

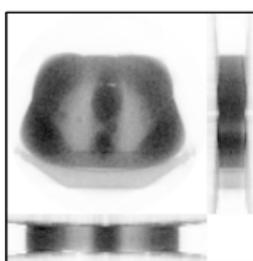
Fast Variance Prediction for Iteratively Reconstructed CT with Arbitrary Geometries

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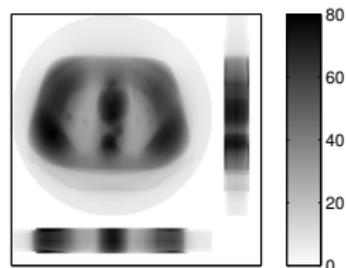
University of Michigan

Fully 3D Image Reconstruction Conference

June 1, 2015



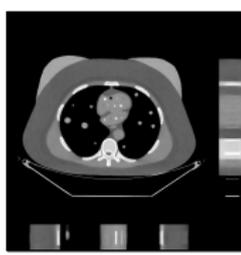
Empirical σ



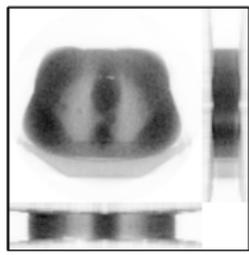
Predicted σ

- ▶ Statistical image reconstruction (SIR) methods for CT are nonlinear, complicating analysis of noise (co)variance
- ▶ Predicted (co)variance can inform:
 - ▶ Regularization design
 - ▶ Reconstruction analysis
 - ▶ Tube current modulation
- ▶ Prior methods exist for fast variance prediction for 2DCT and for some limited 3DCT geometries (Zhang-O'Connor 2007)

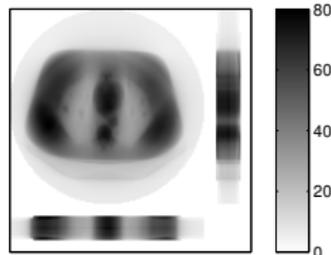
- ▶ Fast variance prediction for SIR with arbitrary CT geometries
 - ▶ Frequency response approximation for **A'WA** operator (projection, statistical weighting, and backprojection)
 - ▶ Extends previous 2D fan-beam methods to any CT geometry
 - ▶ Further simplification to 3DCT with small cone angles
 - ▶ Evaluation of methods with simulated and real sinogram data
- ▶ Example with real data



SIR for 3D CT



Empirical σ
(100 hours)



Predicted σ
(11 min)

- * J. A. Fessler, “Mean and variance of implicitly defined biased estimators (such as penalized maximum likelihood): Applications to tomography,” *IEEE Trans. Im. Proc.*, vol. 5, no. 3, 493–506, Mar. 1996.
 - * J. Qi and R. M. Leahy, “A theoretical study of the contrast recovery and variance of MAP reconstructions from PET data,” *IEEE Trans. Med. Imag.*, vol. 18, no. 4, 293–305, Apr. 1999.
 - * Y. Zhang-O’Connor and J. A. Fessler, “Fast predictions of variance images for fan-beam transmission tomography with quadratic regularization,” *IEEE Trans. Med. Imag.*, vol. 26, no. 3, 335–46, Mar. 2007.
 - * ———, “Fast variance predictions for 3D cone-beam CT with quadratic regularization,” in *Proc. SPIE 6510 Medical Imaging 2007: Phys. Med. Im.*, 2007, 65105W:1–10.
 - * S. M. Schmitt and J. A. Fessler, “Fast variance computation for iterative reconstruction of 3D helical CT images,” in *Proc. Intl. Mtg. on Fully 3D Image Recon. in Rad. and Nuc. Med.*, 2013, 162–5.
- ▶ Too slow or too geometry specific

Introduction

Background

Local frequency response approximation

Fast Variance Prediction

- ▶ Reconstruct image $\hat{\mathbf{x}}$ via a (strictly convex) minimization:

$$\hat{\mathbf{x}} = \operatorname{argmin}_{\mathbf{x}} L(\mathbf{Y}; \mathbf{x}) + \alpha R(\mathbf{x})$$

- ▶ Data-fit term assumes independent observations $\{Y_i\}$:

$$L(\mathbf{Y}; \mathbf{x}) = \sum_{i=1}^{M_Y} L_i(Y_i; [\mathbf{A}\mathbf{x}]_i), \quad \mathbf{A} \text{ is system matrix}$$

For PWLS: $L_i(Y_i; y) = \frac{1}{2} w_i (\log(I_{0,i}/Y_i) - y)^2$

- ▶ Regularizer has general form:

$$R(\mathbf{x}) = \sum_d r_d \sum_k \psi([\mathbf{C}_d \mathbf{x}]_k)$$

- ▶ r_d : direction-dependent regularization parameters;
- ▶ ψ : edge-preserving potential function (smooth), $\psi(0) = 1$
- ▶ \mathbf{C}_d : finite-differencing matrix in d th direction

- ▶ Covariance matrix of reconstruction $\hat{\mathbf{x}}$ is approximately (JF '96):

$$\text{cov}(\hat{\mathbf{x}}) \approx \left[\bar{\mathbf{F}} + \alpha \nabla^2 R(\check{\mathbf{x}}) \right]^{-1} \hat{\mathbf{F}} \left[\bar{\mathbf{F}} + \alpha \nabla^2 R(\check{\mathbf{x}}) \right]^{-1}$$

$$\bar{\mathbf{F}} \triangleq \mathbf{A}' \bar{\mathbf{W}} \mathbf{A} \text{ (Fisher information)}$$

