

## Synopsis

**We propose a strategy for the joint design of gradient and radiofrequency waveforms for small-tip 3D spatially tailored excitation, that may lead to more globally optimal excitation k-space trajectories. Currently, gradients are either pre-defined or restricted to certain classes such as echo-planar or concentric shells. Our method makes use of a recently proposed optimization method that expresses k-space with a 2nd-order B-spline basis permitting arbitrary k-space trajectories. We employ this method in an Iterated Local Search strategy, and show that this approach reduces the sensitivity of the excited pattern to the choice of initial k-space trajectory that "seeds" the optimization.**

## Introduction

The joint design of gradient and radiofrequency (RF) waveforms for small-tip 3D spatially tailored excitation poses a non-linear, non-convex, and constrained optimization problem that remains unsolved. Most existing approaches to tailored RF design either pre-define the gradients and only solve the least-squares RF design sub-problem, or restrict the gradients to certain classes such as echo-planar<sup>1</sup> or concentric shells<sup>2</sup> that permit low-order parametrization. Recently, a more general method for joint gradient and RF design was proposed<sup>3</sup>, in which the excitation k-space trajectory is expressed using a 2nd-order B-spline basis leading to the following constrained optimization problem:

$$\arg \min_{\mathbf{c}, \mathbf{b}} \|\mathbf{A}(\mathbf{c}) - \mathbf{b}\|_2^2 + R(\mathbf{b}), \text{ subject to gradient hardware constraints,} \quad (1)$$

where  $\mathbf{c}$  is the B-spline coefficients,  $\mathbf{A}$  is the small-tip system matrix<sup>4</sup>, and  $\mathbf{b}$  is the RF waveform. The method in [3] alternates between updating  $\mathbf{c}$  and  $\mathbf{b}$ , resulting in locally optimal gradients that are not restricted to the heuristic trajectories of previous approaches. However, the gradients obtained depend on the initial k-space trajectory that "seeds" the algorithm. For example, in [3] it was observed that a stack-of-spirals initial trajectory produced a relatively poor excitation (after local optimization) compared to the other initial trajectories tested. Here we propose an extension of [3] that reduces the dependence of the final excitation patterns on the initial (seed) k-space trajectory, possibly leading to designs that are closer to being globally optimal.

## Methods

Our approach is based on the Iterated Local Search (ILS) method<sup>6</sup>, an iterative optimization strategy that employs a local optimization routine at each iteration. Our ILS search begins by finding a local optimum  $k_0^*$  starting from some initial k-space trajectory (e.g., stack-of-spirals or concentric shells). We then attempt to sample "nearby" local minima by "perturbing"  $k_0^*$  multiple times to produce a set of  $N_{test}$  candidate seed trajectories  $k_{1,j}, j = 1 \dots N_{test}$ , for the next iteration (Fig. 1). For each  $k_{1,j}$ , Eq. (1) is descended (in parallel on a 32-core desktop computer) using the method in [3] to yield a local optimum  $k_{1,j}^*$ , and among all  $k_{1,j}^*, j = 1 \dots N_{test}$ , the trajectory producing the minimum cost function value is chosen as the value  $k_1^*$  for the next iteration. This procedure is then repeated until convergence. We generate the candidate trajectories  $k_{i+1,j}, j = 1 \dots N_{test}$ , at iteration  $i$  by expressing the locally optimal trajectory  $k_i^*$  using a reduced number  $N_{basis,j} (< N_{basis})$  of B-spline basis functions, i.e., by projecting  $k_i^*$  onto a set of  $N_{test}$  lower-dimensional B-spline bases. For a given  $j$  we do this projection by first obtaining an unconstrained least-squares fit  $\mathbf{c}_j^u$  to  $k_i^*$  using  $N_{basis,j}$  2nd-order B-spline basis functions, and then minimizing the following constrained cost function using Matlab's quadprog function:

$$\arg \min_{\mathbf{c}_j} \|\mathbf{c}_j - \mathbf{c}_j^u\|_2^2, \text{ subject to gradient hardware constraints.}$$

