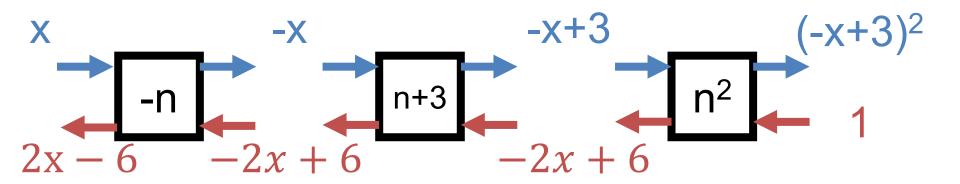
## Convolutional Neural Neural Nets II

EECS 442 – Prof. David Fouhey Winter 2019, University of Michigan

http://web.eecs.umich.edu/~fouhey/teaching/EECS442\_W19/

#### Previously – Backpropagation

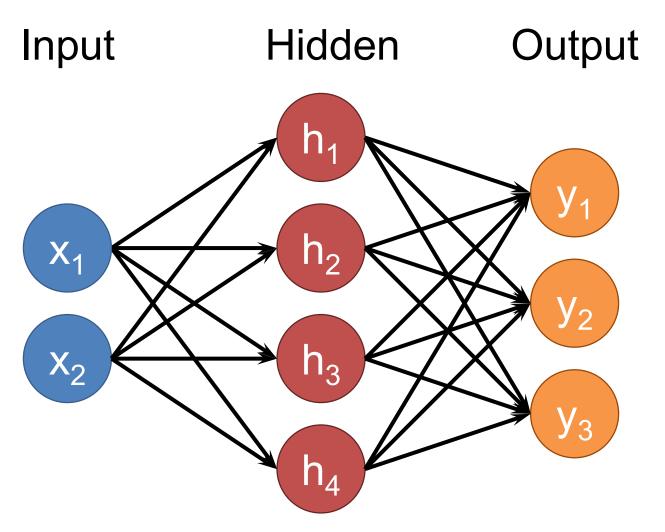
$$f(x) = (-x+3)^2$$



Forward pass: compute function

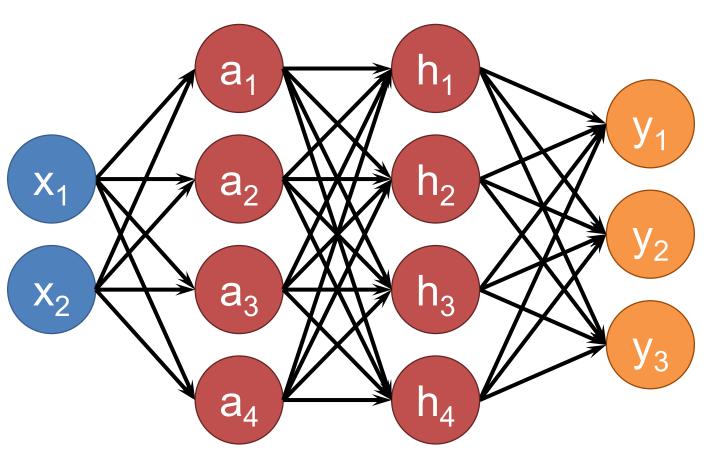
Backward pass: compute derivative of all parts of the function

#### Setting Up A Neural Net

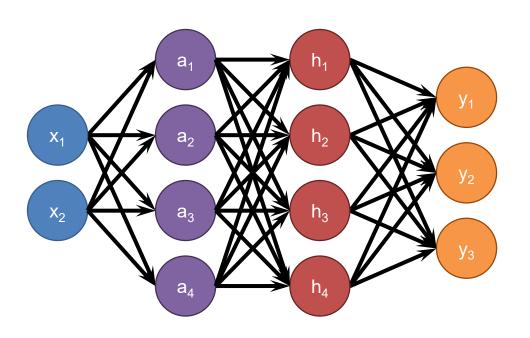


#### Setting Up A Neural Net

Input Hidden 1 Hidden 2 Output



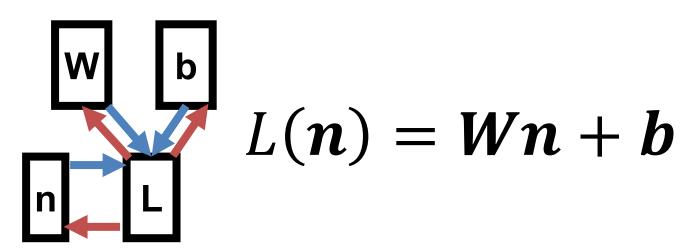
#### Fully Connected Network



Each neuron connects to each neuron in the previous layer

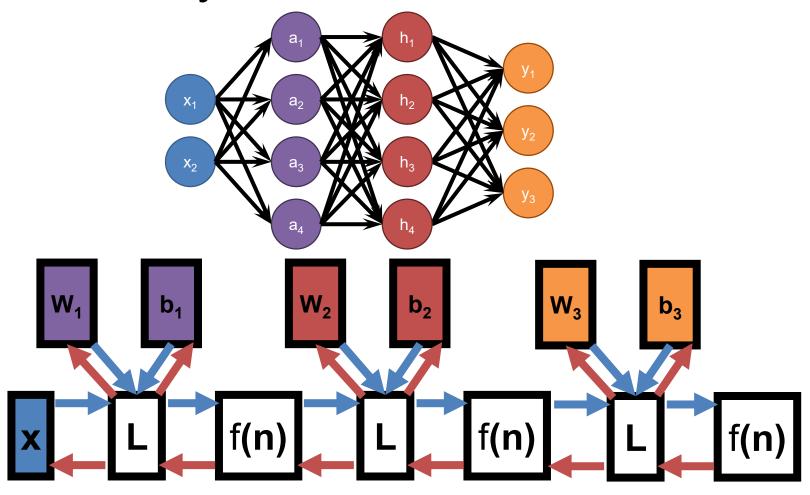
#### Fully Connected Network

Define New Block: "Linear Layer" (Ok technically it's Affine)



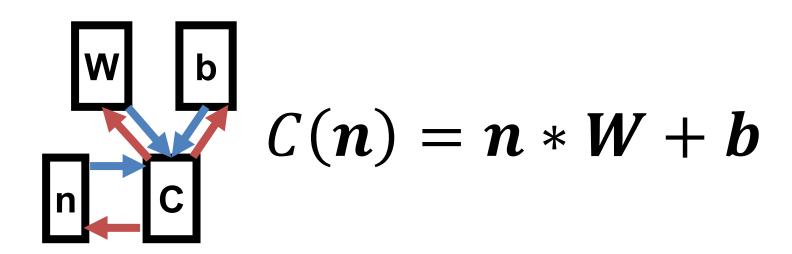
Can get gradient with respect to all the inputs (do on your own; useful trick: have to be able to do matrix multiply)

#### Fully Connected Network

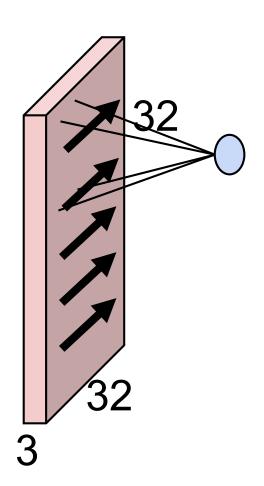


#### **Convolutional Layer**

New Block: 2D Convoluiton

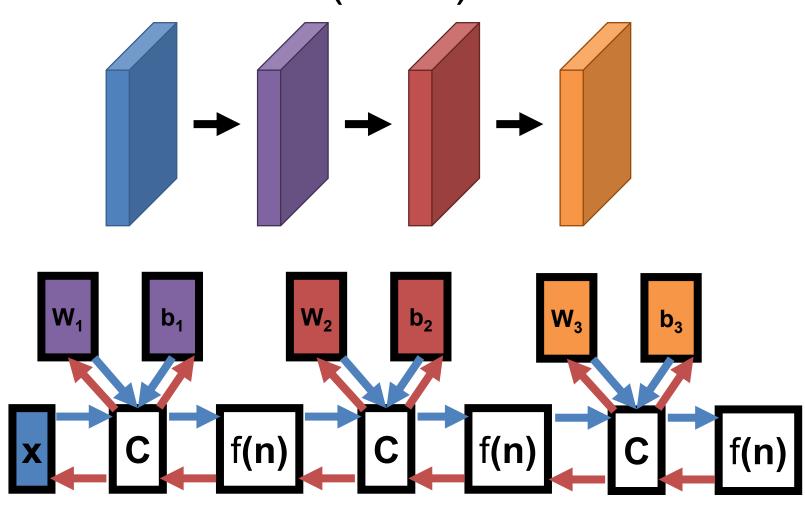


#### **Convolution Layer**



$$b + \sum_{i=1}^{F_h} \sum_{j=1}^{F_w} \sum_{k=1}^{c} F_{i,j,k} * I_{y+i,x+j,c}$$