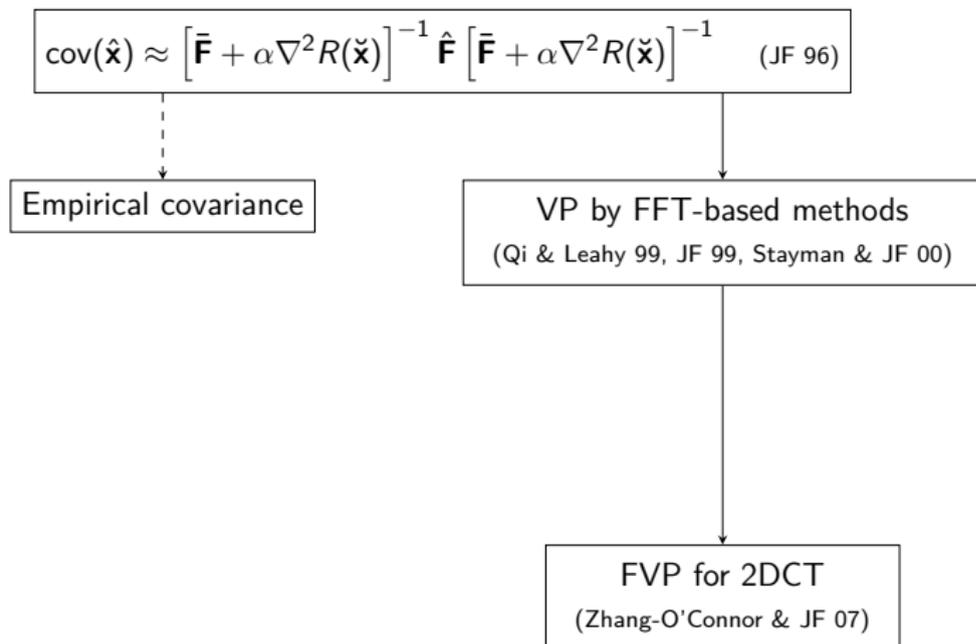
$$\hat{\mathbf{F}} \triangleq \mathbf{A}' \hat{\mathbf{W}} \mathbf{A}$$

- ▶ $\nabla^2 R$: Hessian of regularizer
- ▶ $\check{\mathbf{x}}$: reconstruction from noiseless (!) data
- ▶ Diagonal weighting matrices $\bar{\mathbf{W}}$ and $\hat{\mathbf{W}}$:

$$[\bar{\mathbf{W}}]_{ii} \triangleq \left. \frac{\partial^2}{\partial y^2} L_i(Y_i; y) \right|_{y=[\mathbf{A}\check{\mathbf{x}}]_i}$$

$$[\hat{\mathbf{W}}]_{ii} \triangleq \text{var}(Y_i) \cdot \left. \frac{\partial^2}{\partial y \partial Y_i} L_i(Y_i; y) \right|_{y=[\mathbf{A}\check{\mathbf{x}}]_i}$$

($\hat{\mathbf{W}} = \bar{\mathbf{W}}$ for PWLS with appropriate statistical weighting.)



Local Frequency Response

- ▶ $\bar{\mathbf{F}}$ and $\mathbf{R} \triangleq \sum_d r_d \mathbf{C}'_d \mathbf{C}_d$ are approximately locally shift invariant near the j th voxel,
- ▶ so the DFT \mathbf{Q} approximately locally diagonalizes them:

$$\bar{\mathbf{F}} \approx \mathbf{Q}' \text{diag} \{ \bar{F}_j(\vec{\nu}_k) \} \mathbf{Q} \quad (\text{local frequency response})$$

$$\mathbf{R} \approx \mathbf{Q}' \text{diag} \{ R(\vec{\nu}_k) \} \mathbf{Q} \quad \vec{\nu}_k : \text{spatial frequencies}$$

- ▶ Diagonalization (somewhat) simplifies variance prediction:

$$\text{var}(\hat{x}_j) = \mathbf{e}'_j \text{cov}(\hat{\mathbf{x}}) \mathbf{e}_j \approx \mathbf{e}'_j [\bar{\mathbf{F}} + \alpha \mathbf{R}]^{-1} \hat{\mathbf{F}} [\bar{\mathbf{F}} + \alpha \mathbf{R}]^{-1} \mathbf{e}_j$$

$$\approx \mathbf{e}'_j \mathbf{Q}' \text{diag} \{ S_j(\vec{\nu}_k) \} \mathbf{Q} \mathbf{e}_j = \frac{1}{N_x} \sum_k S_j(\vec{\nu}_k)$$

$$\approx \int_{[-\frac{1}{2}, \frac{1}{2}]^d} S_j(\vec{\nu}) d\vec{\nu}, \quad \text{local NPS: } S_j(\vec{\nu}) \triangleq \frac{\hat{F}_j(\vec{\nu})}{(\bar{F}_j(\vec{\nu}) + \alpha R(\vec{\nu}))^2}$$

- ▶ Tolerable computation for one voxel; impractical for many/all

- ▶ (Co)variance prediction needs regularizer Hessian:

$$\nabla^2 R(\mathbf{x}) = \sum_d r_d \mathbf{C}'_d \ddot{\Psi}_d(\mathbf{x}) \mathbf{C}_d, \quad \left[\ddot{\Psi}_d(\mathbf{x}) \right]_{kk} = \ddot{\psi}([\mathbf{C}_d \mathbf{x}]_k)$$

