

# Weight Initialization: Activation Statistics

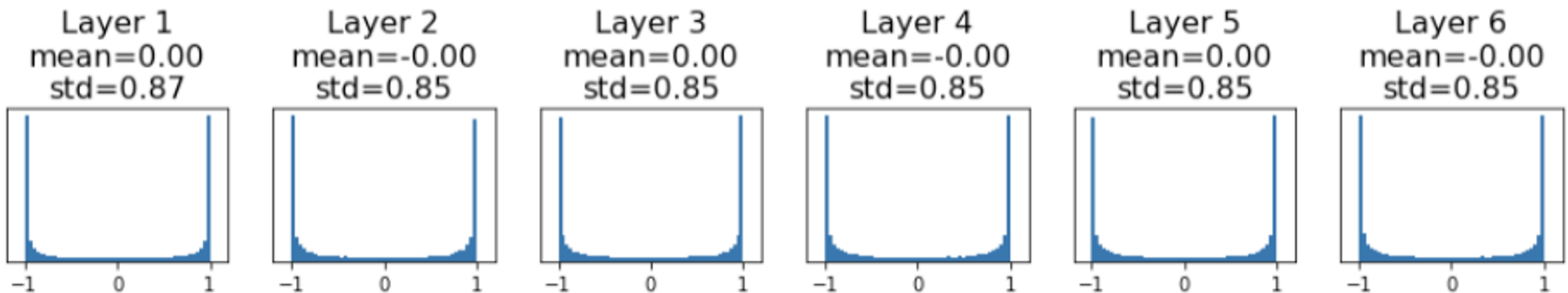
Increase scale of weights at initialization 0.01 -> 0.05

```
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```

All activations saturate

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A: Local gradients all zero, no learning =(



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# Weight Initialization: Xavier

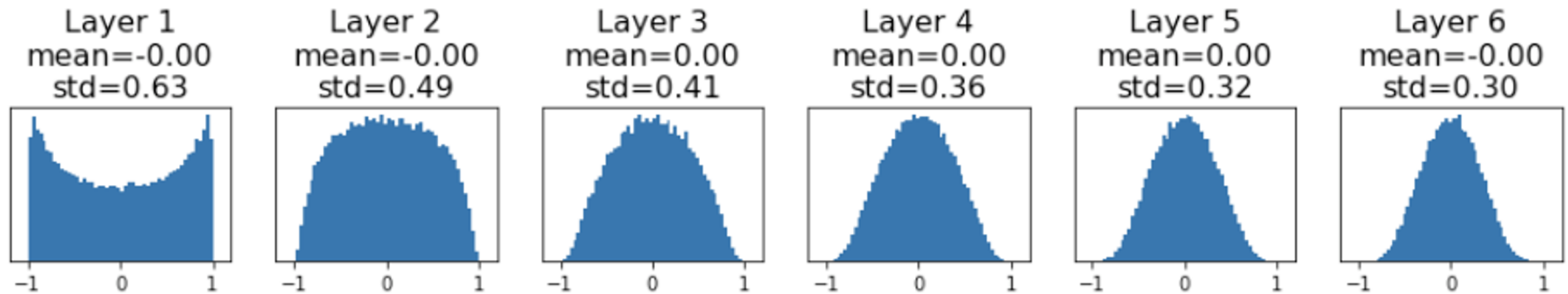
“Xavier” initialization:  $\text{std} = 1 / \sqrt{\text{Din}}$

