

# Statistical methods for tomographic image reconstruction

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Jan 7, 2000

# Outline

- Group/Lab
- PET Imaging
- Statistical image reconstruction

Choices / tradeoffs / considerations:

- 1. Object parameterization
- 2. System physical modeling
- 3. Statistical modeling of measurements
- 4. Objective functions and regularization
- 5. Iterative algorithms

Short course lecture notes:

<http://www.eecs.umich.edu/~fessler/talk>

- Ordered-subsets transmission ML algorithm
- Incomplete data tomography

# Students

- El Bakri, Idris Analysis of tomographic imaging
- Ferrise, Gianni Signal processing for direct brain interface
- Ghanei, Amir Model-based MRI brain segmentation
- Kim, Jeongtae Image registration/reconstruction for radiotherapy
- Stayman, Web Regularization methods for tomographic reconstruction
- Sotthivirat, Saowapak Optical image restoration
- Sutton, Brad MRI image reconstruction
- Yu, Feng (Dan) Nonlocal regularization for transmission reconstruction

Collaborations with colleagues in Biomedical Engineering, EECS, Nuclear Engineering, Nuclear Medicine, Radiology, Radiation Oncology, Physical Medicine, Anatomy and Cell Biology, Biostatistics

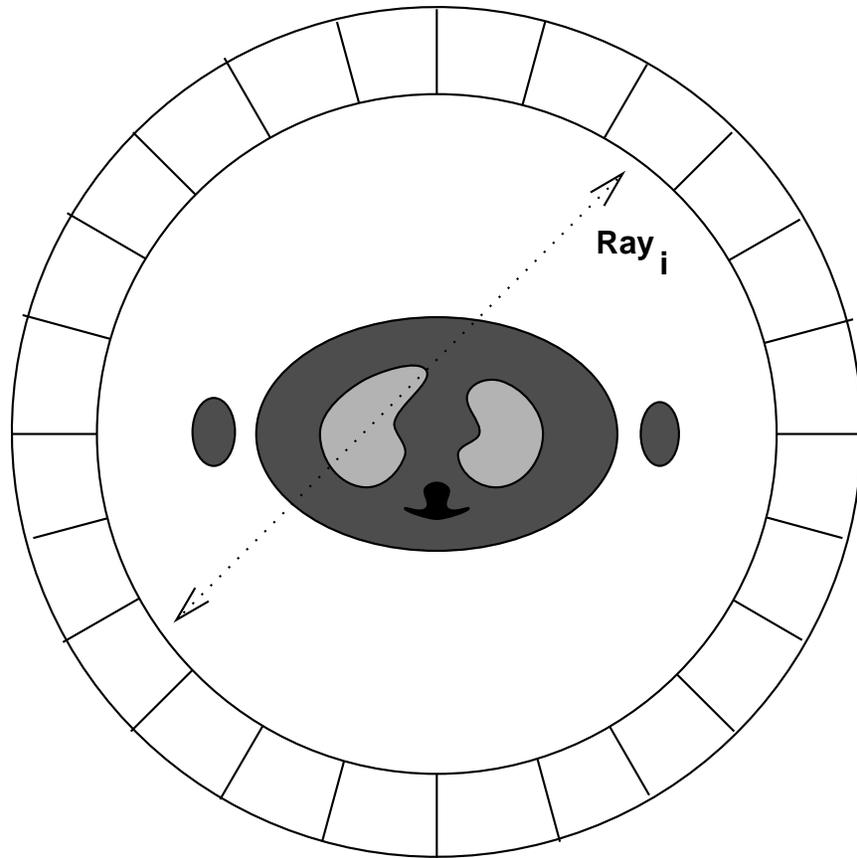
# Research Goals

- Develop methods for making “better” images (modeling of imaging system physics and measurement statistics)
- Faster algorithms for computing/processing images
- Analysis of the properties of image formation methods
- Design of imaging systems based on performance bounds

## Impact

- ASPIRE (A sparse iterative reconstruction environment) software (about 40 registered sites worldwide)
- PWLS reconstruction used routinely for cardiac SPECT at UM, following 1996 ROC study. ( $> 2000$  patients scanned)
- Pittsburgh PET/CT “side information” scans reconstructed using ASPIRE

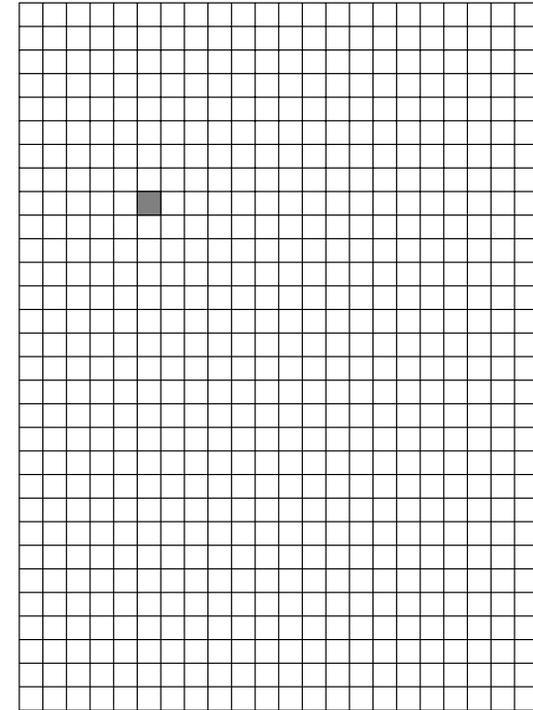
# PET Data Collection



## Sinogram

$i = 1$

Angular Positions



$i = n_d$

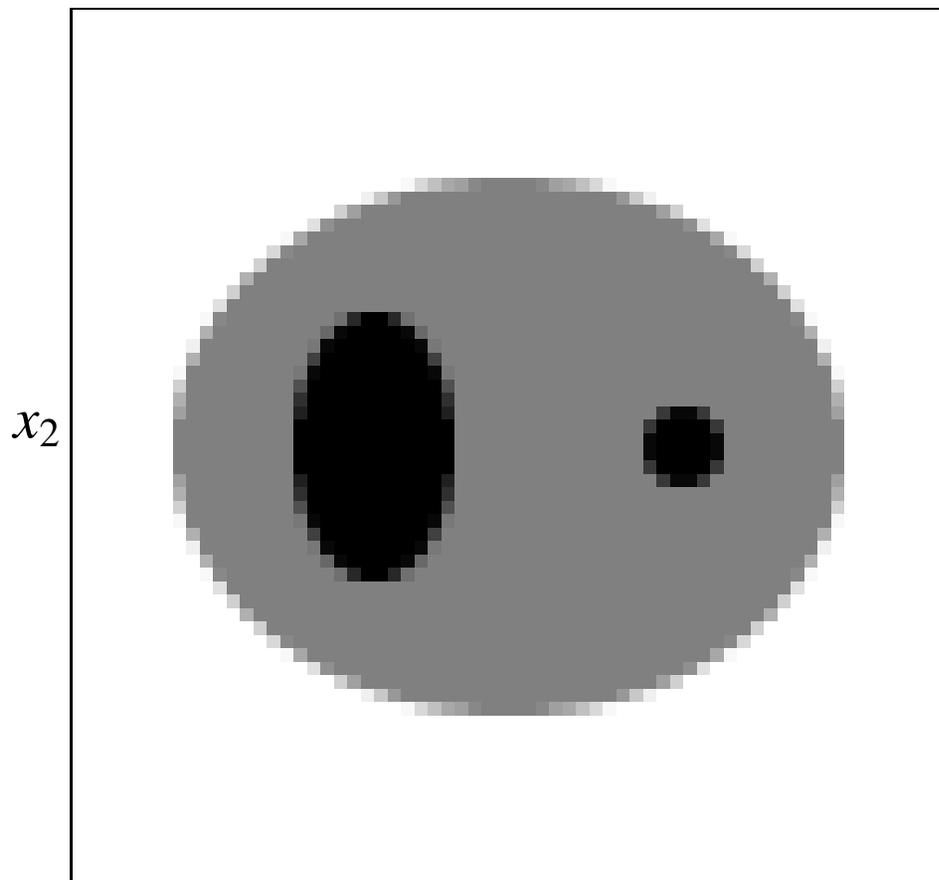
Radial Positions

$$n_d \approx (n_{\text{crystals}})^2$$

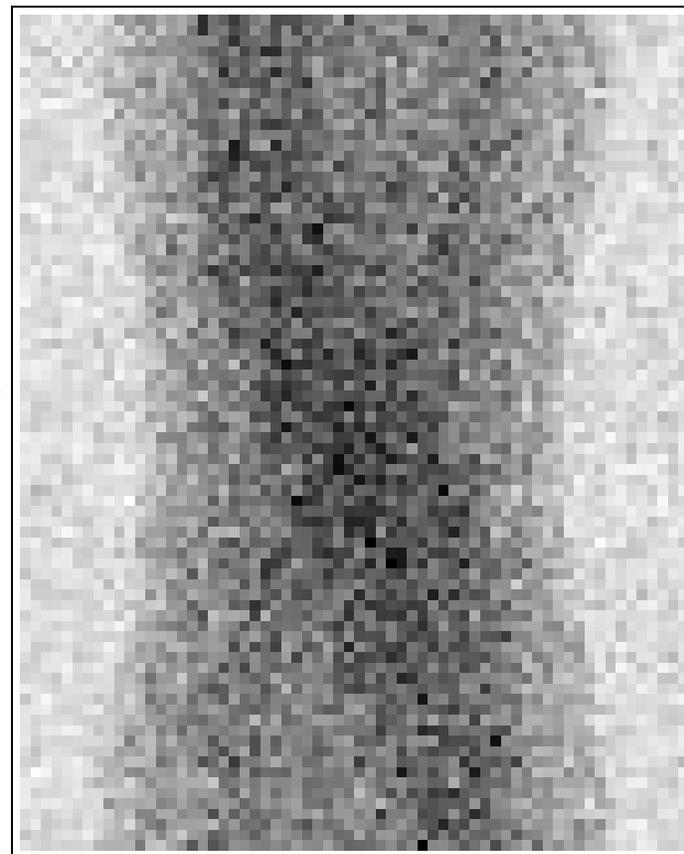
# PET Reconstruction Problem - Illustration

$$\lambda(\vec{x})$$

$$\{Y_i\}$$



$x_1$   
Image



$r$   
Sinogram

# Reconstruction Methods

(Simplified View)

**Analytical**  
(FBP)

**Iterative**  
(OSEM?)



# Reconstruction Methods

## ANALYTICAL

FBP  
BPF  
Gridding  
...

## ITERATIVE

### Algebraic ( $y = Ax$ )

ART  
MART  
SMART  
...

### Statistical

#### Least Squares

CG  
CD  
ISRA  
...

#### Poisson Likelihood

EM (etc.)  
OSEM  
SAGE  
CG  
Int. Point  
GCA  
PSCD  
FSCD ...

# Why Statistical Methods?

- Object constraints (*e.g.* nonnegativity)
- Accurate models of physics (reduced artifacts, quantitative accuracy)  
(*e.g.* nonuniform attenuation in SPECT, scatter, beam hardening, ...)
- System detector response models (*possibly* improved spatial resolution)
- Appropriate statistical models (reduced image noise or dose)  
(FBP treats all rays equally)
- Side information (*e.g.* MRI or CT boundaries)
- Nonstandard geometries (“missing” data, *e.g.* truncation)

## Tradeoffs...

- Computation time
- Model complexity
- Software complexity
- Less predictable (due to nonlinearities), especially for some methods  
*e.g.* Huesman (1984) FBP ROI variance for kinetic fitting

# Five Categories of Choices

1. Object parameterization:  $\lambda(\vec{x})$  vs  $\underline{\lambda}$
2. System physical model:  $s_i(\vec{x})$
3. Measurement statistical model  $Y_i \sim \boxed{?}$
4. Objective function: data-fit / regularization
5. Algorithm / initialization

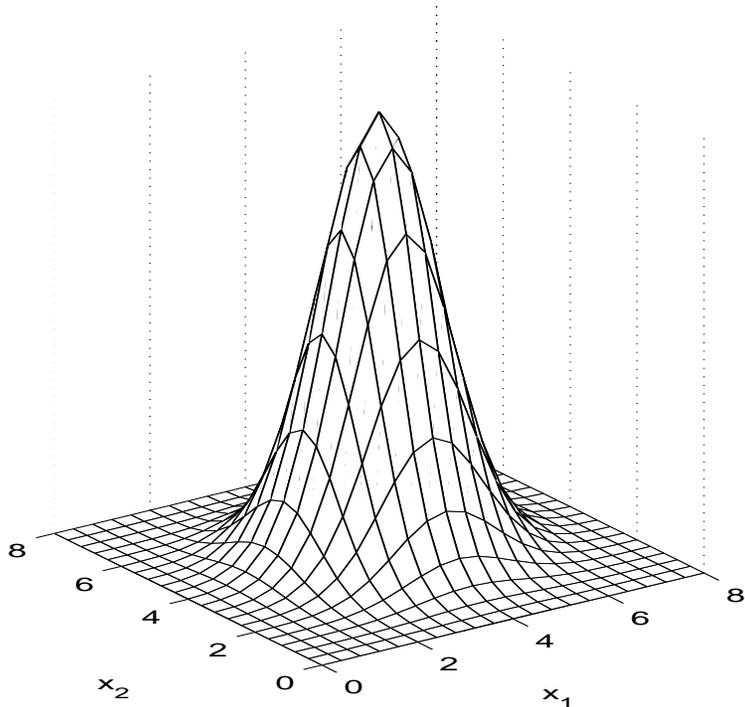
No perfect choices - one can critique all approaches!

## Choices impact:

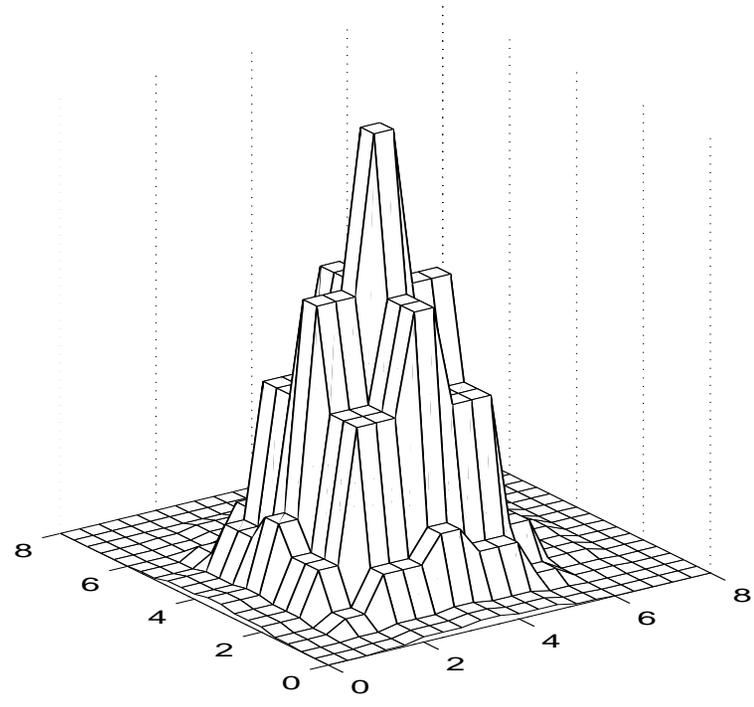
- Image spatial resolution
- Image noise
- Quantitative accuracy
- Computation time
- Memory
- Algorithm complexity

# Choice 1. Object Parameterization

Radioisotope spatial distribution  $\rightarrow \lambda(\vec{x}) \approx \tilde{\lambda}(\vec{x}) = \sum_{j=1}^{n_p} \lambda_j b_j(\vec{x}) \leftarrow$  Series expansion “basis functions”



Object  $\lambda(\vec{x})$



Pixelized approximation  $\tilde{\lambda}(\vec{x})$

# Basis Functions

## Choices

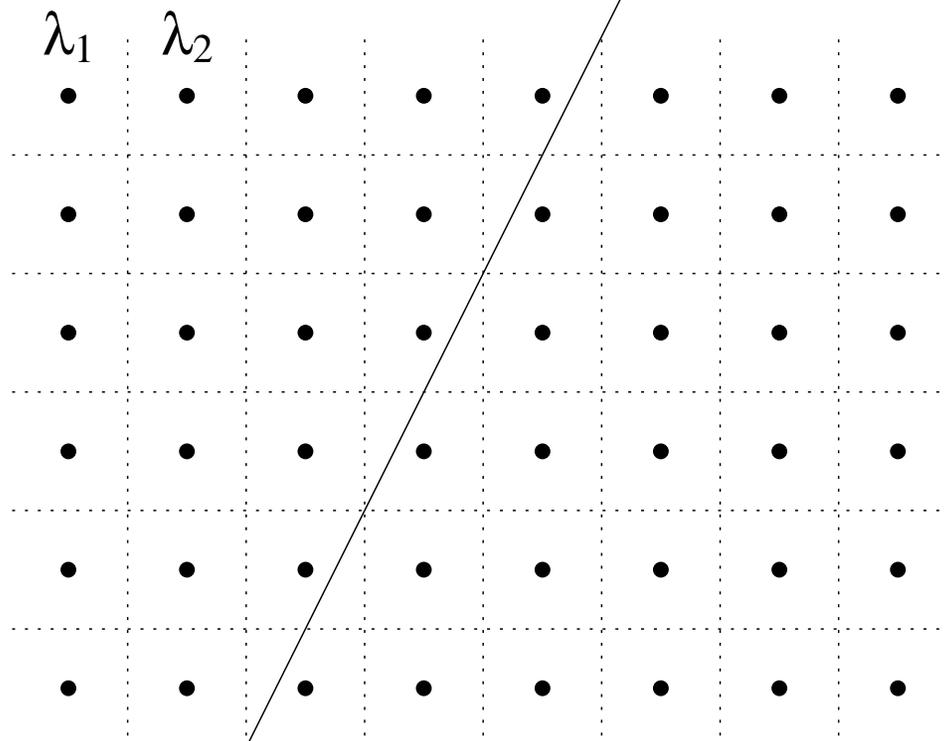
- Fourier series
- Circular harmonics
- Wavelets
- Kaiser-Bessel windows
- Overlapping disks
- B-splines (pyramids)
- Polar grids
- Logarithmic polar grids
- “Natural pixels”
- Point masses
- pixels / voxels
- ...