## Convolutional Neural Network (CNN)

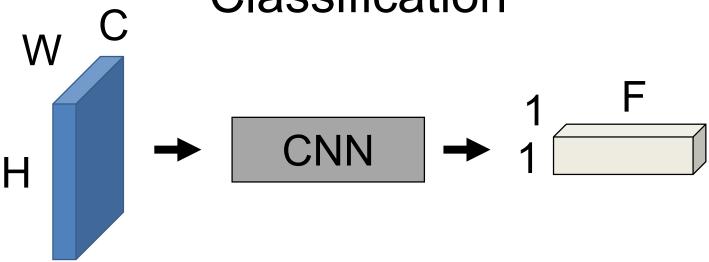


# 

#### Convert HxW image into a F-dimensional vector

- What's the probability this image is a cat (F=1)
- Which of 1000 categories is this image? (F=1000)
- At what GPS coord was this image taken? (F=2)
- Identify the X,Y coordinates of 28 body joints of an image of a human (F=56)

## Today's Running Example: Classification

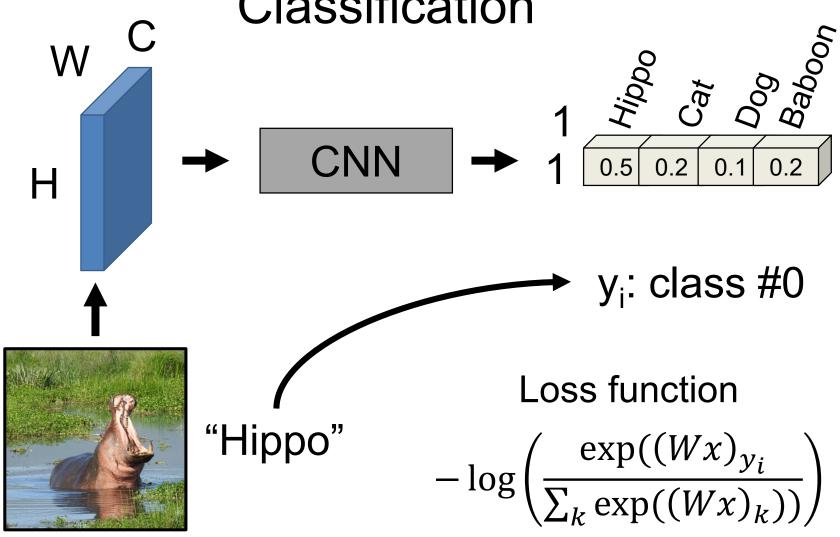


Running example: image classification

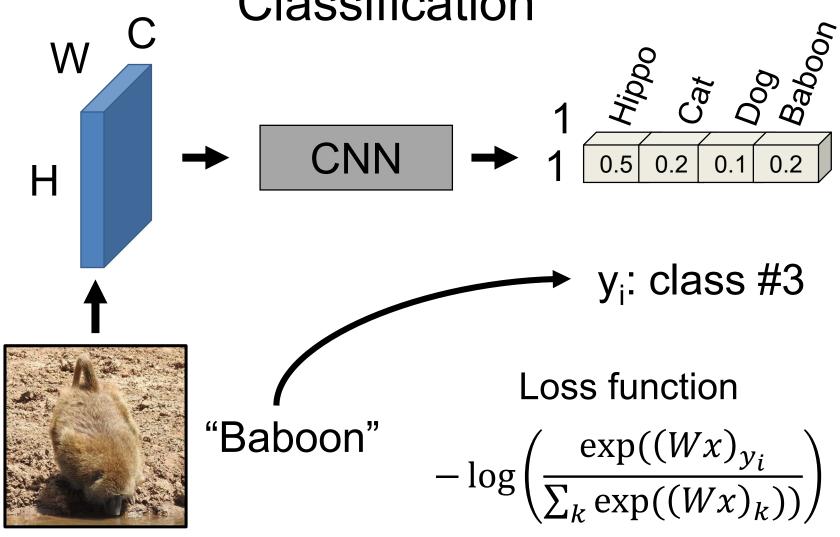
P(image is class #1)
P(image is class #2)

P(image is class #F)

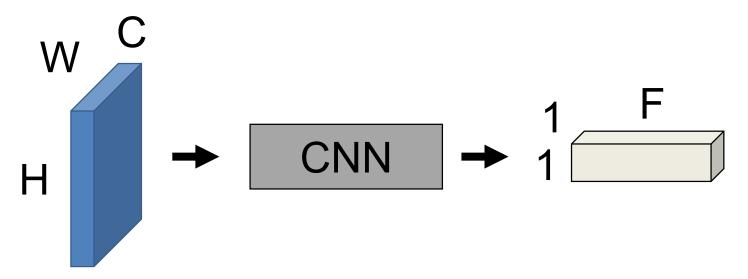
## Today's Running Example: Classification



## Today's Running Example: Classification



#### Model For Your Head



- Provide:
  - Examples of images and desired outputs
  - Sequence of layers producing a 1x1xF output
  - A loss function that measures success
- Train the network -> network figures out the parameters that makes this work

#### **Layer Collection**

You can construct functions out of layers. The only requirement is the layers "fit" together. Optimization figures out what the parameters of the layers are.



Image credit: lego.com

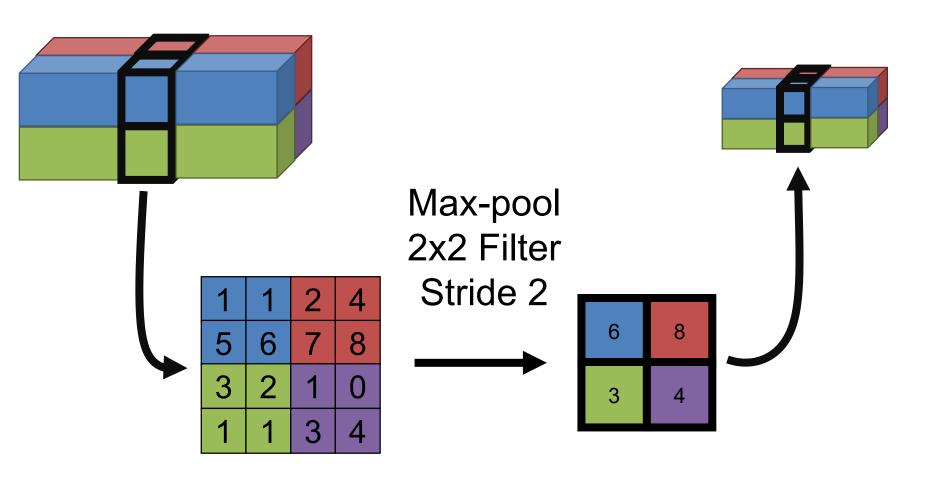
#### Review - Pooling

Idea: just want spatial resolution of activations / images smaller; applied per-channel

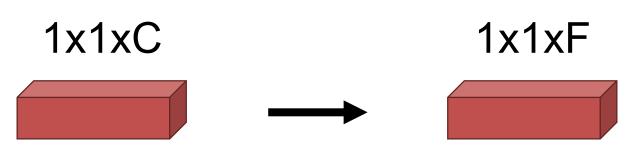
1	1	2	4	Max-pool 2x2 Filter		
5	6	7	8	Stride 2	6	8
3	2	1	0		3	4
1	1	3	4			

Slide credit: Karpathy and Fei-Fei

#### Review – Pooling



#### Other Layers – Fully Connected



Map C-dimensional feature to F-dimensional feature using linear transformation W (FxC matrix) + b (Fx1 vector)

How can we write this as a convolution?

#### Everything's a Convolution

1x1xC 1x1xF

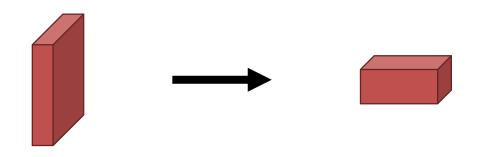


Set Fh=1, Fw=1

1x1 Convolution with F Filters

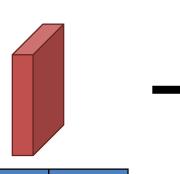
$$b + \sum_{i=1}^{F_h} \sum_{j=1}^{F_w} \sum_{k=1}^{C} F_{i,j,k} * I_{y+i,x+j,c} \longrightarrow b + \sum_{k=1}^{C} F_k * I_c$$

## Converting to a Vector HxWxC 1x1xF



How can we do this?