- ▶ Near edges, predicted variance sensitive to (unknown) $\check{\mathbf{x}}$
- ▶ Even when $\check{\mathbf{x}}$ is known (simulations), variance predictions near edges are inaccurate due to local shift variance
- ▶ To remove $\check{\mathbf{x}}$ dependence and simplify, we approximate: $\ddot{\psi}([\mathbf{C}_d \check{\mathbf{x}}]_k) \approx \ddot{\psi}(0) = 1$. In matrix form: $\ddot{\Psi}_d(\check{\mathbf{x}}) \approx \mathbf{I}$.
- ▶ Shift-invariant regularizer Hessian approximation:

$$\nabla^2 R(\check{\mathbf{x}}) \approx \sum_d r_d \mathbf{C}'_d \mathbf{C}_d \triangleq \mathbf{R}, \quad R(\vec{v}) \approx \|\vec{v}\|_2^2$$

Approximation is accurate (only) away from edges.

Introduction

Background

Local frequency response approximation

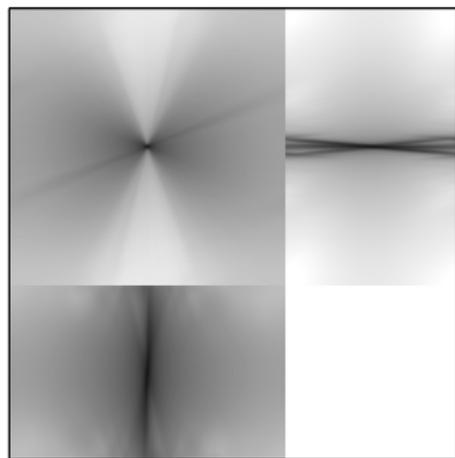
Fast Variance Prediction

- ▶ Need local frequency response $\bar{F}_j(\vec{\nu})$ of $\mathbf{A}'\bar{\mathbf{W}}\mathbf{A}$ near j th voxel
- ▶ Skipping long derivation...
- ▶ We derive a factorization of the local frequency response:

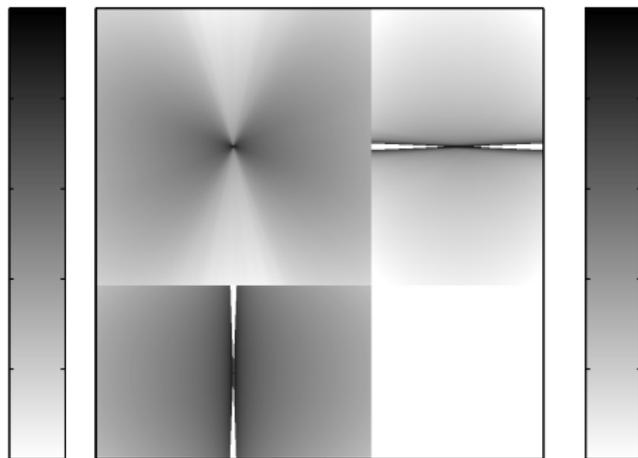
$$\begin{aligned}\bar{F}_j(\vec{\nu}) &= \sum_k [\bar{\mathbf{F}}]_{kj} \exp(-i2\pi\vec{\nu} \cdot (\vec{n}_k - \vec{n}_j)) && \text{(DFT-based)} \\ &\approx J(\vec{\nu}) \bar{E}_j(\vec{\Theta}) && \text{(Approximation)}\end{aligned}$$

- ▶ J is independent of system geometry, voxel location, weighting
- ▶ \bar{E}_j depends on angle $\vec{\Theta} = \vec{\nu}/\|\vec{\nu}\|$, not on $\varrho = \|\vec{\nu}\|$
- ▶ Applicable to arbitrary CT geometries (generalizes F3D 2013)
- ▶ Also useful for regularization design (Cho & JF, F3D, 2013)

FT-based LFR (log scale)



Approximated LFR (log scale)



2D slices through 3D LFR $\bar{F}_j(\vec{\nu})$

- ▶ For parallel-beam CT with $\mathbf{W} = \mathbf{I}$ these would look like $1/\rho$.
- ▶ Infamous “missing cone” is evident

Introduction

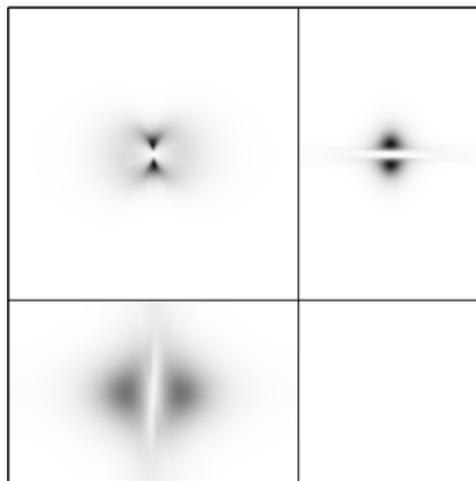
Background

Local frequency response approximation

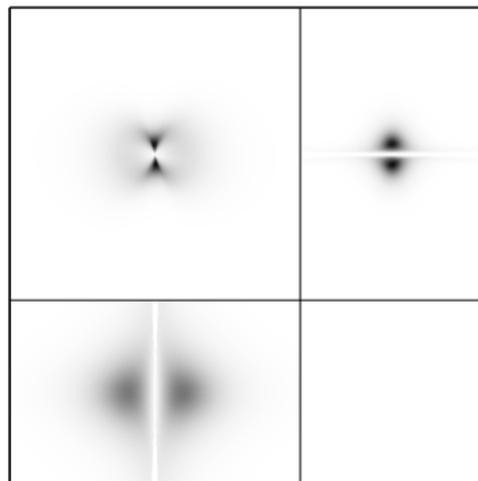
Fast Variance Prediction

$$\text{Local NPS: } S_j(\vec{\nu}) = \frac{\hat{F}_j(\vec{\nu})}{(\bar{F}_j(\vec{\nu}) + \alpha R(\vec{\nu}))^2}$$