## Considerations

- Represent object  $\lambda(\vec{x})$  “well” with moderate  $n_p$
- system matrix elements  $\{a_{ij}\}$  “easy” to compute
- The  $n_d \times n_p$  system matrix:  $A = \{a_{ij}\}$ , should be sparse (mostly zeros).
- Easy to represent nonnegative functions  
e.g., if  $\lambda_j \geq 0$ , then  $\lambda(\vec{x}) \geq 0$ , i.e.  $b_j(\vec{x}) \geq 0$ .

# Point-Lattice Projector/Backprojector

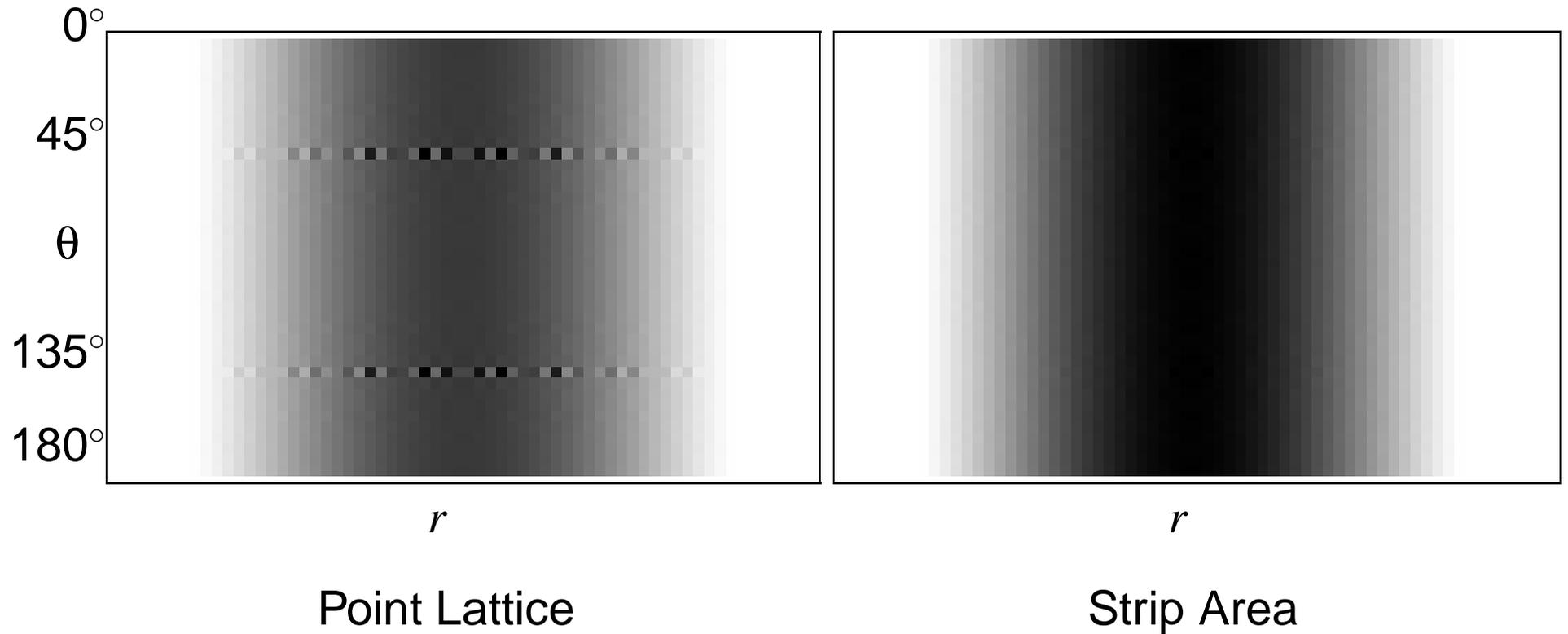
$i$ th ray



$a_{ij}$ 's determined by linear interpolation

# Point-Lattice Artifacts

Projections (sinograms) of uniform disk object:



## Choice 2. System Model

System matrix  $A = \{a_{ij}\}$  elements:

$$a_{ij} = P[\text{decay in the } j\text{th pixel is recorded by the } i\text{th detector unit}]$$

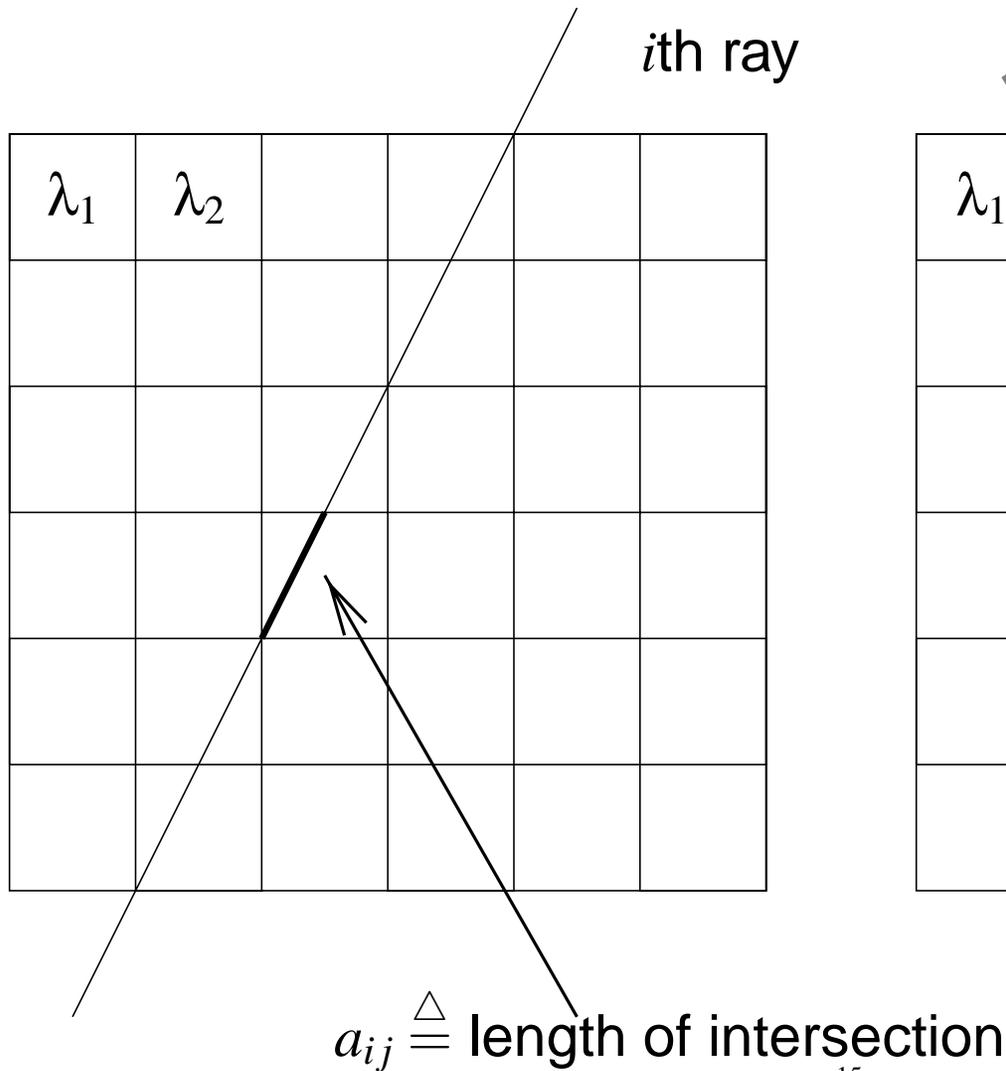
### Physical effects

- scanner **geometry**
- solid angles
- detector efficiency
- attenuation
- scatter
- collimation
- detector response
- dwell time at each angle
- dead-time losses
- positron range
- noncolinearity
- ...

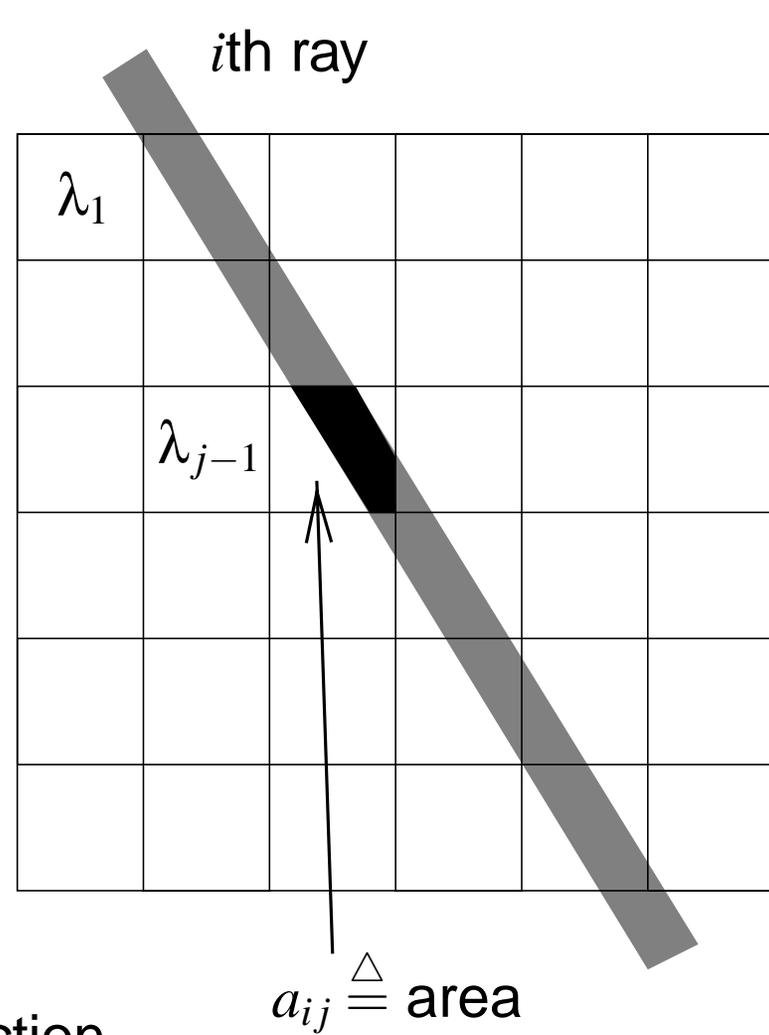
### Considerations

- Accuracy vs computation and storage vs compute-on-fly
- Model uncertainties  
(*e.g.* calculated scatter probabilities based on noisy attenuation map)
- Artifacts due to over-simplifications

# “Line Length” System Model



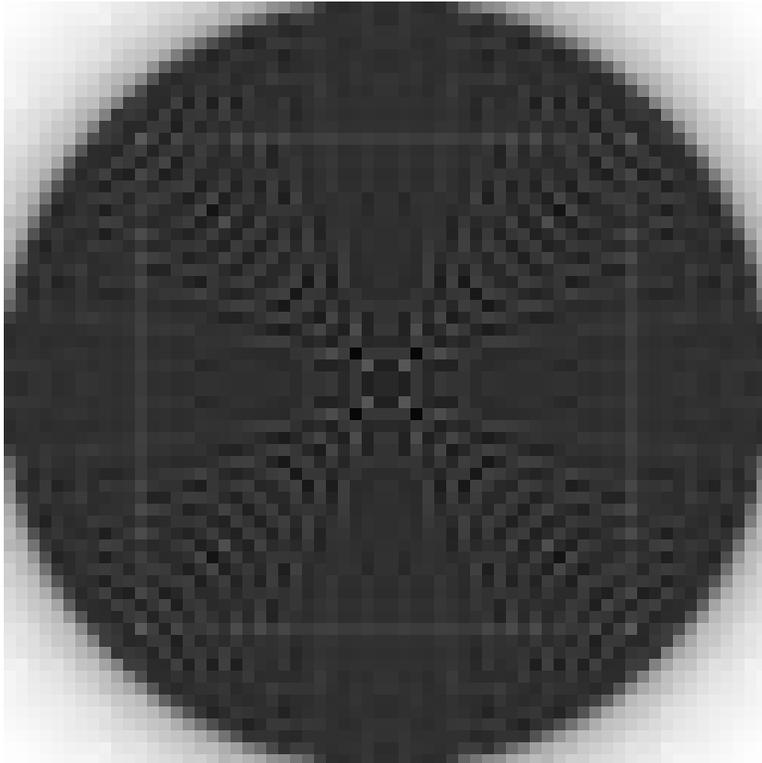
# “Strip Area” System Model



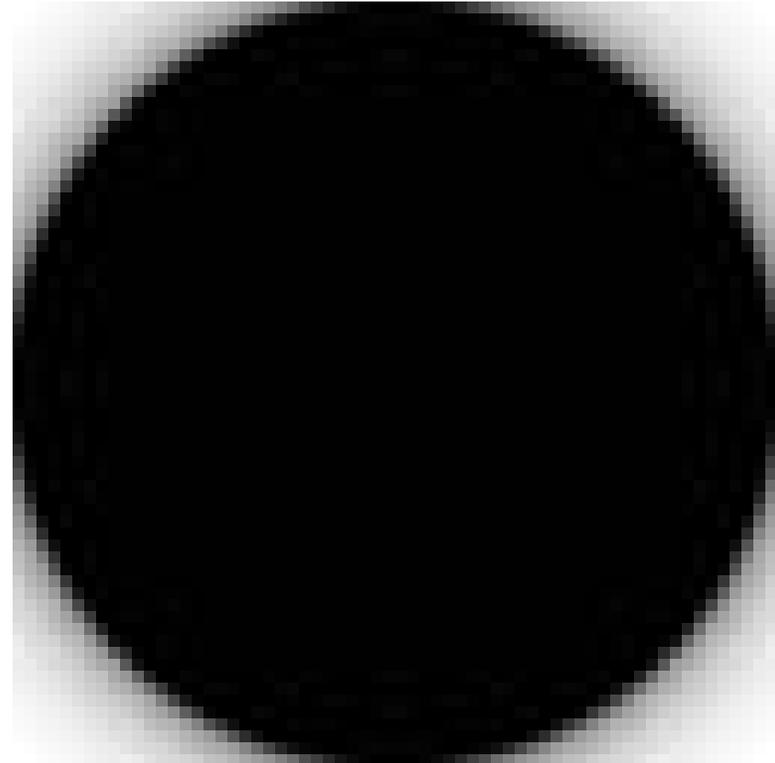
# Sensitivity Patterns

$$\sum_{i=1}^{n_d} a_{ij} \approx s(\underline{x}_j) = \sum_{i=1}^{n_d} s_i(\underline{x}_j)$$

Line Length



Strip Area



## Forward- / Back-projector “Pairs”

Forward projection (image domain to projection domain):

$$E[Y_i] = \int s_i(\vec{x})\lambda(\vec{x}) d\vec{x} = \sum_{j=1}^{n_p} a_{ij}\lambda_j = [A\underline{\lambda}]_i, \quad \text{or} \quad E[\underline{Y}] = A\underline{\lambda}$$

Backprojection (projection domain to image domain):

$$A'\underline{y} = \left\{ \sum_{i=1}^{n_d} a_{ij}y_i \right\}_{j=1}^{n_p}$$

Often  $A'$  is implemented as  $B\underline{y}$  for some “backprojector”  $B \neq A'$

Least-squares solutions (for example):

