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FDA

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Acknowledgments: Doug Noll, Sai Ravishankar, Raj Nadakuditi,  
Jon Nielsen, Gopal Nataraj, Il Yong Chun, Xuehang Zheng, ...

Declaration: No relevant financial interests or relationships to disclose

Introduction

ML-based image reconstruction approaches

Adaptive regularization

- Patch-based adaptive regularizers

- Convolutional adaptive regularizers

- Blind dictionary learning

Other ML4MI topics

Summary

Bibliography

## Introduction

ML-based image reconstruction approaches

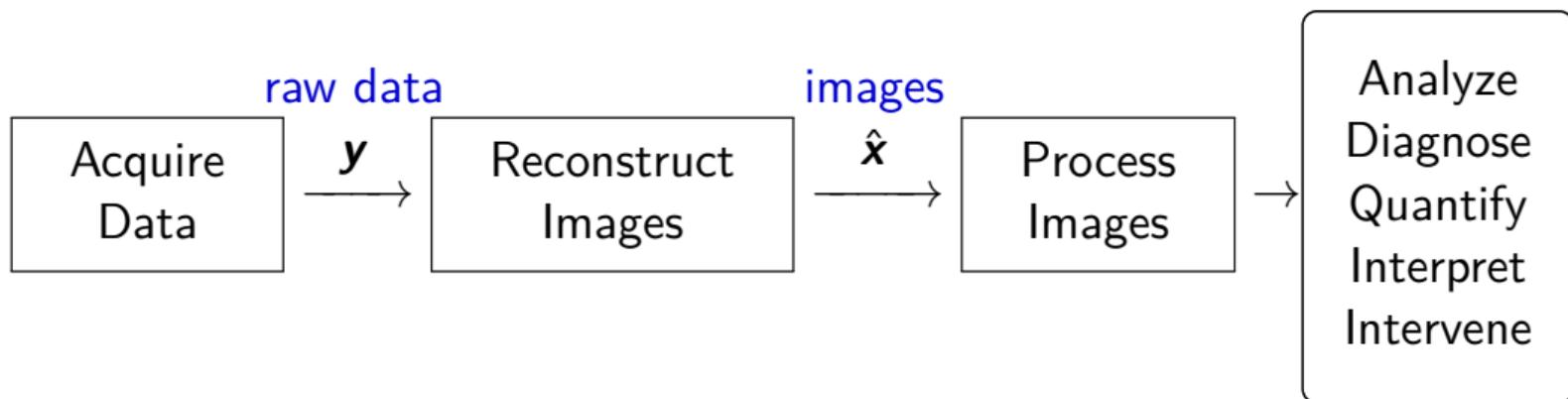
Adaptive regularization

Other ML4MI topics

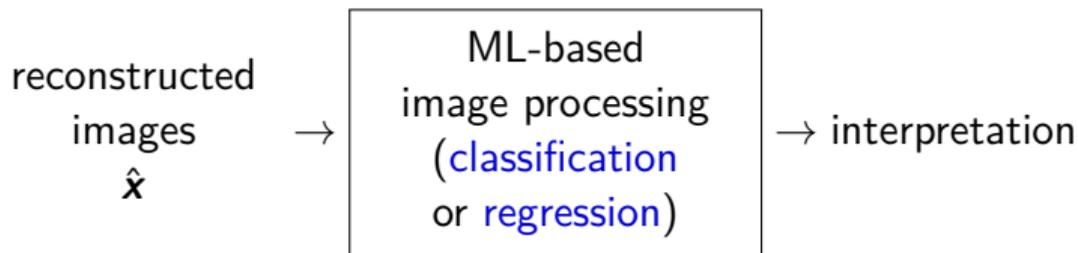
Summary

Bibliography

Overview of medical imaging:

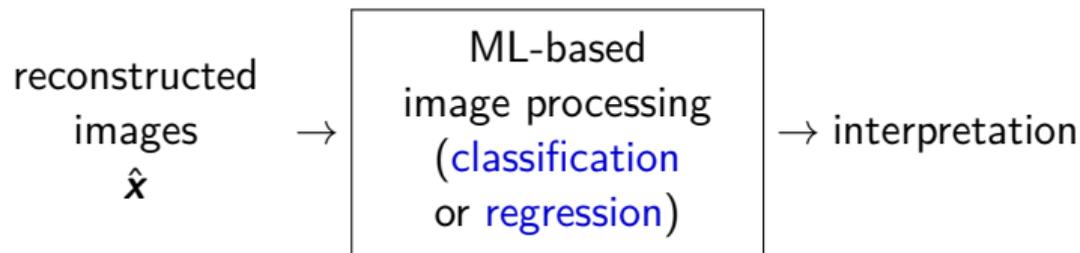


Most obvious place for machine learning is post-processing:



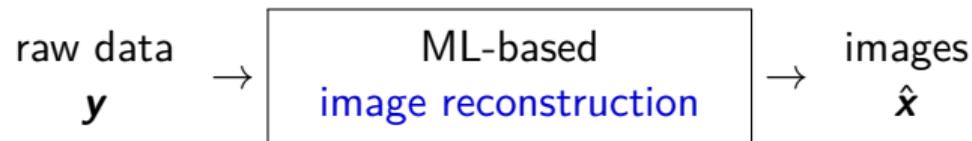
...

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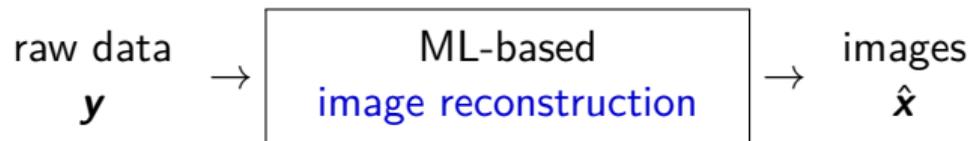
(Many conference sessions; special issue of IEEE Trans. on Med. Imaging in May 2016 [1], ...)

Another (initially less obvious?) place for machine learning (multiple conference sessions):



...

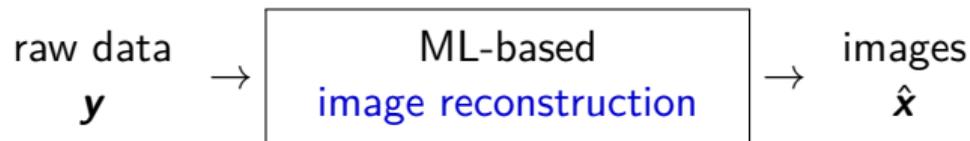
Another (initially less obvious?) place for machine learning (multiple conference sessions):



Possibly easier (than diagnosis) due to lower bar:

- current reconstruction methods based on simplistic image models;
- human eyes are better at detection than at solving inverse problems.

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June 2018 special issue of IEEE Trans. on Medical Imaging [2]:



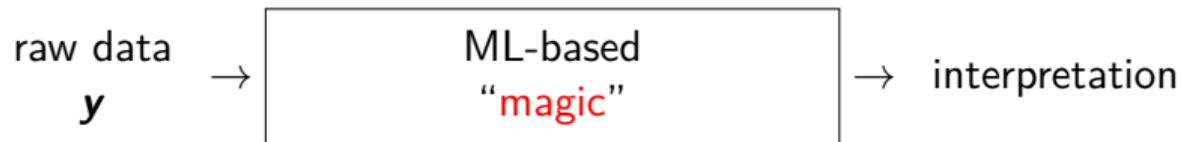
IEEE TRANSACTIONS ON MEDICAL IMAGING, VOL. 37, NO. 6, JUNE 2018

1289

## Image Reconstruction Is a New Frontier of Machine Learning

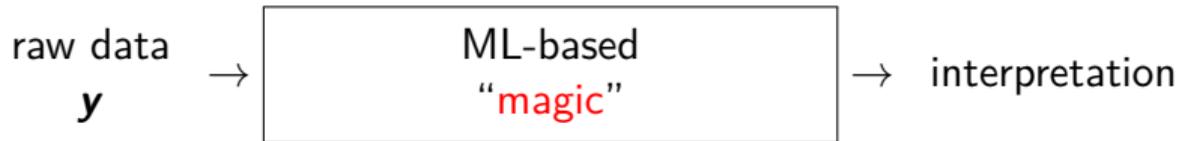
Ge Wang<sup>ID</sup>, *Fellow, IEEE*, Jong Chu Ye<sup>ID</sup>, *Senior Member, IEEE*, Klaus Mueller<sup>ID</sup>, *Senior Member, IEEE*, and Jeffrey A. Fessler<sup>ID</sup>, *Fellow, IEEE*

A more speculative opportunity for machine learning:



...

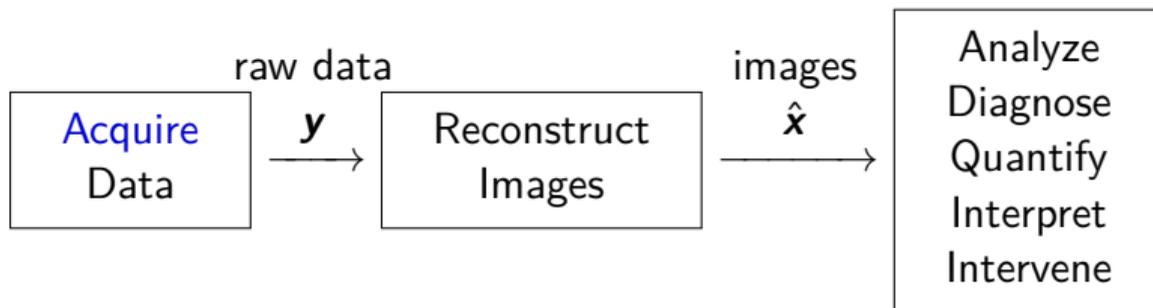
A more speculative opportunity for machine learning:



- ▶ CT sinogram to vessel diameter [3]
- ▶ k-space to ???

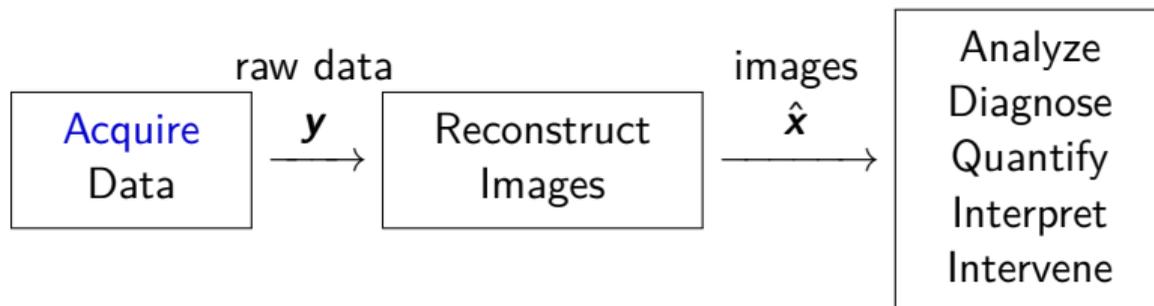
See Wiro Niessen's keynote...

One more opportunity for ML in medical imaging:



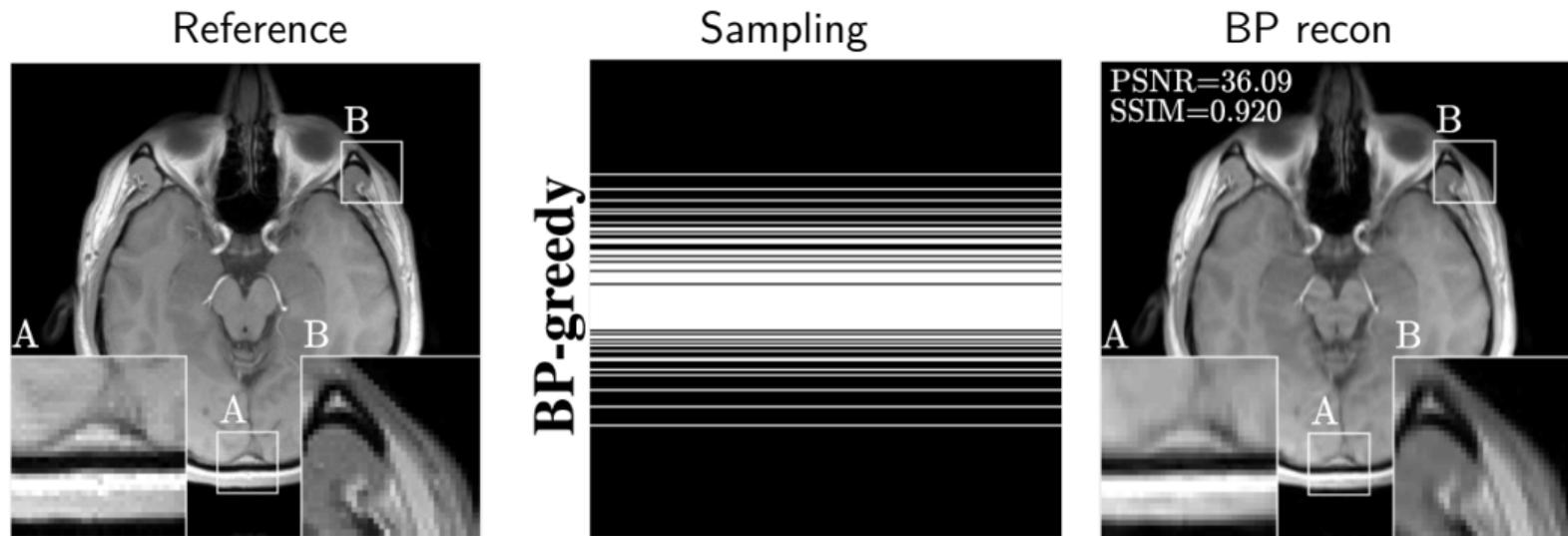
...