## Converting to a Vector\* – Pool HxWxC 1x1xF



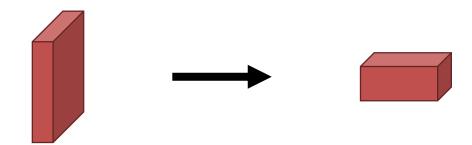
1	1	2	4
5	6	7	8
3	2	7	0
1	1	3	4

Avg Pool
HxW Filter
Stride 1

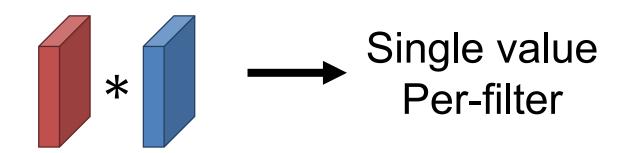
3.1

$$*(If F == C)$$

## Converting to a Vector – Convolve HxWxC 1x1xF



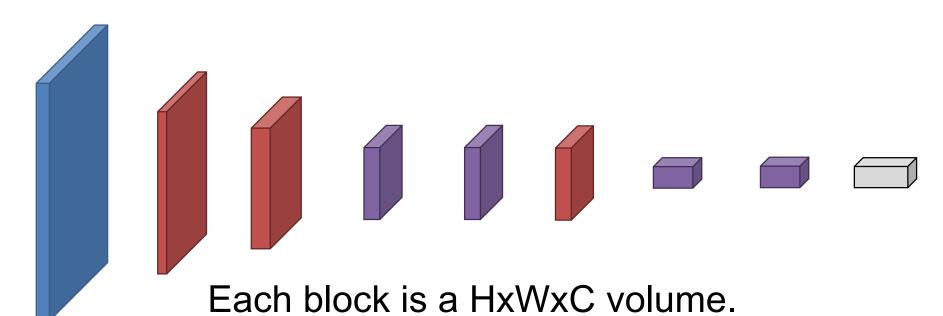
HxW Convolution with F Filters



#### Looking At Networks

- We'll look at 3 landmark networks, each trained to solve a 1000-way classification output (Imagenet)
  - Alexnet (2012)
  - VGG-16 (2014)
  - Resnet (2015)

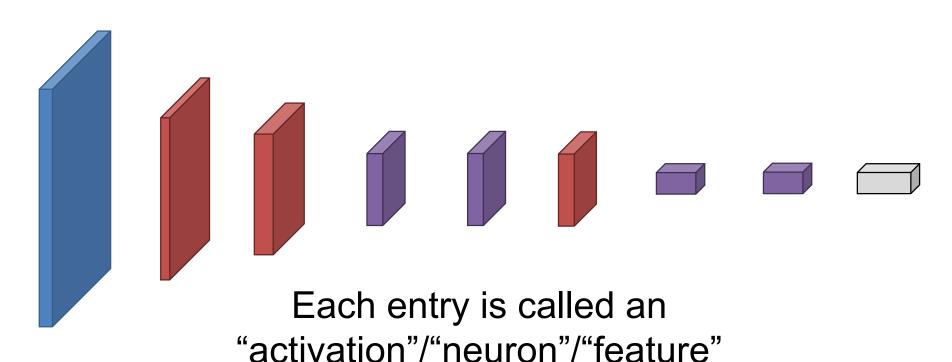
Input	Conv 2			•
227x227 3	27x27 256			



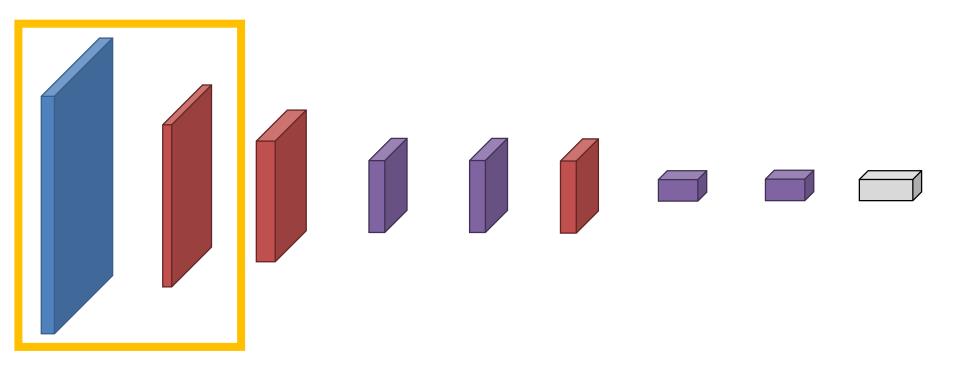
You transform one volume to another with convolution