FT-based NPS (linear scale)



Approximated NPS



(reverse color scale: NPS is small at DC and for large frequencies)

- ▶ Recall that noise variance is integral of local NPS:

$$\text{var}(\hat{x}_j) \approx \int_{[-\frac{1}{2}, \frac{1}{2}]^d} S_j(\vec{v}) d\vec{v} = \int_{[-\frac{1}{2}, \frac{1}{2}]^d} \frac{\hat{F}_j(\vec{v})}{(\bar{F}_j(\vec{v}) + \alpha R(\vec{v}))^2} d\vec{v}$$

- ▶ Using LFR factorization and rewriting in spherical coordinates:

$$\text{var}(\hat{x}_j) \approx \frac{1}{\alpha} \int_{\mathbb{S}^d} \frac{\hat{E}_j(\vec{\Theta})}{\bar{E}_j(\vec{\Theta})} G(\alpha^{-1} \bar{E}_j(\vec{\Theta}), \vec{\Theta}) d\vec{\Theta}$$

- ▶ Tabulate based on voxel basis and regularizer:

$$G(\gamma, \vec{\Theta}) \triangleq \int_0^{\varrho_{\max}(\vec{\Theta})} \frac{\gamma J(\varrho, \vec{\Theta})}{(\gamma J(\varrho, \vec{\Theta}) + R(\varrho, \vec{\Theta}))^2} \varrho^{d-1} d\varrho$$

- ▶ For 3DCT geometries with small cone angles, factor LFR using cylindrical spatial frequency coordinates:

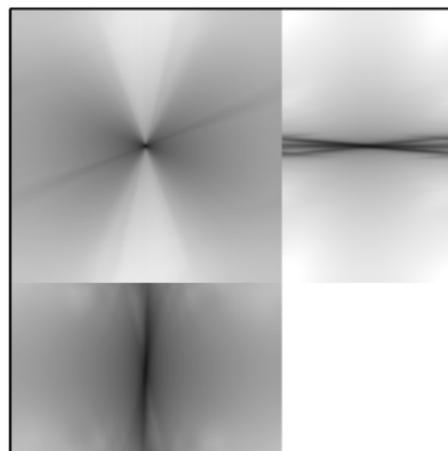
$$\bar{F}_j(\vec{\nu}) \approx J_{\text{cyl}}(\vec{\nu}) \bar{E}_j^{\text{cyl}}(\Phi)$$

- ▶ In cylindrical coordinates, the NPS integral becomes 1D:

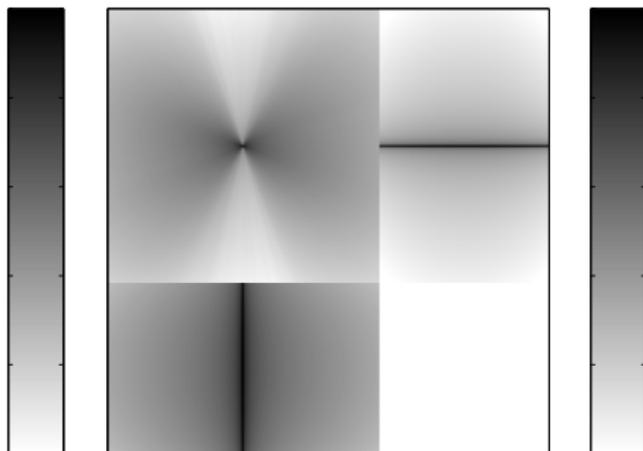
$$\text{var}(\hat{x}_j) \approx \frac{1}{\alpha} \int_0^{2\pi} \frac{\hat{E}_j^{\text{cyl}}(\Phi)}{\bar{E}_j^{\text{cyl}}(\Phi)} G_{\text{cyl}}(\Phi, \alpha^{-1} \bar{E}_j^{\text{cyl}}(\Phi)) d\Phi$$

- ▶ Tabulate G_{cyl} using voxel basis and regularizer.
- ▶ Variance approximation requires just 1D integral (per voxel) akin to back-projection

FT-based LFR (log scale)



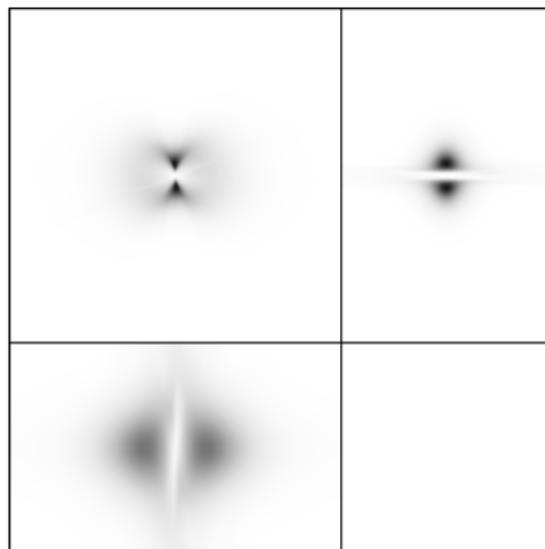
Approximated LFR, Theta=0 (log scale)