```
dims = [4096] * 7
hs = []
x = np.random.randn(16, dims[0])
for Din, Dout in zip(dims[:-1], dims[1:]):
    W = np.random.randn(Din, Dout) / np.sqrt(Din)
    x = np.tanh(x.dot(W))
    hs.append(x)
```

# Weight Initialization: Xavier

“Xavier” initialization:  $\text{std} = 1 / \sqrt{\text{Din}}$

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```



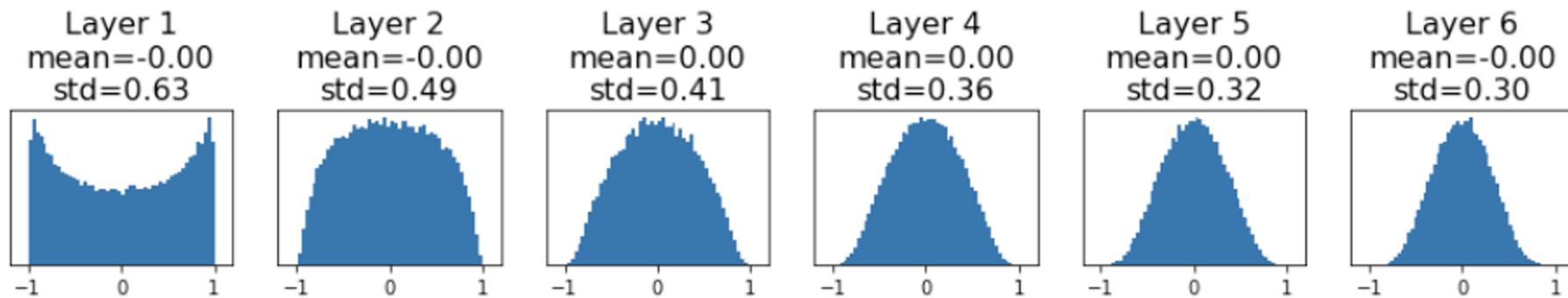
Weights are **just right** at initialization!

# Weight Initialization: Xavier

“Xavier” initialization:  $\text{std} = 1 / \sqrt{\text{Din}}$

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dims = [4096] * 7
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x = np.random.randn(16, dims[0])
for Din, Dout in zip(dims[:-1], dims[1:]):
    W = np.random.randn(Din, Dout) / np.sqrt(Din)
    x = np.tanh(x.dot(W))
    hs.append(x)
```

For conv layers, Din is  $\text{kernel\_size}^2 * \text{input\_channels}$



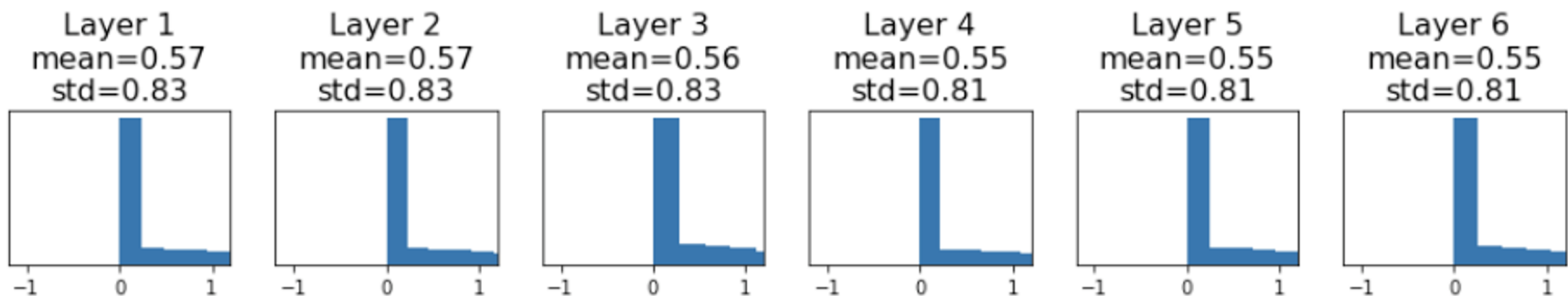
Weights are **just right** at initialization!

# Weight Initialization: MSRA

For ReLU networks:  $\text{std} = 2 / \sqrt{\text{Din}}$

```
dims = [4096] * 7
hs = []
x = np.random.randn(16, dims[0])
for Din, Dout in zip(dims[:-1], dims[1:]):
    W = np.random.randn(Din, Dout) / np.sqrt(Din)
    x = np.maximum(0, x.dot(W))
    hs.append(x)
```

“Just right” – activations nicely scaled for all layers



Weights are **just right** at initialization!