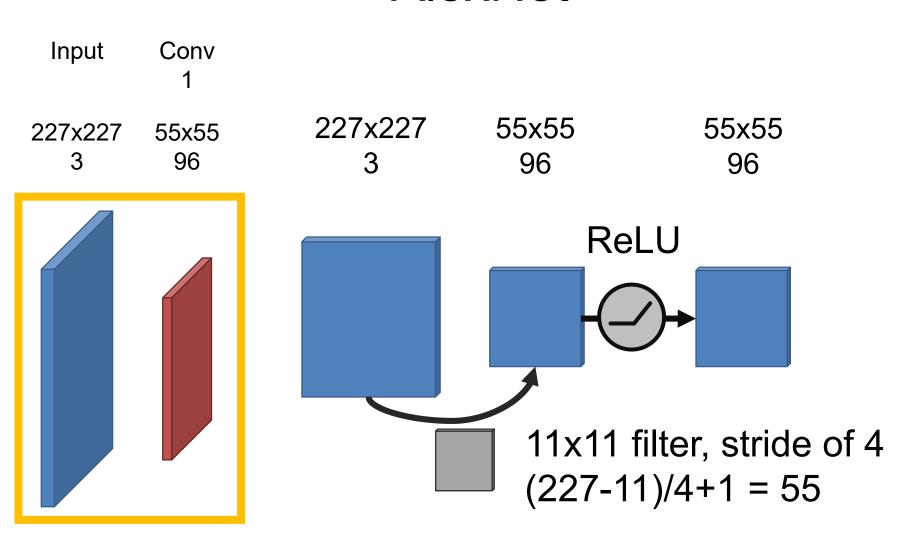
#### **CNN Terminology**

Input			Conv 5		Output
227x227 3			13x13 256		

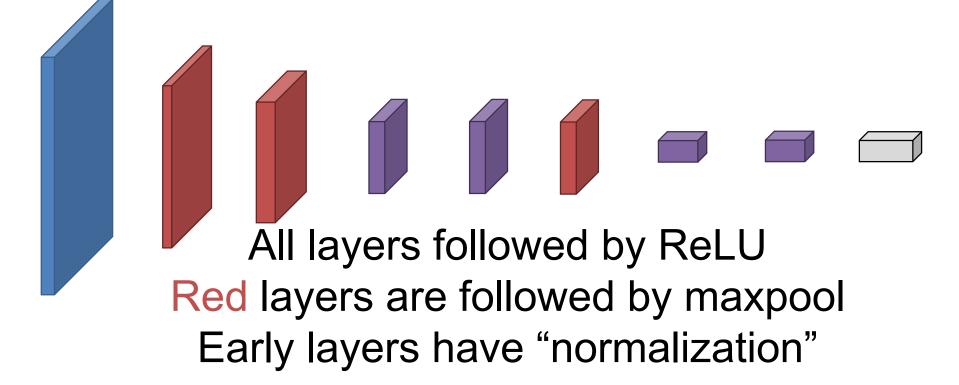


Input			Conv 5		•
227x227 3			13x13 256		



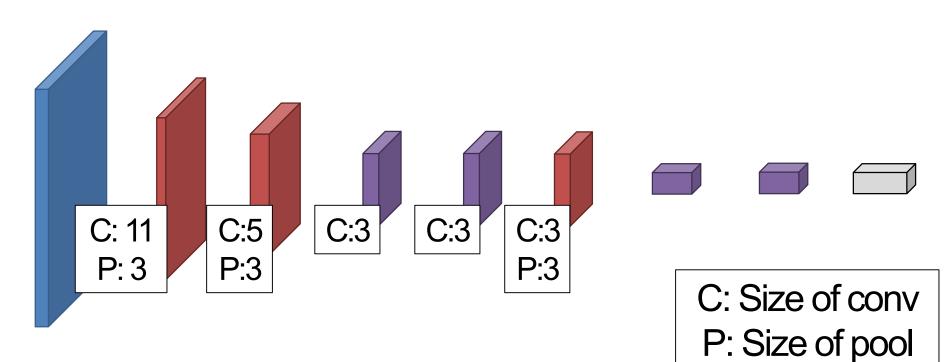


Input	Conv 2			Output
227x227 3	 27x27 256			

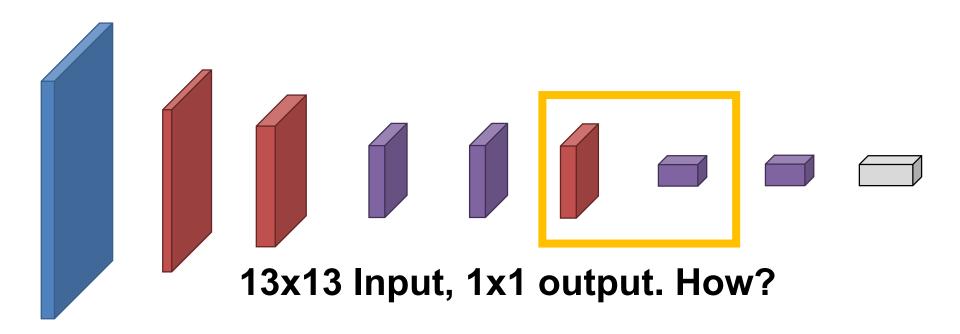


#### AlexNet – Details

Input	Conv 2			Output
227x227 3	 27x27 256	 		

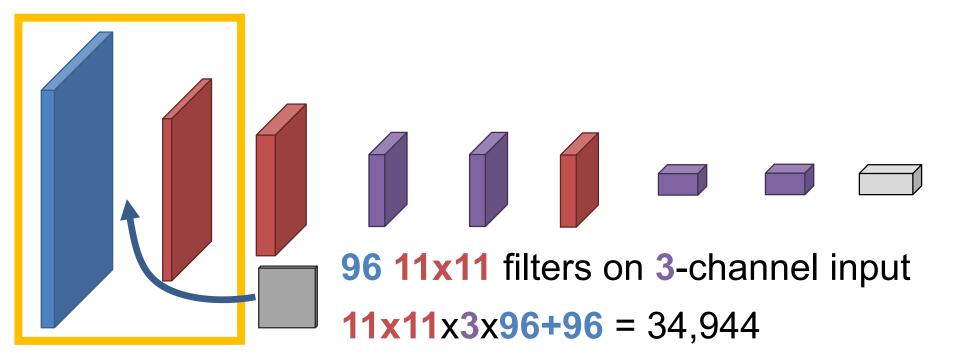


Input	Conv 2			Output
227x227 3	 27x27 256	 		

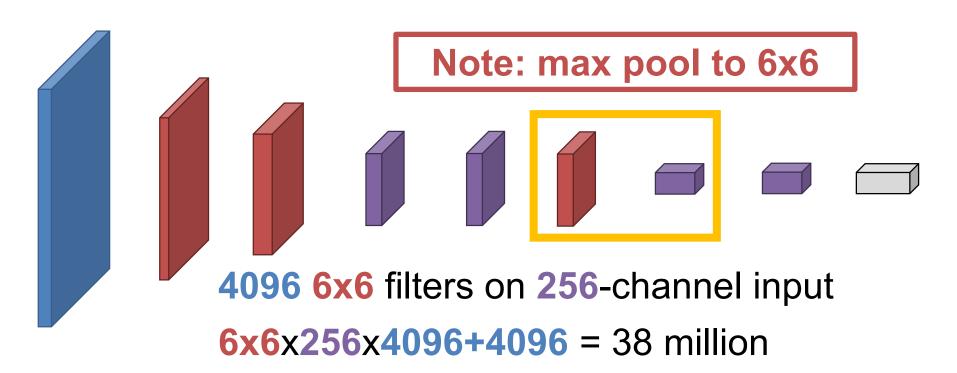


Input	Conv 1	Conv 2	Conv 3	Conv 4	Conv 5	FC 6	FC 7	Output
227x227 3	55x55 96	27x27 256	13x13 384	13x13 384	13x13 256	1x1 4096	1x1 4096	1x1 1000

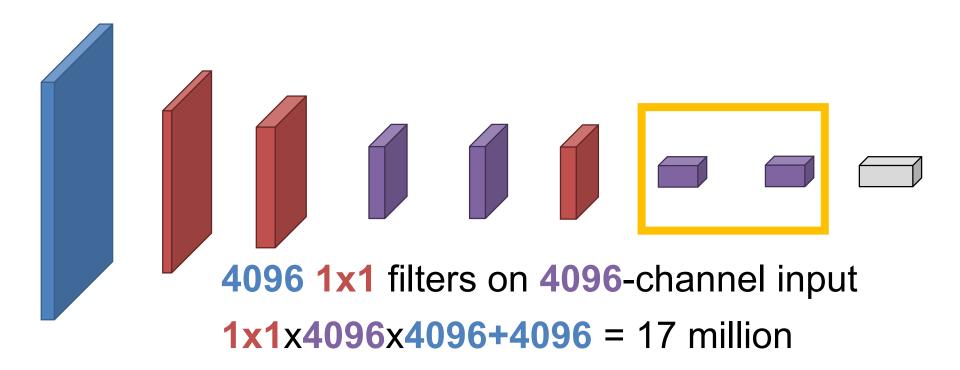
Input	Conv 2			•
227x227 3	 27x27 256			



Input	Conv 2			Output
227x227 3	27x27 256			



Input	Conv 2			Output
227x227 3	 27x27 256			



How long would it take you to list the parameters of Alexnet at 4s / parameter?

```
1 year? 4 years? 8 years? 16 years?
```

- 62.4 million parameters
- Vast majority in fully connected layers
- But... paper notes that removing the convolutions is disastrous for performance.

### Dataset – ILSVRC

- Imagenet Largescale Visual Recognition Challenge
- 1000 Categories
- 1.4M images

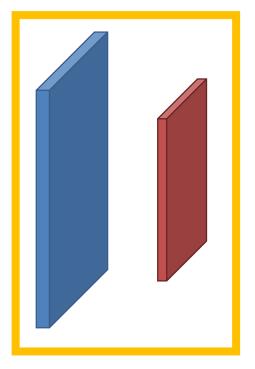
### Dataset – ILSVRC

birds flamingo partridge cock ruffed grouse quail bottles beer bottle wine bottle water bottle pop bottle . . . pill bottle cars minivan cab race car wagon jeep

Figure Credit: O. Russakovsky

### Visualizing Filters

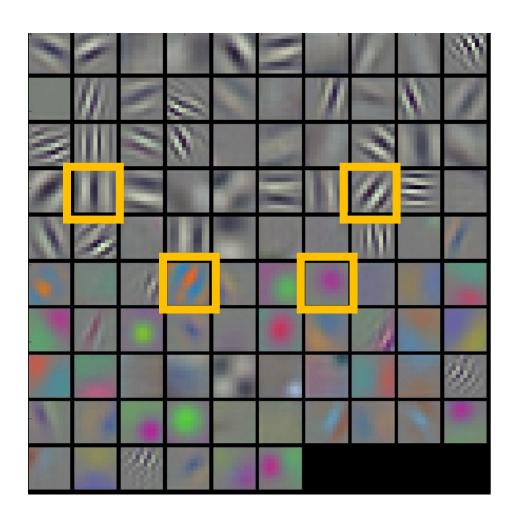
Input Conv 1 227x227 55x55 3 96



#### Conv 1 Filters

- Q. How many input dimensions?
  - A: 3
- What does the input mean?
  - R, G, B, duh.

### What's Learned

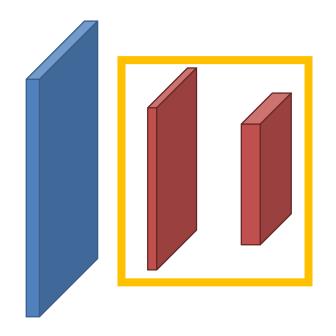


First layer filters of a network trained to distinguish 1000 categories of objects

Remember these filters go over color.

### Visualizing Later Filters

Input	Conv	Conv		
•	1	2		
227x227	55x55	27x27		
3	96	256		



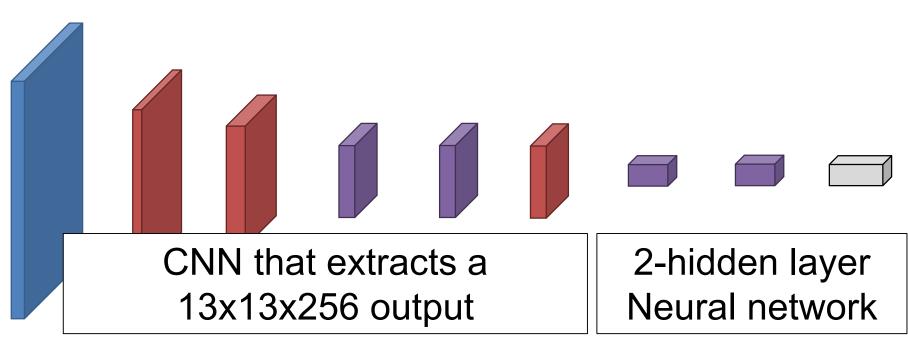
#### Conv 2 Filters

- Q. How many input dimensions?
  - A: 96.... hmmm
- What does the input mean?
  - Uh, the uh, previous slide

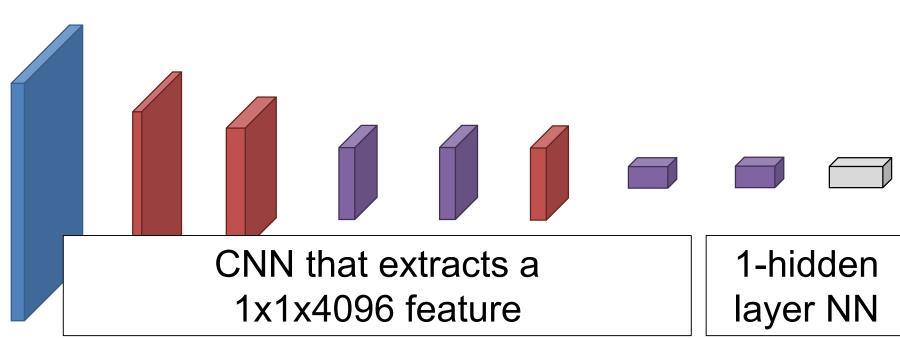
### Visualizing Later Filters

 Understanding the meaning of the later filters from their values is typically impossible: too many input dimensions, not even clear what the input means.