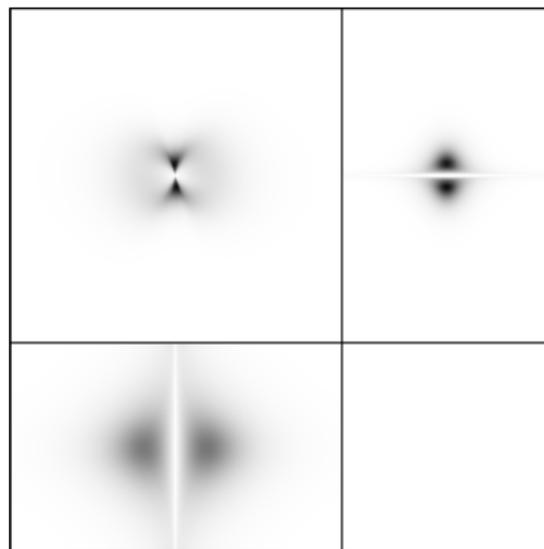
$$\bar{F}_j(\vec{\nu})$$

- ▶ Still reasonable agreement, albeit somewhat less so
- ▶ Infamous “missing cone” is absent

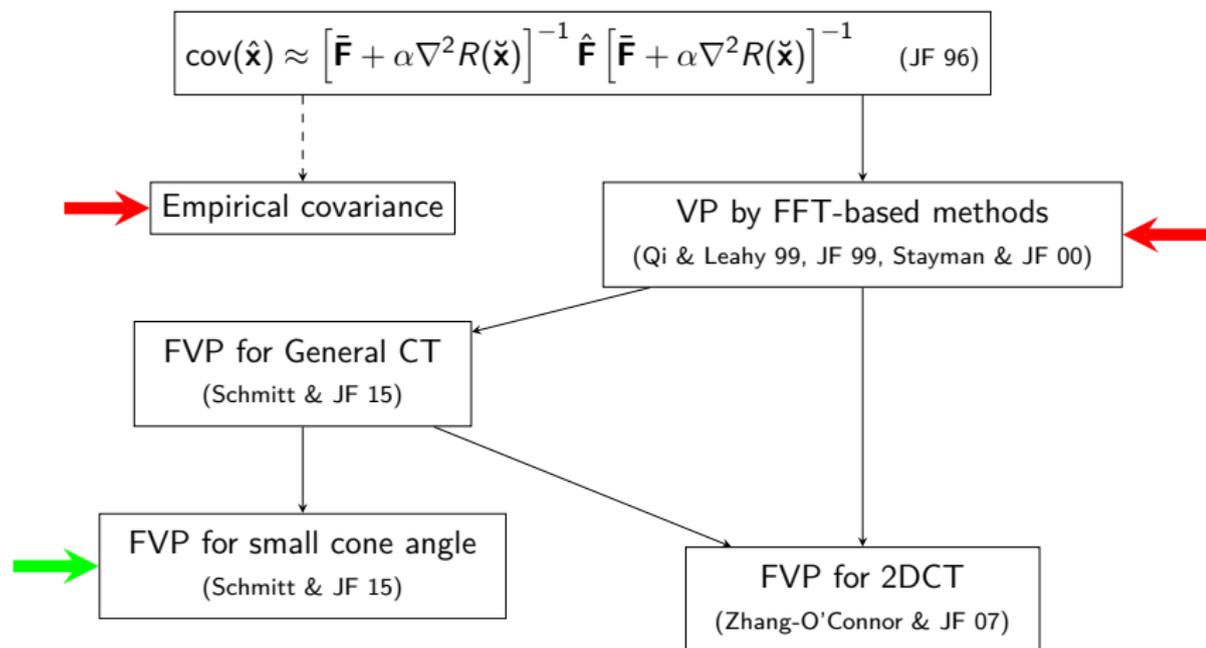
FT-based NPS (linear scale)



Approximated NPS, Theta=0

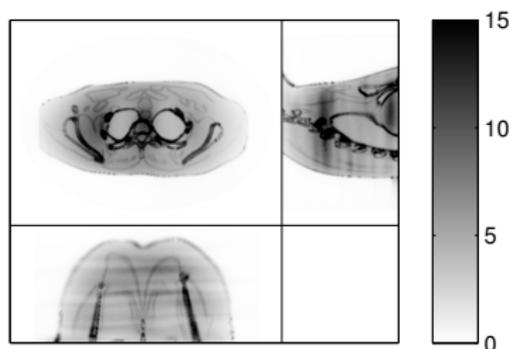


NPS $S_j(\vec{\nu})$

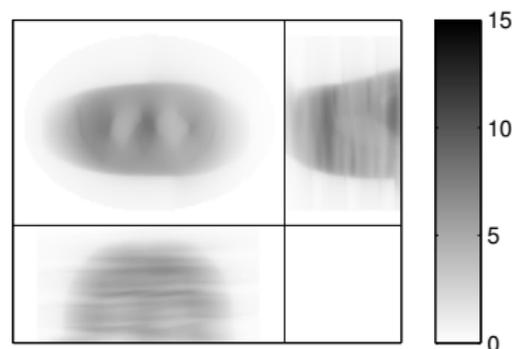


- ▶ $512 \times 512 \times 320$ voxel section of an XCAT phantom (Segars 08)
- ▶ Voxel size $0.976 \times 0.976 \times 0.625$ mm
- ▶ $888 \times 64 \times 2952$ sinogram (3 turn helix, pitch = 1)
- ▶ Detector element size 1.024×1.096 mm
- ▶ Huber potential, $\delta = 10\text{HU}$:
$$\psi(x) = \begin{cases} x^2/2, & |x| \leq \delta \\ \delta|x| - \delta^2/2, & |x| > \delta, \end{cases}$$
- ▶ Empirical SD from many realizations, smoothed with a 3-voxel FWHM kernel
- ▶ Two regularization penalties:
 - ▶ Space-varying regularization for uniform resolution (Fessler 96), 111 realizations
 - ▶ Uniform (conventional) regularization 61 realizations
- ▶ Variance prediction computed once per $4 \times 4 \times 4$ block