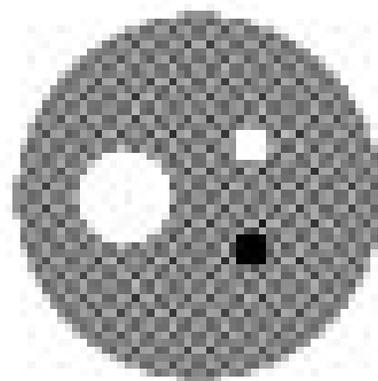
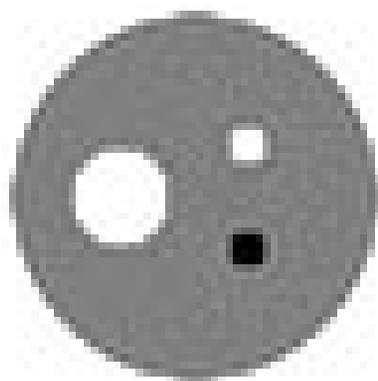
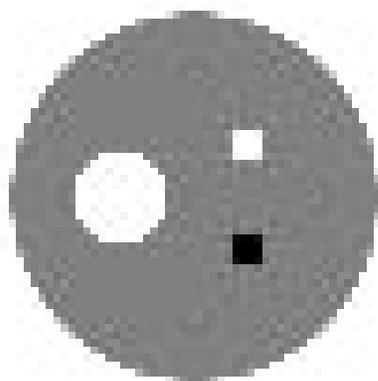
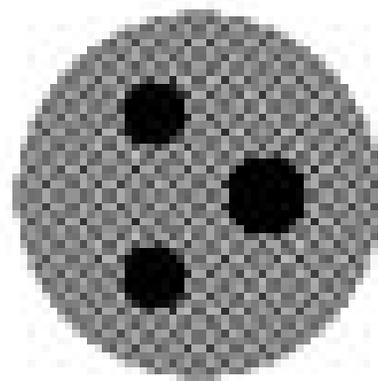
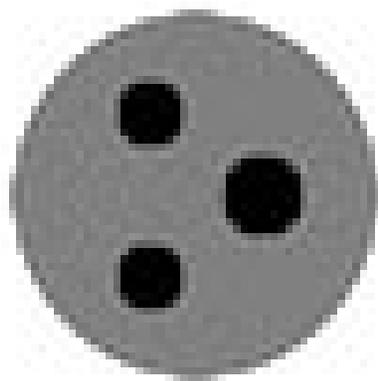
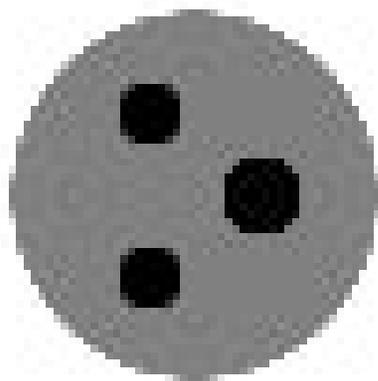
$$\hat{\underline{\lambda}} = [A'A]^{-1}A'\underline{y} \neq [BA]^{-1}B\underline{y}$$

# Mismatched Backprojector $B \neq A'$ (3D PET)

$\underline{\lambda}$

$\hat{\underline{\lambda}}$  (PWLS-CG)

$\hat{\underline{\lambda}}$  (PWLS-CG)

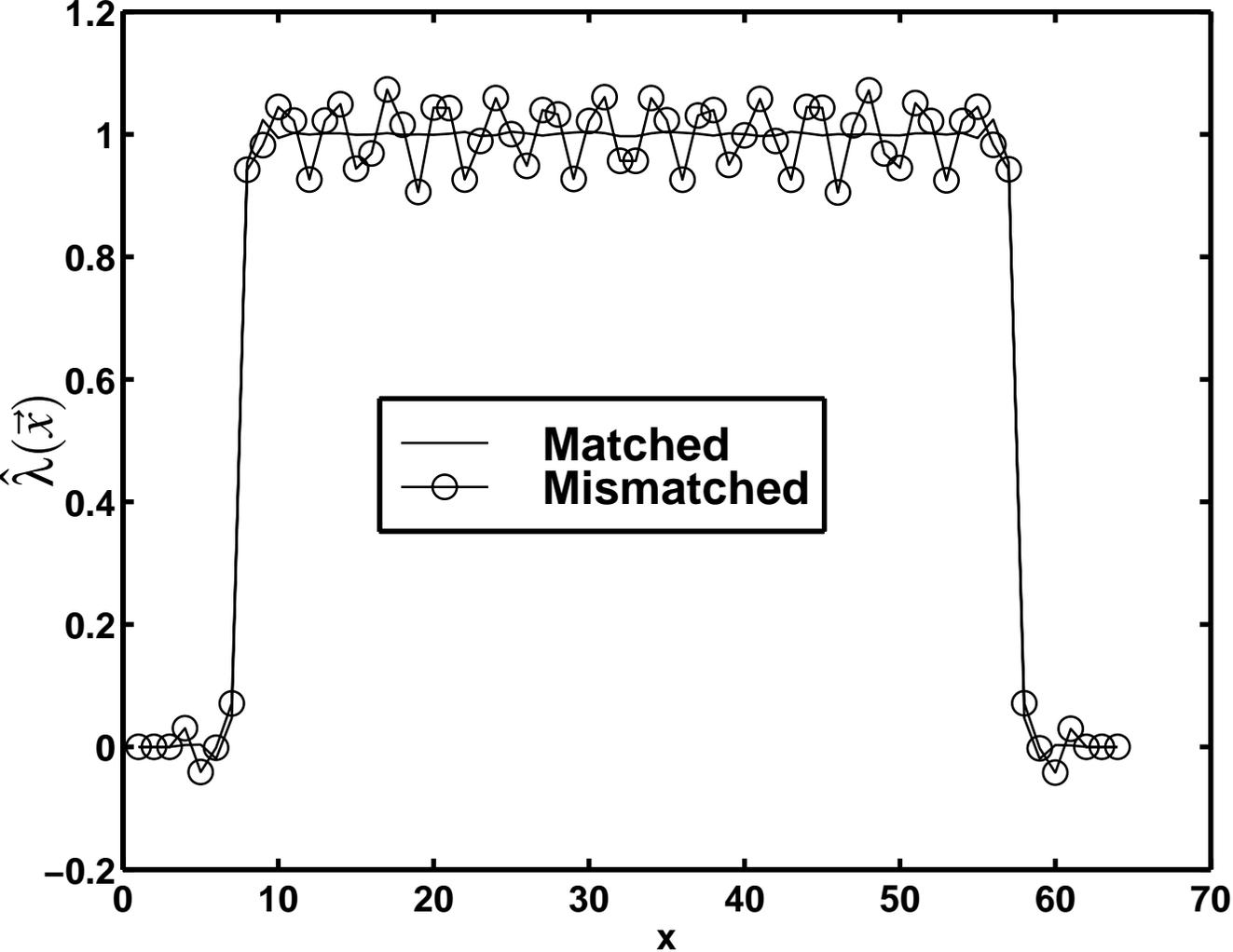


$(64 \times 64 \times 4)$

Matched

Mismatched

# Horizontal Profiles



## Choice 3. Statistical Models

After modeling the system physics, we have a deterministic “model:”

$$\underline{Y} \approx E[\underline{Y}] = A\underline{\lambda} + \underline{r}.$$

Statistical modeling is concerned with the “ $\approx$ ” aspect.

### Random Phenomena

- Number of tracer atoms injected  $N$
- Spatial locations of tracer atoms  $\{\vec{X}_k\}_{k=1}^N$
- Time of decay of tracer atoms  $\{T_k\}_{k=1}^N$
- Positron range
- Emission angle
- Photon absorption
- Compton scatter
- Detection  $S_k \neq 0$
- Detector unit  $\{S_k\}_{i=1}^{n_d}$
- Random coincidences
- Deadtime losses
- ...

# Statistical Model Considerations

- More accurate models:
  - can lead to lower variance images,
  - can reduce bias
  - may incur additional computation,
  - may involve additional algorithm complexity  
(*e.g.* proper transmission Poisson model has nonconcave log-likelihood)
- Statistical model errors (*e.g.* deadtime)
- Incorrect models (*e.g.* log-processed transmission data)

# Statistical Model Choices

- “None.” Assume  $\underline{Y} - \underline{r} = A\underline{\lambda}$ . “Solve algebraically” to find  $\underline{\lambda}$ .
- White Gaussian noise. Ordinary least squares: minimize  $\|Y - A\underline{\lambda}\|^2$
- Non-White Gaussian noise. Weighted least squares: minimize

$$\|Y - A\underline{\lambda}\|_W^2 = \sum_{i=1}^{n_d} w_i (y_i - [A\underline{\lambda}]_i)^2, \quad \text{where } [A\underline{\lambda}]_i \triangleq \sum_{j=1}^{n_p} a_{ij}\lambda_j$$

- Ordinary Poisson model (ignoring or precorrecting for background)

$$Y_i \sim \text{Poisson}\{[A\underline{\lambda}]_i\}$$

- Poisson model

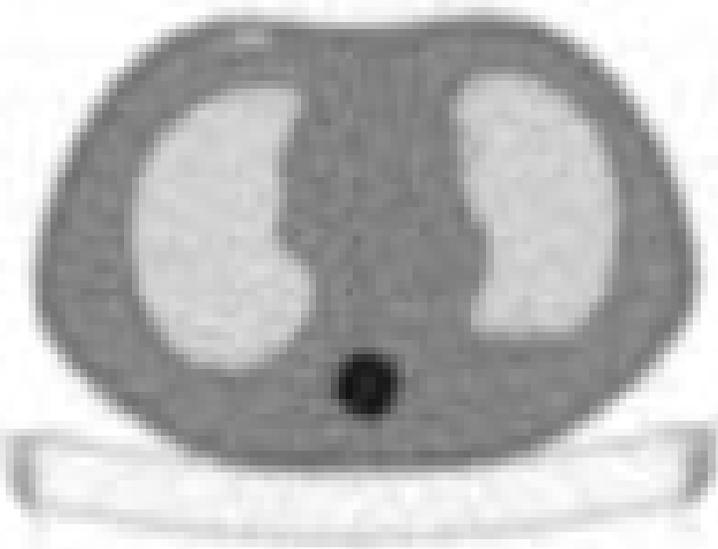
$$Y_i \sim \text{Poisson}\{[A\underline{\lambda}]_i + r_i\}$$

- Shifted Poisson model (for randoms precorrected PET)

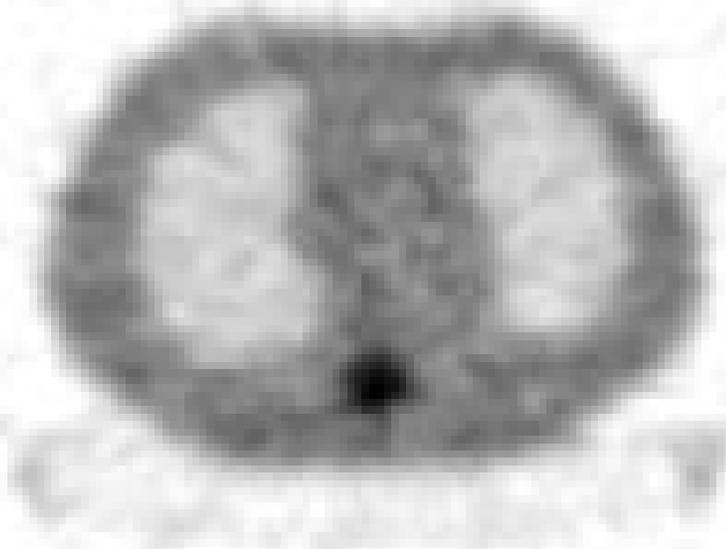
$$Y_i = Y_i^{\text{prompt}} - Y_i^{\text{delay}} \sim \text{Poisson}\{[A\underline{\lambda}]_i + 2r_i\} - 2r_i$$

# Transmission Phantom

**FBP 7hour**



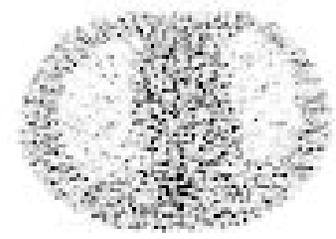
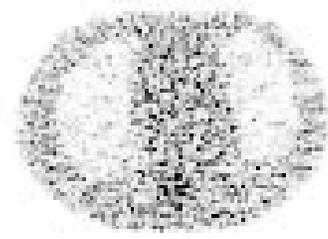
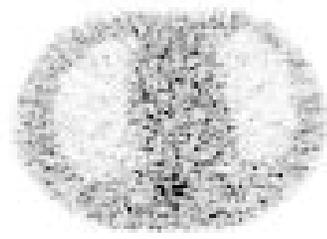
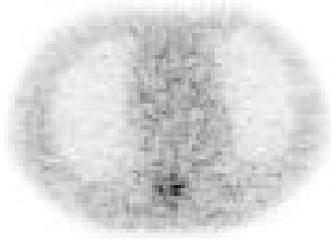
**FBP 12min**



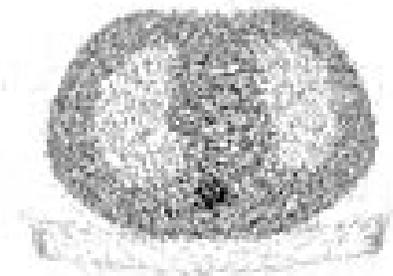
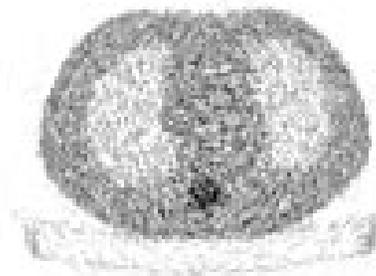
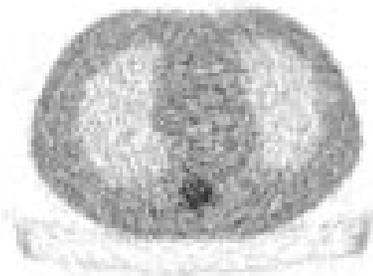
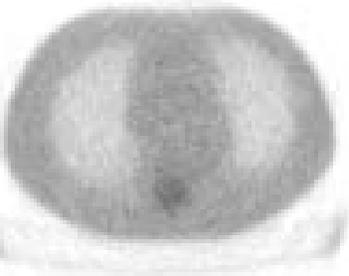
**Thorax Phantom  
ECAT EXACT**

# Effect of statistical model

**OSEM**



**OSTR**



**Iteration: 1**

**3**

**5**

**7**

## Choice 4. Objective Functions

Components:

- *Data-fit* term
- *Regularization* term (and regularization parameter  $\beta$ )
- Constraints (*e.g.* nonnegativity)

$$\Phi(\underline{\lambda}) = \text{DataFit}(\underline{Y}, A\underline{\lambda} + \underline{r}) - \beta \cdot \text{Roughness}(\underline{\lambda})$$

$$\hat{\underline{\lambda}} \triangleq \arg \max_{\underline{\lambda} \geq 0} \Phi(\underline{\lambda})$$

“Find the image that ‘best fits’ the sinogram data”

Actually *three* choices to make for Choice 4 ...

Distinguishes “statistical methods” from “algebraic methods” for “ $\underline{Y} = A\underline{\lambda}$ .”

# Why Objective Functions?

(vs “procedure” *e.g.* adaptive neural net with wavelet denoising)

## Theoretical reasons

ML is based on maximizing an objective function: the log-likelihood

- ML is asymptotically consistent
- ML is asymptotically unbiased
- ML is asymptotically efficient (under true statistical model...)
- Penalized-likelihood achieves uniform CR bound asymptotically

## Practical reasons

- Stability of estimates (if  $\Phi$  and algorithm chosen properly)
- Predictability of properties (despite nonlinearities)
- Empirical evidence (?)