One more opportunity for ML in medical imaging:



Choose best k-space phase encoding locations based on training images:

- “Learning-based compressive MRI” [4, 5]  
(Volkan Cevher group, June 2018 IEEE T-MI)  
Single coil only so far; perhaps hard to generalize to parallel MRI?
- Yue Cao and David Levin, MRM Sep. 1993 “Feature recognizing MRI” [6–8]



Sampling designed to optimize PSNR for basis pursuit (BP) reconstruction using shearlet transform, at 25% sampling rate.

Sampling design considers both the training data and the reconstruction method.  
No high spatial frequencies!?

(Images from Gözcü et al. [5].)

Introduction

**ML-based image reconstruction approaches**

Adaptive regularization

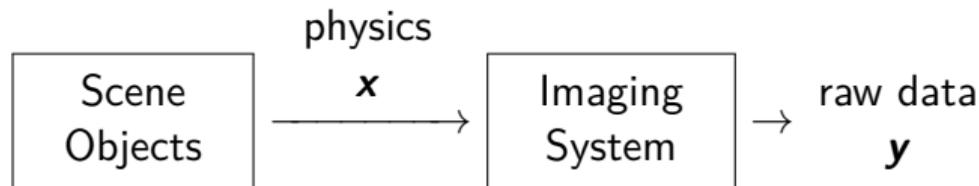
Other ML4MI topics

Summary

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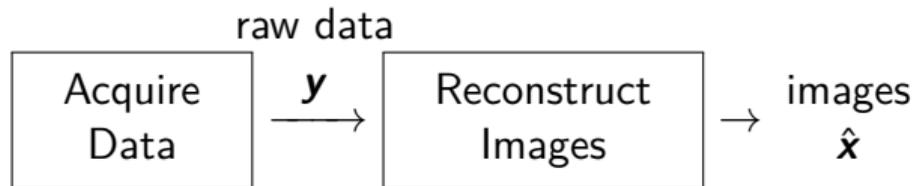
# Image reconstruction background

- ▶ Forward problem (data acquisition):



SPECT, PET, X-ray CT, MRI, optical, ...

- ▶ Inverse problem (image formation):



- ▶ Image reconstruction topics: physics models, measurement statistical models, regularization / object priors, optimization...

# Generations of medical image reconstruction methods

1. 70's "Analytical" methods (integral equations)  
FBP for SPECT / PET / X-ray CT, IFFT for MRI, ...
2. 80's Algebraic methods (as in "linear algebra")  
Solve  $\mathbf{y} = \mathbf{Ax}$
3. 90's Statistical methods
  - LS / ML methods
  - Bayesian methods (Markov random fields, ...)
  - regularized methods
4. 00's Compressed sensing methods  
(mathematical sparsity models)
5. 10's **Adaptive / data-driven** methods  
machine learning, deep learning, ...

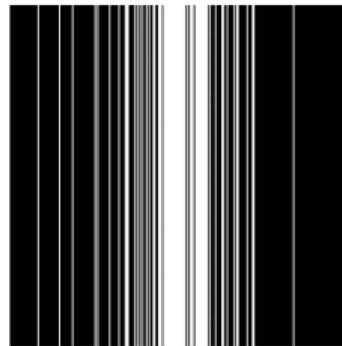
- (a)  $4\times$  under-sampled MR k-space
- (b) zero-filled reconstruction
- (c) “compressed sensing” reconstruction with TV regularization
- (d) **adaptive regularization using dictionary learning**

Ravishankar & Bresler, DLMRI, T-MI, May 2011,

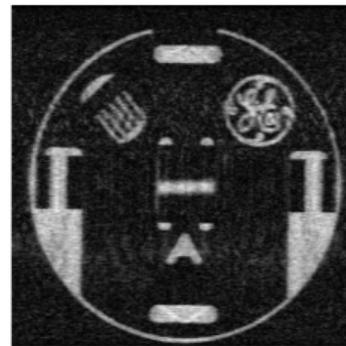
[9, Fig. 10]

DL = dictionary learning

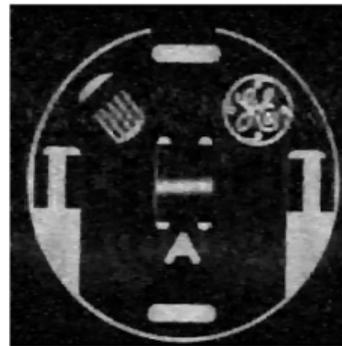
(not “deep learning”)



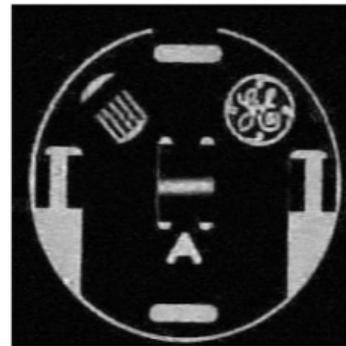
(a)



(b)



(c)



(d)

# Ill-posed inverse problems

$$\mathbf{y} = \mathbf{A}\mathbf{x} + \boldsymbol{\varepsilon}$$

$\mathbf{y}$  : measurements

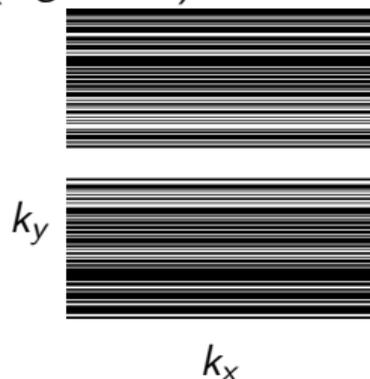
$\boldsymbol{\varepsilon}$  : noise

$\mathbf{x}$  : unknown image

$\mathbf{A}$  : system matrix (typically wide)

- ▶ compressed sensing (e.g., MRI)

( $\mathbf{A}$  “random” rows of DFT)



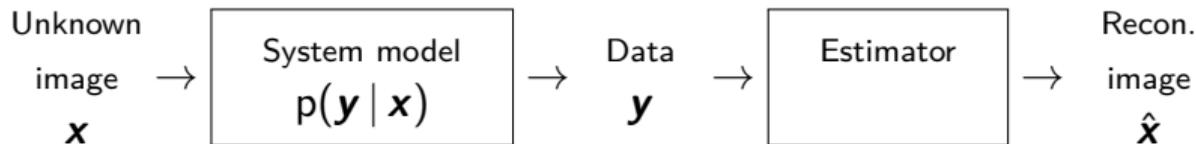
- ▶ deblurring (restoration)
- ▶ in-painting
- ▶ denoising (not ill posed)

( $\mathbf{A}$  Toeplitz)

( $\mathbf{A}$  subset of rows of  $\mathbf{I}$ )

( $\mathbf{A} = \mathbf{I}$ )

# Inverse problems via MAP estimation



If we have a prior  $p(\mathbf{x})$ , then the MAP estimate is:

$$\hat{\mathbf{x}} = \arg \max_{\mathbf{x}} p(\mathbf{x} | \mathbf{y}) = \arg \max_{\mathbf{x}} \log p(\mathbf{y} | \mathbf{x}) + \log p(\mathbf{x}).$$

For gaussian measurement errors and a linear forward model:

$$-\log p(\mathbf{y} | \mathbf{x}) \equiv \frac{1}{2} \|\mathbf{y} - \mathbf{A}\mathbf{x}\|_{\mathbf{W}}^2$$

where  $\|\mathbf{y}\|_{\mathbf{W}}^2 = \mathbf{y}'\mathbf{W}\mathbf{y}$

and  $\mathbf{W}^{-1} = \text{Cov}\{\mathbf{y} | \mathbf{x}\}$  is known  
( $\mathbf{A}$  from physics,  $\mathbf{W}$  from statistics)

# Priors for MAP estimation

- ▶ If all images  $\mathbf{x}$  are “plausible” (have non-zero probability) then

$$p(\mathbf{x}) \propto e^{-R(\mathbf{x})} \implies -\log p(\mathbf{x}) \equiv R(\mathbf{x})$$

(from fantasy / imagination / wishful thinking / data)

- ▶ MAP  $\equiv$  regularized weighted least-squares (WLS) estimation:

$$\begin{aligned}\hat{\mathbf{x}} &= \arg \max_{\mathbf{x}} \log p(\mathbf{y} | \mathbf{x}) + \log p(\mathbf{x}) \\ &= \arg \min_{\mathbf{x}} \frac{1}{2} \|\mathbf{y} - \mathbf{A}\mathbf{x}\|_{\mathbf{W}}^2 + R(\mathbf{x})\end{aligned}$$

- ▶ A regularizer  $R(\mathbf{x})$ , aka log prior, is essential for high-quality solutions to ill-conditioned / ill-posed inverse problems.
- ▶ Why ill-posed? Often high ambitions...

# Non-adaptive regularizers

- ▶ Tikhonov regularization (IID gaussian prior)
- ▶ Markov random field (MRF) models
- ▶ Roughness penalty (cf MRF prior)
- ▶ Edge-preserving regularization
- ▶ Total-variation (TV) regularization
- ▶ Black-box denoiser like NLM, e.g., plug-and-play ADMM [10]
- ▶ Sparsity in ambient space
- ▶ Sparsifying transforms: wavelets, curvelets, ...
- ▶ Graphical models
- ▶ ...

All “hand crafted” from statistical / mathematical models ...

# Simpler methods for ML in image reconstruction

Many possible ways to use ML ideas in image reconstruction.

Basic “fast” methods:

- ▶ Enhance raw data (k-space, sinogram, ...)
- ▶ Enhance poorly reconstructed image
  - patch-based
  - image-based

Computation / quality trade-offs ?

...

# Simpler methods for ML in image reconstruction

Many possible ways to use ML ideas in image reconstruction.

Basic “fast” methods:

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  - image-based

Computation / quality trade-offs ?