# Training Convolutional Networks

1. Download big datasets
2. Design CNN architecture
- 3. Initialize Weights**
4. For  $t = 1$  to  $T$ :
  1. Form minibatch
  2. Compute loss + gradient
  3. Update Weights
5. Apply trained model to task

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- If the model is big, won't we overfit?



# Regularizing CNNs: Weight Decay

$$L_{reg} = \frac{1}{2} \sum_{\ell} \|W_{\ell}\|^2 \quad \frac{\partial L_{reg}}{\partial W_{\ell}} = W_{\ell}$$

Add L2 regularization term  $L_{reg}$  to the loss penalizing large weight matrices

Usually don't regularize bias terms, or BatchNorm scale / shift params

\*Technical note: Adding an explicit term to the loss is "L2 Regularization"; "Weight decay" adds a term to the gradient. They are equivalent for SGD, but not quite the same for other optimizers like Adam

# Regularizing CNNs: Data Augmentation

Hippo



Hippo?



Horizontal  
Flip

Hippo?



Color  
Jitter

Hippo?

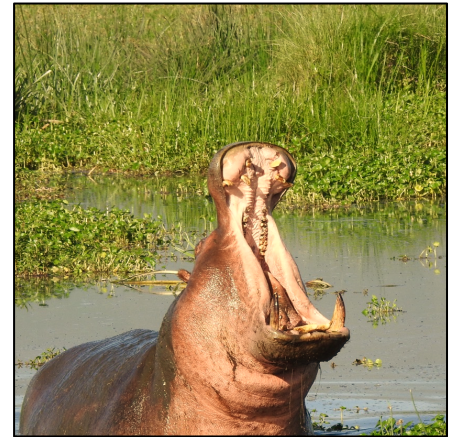
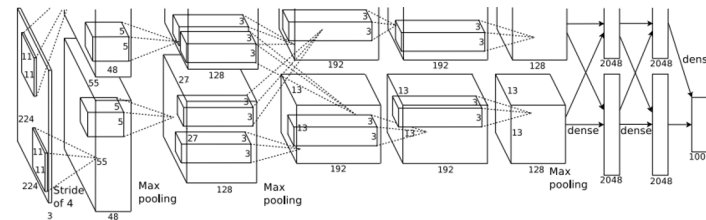
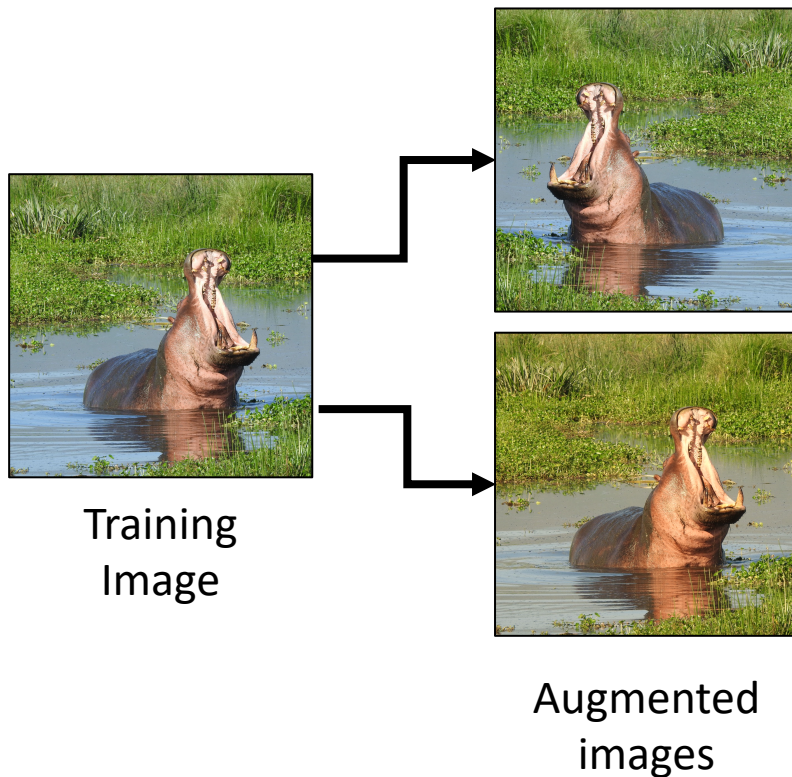