## Choice 4.1: Data-Fit Term

- Least squares, weighted least squares (quadratic data-fit terms)
- Reweighted least-squares
- Model-weighted least-squares
- Norms robust to outliers
- Log-likelihood of statistical model. Poisson case:

$$L(\underline{\lambda}; \underline{Y}) = \log P[\underline{Y} = \underline{y}; \underline{\lambda}] = \sum_{i=1}^{n_d} y_i \log([A\underline{\lambda}]_i + r_i) - ([A\underline{\lambda}]_i + r_i) - \log y_i!$$

Poisson probability mass function (PMF):

$$P[\underline{Y} = \underline{y}; \underline{\lambda}] = \prod_{i=1}^{n_d} e^{-\bar{y}_i} \bar{y}_i^{y_i} / y_i! \quad \text{where } \bar{y} \triangleq A\underline{\lambda} + \underline{r}$$

### Considerations

- Faithfulness to statistical model vs computation
- Effect of statistical modeling errors

## Choice 4.2: Regularization

Forcing too much “data fit” gives noisy images

Ill-conditioned problems: small data noise causes large image noise

Solutions:

- **Noise-reduction methods**

- Modify the *data* (prefilter or extrapolate sinogram data)
- Modify an *algorithm* derived for an ill-conditioned problem (stop before converging, post-filter)

- **True regularization methods**

Redefine the *problem* to eliminate ill-conditioning

- Use bigger pixels (fewer basis functions)
- Method of sieves (constrain image roughness)
- Change objective function by adding a roughness penalty / prior

$$R(\underline{\lambda}) = \sum_{j=1}^{n_p} \sum_{k \in N_j} \psi(\lambda_j - \lambda_k)$$

# Noise-Reduction vs True Regularization

## Advantages of “**noise-reduction**” methods

- Simplicity (?)
- Familiarity
- Appear less subjective than using penalty functions or priors
- Only fiddle factors are # of iterations, amount of smoothing
- Resolution/noise tradeoff usually varies with iteration  
(stop when image looks good - in principle)

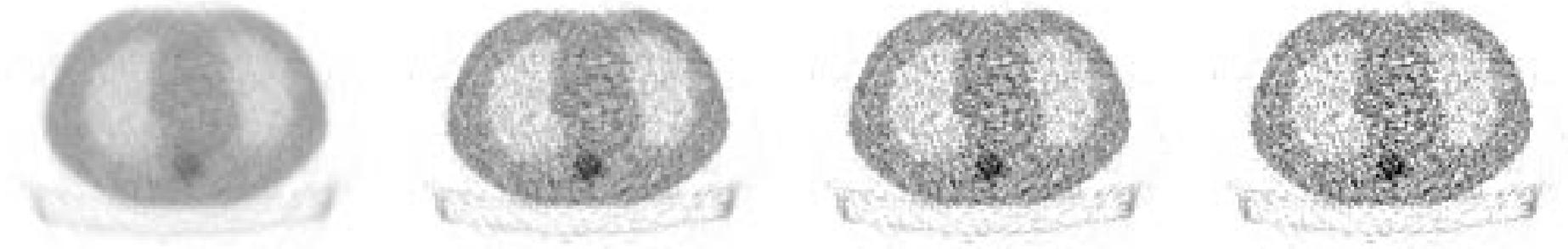
## Advantages of **true regularization** methods

- Stability
- Predictability
- Resolution can be made object independent
- Controlled resolution (*e.g.* spatially uniform, edge preserving)
- Start with (*e.g.*) FBP image  $\Rightarrow$  reach solution faster.

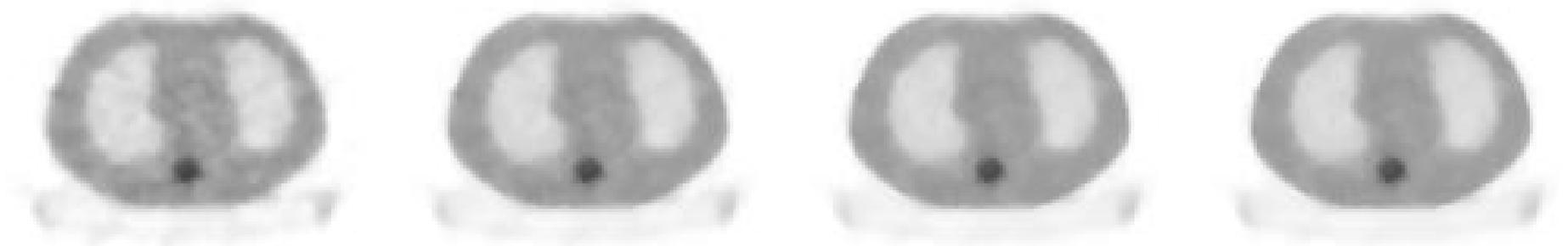
# Unregularized vs Regularized Reconstruction

**ML (unregularized)**

**(OSTR)**



**Penalized likelihood**



**Iteration: 1**

**3**

**5**

**7**

# Roughness Penalty Function Considerations

$$R(\underline{\lambda}) = \sum_{j=1}^{n_p} \sum_{k \in N_j} \psi(\lambda_j - \lambda_k)$$

- Computation
- Algorithm complexity
- Uniqueness of maximum of  $\Phi$
- Resolution properties (edge preserving?)
- # of adjustable parameters
- Predictability of properties (resolution and noise)

## Choices

- separable vs nonseparable
- quadratic vs nonquadratic
- convex vs nonconvex

This topic is actively debated!

# Nonseparable Penalty Function Example

## Example

$x_1$	$x_2$	$x_3$
$x_4$	$x_5$	

$$R(\underline{x}) = (x_2 - x_1)^2 + (x_3 - x_2)^2 + (x_5 - x_4)^2 \\ + (x_4 - x_1)^2 + (x_5 - x_2)^2$$

2	2	2
2	1	

$$R(\underline{x}) = 1$$

3	3	1
2	2	

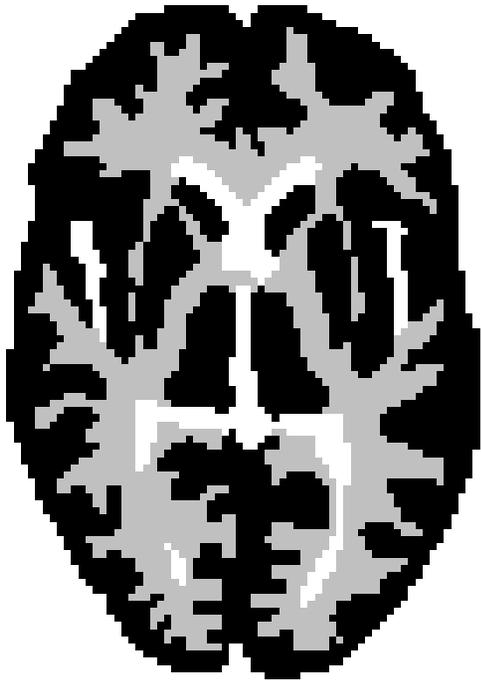
$$R(\underline{x}) = 6$$

1	3	1
2	2	

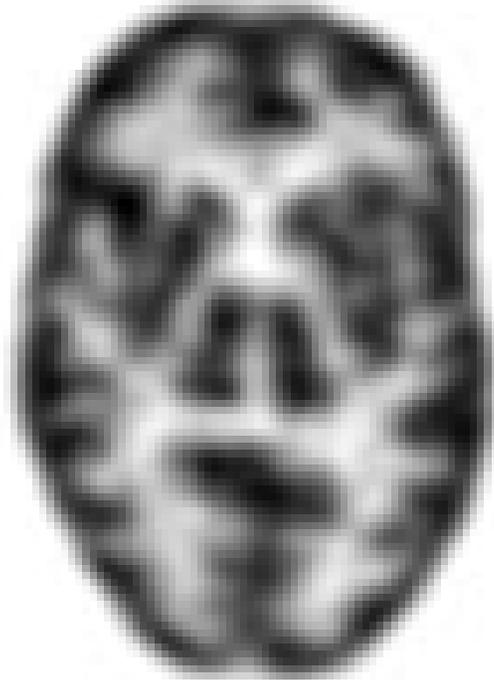
$$R(\underline{x}) = 10$$

Rougher images  $\Rightarrow$  greater  $R(\underline{x})$

# Penalty Functions: Quadratic vs Nonquadratic



Phantom



Quadratic Penalty



Huber Penalty

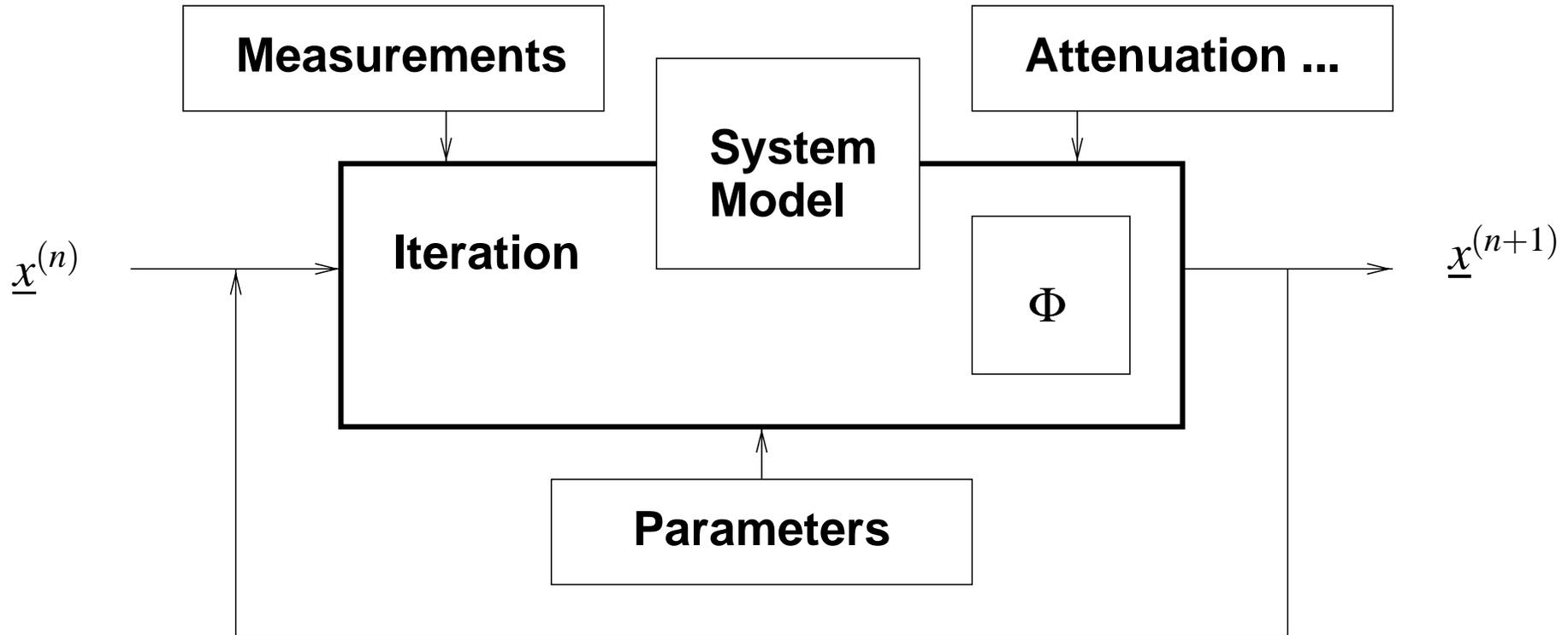
# Summary of Modeling Choices

1. Object parameterization:  $\lambda(\underline{x})$  vs  $\hat{\lambda}$
2. System physical model:  $s_i(\underline{x})$
3. Measurement statistical model  $Y_i \sim \boxed{?}$
4. Objective function: data-fit / regularization / constraints

**Reconstruction Method = Objective Function + Algorithm**

5. Iterative algorithm  
ML-EM, MAP-OSL, PL-SAGE, PWLS+SOR, PWLS-CG, ...

# Choice 5. Algorithms



Deterministic iterative mapping:  $\underline{x}^{(n+1)} = M(\underline{x}^{(n)})$

All algorithms are imperfect. No single best solution.

# Ideal Algorithm

$$\underline{x}^* \triangleq \arg \max_{\underline{x} \geq \underline{0}} \Phi(\underline{x}) \quad (\text{global maximum})$$

**stable and convergent**

**converges quickly**

**globally convergent**

**fast**

**robust**

**user friendly**

**monotonic**

**parallelizable**

**simple**

**flexible**

$\{\underline{x}^{(n)}\}$  converges to  $\underline{x}^*$  if run indefinitely

$\{\underline{x}^{(n)}\}$  gets “close” to  $\underline{x}^*$  in just a few iterations

$\lim_n \underline{x}^{(n)}$  independent of starting image

requires minimal computation per iteration

insensitive to finite numerical precision

nothing to adjust (e.g. acceleration factors)

$\Phi(\underline{x}^{(n)})$  increases every iteration

(when necessary)

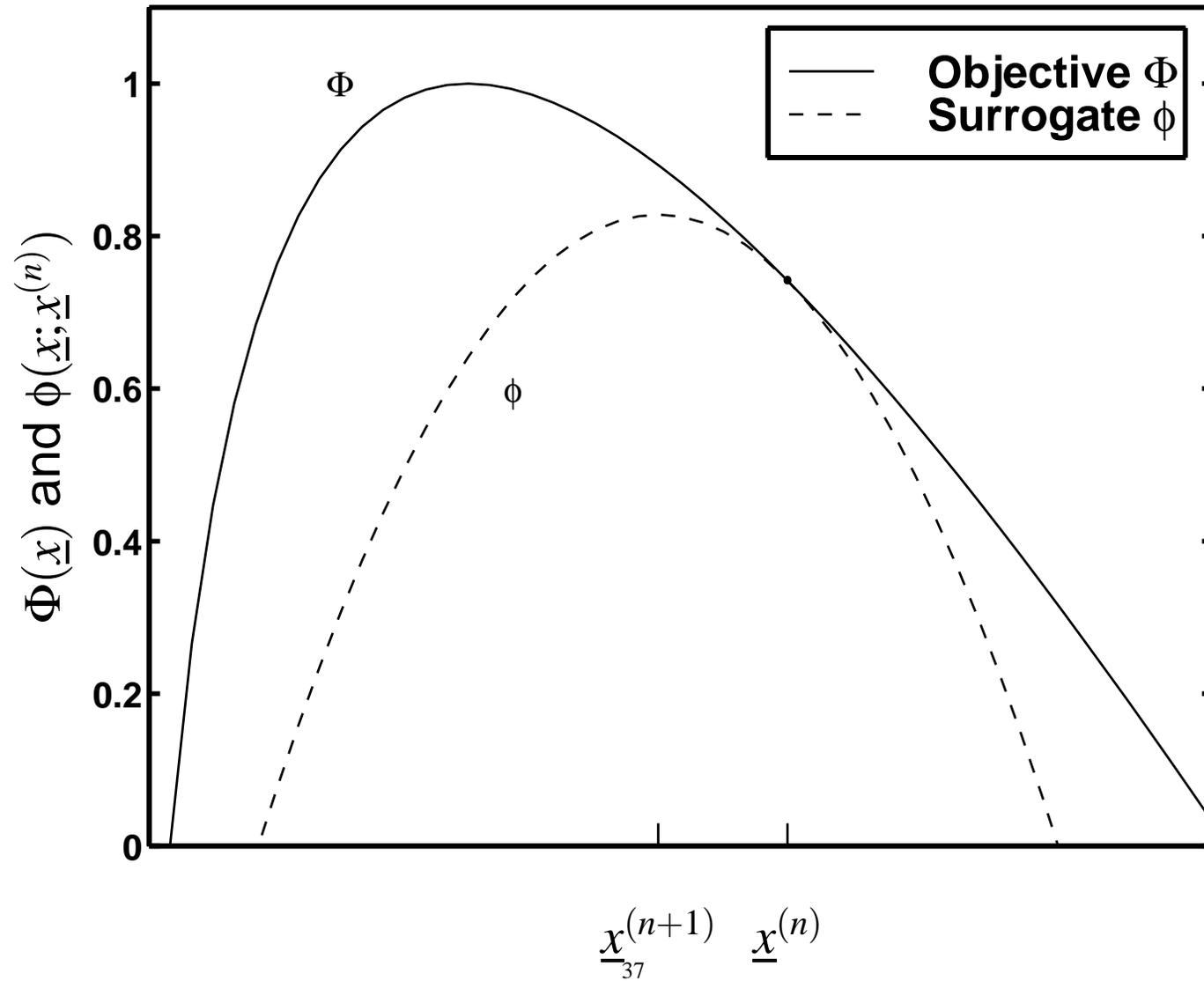
easy to program and debug

accommodates any type of system model

(matrix stored by row or column or projector/backprojector)