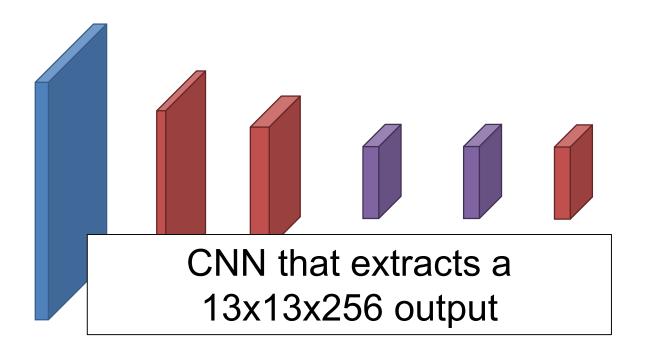
Input	Conv		Conv	Conv	Conv	FC	FC	Output
	1	2	3	4	5	6	7	
227x227	55x55	27x27	13x13	13x13	13x13	1x1	1x1	1x1
3	96	256	384	384	256	4096	4096	1000



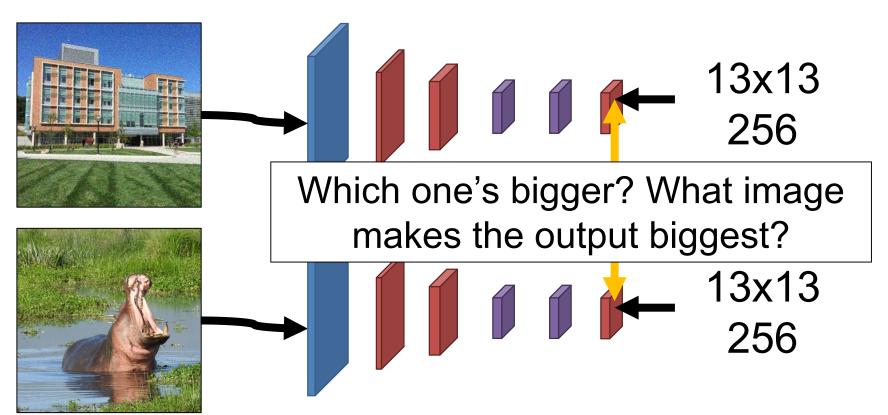
Input			Conv 5		Output
227x227 3			13x13 256	1x1 4096	1x1 1000



Input	Conv 2		
227x227 3	 27x27 256		



Feed an image in, see what score the filter gives it. A more pleasant version of a real neuroscience procedure.



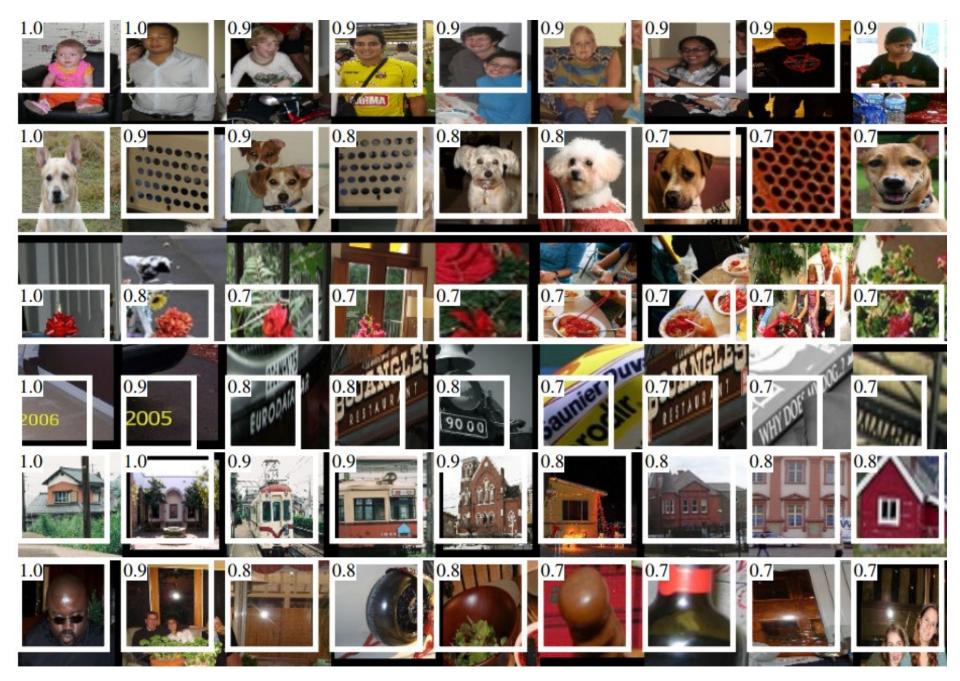
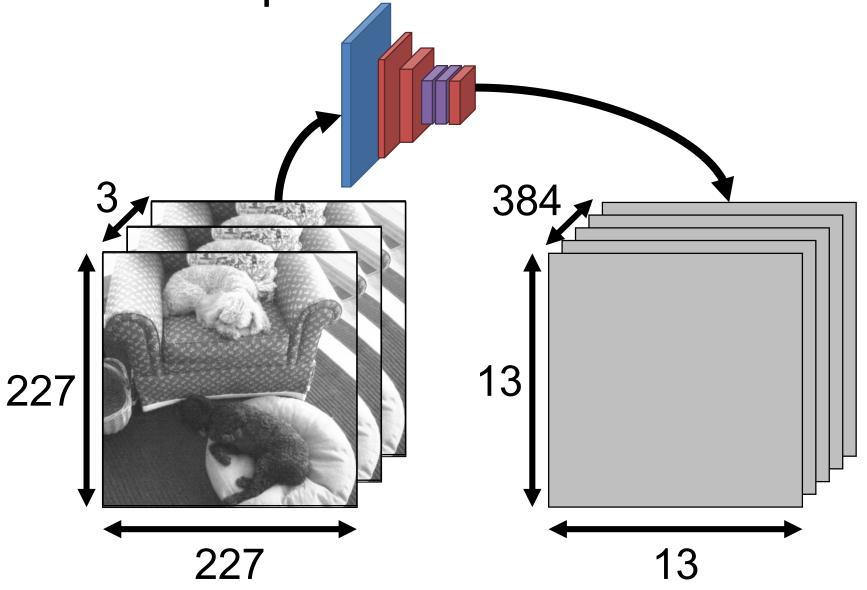
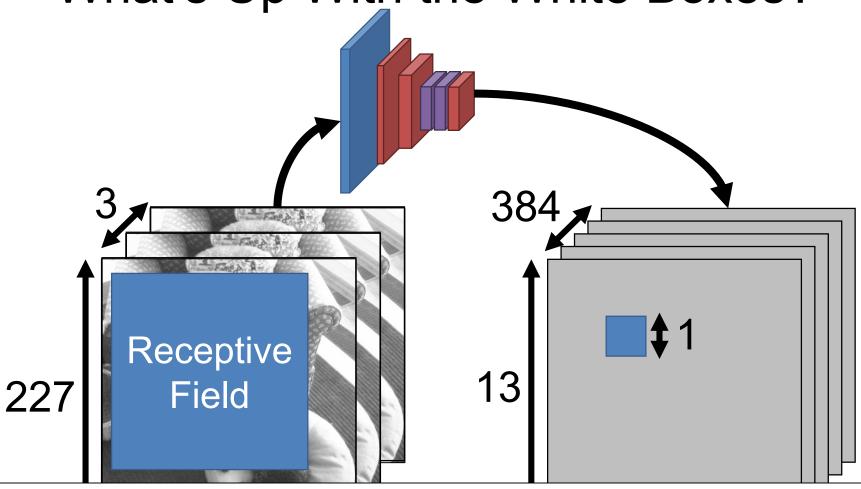


Figure Credit: Girschick et al. CVPR 2014.

What's Up With the White Boxes?



What's Up With the White Boxes?



Due to convolution, each later layer's value depends on / "sees" only a fraction of the input image.