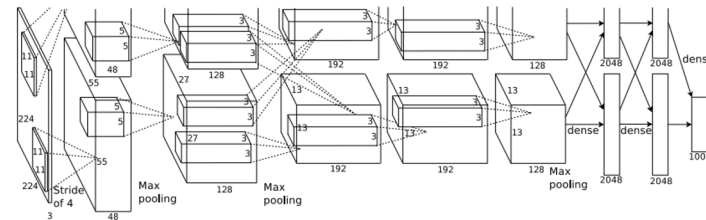
Image  
Cropping

# Regularizing CNNs: Data Augmentation

Apply random transformations to input images during training  
Artificially “inflate” the size of your dataset



Hippo



Hippo

# Training Convolutional Networks

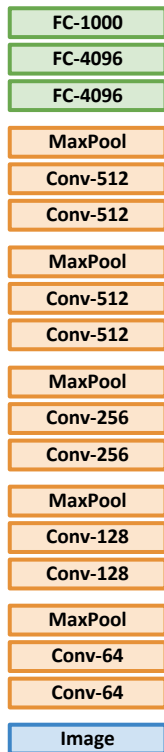
1. Download big datasets
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- If the model is big, won't we overfit?

# Training Convolutional Networks

1. Download big datasets
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  4. For  $t = 1$  to  $T$ :
    1. Form minibatch
    2. Compute loss + gradient
    3. Update Weights
  5. Apply trained model to task
- What if we can't find one?

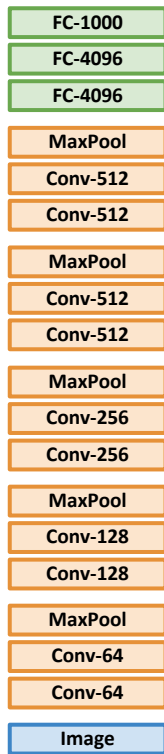
# Transfer Learning: Feature Extraction

## 1. Train on ImageNet

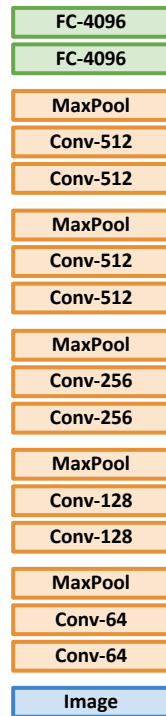


# Transfer Learning: Feature Extraction

1. Train on ImageNet



2. CNN as feature extractor



Remove last layer

Freeze these

Use your small dataset to train a **linear classifier** on top of pretrained CNN features

# Transfer Learning: Fine-Tuning

1. Train on ImageNet



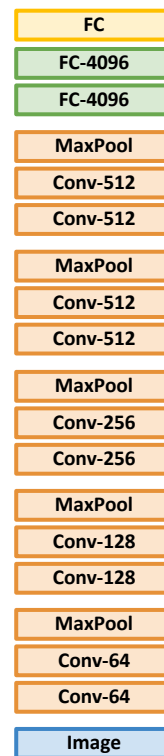
2. CNN as feature extractor



Remove last layer

Freeze these

3. Bigger dataset: **Fine-Tuning**



Reinitialize last layer and continue training whole network on your dataset

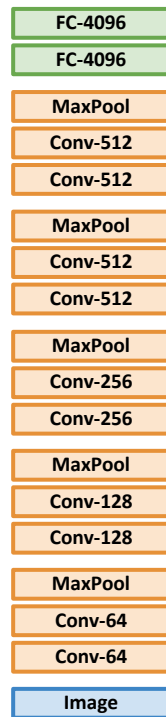


# Transfer Learning: Fine-Tuning

## 1. Train on ImageNet



## 2. CNN as feature extractor



Remove last layer

Freeze these

## 3. Bigger dataset: Fine-Tuning



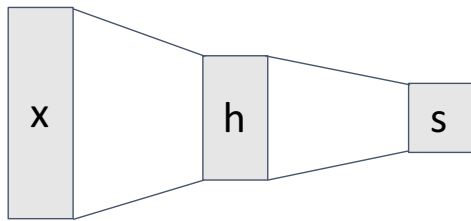
Reinitialize last layer and continue training whole network on your dataset