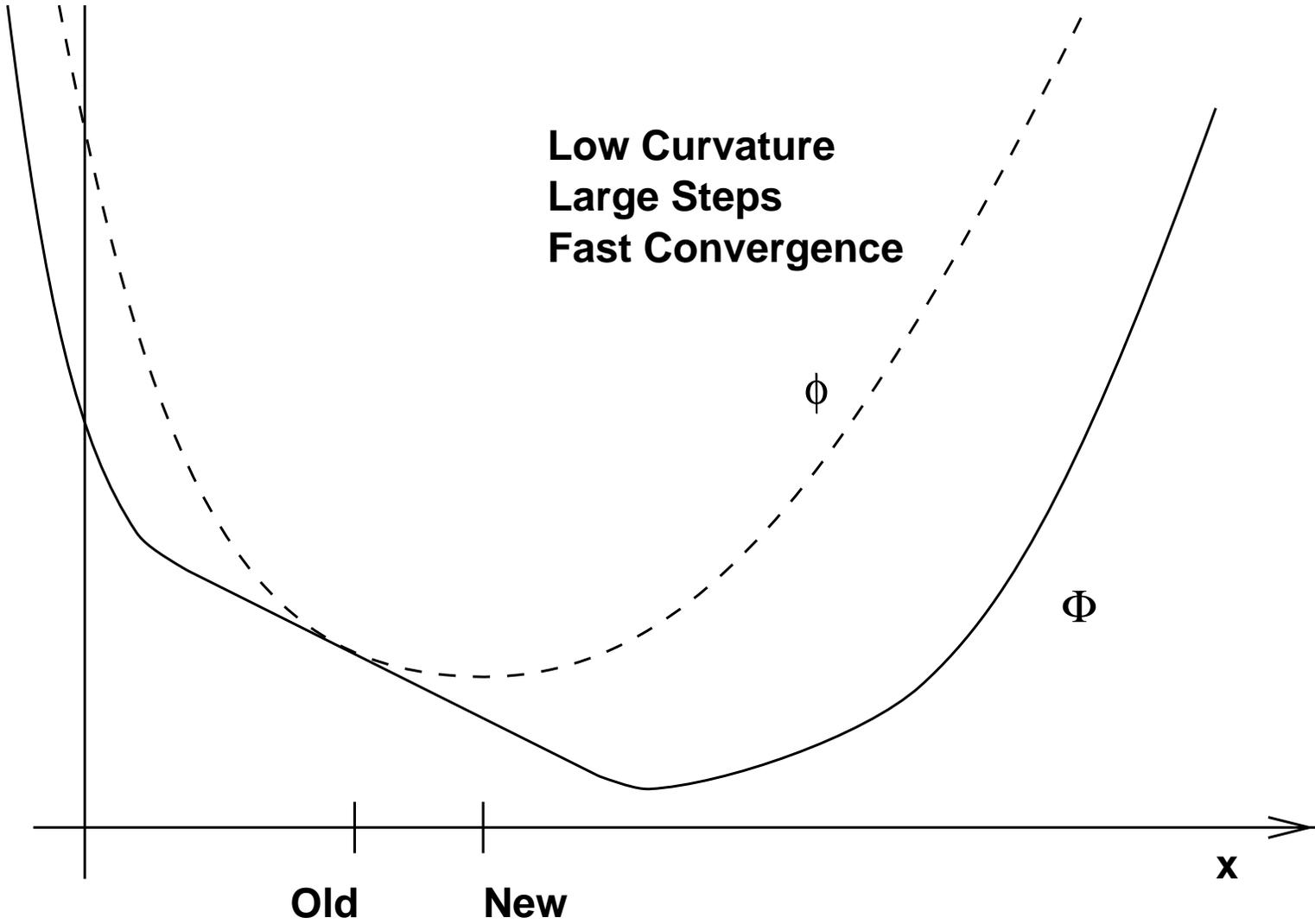
Choices: forgo one or more of the above

# Optimization Transfer Illustrated

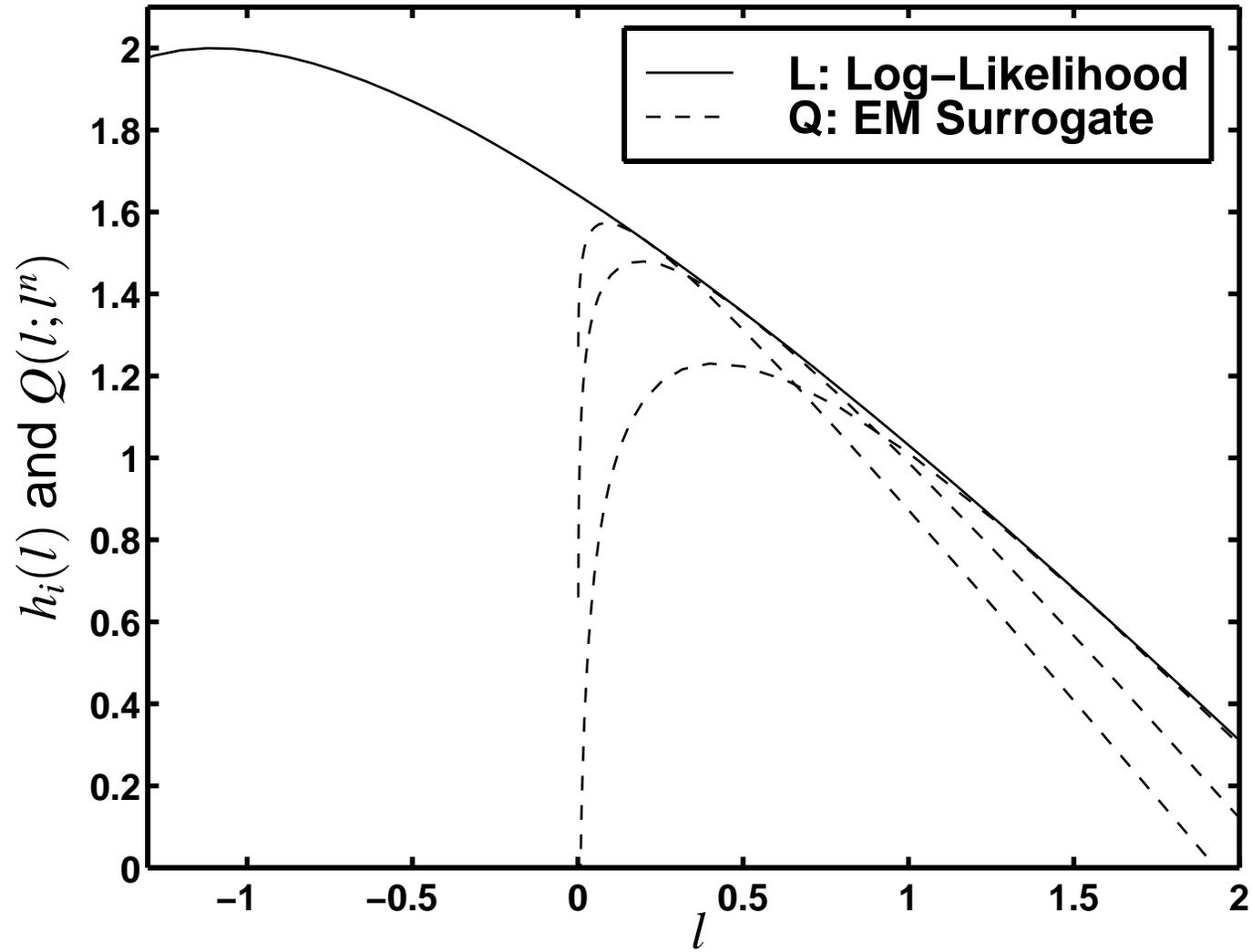


$\bar{x}^{(n+1)}$   $\bar{x}^{(n)}$

# Convergence Rate: Fast



# Slow Convergence of EM



# Paraboloidal Surrogates

- Not separable (unlike EM)
- Not self-similar (unlike EM)
- Poisson log-likelihood replaced by a series of least squares problems.
- Maximize each quadratic problem easily using coordinate ascent.

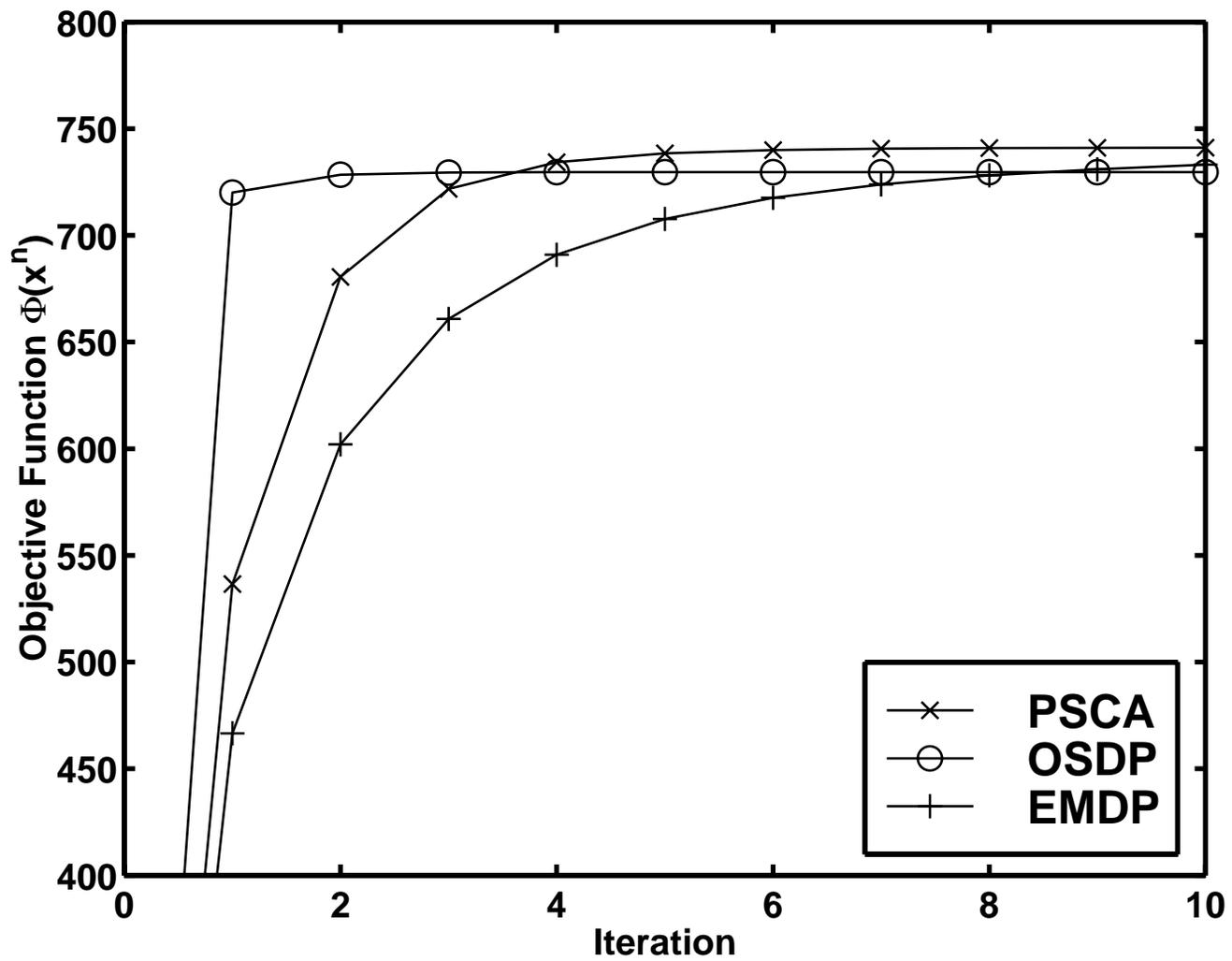
## Advantages

- Fast converging
- Intrinsicly monotone global convergence
- Fairly simple to derive / implement
- Nonnegativity easy (with coordinate ascent)

## Disadvantages

- Coordinate ascent  $\therefore$  column-stored system matrix

# Convergence rate: PSCA vs EM



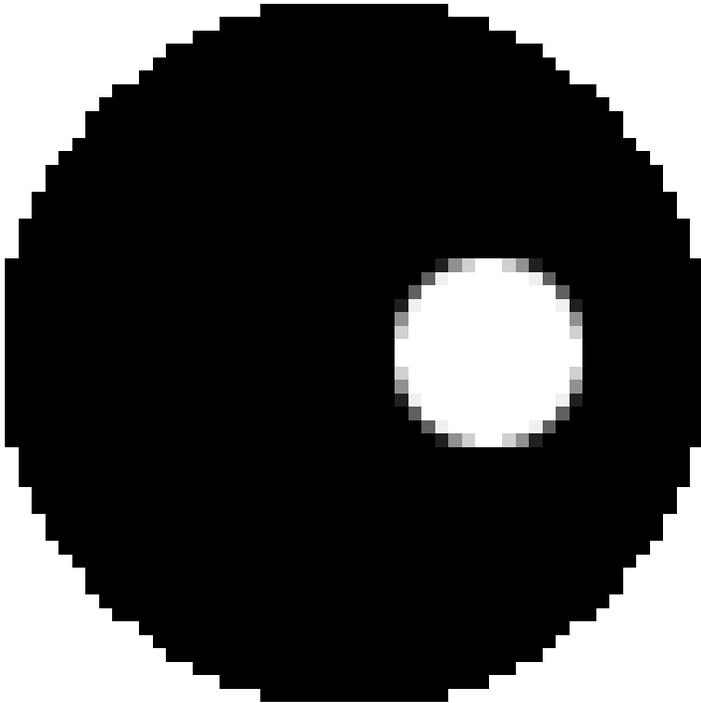
# Ordered Subsets Algorithms

- The *backprojection* operation appears in every algorithm.
- Intuition: with half the angular sampling, the backprojection would look fairly similar.
- To “OS-ize” an algorithm, replace all backprojections with partial sums.

## Problems with OS-EM

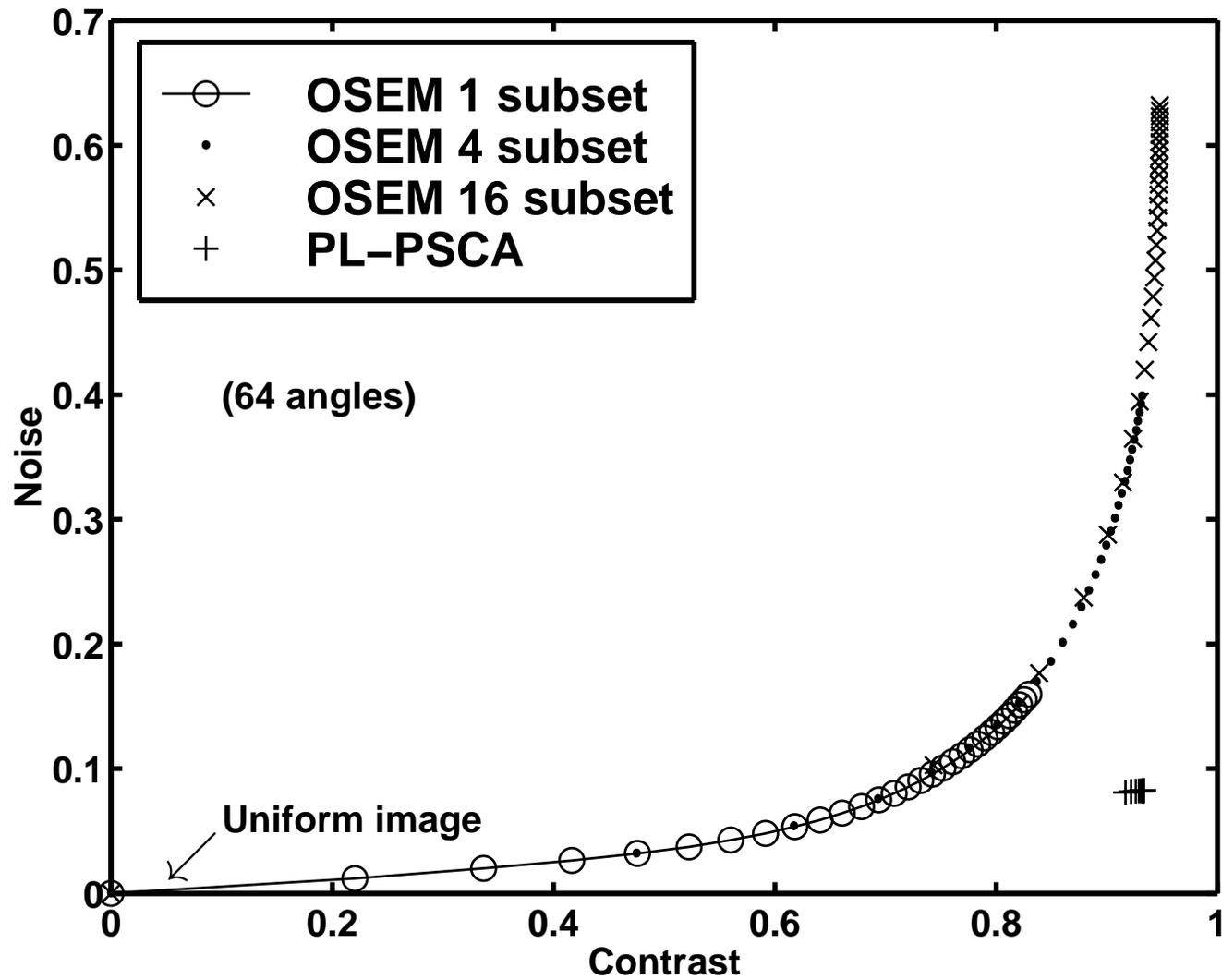
- Non-monotone
- Does not converge (may cycle)
- Byrne’s RBBI approach only converges for consistent (noiseless) data
- $\therefore$  unpredictable
  - What resolution after  $n$  iterations?
  - Object-dependent, spatially nonuniform
  - What variance after  $n$  iterations?
  - ROI variance? (*e.g.* for Huesman’s WLS kinetics)