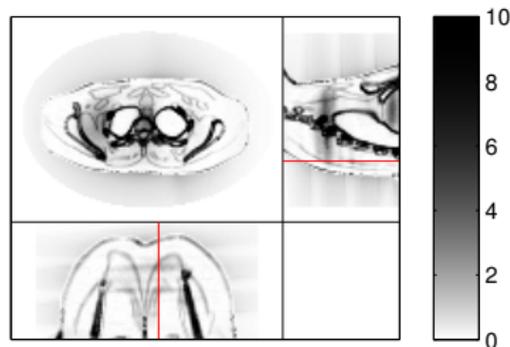
Results: Simulation — Space-Varying Regularization



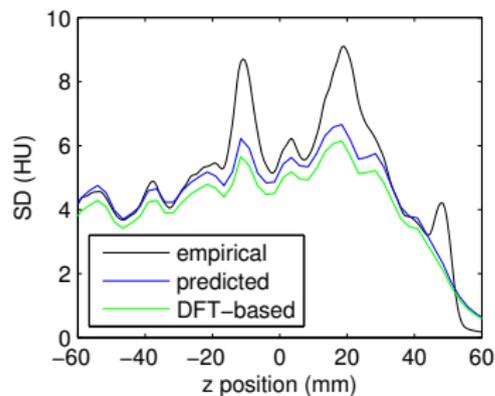
(a) Empirical (190 days)



(b) Predicted (1207 sec.)

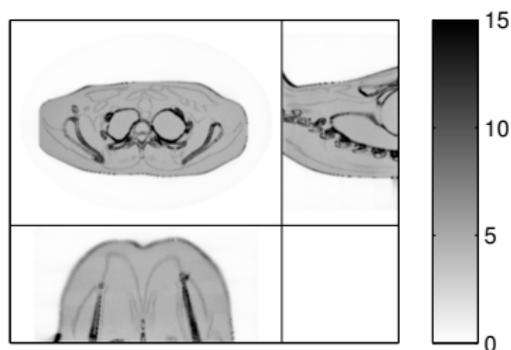


(c) Absolute Error

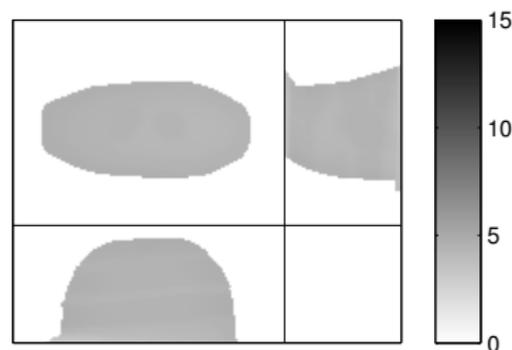


(d) Axial profile

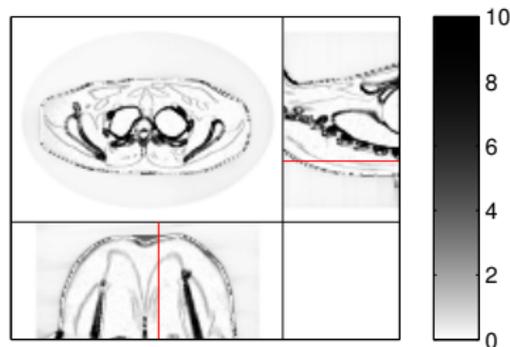
Results: Simulation — Uniform Regularization