Basic “slow” methods:

- ▶ Auto-tune regularization parameter(s)
- ▶ Provide an initial image for “conventional” iterative reconstruction

May not fully exploit the potential of ML

- ▶ ML-based “prior” image for iterative reconstruction [11]:

$$\hat{\mathbf{x}} = \arg \min_{\mathbf{x}} \|\mathbf{Ax} - \mathbf{y}\|_2^2 + \beta \|\mathbf{x} - \mathbf{x}_{\text{prior}}\|_p^p$$

Fast for  $p = 2$ , but  $p = 1$  more robust to errors in prior image  
Reminiscent of U. Wisconsin’s PICCS methods, e.g., [12]

- ▶ ML-based “prior” image for iterative reconstruction [11]:

$$\hat{\mathbf{x}} = \arg \min_{\mathbf{x}} \|\mathbf{Ax} - \mathbf{y}\|_2^2 + \beta \|\mathbf{x} - \mathbf{x}_{\text{prior}}\|_p^p$$

Fast for  $p = 2$ , but  $p = 1$  more robust to errors in prior image  
Reminiscent of U. Wisconsin’s PICCS methods, e.g., [12]

- ▶ Unrolled loop (recurrent NN) with learned components [13–16]

# Nonlinear encoder methods for ML-based IR

- ML-based nonlinear encoder, e.g., autoencoder or generative adversarial network (GAN) [17, 18]: nonlinear generalizations of subspace models
- learn  $G$ : maps low-dimensional latent parameter  $\mathbf{z}$  into high-dimensional image  $\mathbf{x}$
- ▶ Synthesis form [19]:

$$\hat{\mathbf{x}} = G(\hat{\mathbf{z}}), \quad \hat{\mathbf{z}} = \arg \min_{\mathbf{z}} \|\mathbf{A}G(\mathbf{z}) - \mathbf{y}\|_2^2$$

Challenges:  $\hat{\mathbf{x}} \in \text{Range}(G)$ , non-convex minimization

# Nonlinear encoder methods for ML-based IR

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- ▶ Regularizer form:

$$\hat{\mathbf{x}} = \arg \min_{\mathbf{x}} \|\mathbf{A}\mathbf{x} - \mathbf{y}\|_2^2 + \beta R_{\text{encoder}}(\mathbf{x})$$

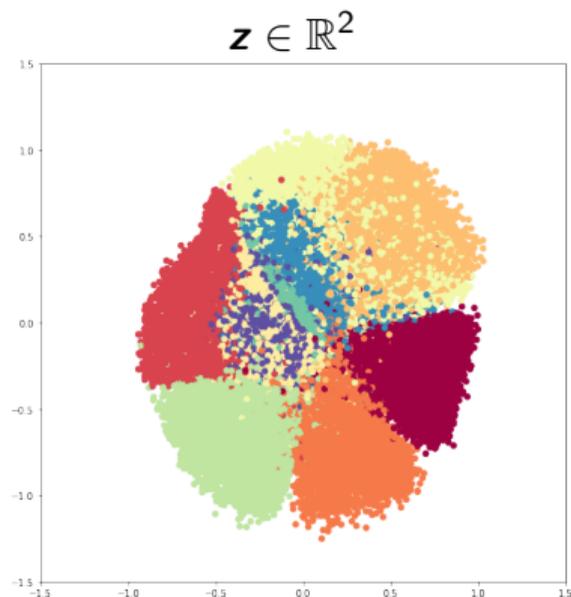
$$R_{\text{encoder}}(\mathbf{x}) = \min_{\mathbf{z}} \|\mathbf{x} - G(\mathbf{z})\|_p^p$$

Expensive non-convex double minimization, but more robust to encoder?

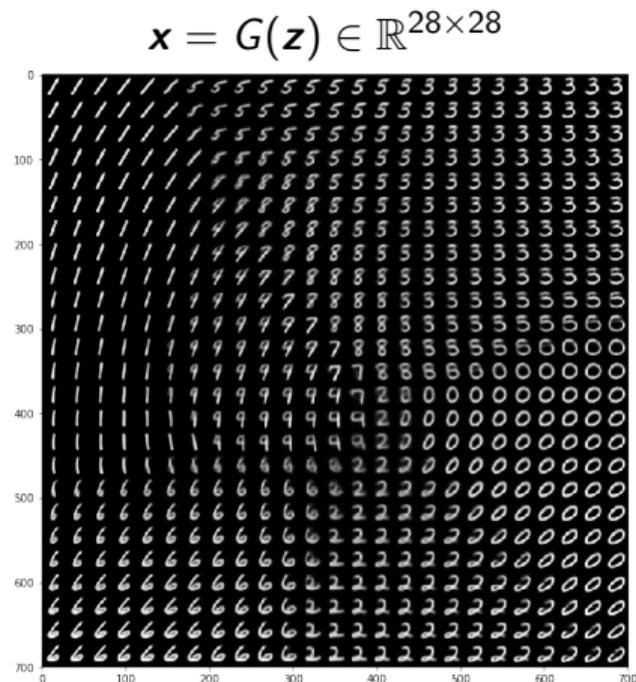
# Nonlinear encoder illustration

From jupyter notebook for [20] (13 layer CNN with  $\approx 300\text{K}$  learned parameters) at

[https://github.com/skolouri/swae/blob/master/MNIST\\_SlicedWassersteinAutoEncoder\\_Circle.ipynb](https://github.com/skolouri/swae/blob/master/MNIST_SlicedWassersteinAutoEncoder_Circle.ipynb)



$\mapsto$



Where is 4?

From Google's [21]:



Much more realistic than linear interpolation (averaging).  
“setting a new milestone in visual quality” [21].

From Google's [21]:



Non-physical output!

Introduction

ML-based image reconstruction approaches

Adaptive regularization

- Patch-based adaptive regularizers

- Convolutional adaptive regularizers

- Blind dictionary learning

Other ML4MI topics

Summary

Bibliography

- ▶ Data
  - ▶ Population adaptive methods (e.g., X-ray CT)
  - ▶ Patient adaptive methods (e.g., dynamic MRI?)
- ▶ Spatial structure
  - ▶ Patch-based models
  - ▶ Convolutional models
- ▶ Regularizer formulation
  - ▶ Synthesis (dictionary) approach
  - ▶ Analysis (sparsifying transforms) approach

Many options...

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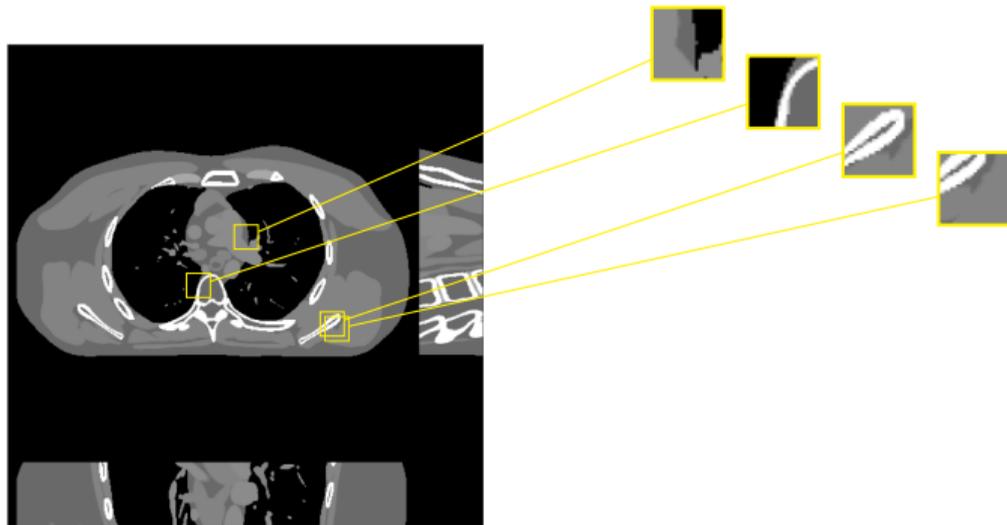
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# Patch-wise transform sparsity model

Assumption: if  $\mathbf{x}$  is a plausible image, then each  $\Omega \mathbf{P}_m \mathbf{x}$  is sparse.

- ▶  $\mathbf{P}_m \mathbf{x}$  extracts the  $m$ th of  $M$  patches from  $\mathbf{x}$
- ▶  $\Omega$  is a square sparsifying transform matrix



# Sparsifying transform learning (population adaptive)

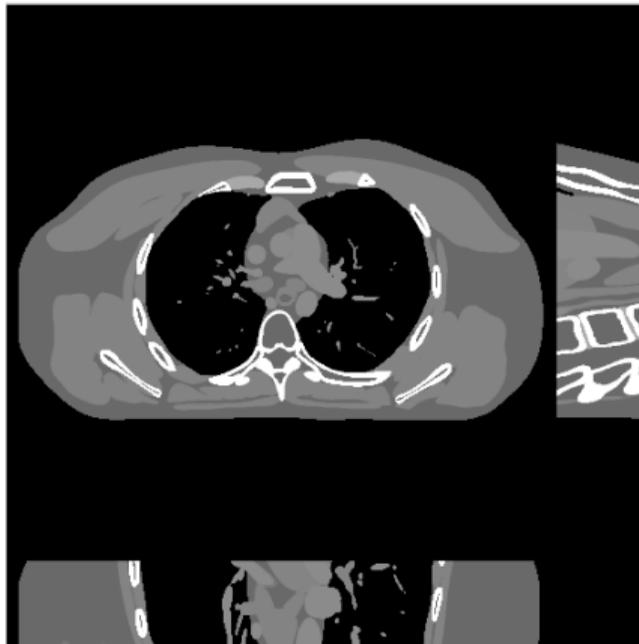
Given training images  $\mathbf{x}_1, \dots, \mathbf{x}_L$  from a representative population, find transform  $\Omega_*$  that best sparsifies their patches:

$$\Omega_* = \arg \min_{\Omega \text{ unitary}} \min_{\{\mathbf{z}_{l,m}\}} \sum_{l=1}^L \sum_{m=1}^M \|\Omega \mathbf{P}_m \mathbf{x}_l - \mathbf{z}_{l,m}\|_2^2 + \alpha \|\mathbf{z}_{l,m}\|_0$$

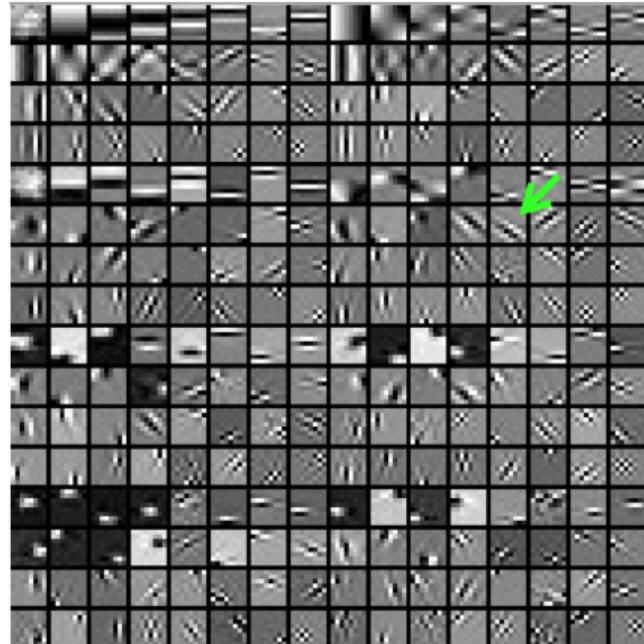
- ▶ Encourage aggregate sparsity, not patch-wise sparsity (cf K-SVD [22])
- ▶ Non-convex due to unitary constraint and  $\|\cdot\|_0$
- ▶ Efficient alternating minimization algorithm [23]
  - $\mathbf{z}$  update : simple hard thresholding
  - $\Omega$  update : orthogonal Procrustes problem (SVD)
  - Subsequence convergence guarantees [23]

# Example of learned sparsifying transform

3D X-ray training data



Parts of learned sparsifier  $\Omega_*$



(2D slices in x-y, x-z, y-z, from 3D image volume)

$8 \times 8 \times 8$  patches  $\implies \Omega_*$  is  $8^3 \times 8^3 = 512 \times 512$

top  $8 \times 8$  slice of 256 of the 512 rows of  $\Omega_*$   $\uparrow$

# Regularizer based on learned sparsifying transform

Regularized inverse problem [24]:

$$\hat{\mathbf{x}} = \arg \min_{\mathbf{x}} \|\mathbf{A}\mathbf{x} - \mathbf{y}\|_{\mathbf{W}}^2 + \beta \mathbf{R}(\mathbf{x})$$

$$\mathbf{R}(\mathbf{x}) = \min_{\{\mathbf{z}_m\}} \sum_{m=1}^M \|\Omega_* \mathbf{P}_m \mathbf{x} - \mathbf{z}_m\|_2^2 + \alpha \|\mathbf{z}_m\|_0.$$