# OSEM vs Penalized Likelihood

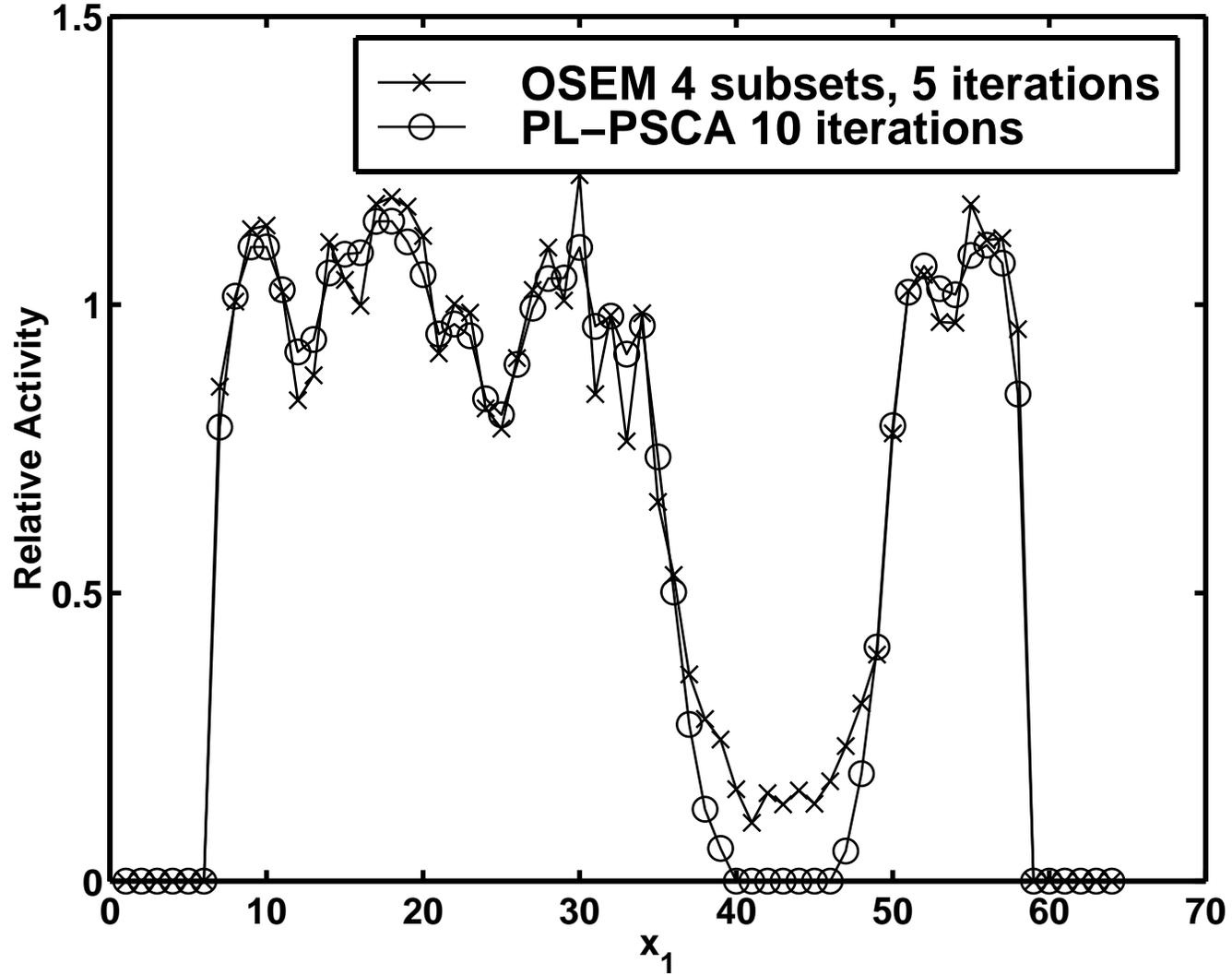


- $64 \times 62$  image
- $66 \times 60$  sinogram
- $10^6$  counts
- 15% randoms/scatter
- uniform attenuation
- contrast in cold region
- within-region  $\sigma$  opposite side

# Contrast-Noise Results



### Horizontal Profile



# Noise Properties

$$\text{Cov}\{\hat{\underline{x}}\} \approx [\nabla^{20}\Phi]^{-1} [\nabla^{11}\Phi] \text{Cov}\{\underline{Y}\} [\nabla^{11}\Phi]^T [\nabla^{20}\Phi]^{-1}$$

- Enables prediction of noise properties
- Useful for computing ROI variance for kinetic fitting

IEEE Tr. Image Processing, 5(3):493 1996

# Summary

- General principles of statistical image reconstruction
- Optimization transfer
- Principles apply to transmission reconstruction
- Predictability of resolution / noise and controlling spatial resolution  
argues for regularized objective-function
- Still work to be done...

## **An Open Problem**

Still no algorithm with all of the following properties:

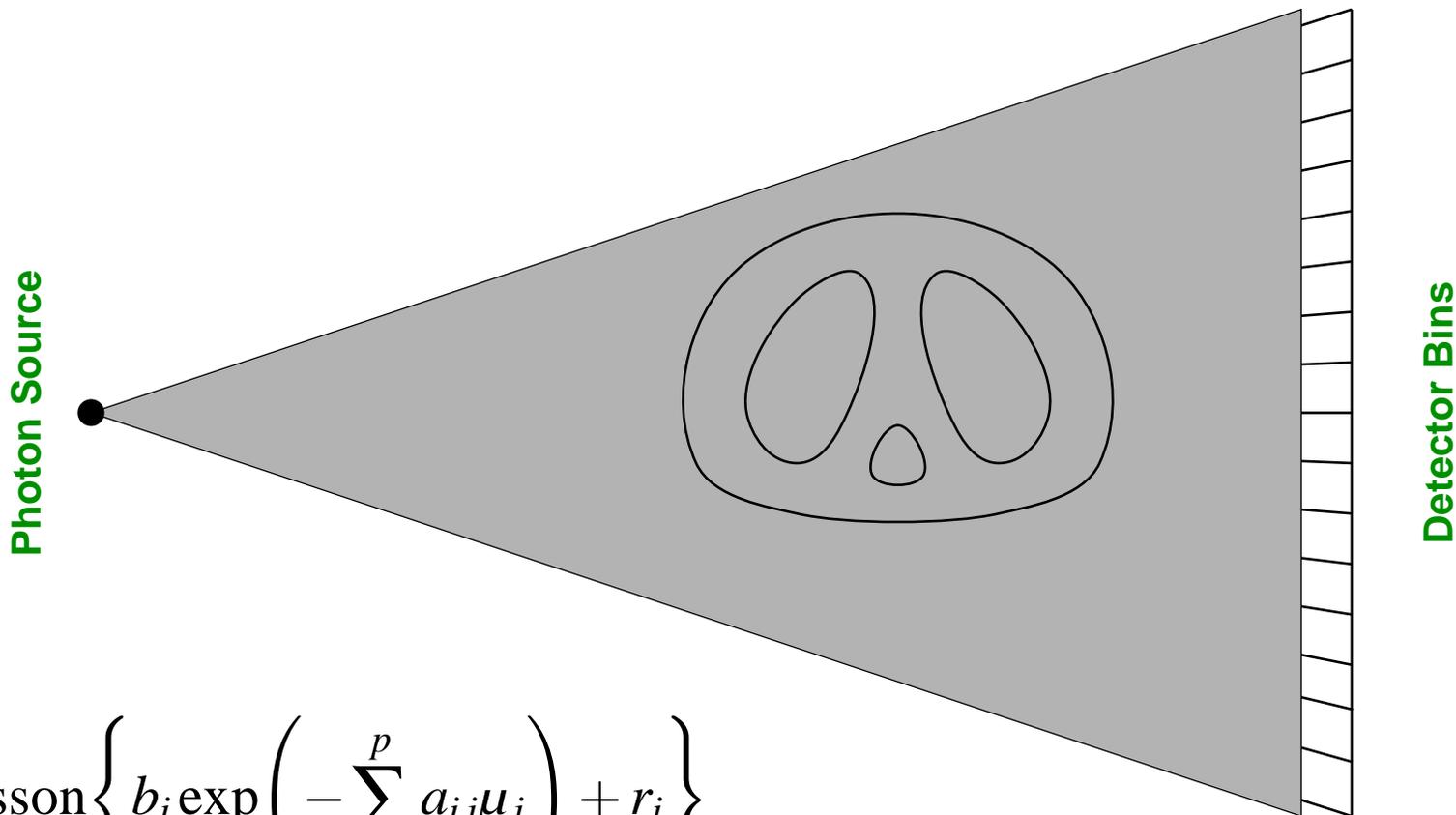
- Nonnegativity easy
- Fast converging
- Intrinsically monotone global convergence
- Accepts any type of system matrix
- Parallelizable

# **Fast Maximum Likelihood Transmission Reconstruction using Ordered Subsets**

Jeffrey A. Fessler, Hakan Erdoğan

EECS Department, BME Department, and  
Nuclear Medicine Division of Dept. of Internal Medicine  
The University of Michigan

# Transmission Scans



$$Y_i \sim \text{Poisson} \left\{ b_i \exp \left( - \sum_{j=1}^p a_{ij} \mu_j \right) + r_i \right\}$$

Each measurement  $Y_i$  is related to a single “line integral” through the object.

# Transmission Scan Statistical Model

$$Y_i \sim \text{Poisson} \left\{ b_i \exp \left( - \sum_{j=1}^p a_{ij} \mu_j \right) + r_i \right\}, \quad i = 1, \dots, N$$

- $N$  number of detector elements
- $Y_i$  recorded counts by  $i$ th detector element
- $b_i$  blank scan value for  $i$ th detector element
- $a_{ij}$  length of intersection of  $i$ th ray with  $j$ th pixel
- $\mu_j$  linear attenuation coefficient of  $j$ th pixel
- $r_i$  contribution of room background, scatter, and emission crosstalk

(Monoenergetic case, can be generalized for dual-energy CT)  
(Can be generalized for additive Gaussian detector noise)

# Maximum-Likelihood Reconstruction

$$\hat{\mu} = \arg \max_{\mu \geq \underline{0}} L(\mu) \quad (\text{Log-likelihood})$$

$$L(\mu) = \sum_{i=1}^N Y_i \log \left[ b_i \exp \left( - \sum_{j=1}^p a_{ij} \mu_j \right) + r_i \right] - \left[ b_i \exp \left( - \sum_{j=1}^p a_{ij} \mu_j \right) + r_i \right]$$

## Transmission ML Reconstruction Algorithms

- Conjugate gradient

Mumcuoğlu *et al.*, T-MI, Dec. 1994

- Paraboloidal surrogates coordinate ascent (PSCA)

Erdoğan and Fessler, T-MI, 1999

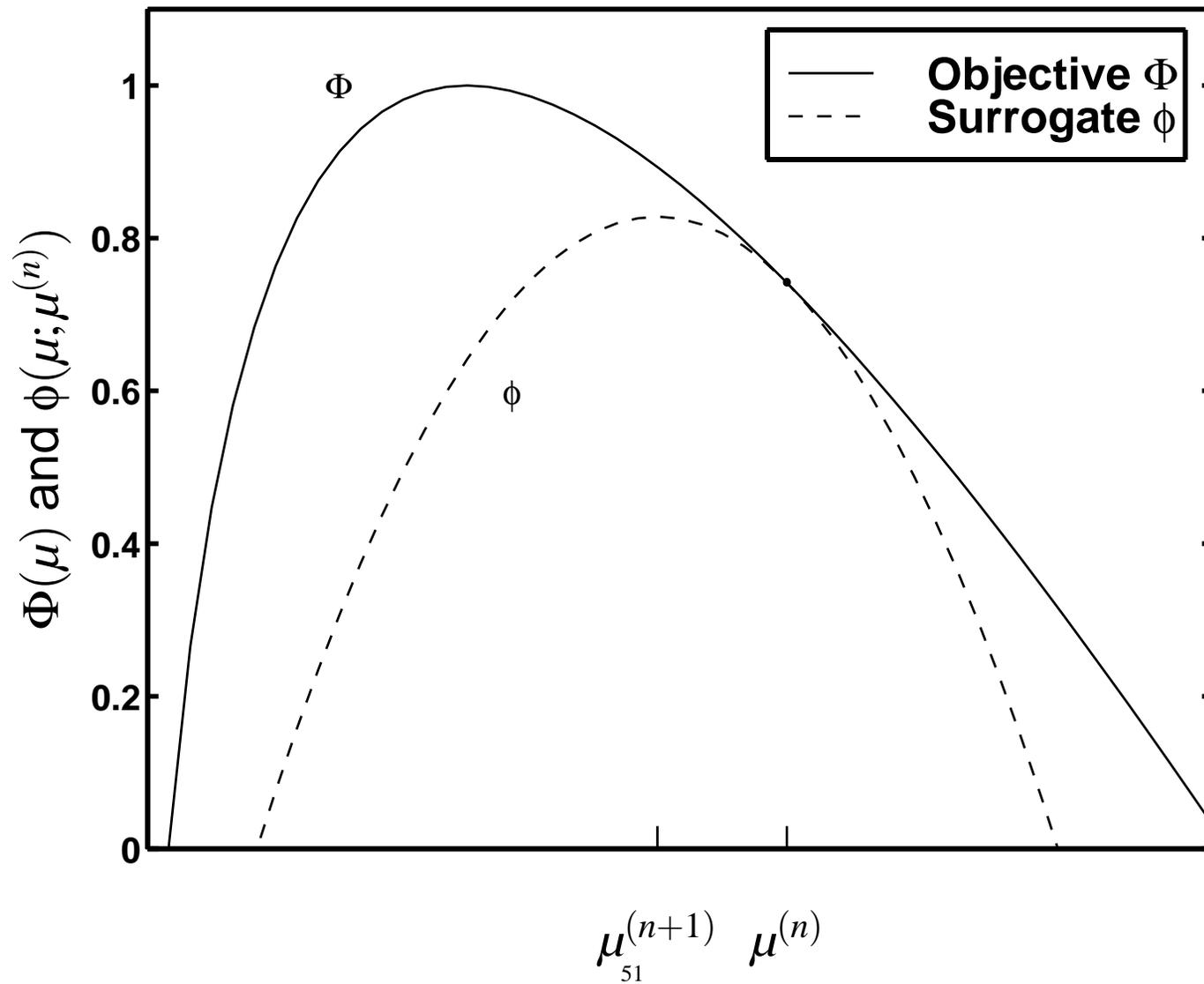
- Ordered subsets separable paraboloidal surrogates

Erdoğan *et al.*, PMB, Nov. 1999

- ~~Transmission expectation maximization (EM) algorithm~~

Lange and Carson, JCAT, Apr. 1984

# Optimization Transfer Illustrated



# Parabola Surrogate Function

- $h(l) = y \log(be^{-l} + r) - (be^{-l} + r)$  has a parabola surrogate:  $q_{im}^{(n)}$
- Optimum curvature of parabola derived by Erdoĝan (T-MI, 1999)
- Replace likelihood with paraboloidal surrogate

$$L(\mu^{(n)}) = \sum_{i=1}^N h_i \left( \sum_{j=1}^p a_{ij} \mu_j \right) \geq Q_1(\mu; \mu^{(n)}) = \sum_{i=1}^N q_{im}^{(n)} \left( \sum_{j=1}^p a_{ij} \mu_j \right)$$

- $q_{im}^{(n)}$  is a simple quadratic function
- Iterative algorithm:

$$\mu^{(n+1)} = \arg \max_{\mu \geq \underline{0}} Q_1(\mu; \mu^{(n)})$$

- Maximizing  $Q_1(\mu; \mu^{(n)})$  over  $\mu$  is equivalent to (reweighted) least-squares.
- Natural algorithms
  - Conjugate gradient
  - Coordinate ascent

# Separable Paraboloid Surrogate Function

- Parabolas are convex functions
- Apply De Pierro's "additive" convexity trick (T-MI, Mar. 1995)

$$\sum_{j=1}^p a_{ij} \mu_j = \sum_{j=1}^p \frac{a_{ij}}{a_i} \left[ a_i (\mu_j - \mu_j^{(n)}) \right] + \left[ A \mu^{(n)} \right]_i \quad \text{where } a_i \triangleq \sum_{j=1}^p a_{ij}$$

- Move summation over pixels outside quadratic

$$\begin{aligned} Q_1(\mu; \mu^{(n)}) &= \sum_{i=1}^N q_{im}^{(n)} \left( \sum_{j=1}^p a_{ij} \mu_j \right) \\ &\geq Q_2(\mu; \mu^{(n)}) = \sum_{i=1}^N \sum_{j=1}^p \frac{a_{ij}}{a_i} q_{im}^{(n)} \left( a_i (\mu_j - \mu_j^{(n)}) + \left[ A \mu^{(n)} \right]_i \right) \\ &= \sum_{j=1}^p Q_{2j}^{(n)}(\mu_j), \quad \text{where } Q_{2j}^{(n)}(x) \triangleq \sum_{i=1}^N \frac{a_{ij}}{a_i} q_{im}^{(n)} \left( a_i (x - \mu_j^{(n)}) + \left[ A \mu^{(n)} \right]_i \right) \end{aligned}$$

- Separable paraboloidal surrogate function  $\Rightarrow$  trivial to maximize (cf EM)

Iterative algorithm:

$$\begin{aligned}
 \mu_j^{(n+1)} &= \arg \max_{\mu_j \geq 0} Q_{2j}^{(n)}(\mu_j) = \left[ \mu_j^{(n)} + \frac{\frac{\partial}{\partial \mu_j} Q_{2j}^{(n)}(\mu^{(n)})}{-\frac{\partial^2}{\partial \mu_j^2} Q_{2j}^{(n)}(\mu^{(n)})} \right]_+ \\
 &= \left[ \mu_j^{(n)} + \frac{1}{-\frac{\partial^2}{\partial \mu_j^2} Q_{2j}^{(n)}(\mu^{(n)})} \frac{\partial}{\partial \mu_j} L(\mu^{(n)}) \right]_+ \\
 &= \left[ \mu_j^{(n)} + \frac{\sum_{i=1}^N (y_i / \bar{y}_i^{(n)} - 1) b_i \exp(-[A\mu^{(n)}]_i)}{\sum_{i=1}^N a_{ij}^2 a_i c_i^{(n)}} \right]_+, \quad j = 1, \dots, p
 \end{aligned}$$