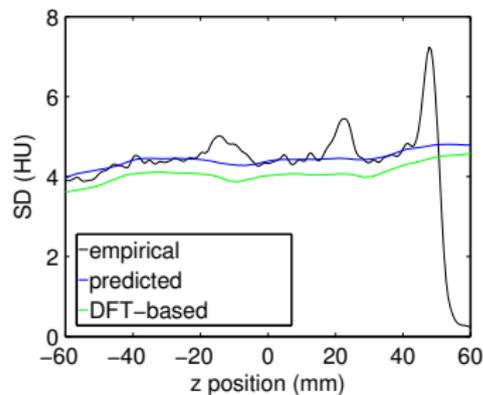
(a) Empirical



(b) Predicted

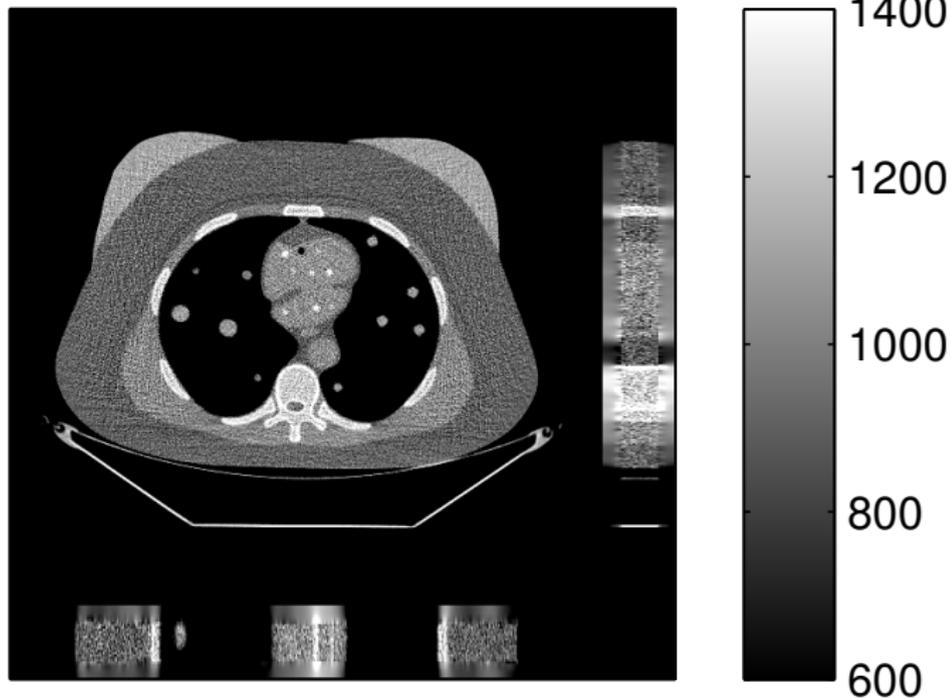


(c) Absolute Error

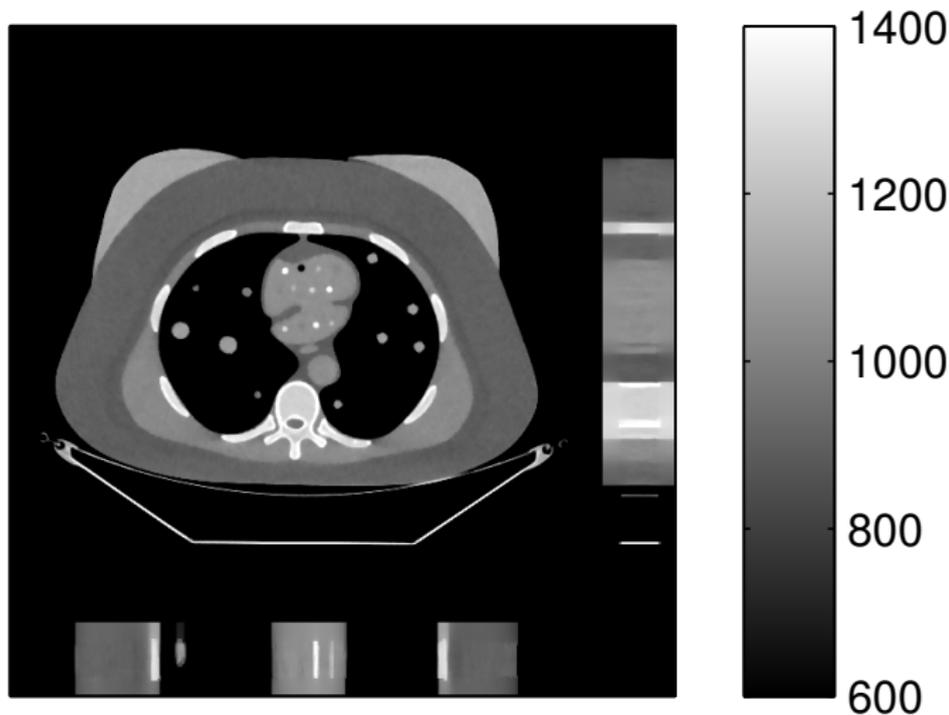


(d) Axial profile

- ▶ GE Discovery CT750 HD scanner;
888 × 16 × 984 sinogram with detector element size
1.024 × 1.096 mm; 40mA tube current
- ▶ 512 × 512 × 32 voxel reconstruction of a chest phantom
- ▶ Voxel size 0.976 × 0.976 × 0.625 mm
- ▶ Empirical SD from 10 reconstructions, each slice smoothed
with a 3-voxel FWHM kernel
- ▶ Two regularization penalties:
 - ▶ Space-varying regularization, quadratic penalty
 - ▶ Uniform regularization, Huber penalty, $\delta = 10\text{HU}$
- ▶ Variance prediction computed once per $4 \times 4 \times 1$ block

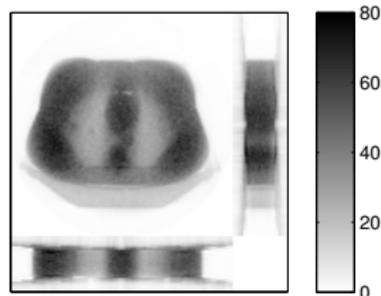


Space-Varying α , Quadratic potential

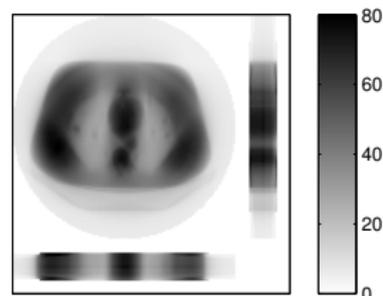


Conventional α , Huber edge-preserving potential

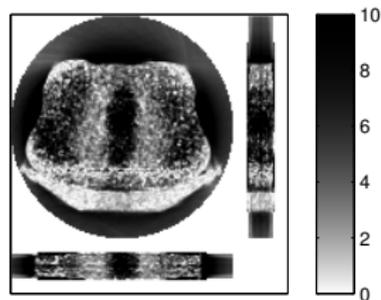
Results: Real CT — Space-Varying Regularization