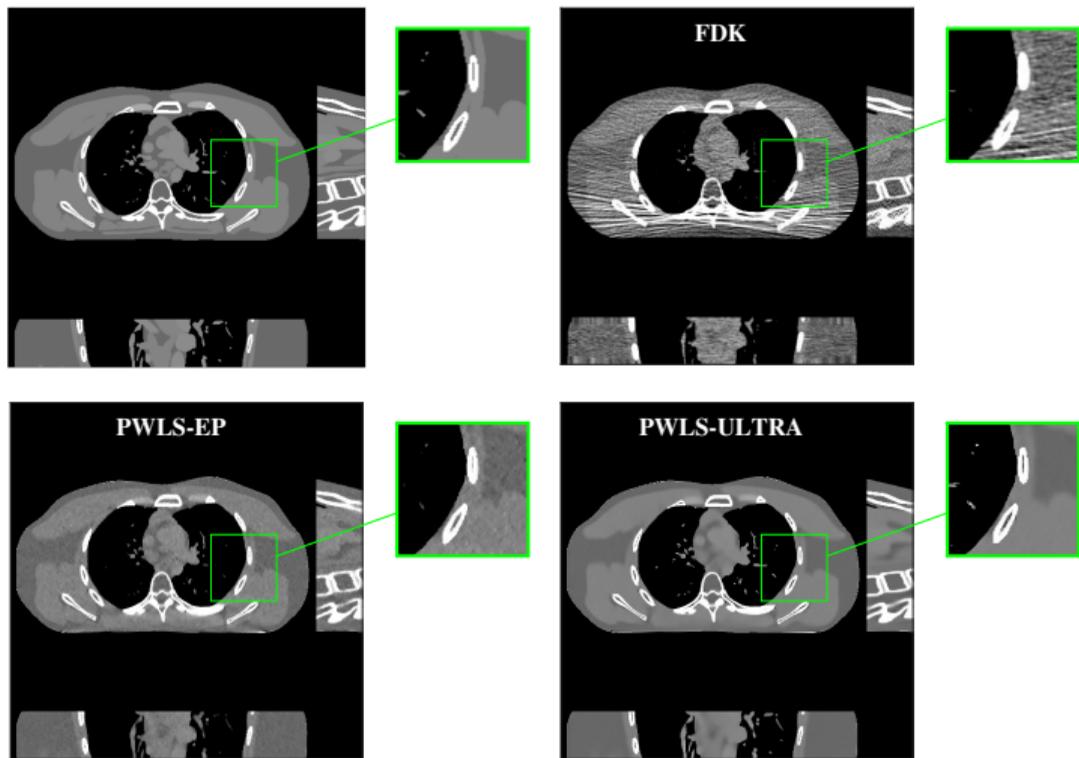
$\Omega_*$  adapted to population training data

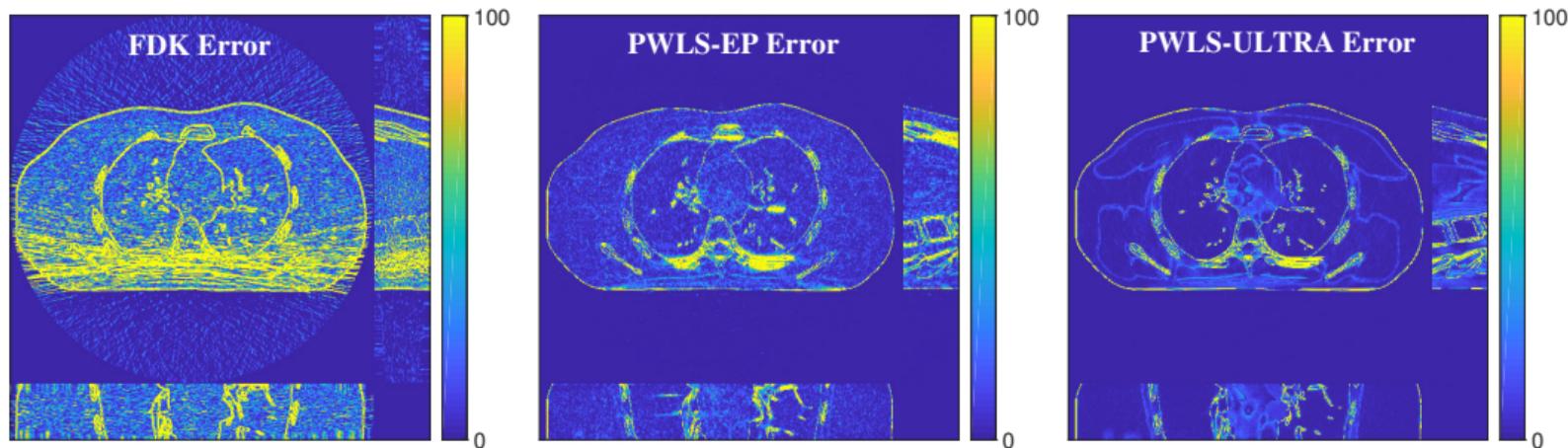
Alternating minimization optimizer:

- ▶  $\mathbf{z}_m$  update : simple hard thresholding
- ▶  $\mathbf{x}$  update : quadratic problem (many options)

Linearized augmented Lagrangian method (LALM) [25]

X. Zheng, S. Ravishankar,  
Y. Long, JF:  
IEEE T-MI, June 2018 [24]





	X-ray Intensity	FDK	EP	ST $\Omega_*$	ULTRA	ULTRA- $\{\tau_j\}$
RMSE in HU	$1 \times 10^4$	67.8	34.6	32.1	30.7	<b>29.2</b>
	$5 \times 10^3$	89.0	41.1	37.3	35.7	<b>34.2</b>

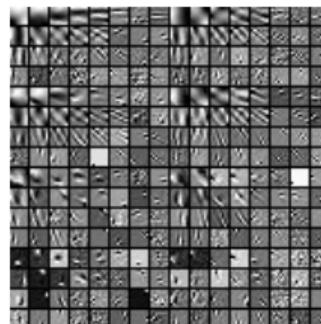
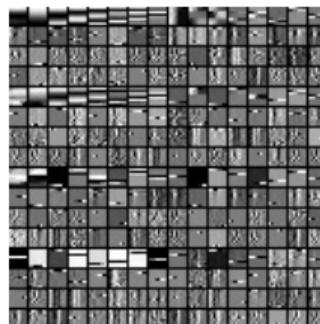
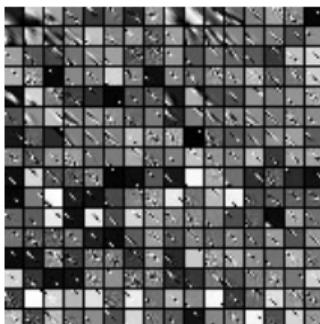
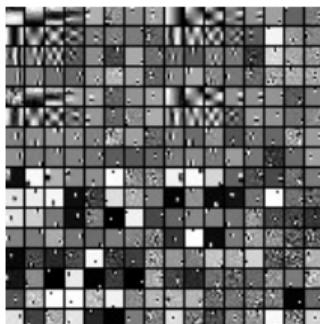
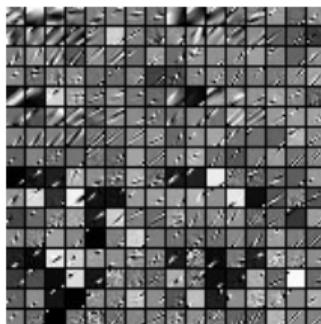
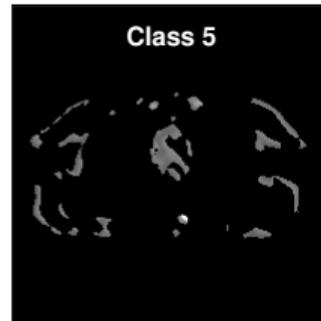
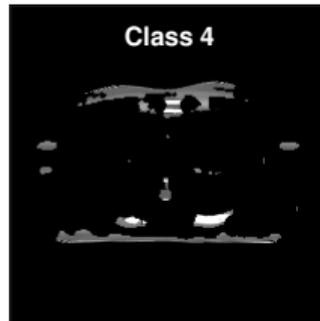
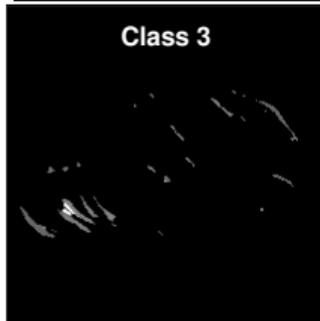
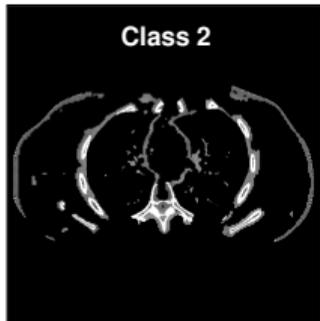
- ▶ Physics / statistics provides dramatic improvement
- ▶ Data adaptive regularization further reduces RMSE

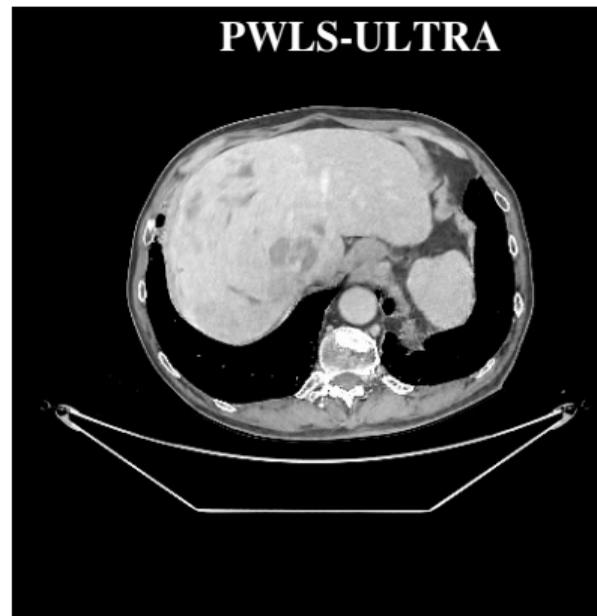
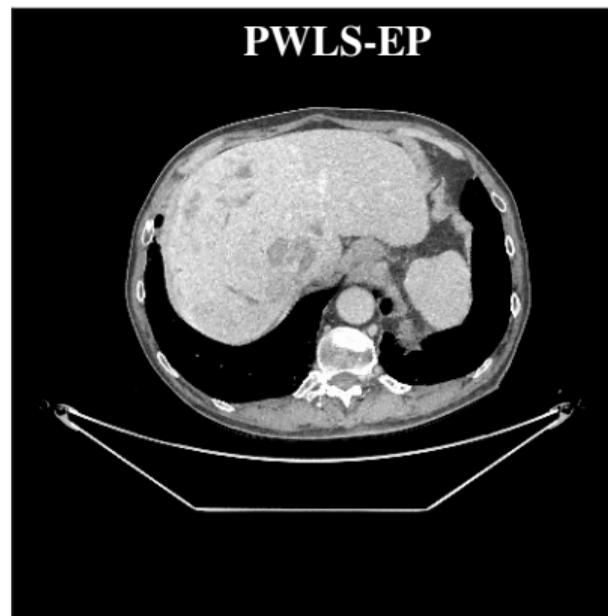
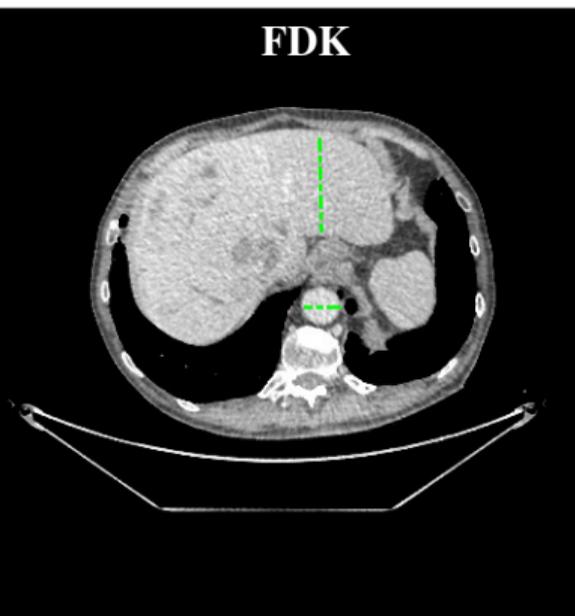
# Union of Learned TRAnsforms (ULTRA)

Given training images  $\mathbf{x}_1, \dots, \mathbf{x}_L$  from a representative population, find a **set** of transforms  $\{\hat{\Omega}_k\}_{k=1}^K$  that best sparsify image patches:

$$\{\hat{\Omega}_k\} = \arg \min_{\{\Omega_k \text{ unitary}\}} \min_{\{k_{l,m} \in \{1, \dots, K\}\}} \min_{\{\mathbf{z}_{l,m}\}} \sum_{l=1}^L \sum_{m=1}^M \left\| \Omega_{k_{l,m}} \mathbf{P}_m \mathbf{x}_l - \mathbf{z}_{l,m} \right\|_2^2 + \alpha \|\mathbf{z}_{l,m}\|_0$$

- ▶ Joint unsupervised clustering / sparsification
- ▶ Further nonconvexity due to clustering
- ▶ Efficient alternating minimization algorithm [26]





Zheng et al., IEEE T-MI, June 2018 [24]