- $c_i^{(n)}$ 's related to parabola curvatures
- Parallelizable (ideal for multiprocessor workstations)
- Monotonically increases the likelihood each iteration
- Intrinsically enforces the nonnegativity constraint
- Guaranteed to converge if unique maximizer
- Natural starting point for forming ordered-subsets variation

# Ordered Subsets Algorithm

- Each  $\sum_{i=1}^N$  is a backprojection
- Replace “full” backprojections with partial backprojections
- Partial backprojection based on angular subsampling
- Cycle through subsets of projection angles

## Pros

- Accelerates “convergence”
- Very simple to implement
- Reasonable images in just 1 or 2 iterations
- Regularization easily incorporated

## Cons:

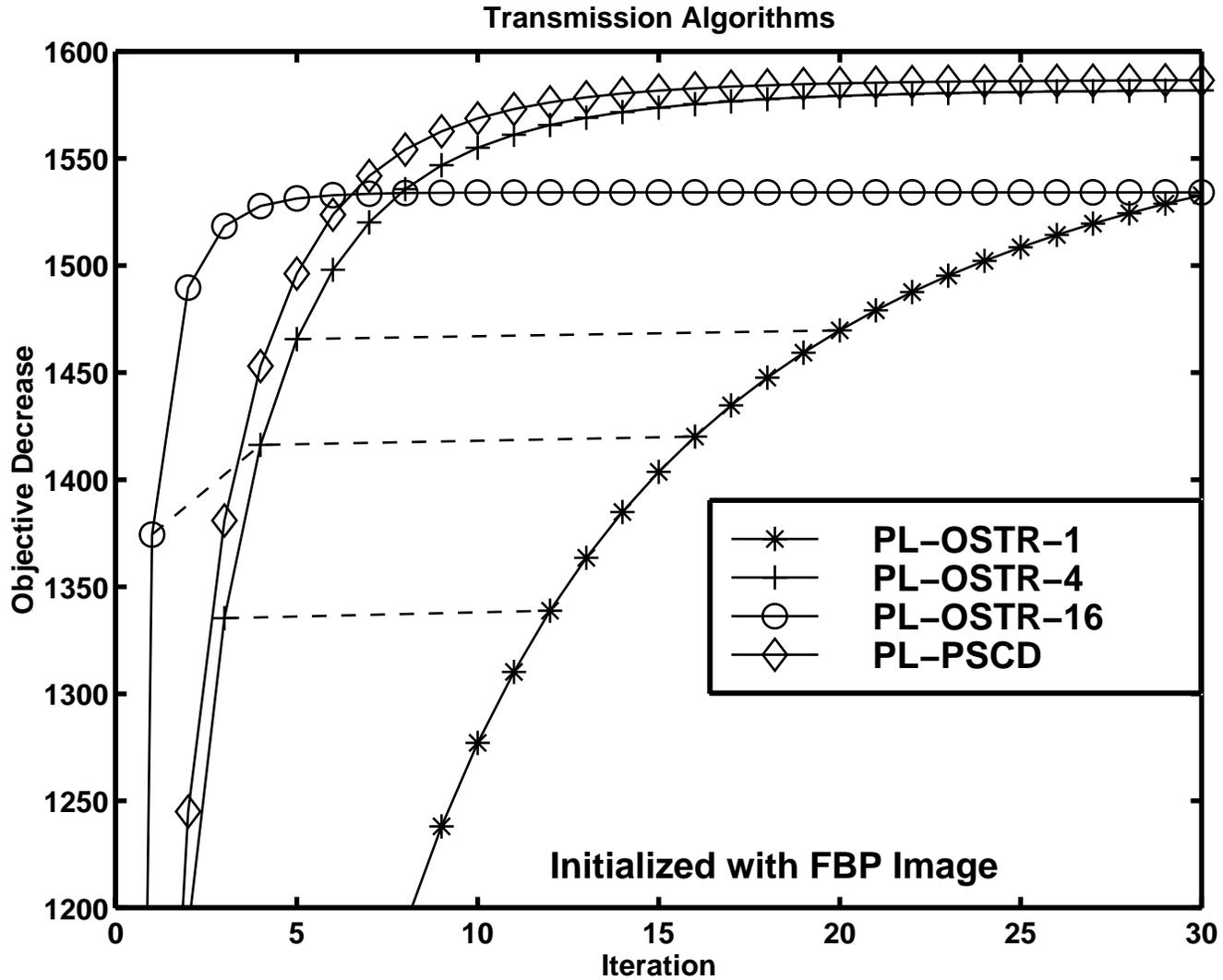
- Does not converge to true maximizer
- Makes analysis of properties difficult

# Phantom Study

- 12-minute PET transmission scan
- Anthropomorphic thorax phantom (Data Spectrum, Chapel Hill, NC)
- Sinogram: 160 3.375mm bins by 192 angles over 180°
- Image: 128 by 128 4.2mm pixels
- Ground truth determined from 15-hour scan, FBP reconstruction / segmentation