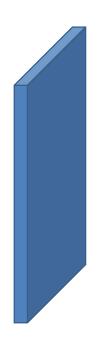
# Can use receptive fields to see where the network is "looking" to make its decisions

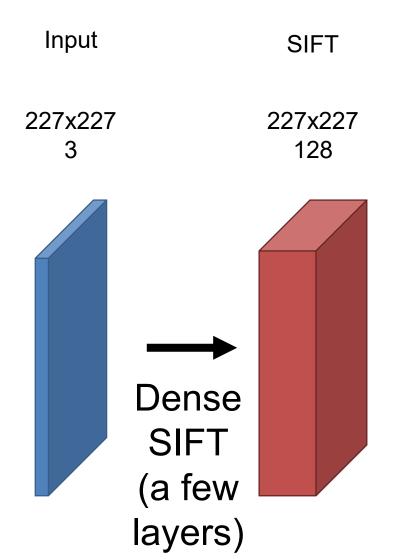


A very active area of research (lots of great work done by Bolei Zhou, MIT → CUHK)

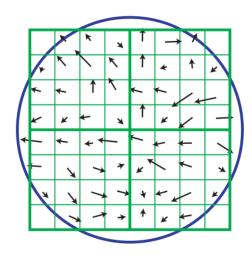
Input

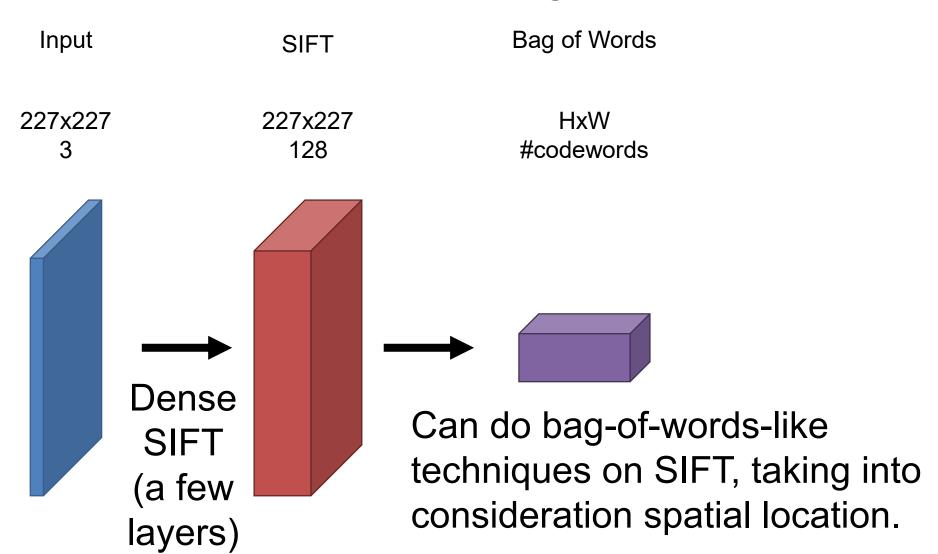
227x227 3

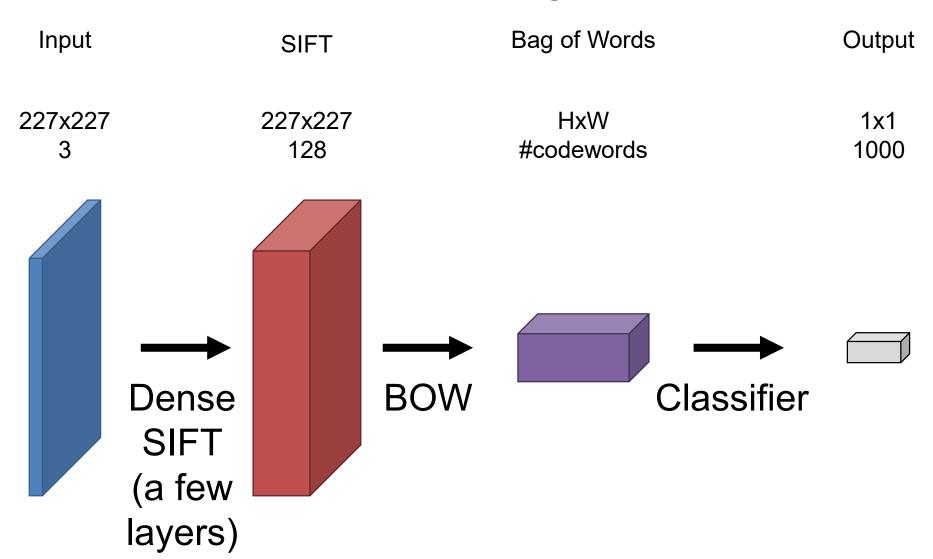


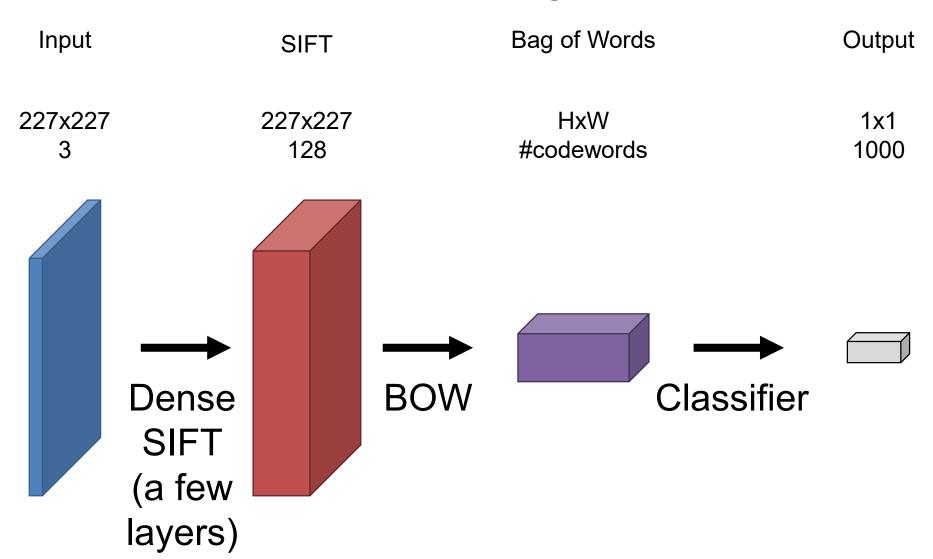


Recall: can compute a descriptor based on histograms of image gradients. Do it densely (at each pixel).

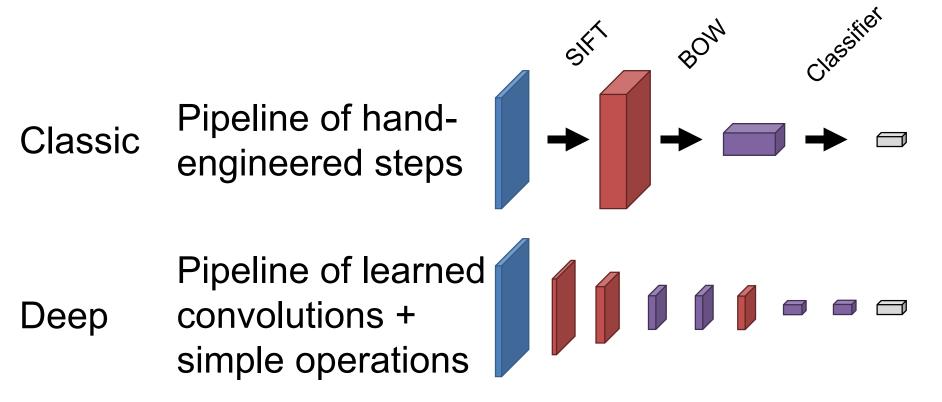








### Classic vs Deep Recognition



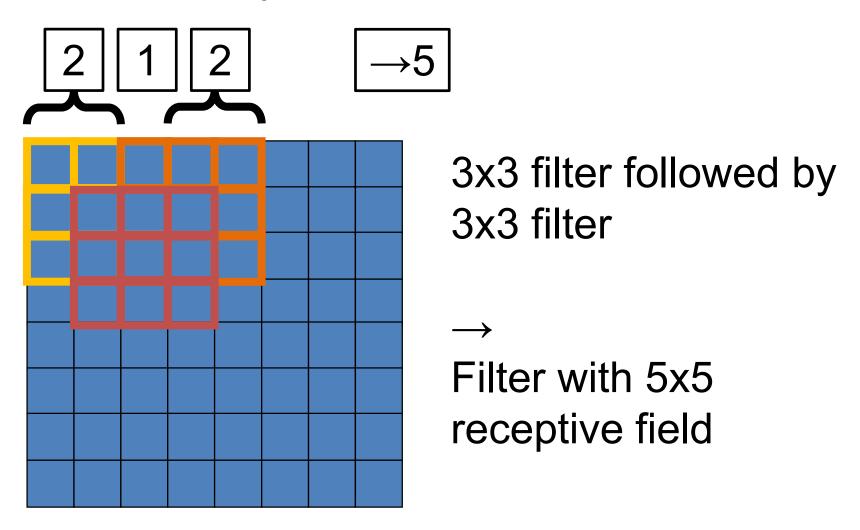
#### What are some differences?

The classic steps don't: talk to each other or have many parameters that are learned from data.