Some tricks:

- Train with feature extraction first before fine-tuning
- Lower the learning rate: use  $\sim 1/10$  of LR used in original training
- Sometimes freeze lower layers to save computation

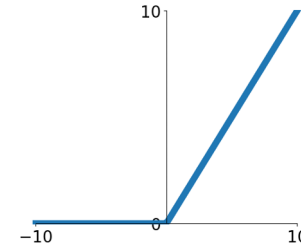
# Recap: Convolutional Networks

## Fully-Connected Layers



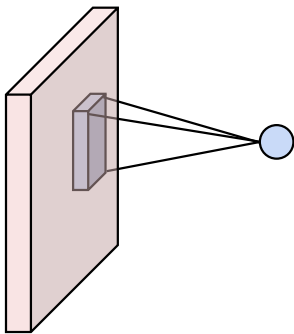
$$y = Wx + b$$

## Activation Function

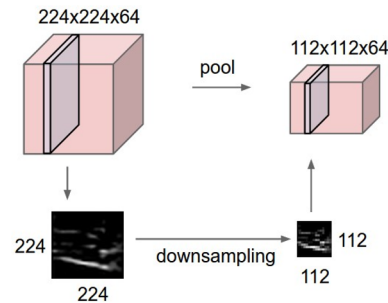


$$y = \max(0, x)$$

## Convolution Layers



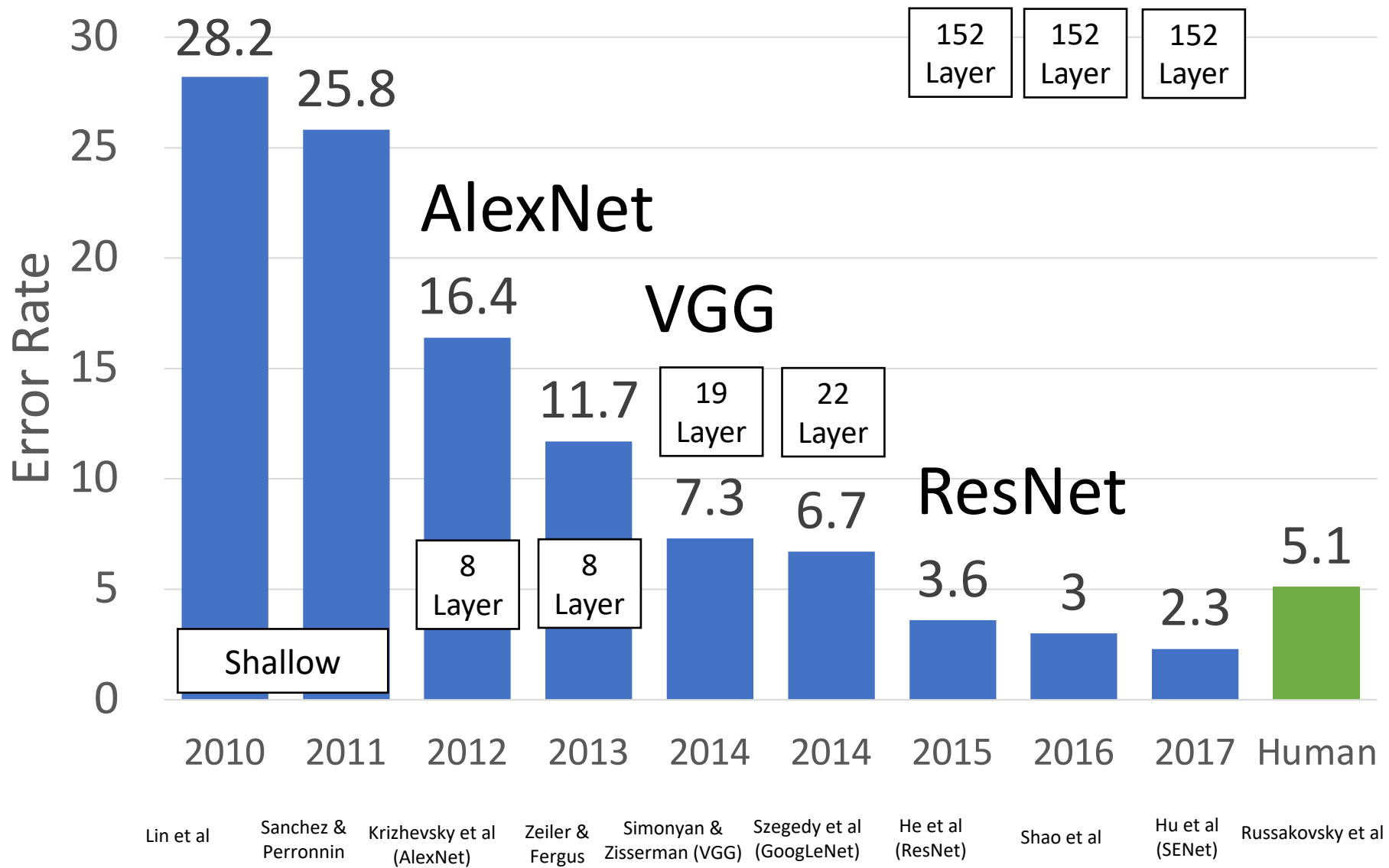
## Pooling Layers



## Normalization

$$\hat{x}_{i,j} = \frac{x_{i,j} - \mu_j}{\sqrt{\sigma_j^2 + \epsilon}}$$