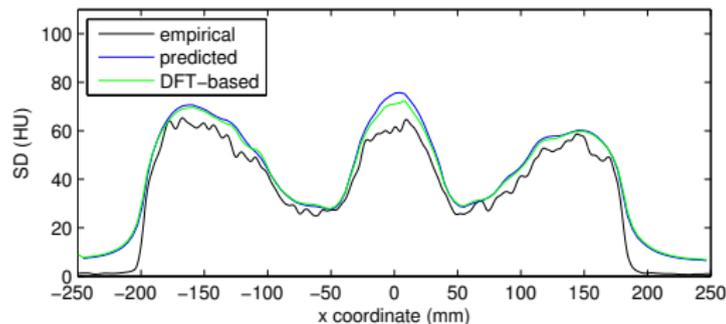
(a) Empirical (4.2 days)



(b) Predicted (673 sec.)

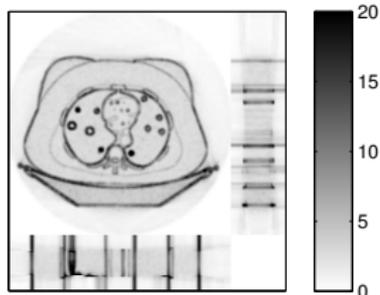


(c) Absolute Error

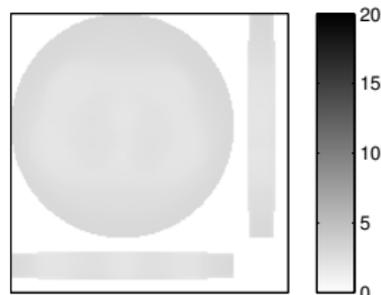


(d) Profile

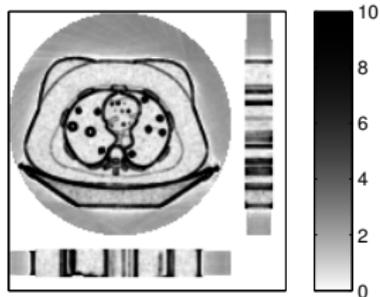
Results: Real CT — Conventional Regularization



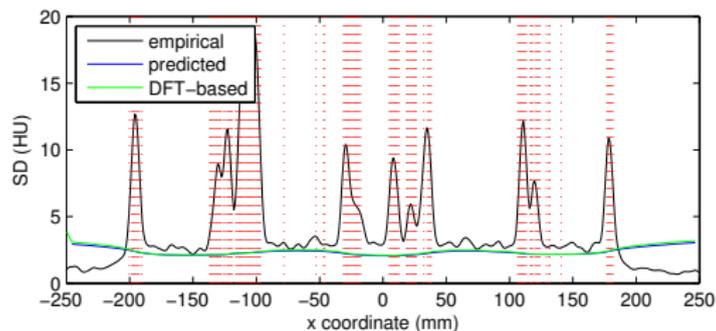
(a) Empirical (5.0 days)



(b) Predicted (661 sec.)



(c) Absolute Error



(d) Profile

- ▶ Analytical LFR and NPS expressions for SIR in general CT
- ▶ Fast variance prediction – akin to a back-projection
- ▶ Accurate for various regularizers except near edges
- ▶ Prediction near edges remains open problem (Ahn and Leahy, 08)
- ▶ Most error is due to local shift invariance approximation
- ▶ Proposed method is faster by orders of magnitude than both DFT-based methods and empirical methods
- ▶ Local frequency response (LFR) approximation useful in other statistical applications, such as regularization design and prediction of observer performance (Schmitt 15 thesis)
- ▶ Application to tube current modulation is work-in-progress

Total computation time (CPU-seconds)

	Empirical	DFT-based	Proposed
Simulation	$1.64 \cdot 10^7$	$7.23 \cdot 10^8$	$1.21 \cdot 10^3$
111 realizations, $512 \times 512 \times 320$ image, $888 \times 64 \times 2952$ detector			
Real	$3.63 \cdot 10^5$	$1.07 \cdot 10^8$	$6.73 \cdot 10^2$
10 realizations, $512 \times 512 \times 32$ image, $888 \times 16 \times 984$ detector			

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- * J. A. Fessler and S. D. Booth, "Conjugate-gradient preconditioning methods for shift-variant PET image reconstruction," *IEEE Trans. Im. Proc.*, vol. 8, no. 5, 688–99, May 1999.
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- * W. P. Segars, M. Mahesh, T. J. Beck, E. C. Frey, and B. M. W. Tsui, "Realistic CT simulation using the 4D XCAT phantom," *Med. Phys.*, vol. 35, no. 8, 3800–8, Aug. 2008.
- * J. A. Fessler and W. L. Rogers, "Spatial resolution properties of penalized-likelihood image reconstruction methods: Space-invariant tomographs," *IEEE Trans. Im. Proc.*, vol. 5, no. 9, 1346–58, Sep. 1996.
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- * S. Schmitt, "Fast variance prediction for iteratively reconstructed CT with applications to tube current modulation," PhD thesis, Univ. of Michigan, Ann Arbor, MI, 48109-2122, Ann Arbor, MI, 2015.