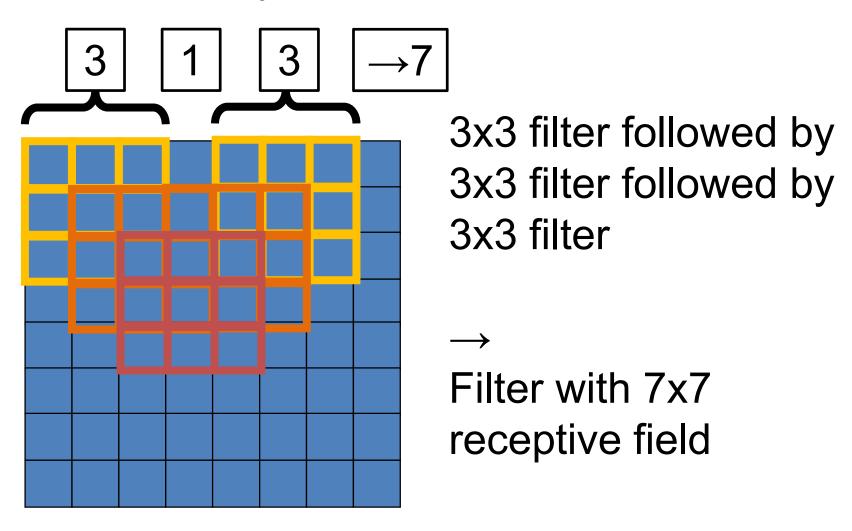
# 3 Key Developments Since Alexnet

- 3x3 Filters
- Batch Normalization
- Residual Learning

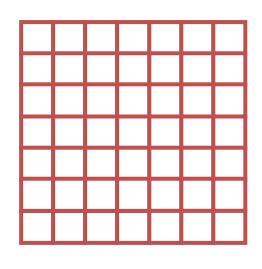
# Key Idea – 3x3 Filters



# Key Idea – 3x3 Filters

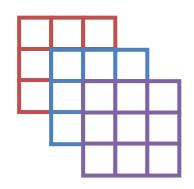


# Why Does This Make A Difference?

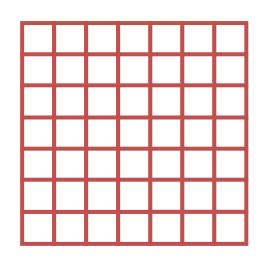


Empirically, repeated 3x3 filters do better compared to a 7x7 filter.





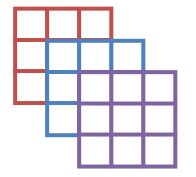
### Key Idea – 3x3 Filters



Receptive Field: 7x7 pixels

Parameters/channel: 49

Number of ReLUs: 1



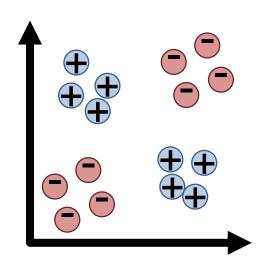
Receptive Field: 7x7 pixels

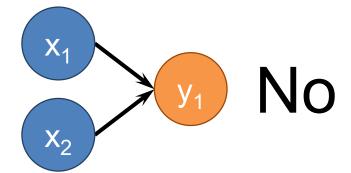
Parameters/channel: 3x3x3=27

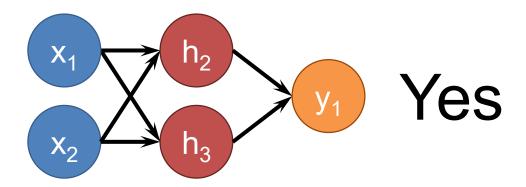
Number of ReLUs: 3

### We Want More Non-linearity!

Can they implement xor?

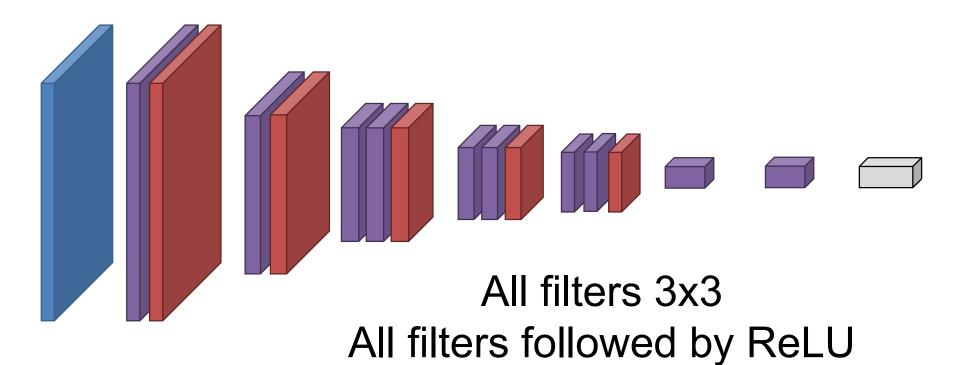






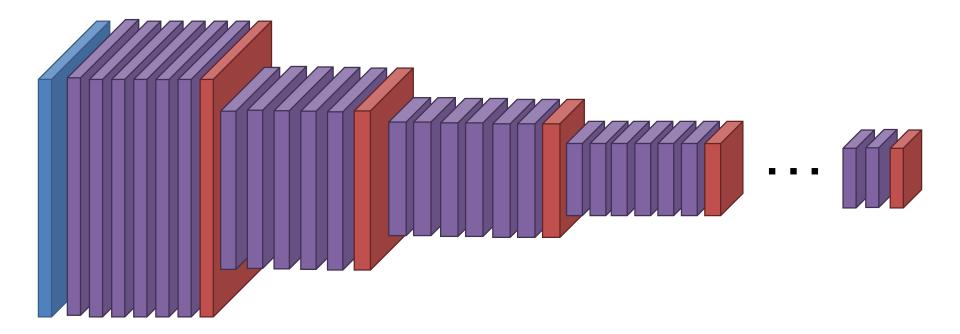
### VGG16

Input	Conv 1	Conv 2			Output
224x224 3	224x224 64				



## **Training Deeper Networks**

Why not just stack continuously?
What will happen to gradient going back?



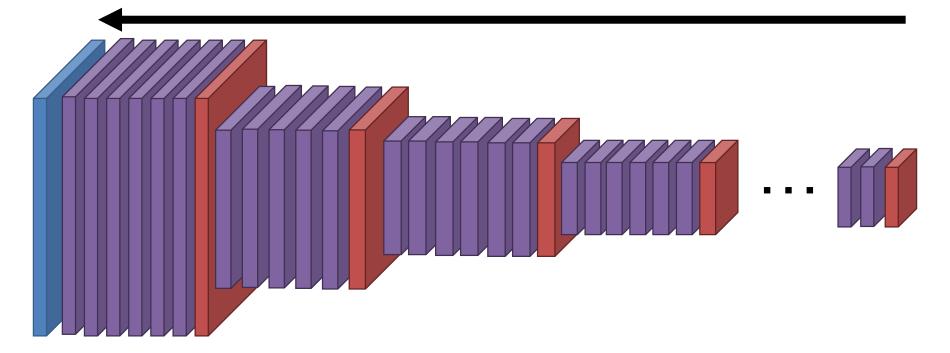
### Backprop

Every backpropagation step multiplies the gradient by the local gradient

 $1 * d * d * d ... * d = d^{n-1}$ 

What if d << 1, n big?

Vanishing Gradients



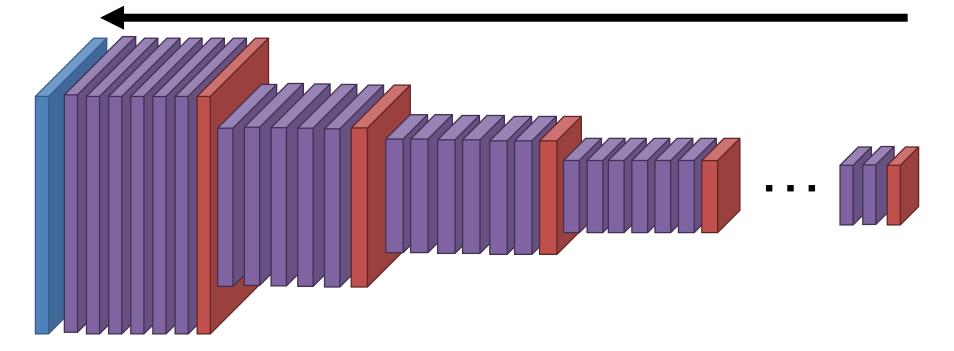
### Backprop

Every backpropagation step multiplies the gradient by the local gradient

 $1 * d * d * d ... * d = d^{n-1}$ 

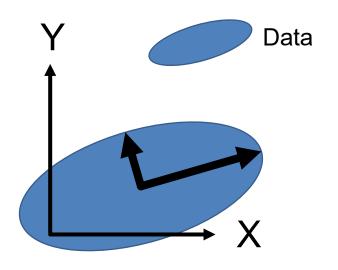
What if d >> 1, n big?