Matlab code: <http://web.eecs.umich.edu/~fessler/irt/reproduce/>

<https://github.com/xuehangzheng/PWLS-ULTRA-for-Low-Dose-3D-CT-Image-Reconstruction>

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Drawback of basic patch-based methods:

$512 \times 512 \times 512$  3D X-ray CT image volume

$8 \times 8 \times 8$  patches

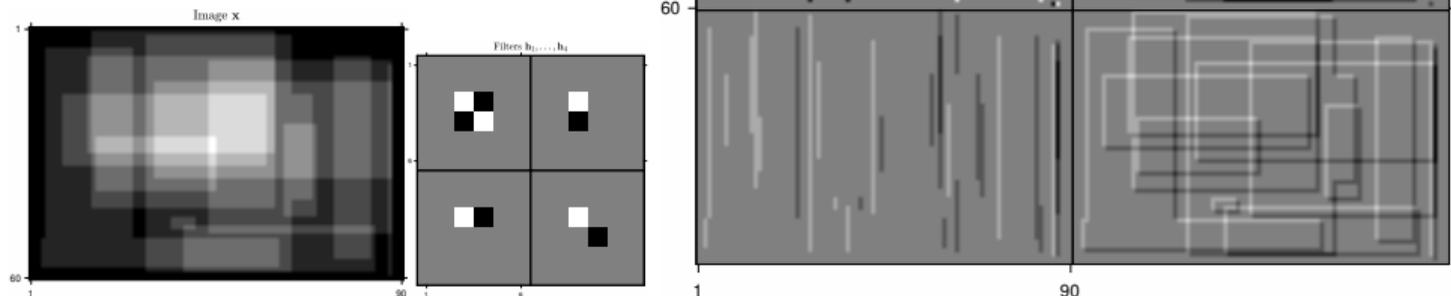
$\implies 512^3 \cdot 8^3 \cdot 4 = 256$  Gbyte of patch data for stride=1

# Convolutional sparsity: analysis model

Assumption: For a plausible image  $\mathbf{x}$ , the filter outputs  $\{\mathbf{h}_k * \mathbf{x}\}$  are sparse, for some filters  $\{\mathbf{h}_k\}_{k=1}^K$  [27]

- ▶ For more plausible images, the outputs  $\{\mathbf{h}_k * \mathbf{x}\}$  are more sparse.
- ▶  $*$  denotes convolution
- ▶ Inherently shift invariant and no patches

Example (hand crafted filters):



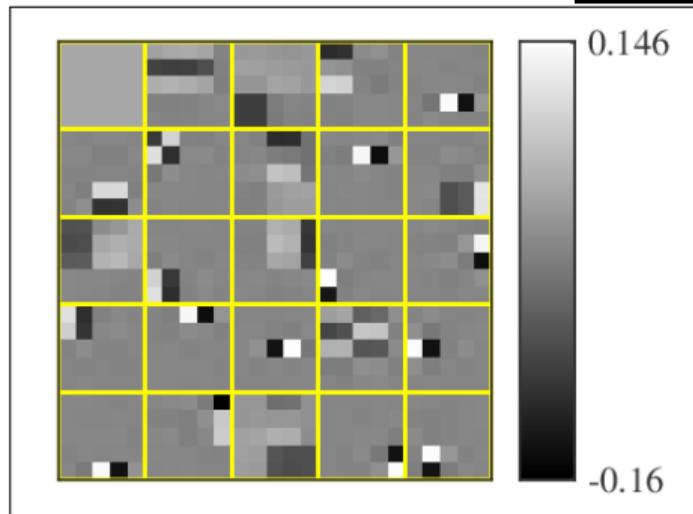
# Sparsifying filter learning (population adaptive)

Given training images  $\mathbf{x}_1, \dots, \mathbf{x}_L$  from a representative population, find filters  $\{\hat{\mathbf{h}}_k\}_{k=1}^K$  that best sparsify them:

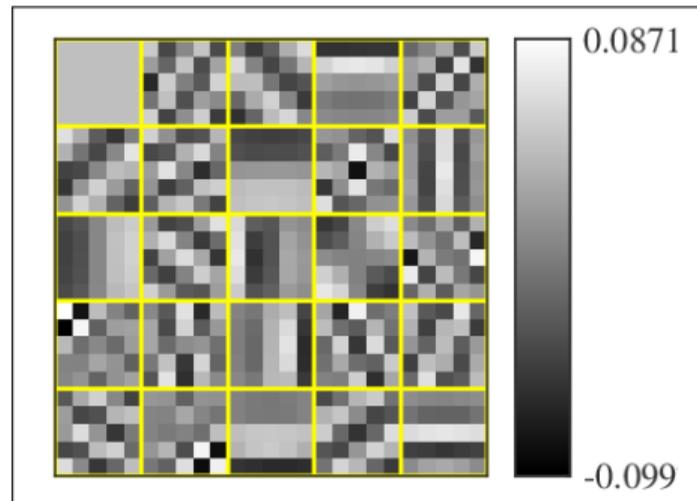
$$\{\hat{\mathbf{h}}_k\} = \arg \min_{\{\mathbf{h}_k\} \in \mathcal{H}} \min_{\{\mathbf{z}_{l,k}\}} \sum_{l=1}^L \sum_{k=1}^K \|\mathbf{h}_k * \mathbf{x}_l - \mathbf{z}_{l,k}\|_2^2 + \alpha \|\mathbf{z}_{l,k}\|_0$$

- ▶ To encourage filter diversity:
  - $\mathcal{H} = \{\mathbf{H} : \mathbf{H}\mathbf{H}' = \mathbf{I}\}$ ,  $\mathbf{H} = [\mathbf{h}_1 \dots \mathbf{h}_K]$
  - cf. tight-frame condition  $\sum_{k=1}^K \|\mathbf{h}_k * \mathbf{x}\|_2^2 \propto \|\mathbf{x}\|_2^2$
- ▶ Encourage aggregate sparsity, period
- ▶ Non-convex due to constraint  $\mathcal{H}$  and  $\|\cdot\|_0$
- ▶ Efficient alternating minimization algorithm [28]
  - $\mathbf{z}$  update is simply hard thresholding
  - Filter update uses diagonal majorizer, proximal map (SVD)
  - Subsequence convergence guarantees [28]

2D X-ray CT training data and learned  $5 \times 5$  sparsifying filters  $\{\hat{h}_k\}$  [28]:



$$\alpha = 10^{-4}$$



$$\alpha = 2 \times 10^{-3}$$

# Regularizer based on learned sparsifying filters

Regularized inverse problem [28]:

$$\hat{\mathbf{x}} = \arg \min_{\mathbf{x} \succeq \mathbf{0}} \|\mathbf{Ax} - \mathbf{y}\|_{\mathbf{W}}^2 + \beta \mathbf{R}(\mathbf{x})$$

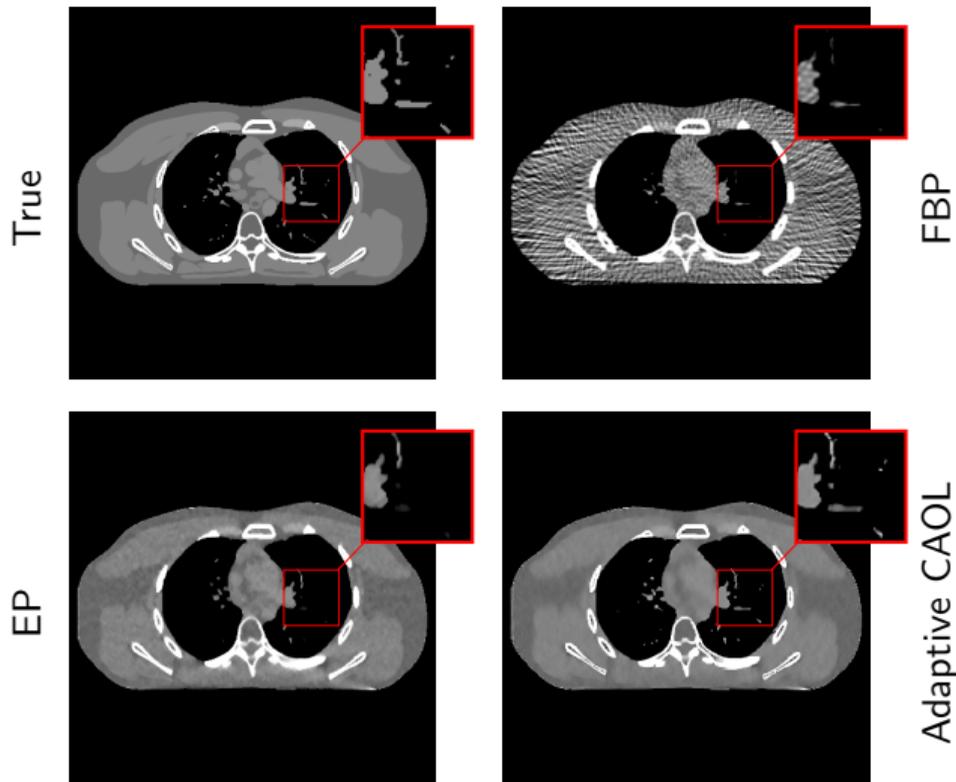
$$\mathbf{R}(\mathbf{x}) = \arg \min_{\{\mathbf{z}_k\}} \sum_{k=1}^K \left\| \hat{\mathbf{h}}_k * \mathbf{x} - \mathbf{z}_k \right\|_2^2 + \alpha \|\mathbf{z}_k\|_0.$$

$\{\hat{\mathbf{h}}_k\}$  adapted to population training data

Block proximal gradient with majorizer (BPG-M) optimizer:

- ▶  $\mathbf{z}_k$  update is simple hard thresholding
- ▶  $\mathbf{x}$  update is a quadratic problem: diagonal majorizer

I. Y. Chun, JF, 2018, arXiv 1802.05584 [28]



123 views (out of usual 984)  $\implies$   $8\times$  dose reduction

RMSE (in HU):

FBP	82.8
EP	40.8
Adaptive filters	<b>35.2</b>

- ▶ Physics / statistics provides dramatic improvement
- ▶ Data-adaptive regularization further reduces RMSE

# Extension to multiple layers (cf CNN) I

Convolutional sparsity model:  $\mathbf{h}_k * \mathbf{x}$  is sparse for  $k = 1, \dots, K_1$

Learning 1 “layer” of filters:

$$\{\hat{\mathbf{h}}_k^{[1]}\} = \arg \min_{\{\mathbf{h}_k^{[1]}\} \in \mathcal{H}} \min_{\{\mathbf{z}_{l,k}^{[1]}\}} \sum_{l=1}^L \sum_{k=1}^{K_1} \left\| \mathbf{h}_k^{[1]} * \mathbf{x}_l - \mathbf{z}_{l,k}^{[1]} \right\|_2^2 + \alpha \left\| \mathbf{z}_{l,k}^{[1]} \right\|_0$$

# Extension to multiple layers (cf CNN) II

Learning 2 layers of filters [28]:

$$\begin{aligned}
 (\{\hat{\mathbf{h}}_k^{[1]}\}, \{\hat{\mathbf{h}}_k^{[2]}\}) = & \arg \min_{\{\mathbf{h}_k^{[1]}\}, \{\mathbf{h}_k^{[2]}\} \in \mathcal{H}} \min_{\{\mathbf{z}_{l,k}^{[1]}\}} \min_{\{\mathbf{z}_{l,k}^{[2]}\}} \\
 & \sum_{l=1}^L \sum_{k=1}^{K_1} \left\| \mathbf{h}_k^{[1]} * \mathbf{x}_l - \mathbf{z}_{l,k}^{[1]} \right\|_2^2 + \alpha \left\| \mathbf{z}_{l,k}^{[1]} \right\|_0 \\
 & + \sum_{l=1}^L \sum_{k=1}^{K_2} \left\| \mathbf{h}_k^{[2]} * (\mathbf{P}_k \mathbf{z}_l^{[1]}) - \mathbf{z}_{l,k}^{[2]} \right\|_2^2 + \alpha \left\| \mathbf{z}_{l,k}^{[2]} \right\|_0
 \end{aligned}$$

Here  $\mathbf{P}_k$  is a pooling operator for the output of first layer

Block proximal gradient with majorizer (BPG-M) optimizer

I. Y. Chun, JF, 2018, arXiv 1802.05584 [28]

Use multi-level learned filters as (interpretable?) regularizer for CT.