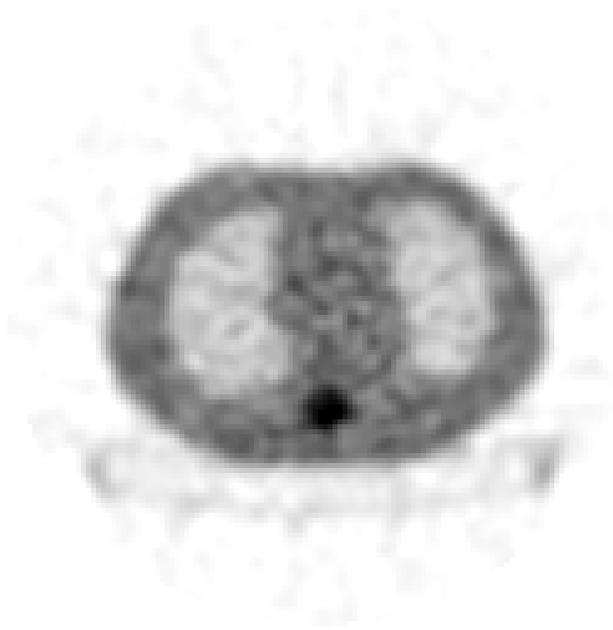


# Algorithm Convergence



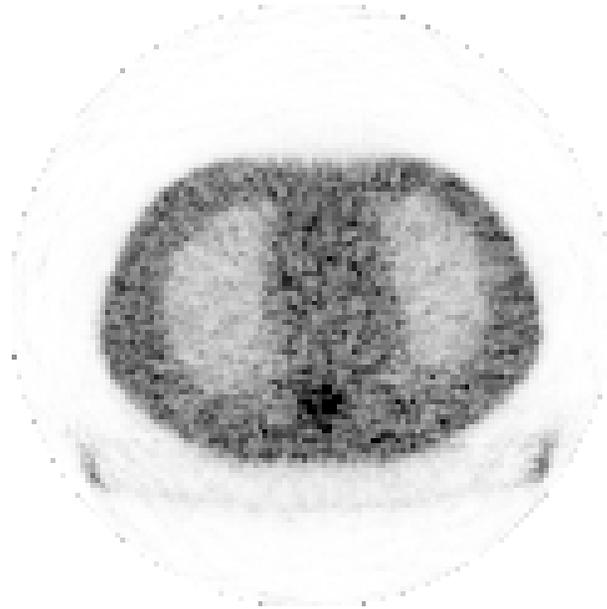
# Reconstructed Images

**FBP**



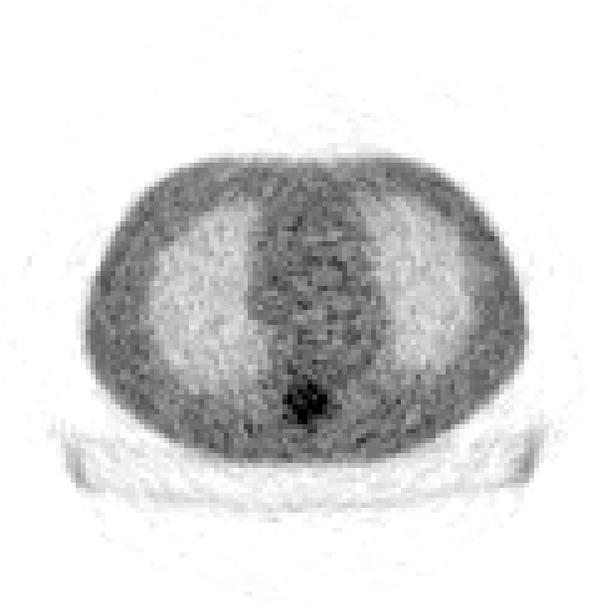
**ML-OSEM-8**

**2 iterations**



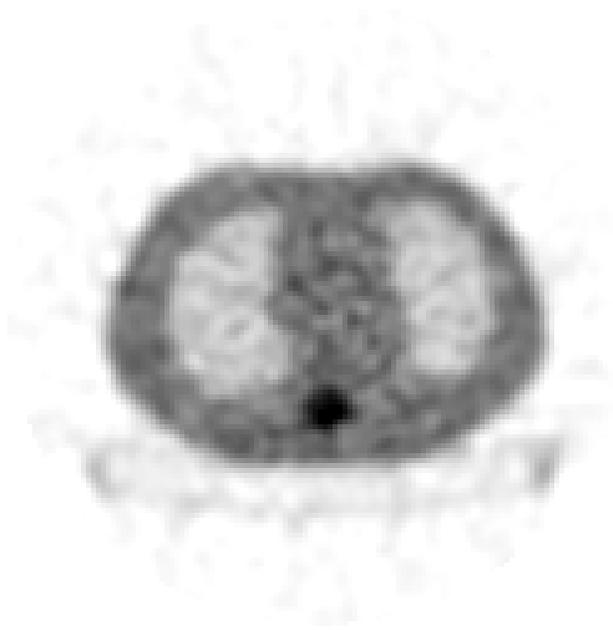
**ML-OSTR-8**

**3 iterations**



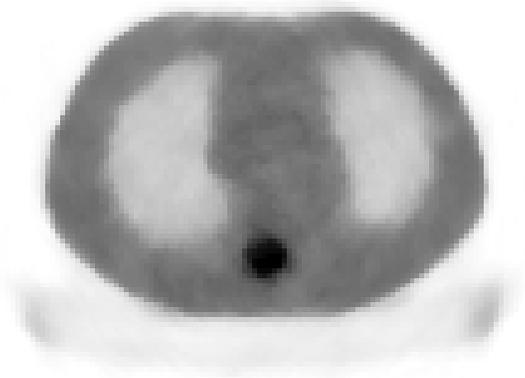
# Reconstructed Images

**FBP**



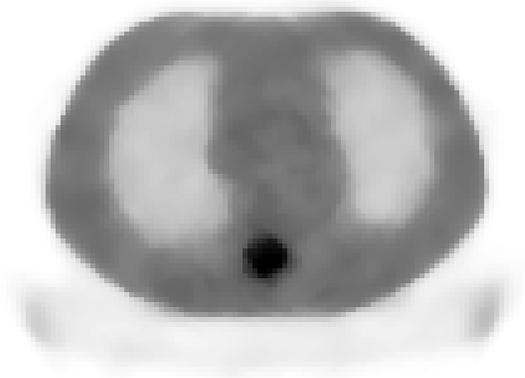
**PL-OSTR-16**

**4 iterations**



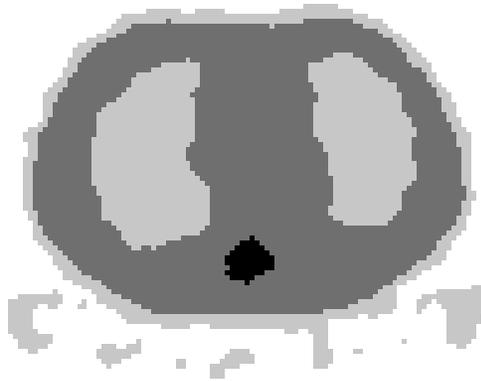
**PL-PSCD**

**10 iterations**



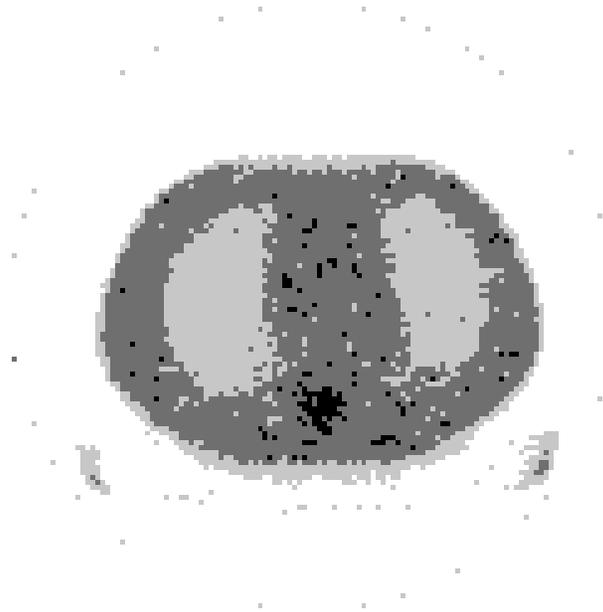
# Segmented Images

**FBP**



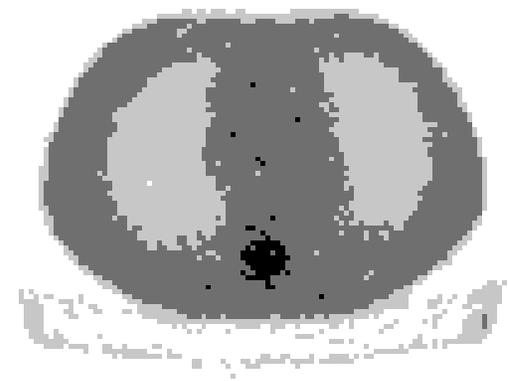
**ML-OSEM-8**

**2 iterations**



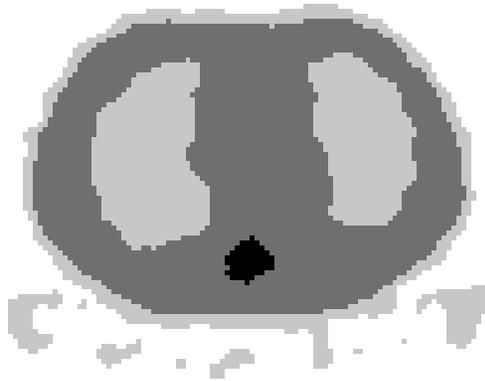
**ML-OSTR-8**

**3 iterations**



# Segmented Images

**FBP**



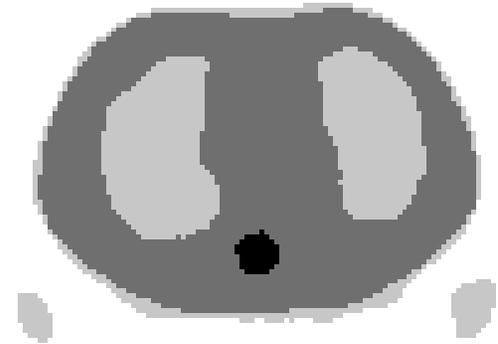
**PL-OSTR-16**

**4 iterations**

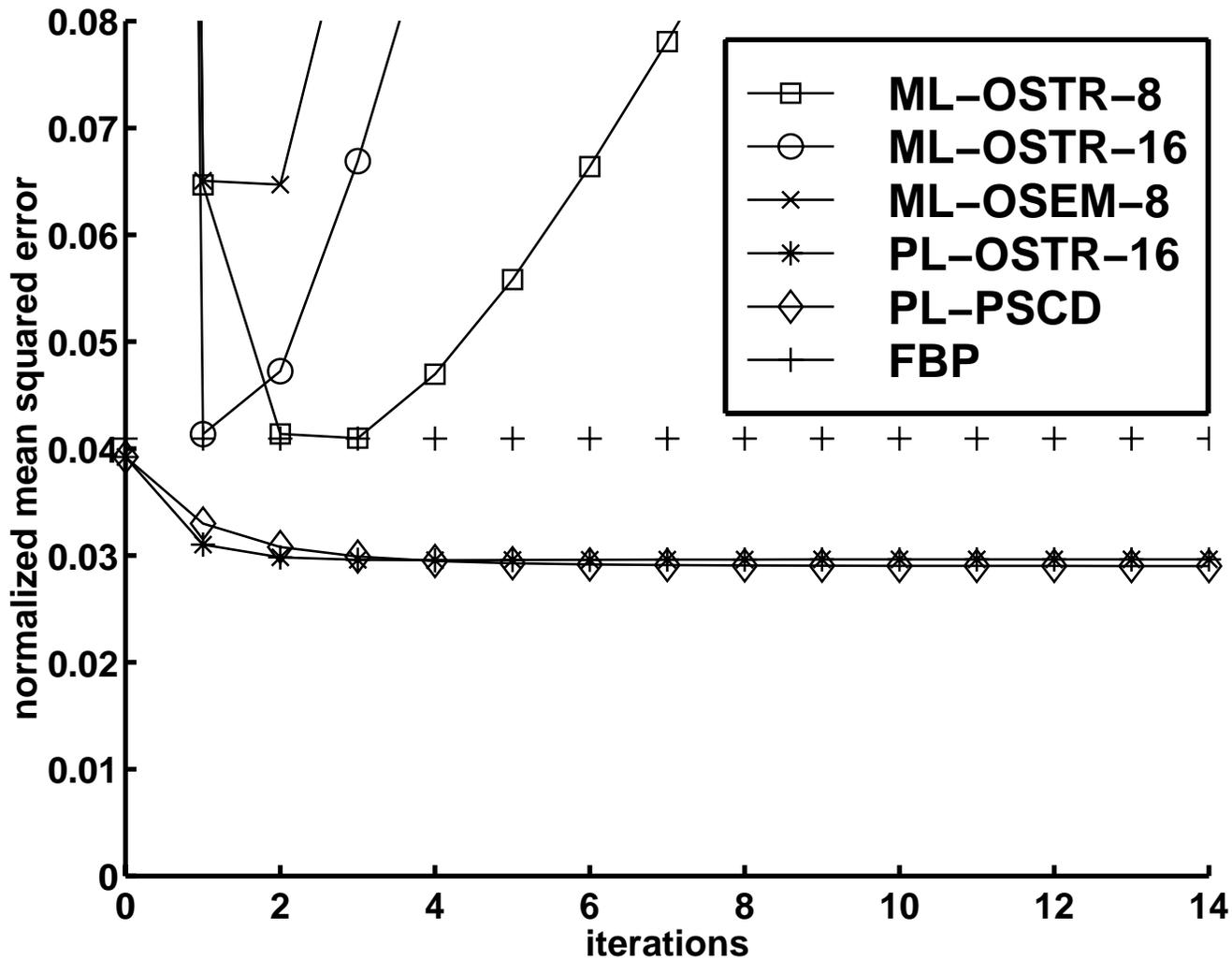


**PL-PSCD**

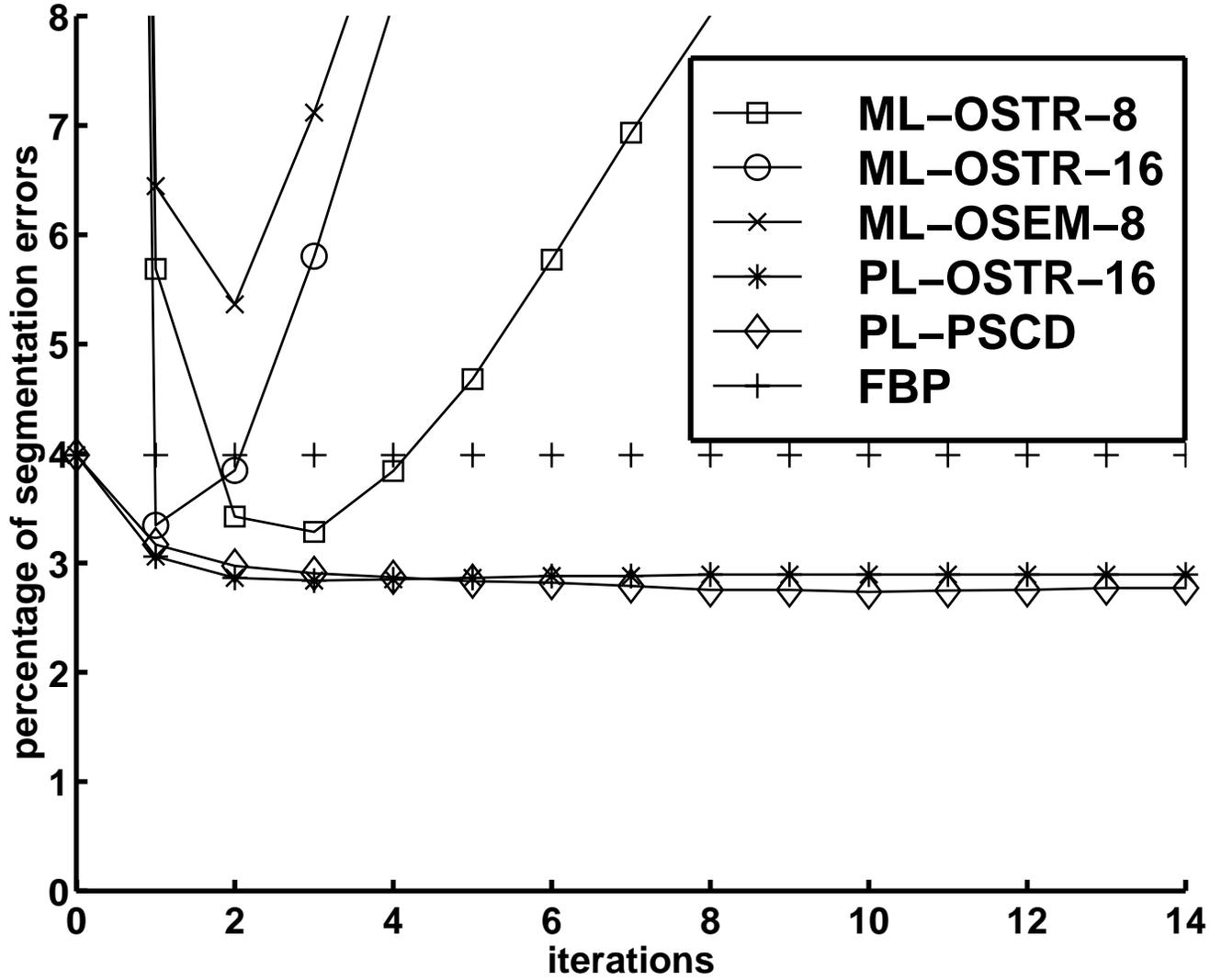
**10 iterations**



NMSE performance

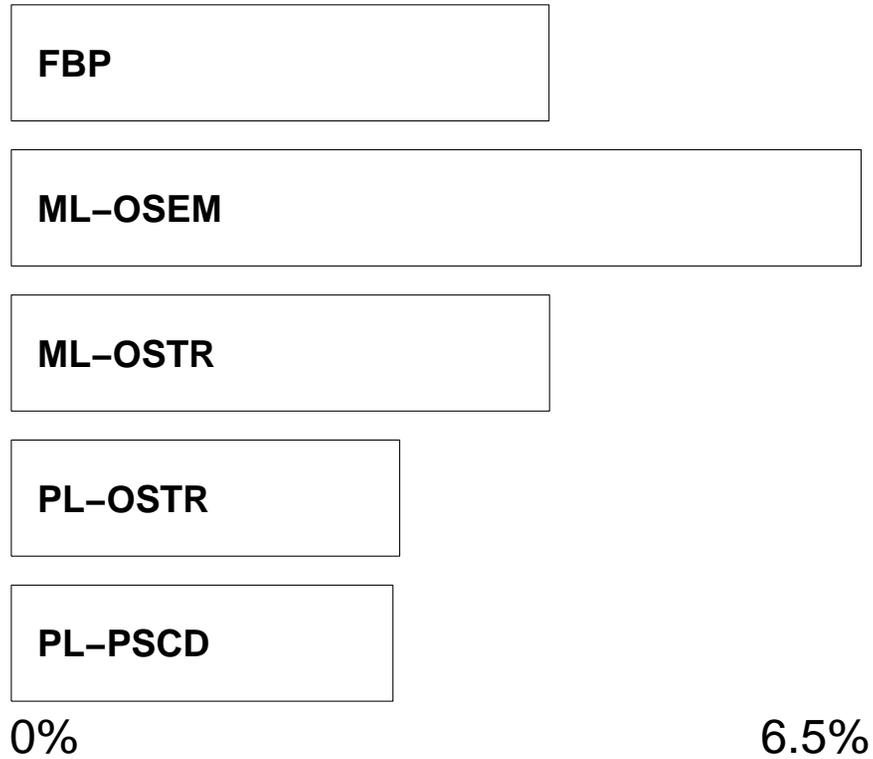


Segmentation performance

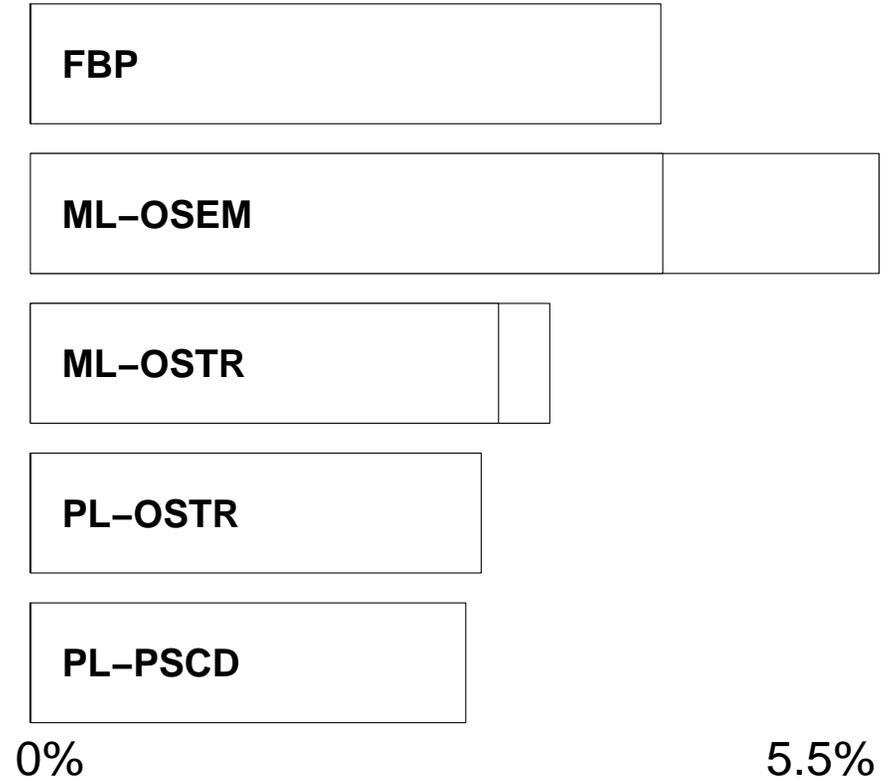


# Quantitative Results

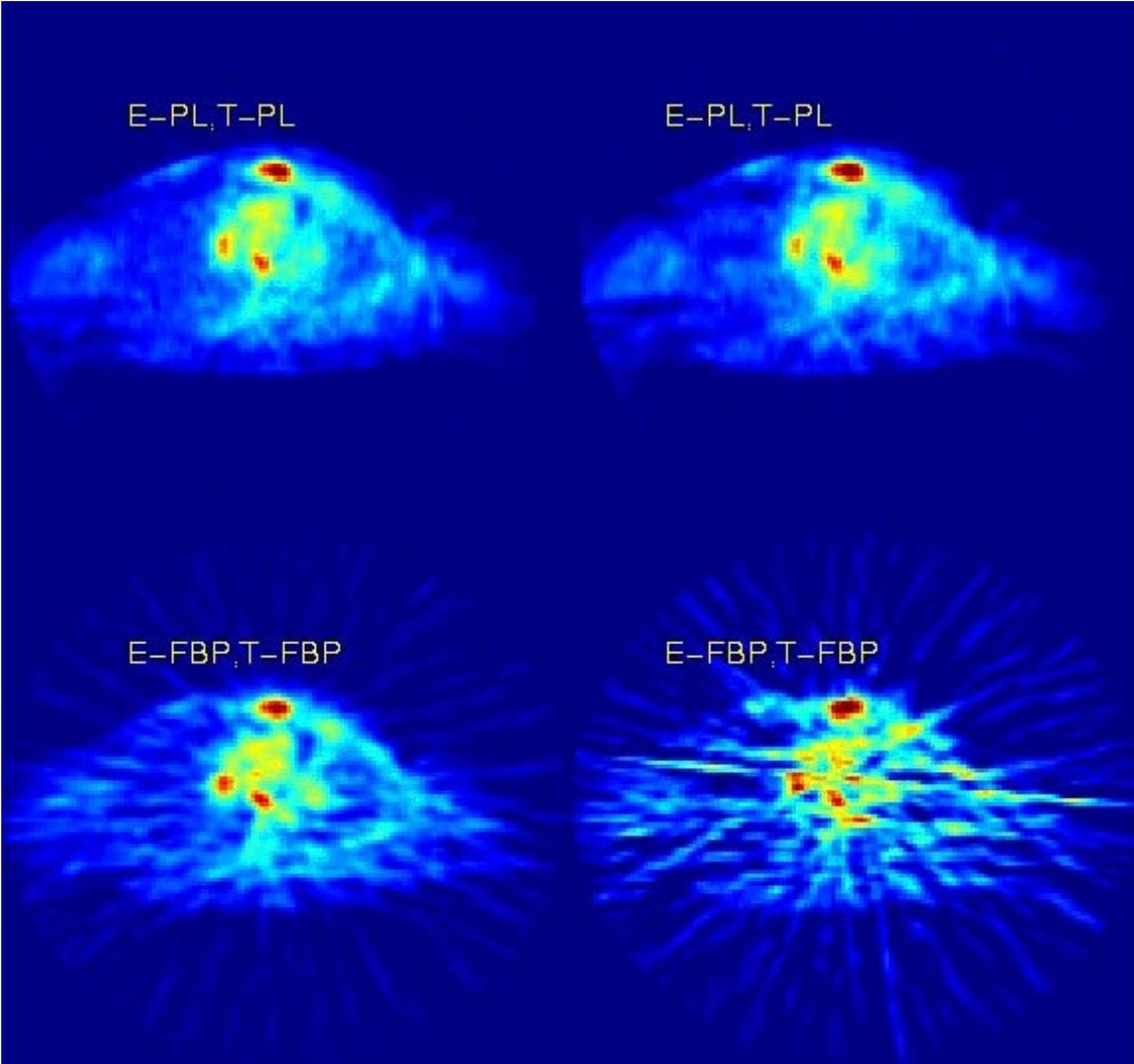
NMSE



Segmentation Errors

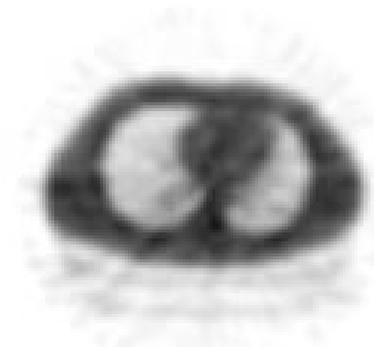
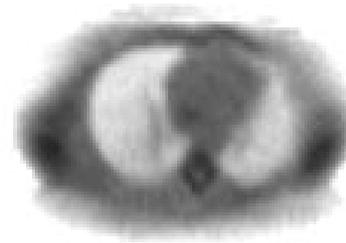
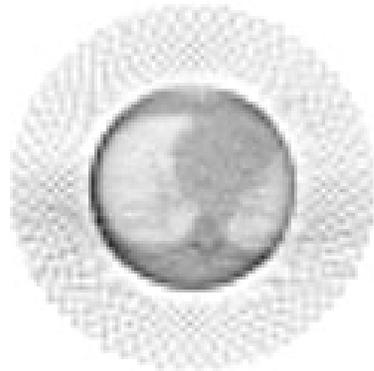
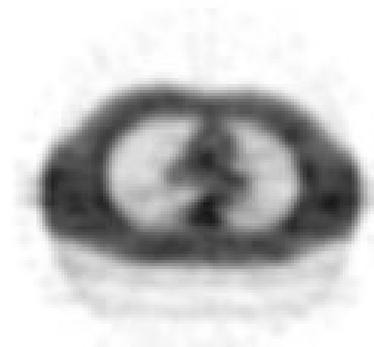
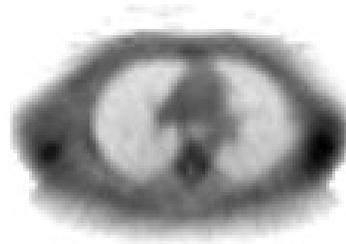
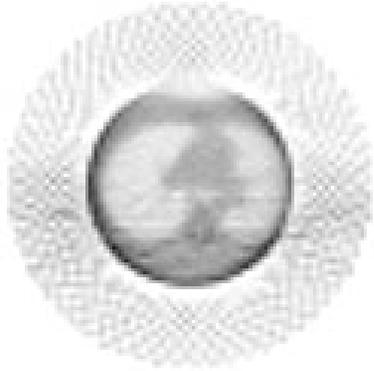


# FDG PET Patient Data, PL-OSTR vs FBP



(15-minute transmission scan | 2-minute transmission scan)

# Truncated Fan-Beam SPECT Transmission



Truncated  
FBP

Truncated  
PWLS

Untruncated  
FBP