# Recap: CNN Architectures



# Recap: Training CNNs

1. Download big datasets    Transfer Learning
2. Design CNN architecture
3. Initialize Weights    Xavier / MSRA Init
4. For  $t = 1$  to  $T$ :
  1. Form minibatch    Regularization
  2. Compute loss + gradient    + Data
  3. Update Weights    Augmentation
5. Apply trained model to task

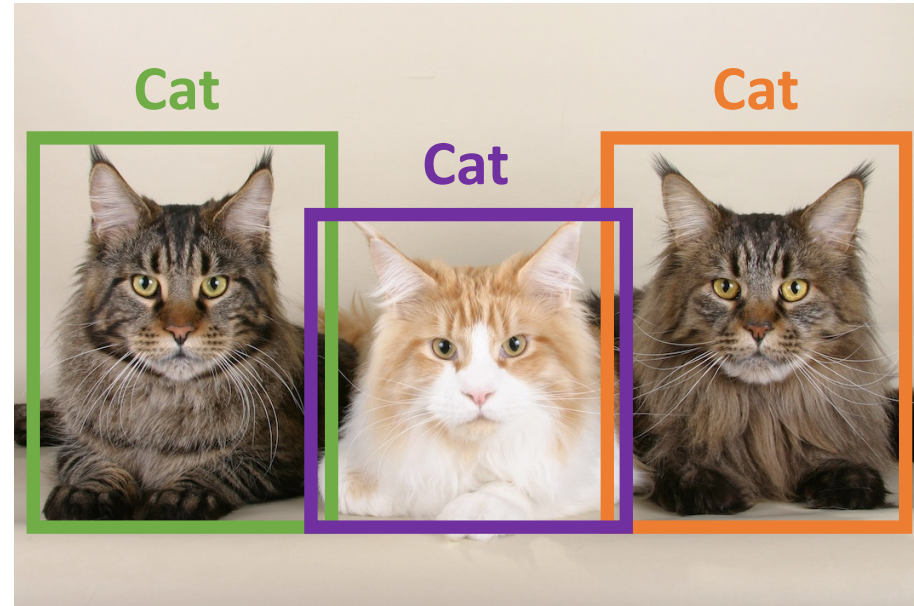
# So Far: Image Classification



→ Cat

[Cat image](#) is CC0 public domain

# What about Localizing Objects?



[Cat image](#) is CC0 public domain

Next time:  
Detection + Segmentation