Introduction

ML-based image reconstruction approaches

Adaptive regularization

- Patch-based adaptive regularizers

- Convolutional adaptive regularizers

- Blind dictionary learning

Other ML4MI topics

Summary

Bibliography

# MR with adapted patch dictionary

- ▶ Data
  - ▶ Population adaptive methods
  - ▶ Patient adaptive methods
- ▶ Spatial structure
  - ▶ Patch-based models
  - ▶ Convolutional models
- ▶ Regularizer formulation
  - ▶ Synthesis (dictionary) approach
  - ▶ Analysis (sparsifying transform) approach

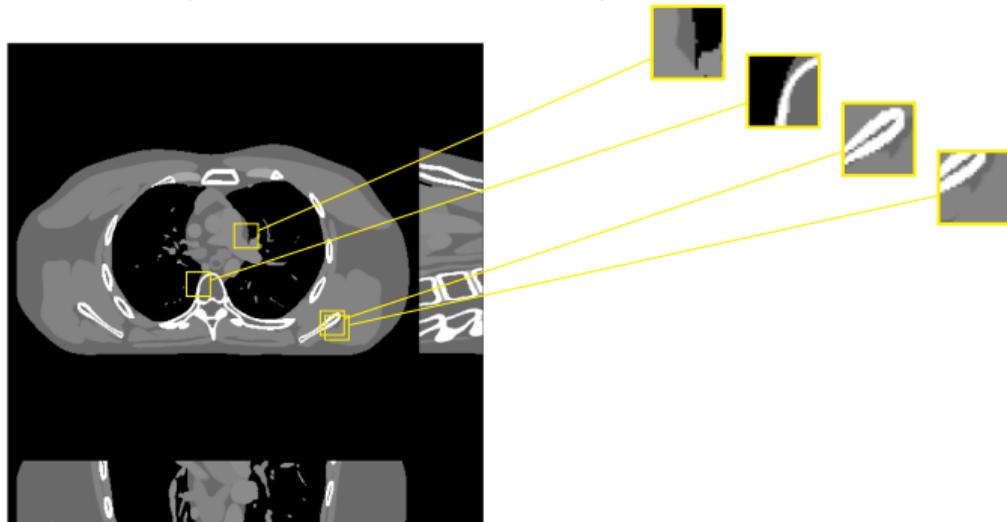
# Patch-wise dictionary sparsity model

Assumption: if  $\mathbf{x}$  is a plausible image, then each patch has

$$P_p \mathbf{x} \approx \mathbf{D} \mathbf{z}_p,$$

for a sparse coefficient vector  $\mathbf{z}_p$ . (Synthesis approach.)

- ▶  $P_p \mathbf{x}$  extracts the  $p$ th of  $P$  patches from  $\mathbf{x}$
- ▶  $\mathbf{D}$  is a (typically overcomplete) dictionary for patches



# MR reconstruction using adaptive dictionary regularizer

Dictionary-blind MR image reconstruction:

$$\hat{\mathbf{x}} = \arg \min_{\mathbf{x}} \frac{1}{2} \|\mathbf{A}\mathbf{x} - \mathbf{y}\|_2^2 + \beta \mathbf{R}(\mathbf{x})$$

$$\mathbf{R}(\mathbf{x}) = \min_{\mathbf{D} \in \mathcal{D}} \min_{\mathbf{Z}} \sum_{m=1}^M \left( \|\mathbf{P}_m \mathbf{x} - \mathbf{D} \mathbf{z}_m\|_2^2 + \lambda^2 \|\mathbf{z}_m\|_0 \right)$$

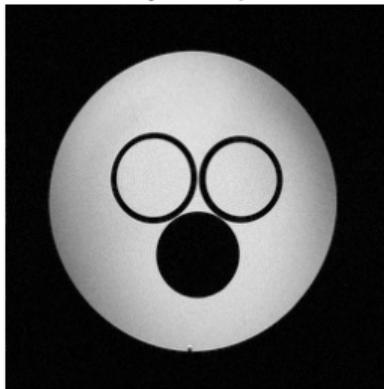
where  $\mathbf{P}_m$  extracts  $m$ th of  $M$  image patches.

*In words: of the many images...*

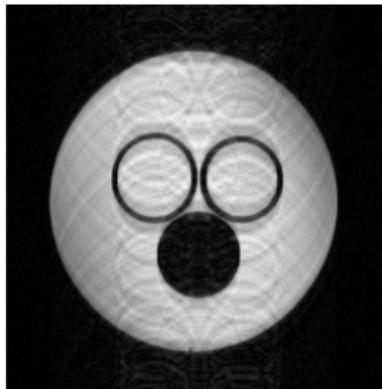
Alternating (nested) minimization:

- ▶ Fixing  $\mathbf{x}$  and  $\mathbf{D}$ , update each row of  $\mathbf{Z} = [\mathbf{z}_1 \ \dots \ \mathbf{z}_M]$  sequentially via hard-thresholding.
- ▶ Fixing  $\mathbf{x}$  and  $\mathbf{Z}$ , update  $\mathbf{D}$  using SOUP-DIL [29].
- ▶ Fixing  $\mathbf{Z}$  and  $\mathbf{D}$ , updating  $\mathbf{x}$  is a quadratic problem.
  - Efficient FFT solution for single-coil Cartesian MRI.
  - Use CG for non-Cartesian and/or parallel MRI.
- ▶ Non-convex, but monotone decreasing and some convergence theory [29].

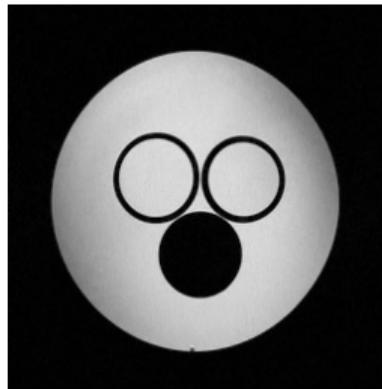
Fully Sampled



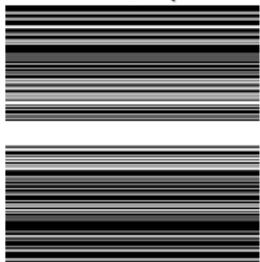
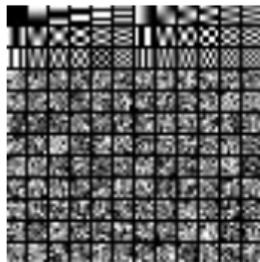
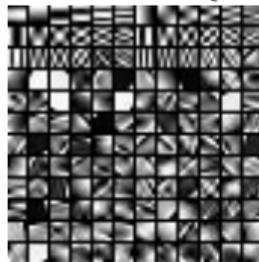
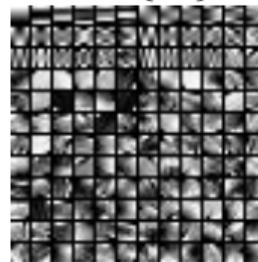
Zero-Filled

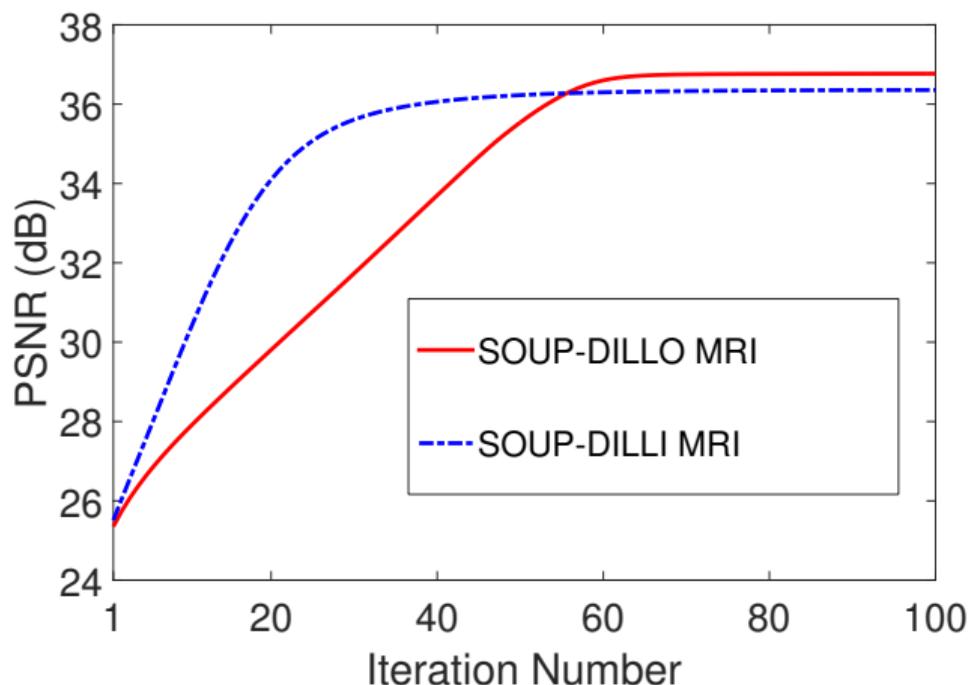


SOUP-DILLO-MRI



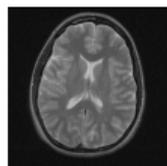
$6 \times 6$  patches  
 $D \in \mathbb{C}^{6^2 \times 144}$   
 $D_0$ : [DCT | random]  
 [29]

Sampling ( $2.5\times$ )Initial  $D$ Learned real  $\{D\}$ imag  $\{D\}$ 

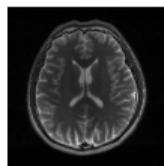


(SNR vs fully sampled image.)  
Using  $\|\mathbf{z}_m\|_0$  leads to higher SNR than  $\|\mathbf{z}_m\|_1$ .  
Adaptive case is non-convex anyway...

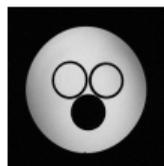
Matlab code: <http://web.eecs.umich.edu/~fessler/irt/reproduce/>  
[https://gitlab.eecs.umich.edu/fessler/soupdil\\_dinokat](https://gitlab.eecs.umich.edu/fessler/soupdil_dinokat)



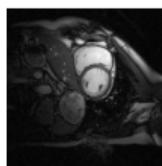
(a)



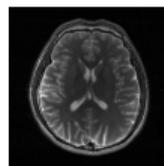
(b)



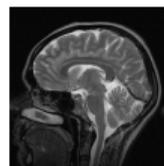
(c)



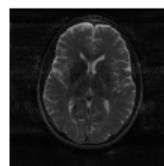
(d)



(e)



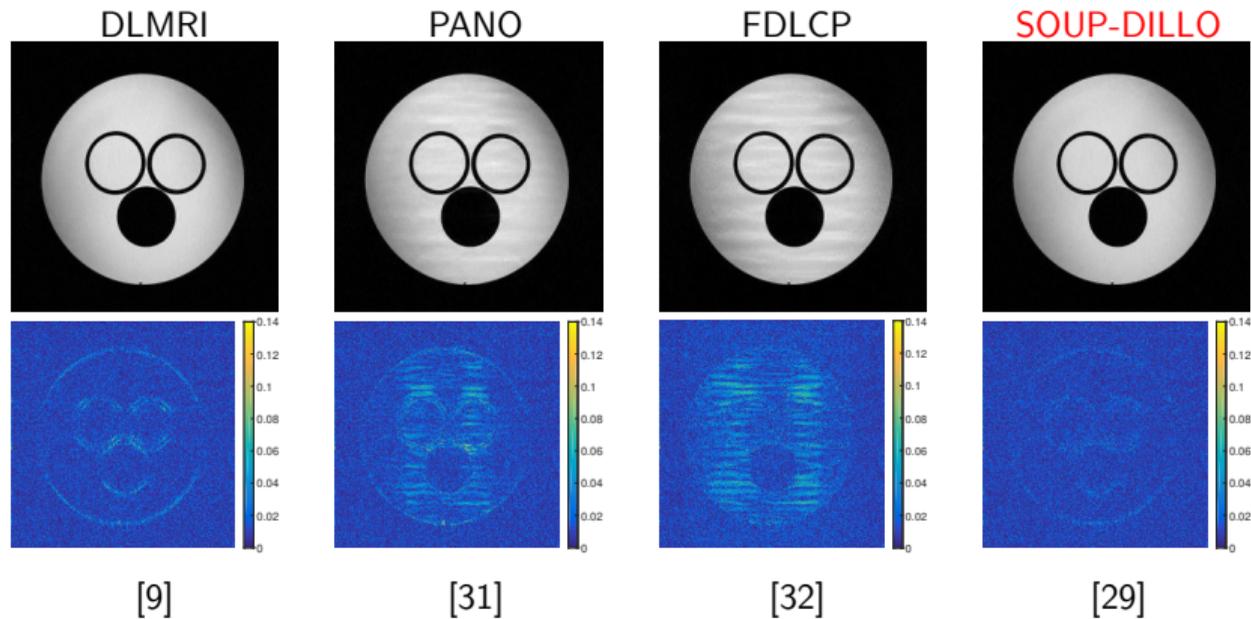
(f)



(g)

PSNR:

Im.	Samp.	Acc.	0-fill	Sparse MRI	PANO	DLMRI	SOUP-DILLI	SOUP-DILLO
a	Cart.	7x	27.9	28.6	<b>31.1</b>	<b>31.1</b>	30.8	<b>31.1</b>
b	Cart.	2.5x	27.7	31.6	41.3	40.2	38.5	<b>42.3</b>
c	Cart.	2.5x	24.9	29.9	34.8	36.7	36.6	<b>37.3</b>
c	Cart.	4x	25.9	28.8	<b>32.3</b>	32.1	32.2	<b>32.3</b>
d	Cart.	2.5x	29.5	32.1	36.9	38.1	36.7	<b>38.4</b>
e	Cart.	2.5x	28.1	31.7	40.0	38.0	37.9	<b>41.5</b>
f	2D rand.	5x	26.3	27.4	30.4	30.5	30.3	<b>30.6</b>
g	Cart.	2.5x	32.8	39.1	41.6	41.7	42.2	<b>43.2</b>
Ref.				[30]	[31]	[9]	[29]	[29]



Summary: 2D static MR reconstruction from under-sampled data with adaptive dictionary learning and convergent algorithm, faster than K-SVD approach of DLMRI.