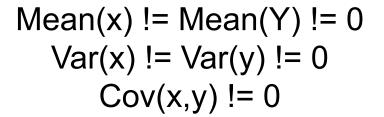
**Exploding Gradients** 

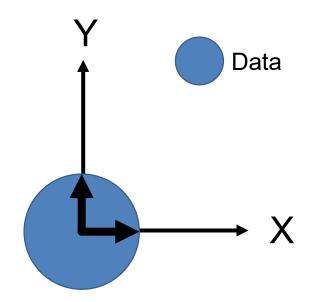


### Solution 1 – Batch Normalization

Learning algorithms work far better when data looks like the right as opposed to the left



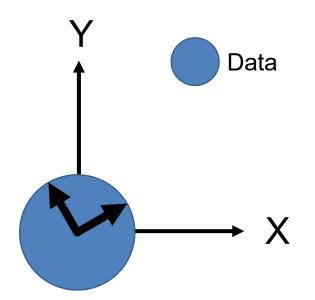




Mean(x) = Mean(Y) = 0  

$$Var(x) = Var(y) = 1$$
  
 $Cov(x,y) = 0$ 

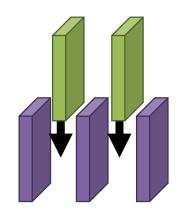
### Solution 1 – Batch Normalization



Mean(x) = Mean(Y) = 0Var(x) = Var(y) = 1

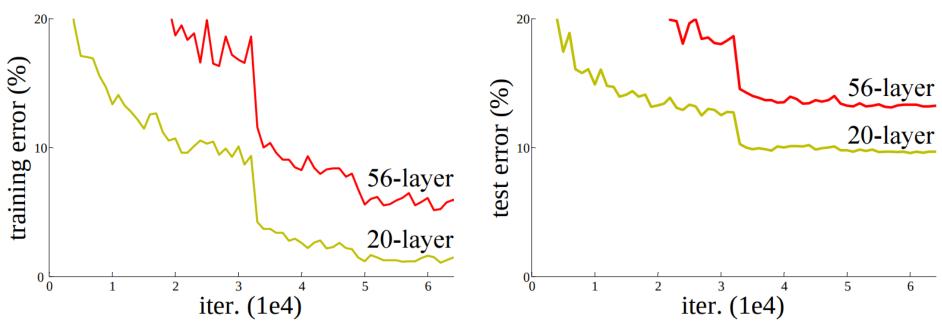
Idea: make layer (Batch Norm) that normalizes things going through it based on estimates of  $Var(x_i)$  in each batch.

Stick in between other layers



### There exists vs. We Can Find

- Still can't fit models to the data: Deeper model fits worse than shallower model on the training data.
- There exists a deeper model that's identical to the shallow model. Why?

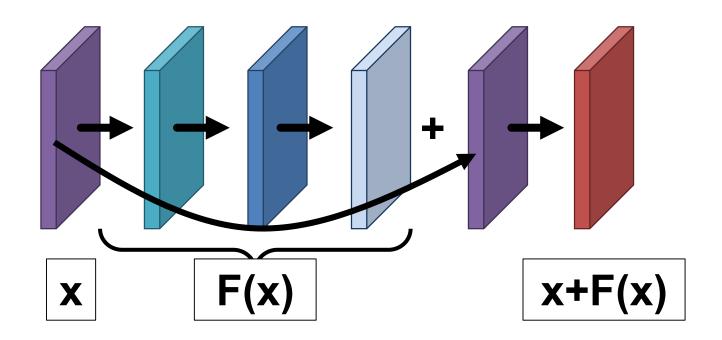


K. He et al. Deep Residual Learning for Image Recognition. CVPR 2016

### Residual Learning

New Building Block: x + F(x)

Lets you train networks with 100s of layers.



# **Evaluating Results**

At training time, we minimize:  $-\log\left(\frac{\exp((Wx)_{y_i}}{\sum_k \exp((Wx)_k))}\right)$ 

At test time, we evaluate, given predicted class  $\hat{y}_i$ :

Accuracy: 
$$\frac{1}{n} \sum_{i=1}^{n} 1(y_i = \widehat{y}_i)$$

### **Evaluating Many Categories**

Does this image depict a cat or a dog?



To avoid penalizing ambiguous images, many challenges let you make five guesses (top-5 accuracy):

Your prediction is correct if one of the guesses is right.

# Accuracy over the Years

	Top 1 Error	Top 5 Error
Best Pre-Deep	_	26.2%*
Alexnet	43.5%	20.9%
VGG-16	28.4%	9.6%
+Batch Norm	26.6%	8.5%
Resnet-152	21.7%	5.9%
Human*	_	5.1%

#### A Practical Aside

- People usually use hardware specialized for matrix multiplies (the card below does 13.4T flops if it's matrix multiplies).
- The real answer to why we love homogeneous coordinates?
  - Makes rendering matrix multiplies →
  - leads to matrix multiplication hardware →
  - deep learning.





### Training a CNN

- Download a big dataset
- Initialize network weights randomly
- for epoch in range(epochs):
  - Shuffle dataset
  - for each minibatch in datsaet.:
    - Put data on GPU
    - Compute gradient
    - Update gradient with SGD

### Training a CNN from Scratch

Need to start w somewhere

- AlexNet: weights ~ Normal(0,0.01), bias = 1
- "Xavier" initialization: Uniform  $(\frac{-1}{\sqrt{n}}, \frac{1}{\sqrt{n}})$  where n is the number of neurons
- "Kaiming" initialization: Normal $(0, \sqrt{2/n})$

Take-home: important, but use defaults

### Training a ConvNet

- Convnets typically have millions of parameters:
  - AlexNet: 62 million
  - VGG16: 138 million
- Convnets typically fit on ~1.2 million images
- Remember least squares: if we have fewer data points than parameters, we're in trouble
- Solution: need regularization / more data

## Training a CNN – Weight Decay

SGD Update

$$\mathbf{w_{t+1}} = \mathbf{w_t} - \epsilon \frac{\partial L}{\partial \mathbf{w_t}}$$

$$\mathbf{w_{t+1}} = \mathbf{w_t} - \eta \epsilon \mathbf{w_t} + \epsilon \frac{\partial L}{\partial \mathbf{w_t}}$$

### What does this remind you of?

Weight decay is very similar to regularization but might not be the same for more complex optimization techniques.

#### Quick Quiz

### Raise your hand if it's a hippo









Horizontal Flip

Color Jitter

Image Cropping

### Training a CNN –Augmentation

- Apply transformations that don't affect the output
- Produces more data but you have to be careful that it doesn't change the meaning of the output







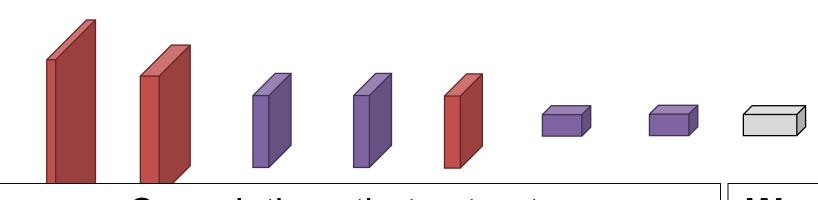


# Training a CNN – Fine-tuning

What if you don't have data?

## Fine-Tuning: Pre-trained Features

- 1. Extract some layer from an existing network
  - 2. Use as your new feature.
    - 3. Learn a linear model. Surprisingly effective



Convolutions that extract a 1x1x4096 feature (*Fixed/Frozen/Locked*)

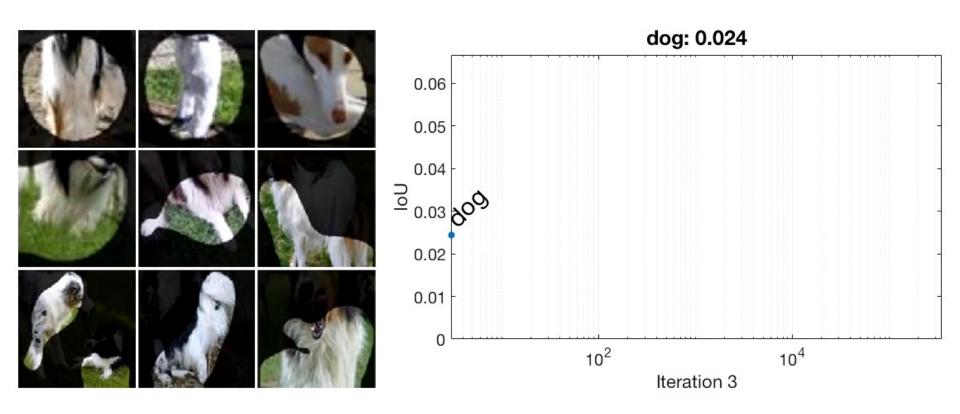
wx +b

## Fine-Tuning: Transfer Learning

- Rather than initialize from random weights, initialize from some "pre-trained" model that does something else.
- Most common model is trained on ImageNet.
- Other pretraining tasks exist but are less popular.

# Fine-Tuning: Transfer Learning

Why should this work?
Transferring from objects (dog) to scenes (waterfall)



#### Recommendations

- <10K images: features</li>
- Always try fine-tuning
- >100K images: consider trying from scratch

### Summary

- We learned about converting an image into a vector output (e.g., which of K classes is this image, or predict K continuous outputs)
- We learned about some building blocks for doing this