# Summary of patch-based, data-driven adaptive regularizers

Use training data to learn:

- dictionary  $\mathbf{D}$  (for patches)
- sparsifying transform(s)  $\mathbf{\Omega}$  (for patches)
- or convolutional versions thereof [27, 33]

ML-based regularized optimization problem using  $M$  image patches:

$$\hat{\mathbf{x}} = \arg \min_{\mathbf{x}} \|\mathbf{A}\mathbf{x} - \mathbf{y}\|_2^2 + \beta R_{\text{ML}}(\mathbf{x})$$

$$R_{\text{ML-DL}}(\mathbf{x}) = \min_{\{\mathbf{z}_m\}} \sum_{m=1}^M \|\mathbf{P}_m \mathbf{x} - \mathbf{D}\mathbf{z}_m\|_2^2 + \alpha \|\mathbf{z}_m\|_0$$

$$R_{\text{ML-ST}}(\mathbf{x}) = \min_{\{\mathbf{z}_m\}} \sum_{m=1}^M \|\mathbf{\Omega}\mathbf{P}_m \mathbf{x} - \mathbf{z}_m\|_2^2 + \alpha \|\mathbf{z}_m\|_0$$

Alternative: blind adaptive learned dictionary [9] or learned sparsifying transform [34].  
 Double minimization (so very “deep?”) More interpretable than CNNs?

Introduction

ML-based image reconstruction approaches

Adaptive regularization

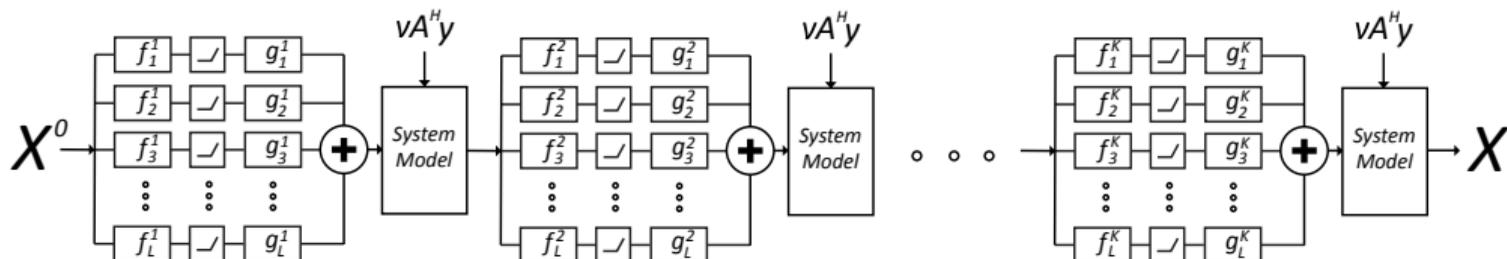
**Other ML4MI topics**

Summary

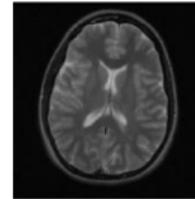
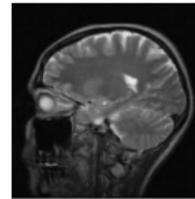
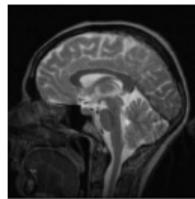
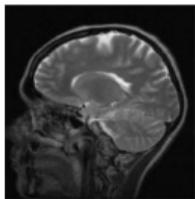
Bibliography

Unrolled loop method with 20 layers trained with  $1.3 \cdot 10^6$  MR image  $8 \times 8$  patches

Ravishankar et al., ISBI 2018 [15]



Tested with 5 different MR images:



Results:

Undersampling	Image	Zero-filled	Sparse MRI	UTMRI	Unrolled
3.3×	1	25.6	26.7	28.3	28.2
	2	25.2	26.6	27.9	27.8
	3	26.0	27.3	29.3	28.9
	4	25.4	26.7	28.2	28.1
	5	27.2	28.9	30.6	30.3
Avg. PSNR change	-	-	<b>1.36</b>	<b>2.98</b>	<b>2.78</b>
5×	1	24.7	25.9	27.6	27.5
	2	24.2	25.5	27.2	27.0
	3	24.9	26.3	28.5	28.0
	4	24.4	25.7	27.6	27.4
	5	26.2	27.9	29.8	29.5
Avg. PSNR change	-	-	<b>1.38</b>	<b>3.26</b>	<b>3.0</b>
Approx recon time	-	-	<b>100s</b>	<b>240s</b>	<b>50s</b>

Sparse MRI [35] total variation (TV) and wavelets

UTMRI [26] (union of learned sparsifying transforms): **adaptive**, not “deep”

# Momentum-Net overview

Background cost function for convolutional sparsity regularization:

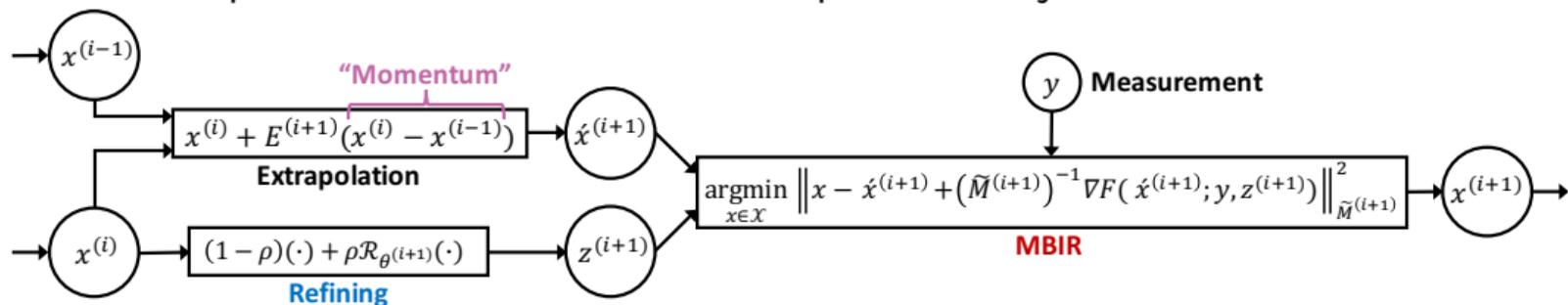
$$\arg \min_{\mathbf{x}} f(\mathbf{x}; \mathbf{y}) + \beta \left( \min_{\zeta} \sum_{k=1}^K \|h_k * \mathbf{x} - \zeta_k\|_2^2 + \alpha \|\zeta_k\|_1 \right)$$

Block-coordinate descent (BCD) with majorizer update of image:

$$\mathbf{x}^{(n+1)} = \arg \min_{\mathbf{x}} F(\mathbf{x}; \mathbf{y}, \mathbf{z}^{(n)}) = f(\mathbf{x}; \mathbf{y}) + \beta \|\mathbf{x} - \mathbf{z}^{(n)}\|_2^2$$

$$\mathbf{z}^{(n)} = \mathcal{R}(\mathbf{z}^{(n)}) = \sum_{k=1}^K \text{flip}(h_k) * \text{soft}(h_k * \mathbf{x}^{(n)}): \text{denoised } \mathbf{x}^{(n)}$$

Unrolled loop network with momentum and quadratic majorizer:



Learn image mapper  $\mathcal{R}$  from training data.

- ▶ Image mapper  $\mathcal{R}$  is **shallow**  
⇒ less risk of over-fitting / hallucination
- ▶ Momentum accelerates convergence (fewer layers)
- ▶ First unrolled loop approach to have convergence theory (under suitable assumptions on  $\mathcal{R}$ )
- ▶ MBIR update uses original sinogram and imaging physics

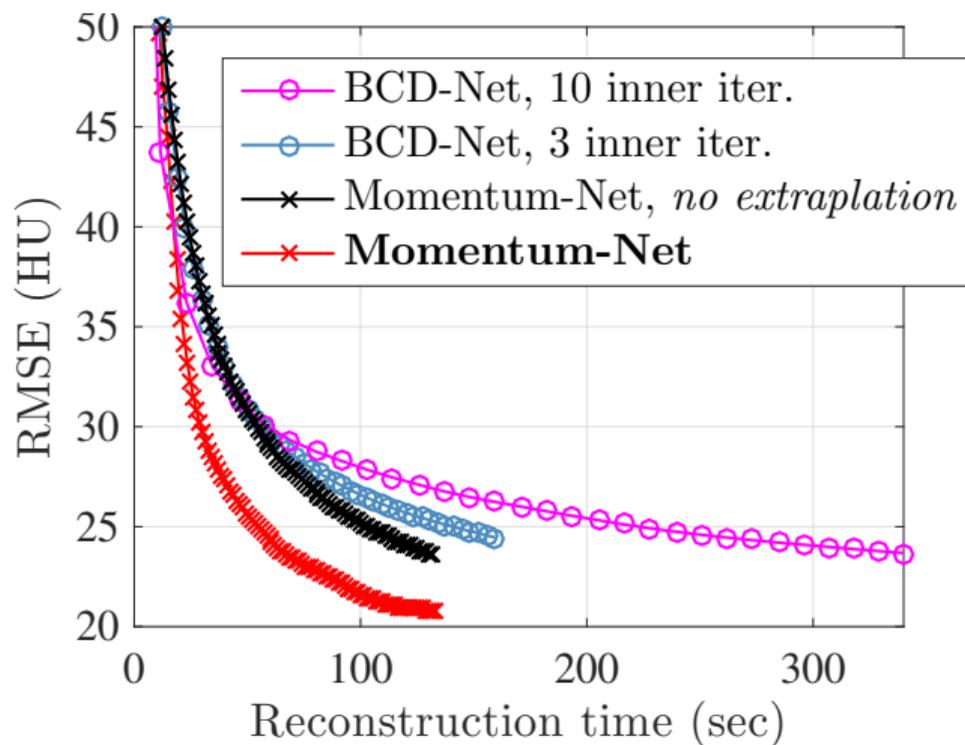
[36]

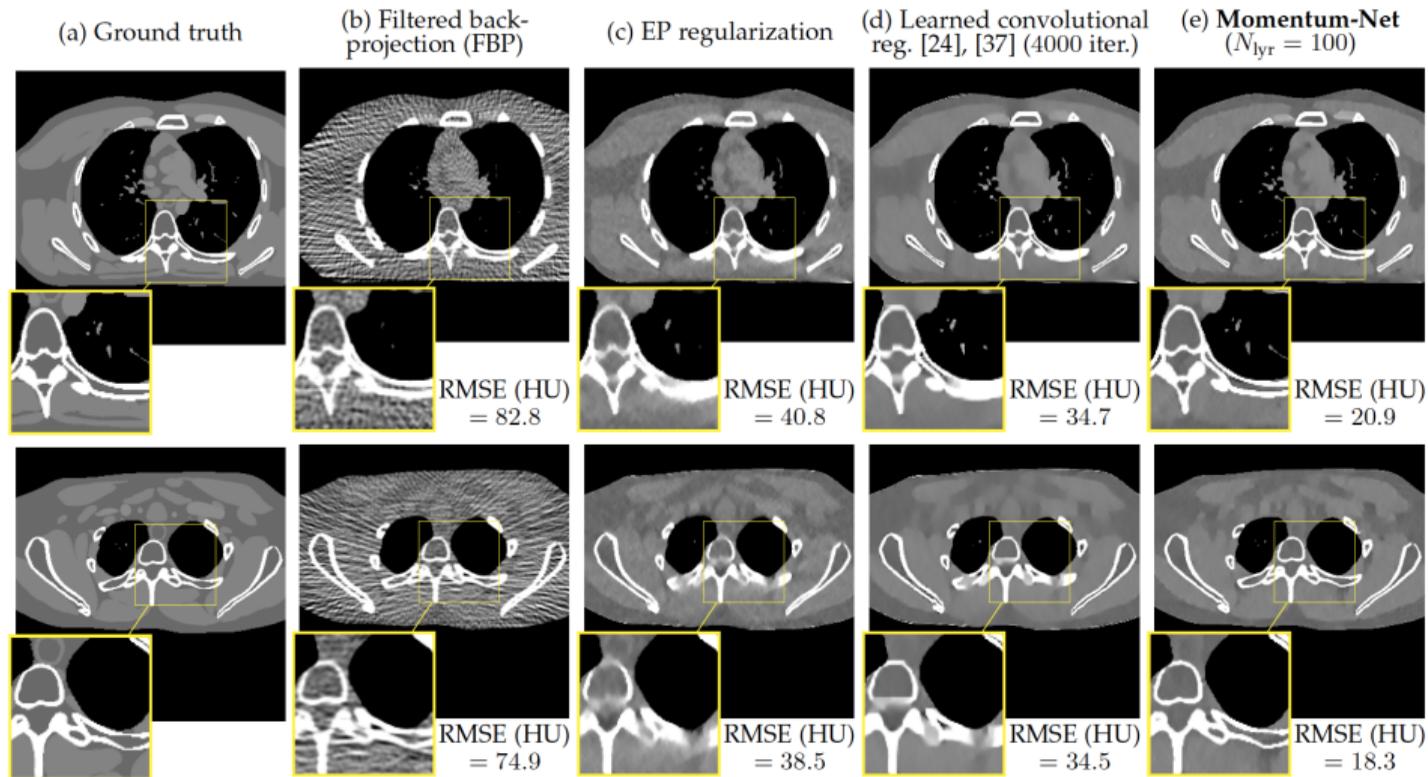
Il Yong Chun, Zhengyu Huang, Hongki Lim, J A Fessler

Momentum-Net: Fast and convergent iterative neural network for inverse problems

<http://arxiv.org/abs/1907.11818>

Illustration of benefits of momentum:



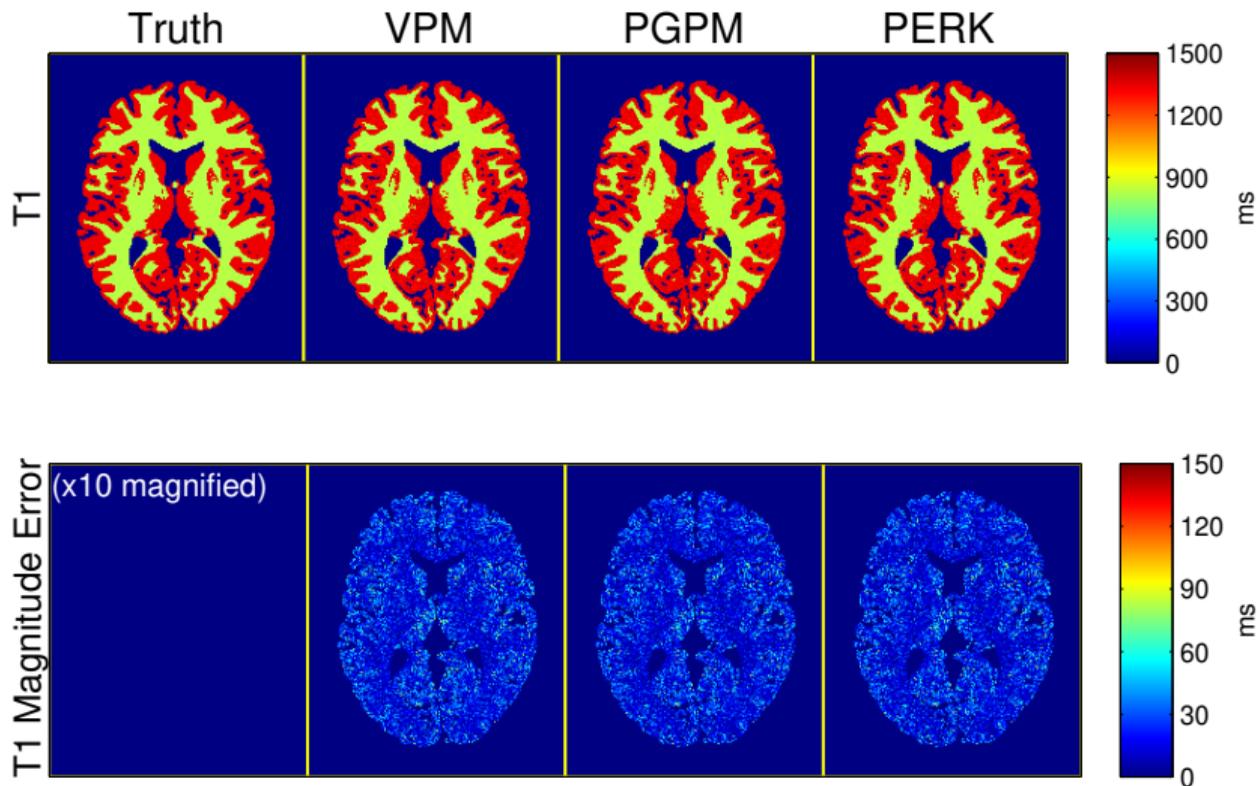


Sparse-view CT with 123/984 views,  $l_0 = 10^5$ , 800-1200 HU display.

Quantitative MRI:            images  $\rightarrow$  estimation  $\rightarrow$  parameters (T1, T2, ...)

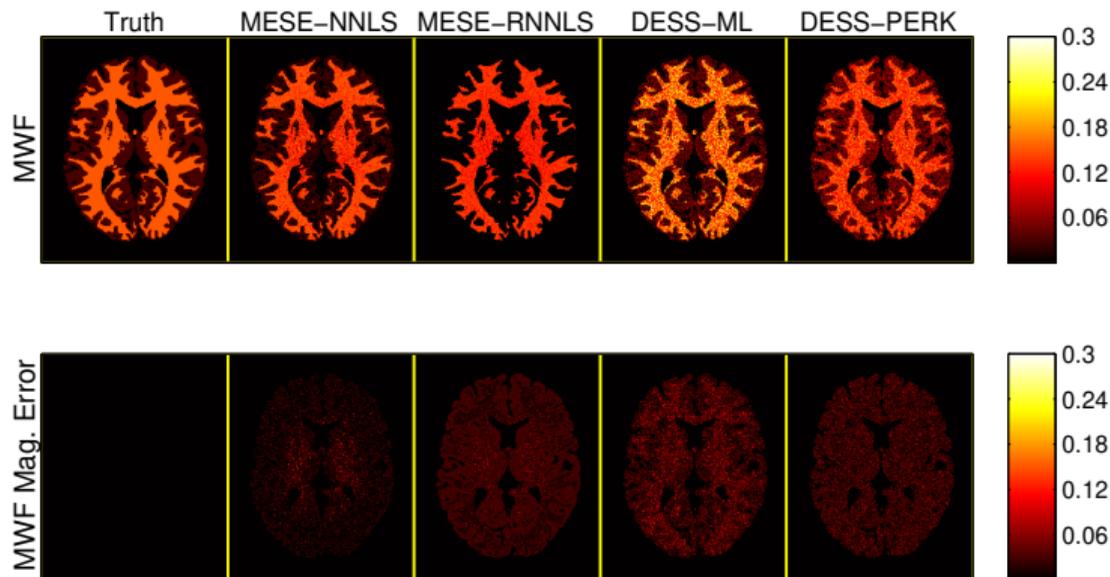
- ▶ Traditional nonlinear estimation methods:
  - nonlinear least squares
  - dictionary matching (quantized maximum likelihood via variable projection)
  
- ▶ Machine-learning methods
  - deep neural network regression [37–40]  
    Requires long training times
  - parameter estimation via kernel regression (PERK)  
    Gopal Nataraj et al., ISBI 2017, IEEE T-MI 2018 [41, 42]

# Parameter estimation via kernel regression (PERK) example

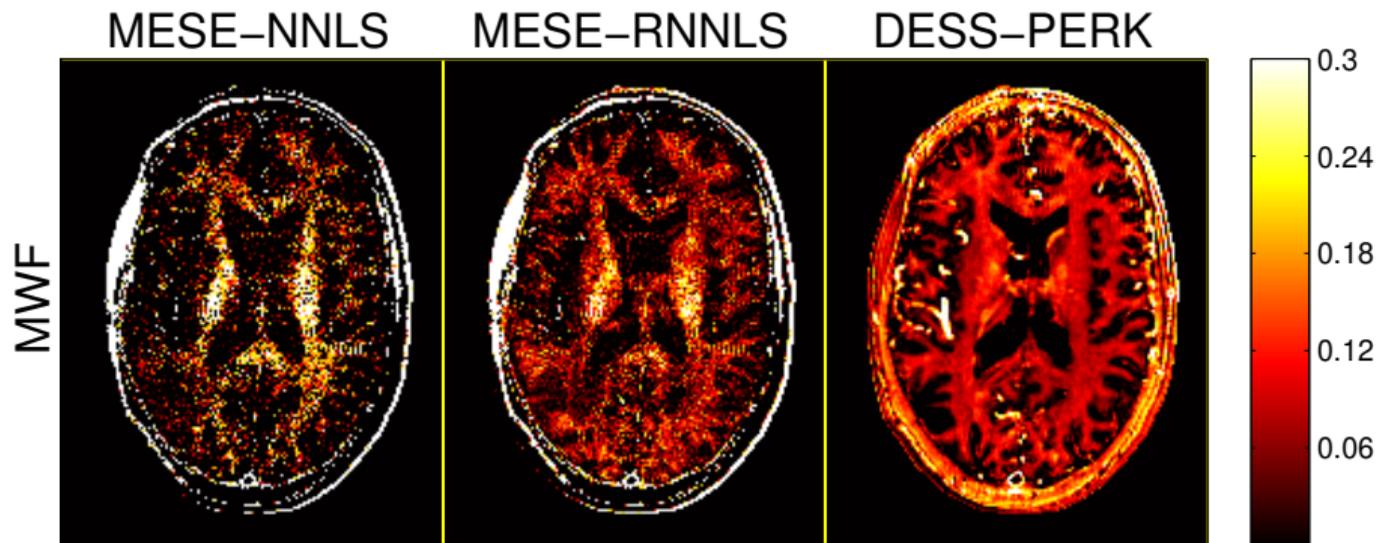


6 parameters (T1 slow/fast, T2 slow/fast,  $M_0$ , fast fraction)

Estimated from 3 optimized dual-echo steady state (DESS) scans [43]



PERK training: 33.8s, testing 0.99s / slice



MESE scan took 32m (16m  $\times$  2)

DESS scan took 3m15s

Take away: “traditional” machine learning is still useful...

Introduction

ML-based image reconstruction approaches

Adaptive regularization

Other ML4MI topics

**Summary**

Bibliography

- ▶ Machine learning has great potential for medical imaging
- ▶ Much excitement but many challenges
- ▶ Image reconstruction seems especially suitable for ML ideas
- ▶ Data-driven, adaptive regularizers beneficial for low-dose CT and under-sampled MRI
- ▶ More comparisons between model-based methods with adaptive regularizers and CNN-based methods needed
- ▶ Machine learning tools like kernel regression remain useful

## Recommended reading (incomplete lists)

- ▶ Overviews: [44–46]
- ▶ Generative models: [20, 47]:
- ▶ Deep learning myths [48]
- ▶ NN complexity analysis / function approximation [49–51] [52]
- ▶ Application to MR fingerprinting [37, 40]
- ▶ MR reconstruction / enhancement using CNN [16, 53–60]
- ▶ Dynamic MR reconstruction using CNN [61]
- ▶ ...

Talk and code available online at  
<http://web.eecs.umich.edu/~fessler>



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