Here we use  $N_{basis} = 50$  and  $N_{basis,j} = \{10, 12, 14, \dots, 50\}$  (i.e.,  $N_{test} = 20$ , with the last value of 50 corresponding to the unperturbed trajectory). In essence, the  $k_{i+1,j}, j = 1 \dots N_{test}$  correspond to smoothed versions of the current iterate  $k_i^*$  with varying degree of smoothness. We evaluated three different k-space initializations:

stack-of-spirals, SPINS<sup>5</sup>, and the extended-KT trajectory from [3]. The target pattern was a 3D cube. RF pulse duration was approximately equal (4ms) for all three k-space initializations. Simulations and experiments were done for single-coil transmission, however the principles introduced here would also apply to parallel transmission. We ran a total of five ILS iterations.

#### Results

Figure 2 shows the design cost function value (Eq. (1)) versus computation time for both local and the proposed global (ILS) optimization, for the three different k-space initializations. Each curve shows the cost-vs-time for the best local minimum  $k_i^*$  obtained at each iteration. A sharp spike along a curve indicates that the ILS algorithm identified a nearby local minimum with lower cost than the unperturbed trajectory. Stack-of-spirals produces significantly higher cost (poorer excitation accuracy) after local optimization compared to the other two initializations, however after the ILS search all three k-space initializations produce more similar final costs.

Figure 3 shows Bloch simulated excitation patterns obtained with both local and ILS optimization. All three k-space initializations produce similar excitation accuracy only after the proposed ILS optimization, as expected from Fig. 2. Figure 4 validates these excitation results experimentally, for the case of stack-of-spirals initialization [GE 3T scanner; uniform gel phantom; body coil RF transmission; 8-channel headcoil reception; matrix 240x240x48; FOV 24x24x24 cm<sup>3</sup>; TR=100ms; flip angle 7.5°].

#### Conclusion

We have proposed an Iterated Local Search strategy for the joint design of gradients and RF waveforms for small-tip 3D tailored excitation, that reduces the influence of the choice of initial (seed) k-space trajectory on excitation accuracy.

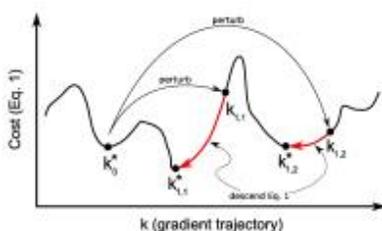
#### Acknowledgements

No acknowledgement found.

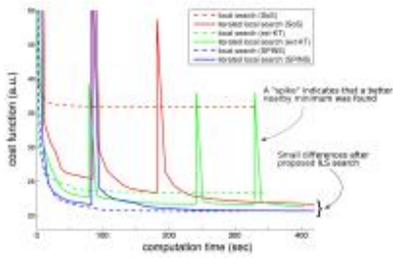
#### References

1. Yip CY, Grissom WA, Fessler JA, Noll DC. Joint design of trajectory and RF pulses for parallel excitation. *Magn Reson Med.* 2007 Sep;58(3):598-604.
2. Davids M, Schad LR, Wald LL, Guérin B. Fast three-dimensional inner volume excitations using parallel transmission and optimized k-space trajectories. *Magn Reson Med.* 2015 Nov 3. doi: 10.1002/mrm.26021.
3. Sun H, Fessler J, Noll D, Nielsen JF. Joint design of excitation k-space trajectory and RF pulse for small-tip 3D tailored excitation in MRI. *IEEE Trans Med Imaging.* 2015 Sep 15.
4. Yip CY, Fessler JA, Noll DC. Iterative RF pulse design for multidimensional, small-tip-angle selective excitation. *Magn Reson Med.* 2005 Oct;54(4):908-17.
5. Malik SJ, Keihaninejad S, Hammers A, Hajnal JV. Tailored excitation in 3D with spiral nonselective (SPINS) RF pulses. *Magn Reson Med.* 2015 May;67(5):1303-1315
6. Lourenço HR, Martin O, Stützle T. Iterated Local Search: Framework and Applications. *Handbook of Metaheuristics*, 2nd Edition. Kluwer Academic Publishers, International Series in Operations Research & Management Science 146: 363–397. doi:10.1007/978-1-4419-1665-5\_12

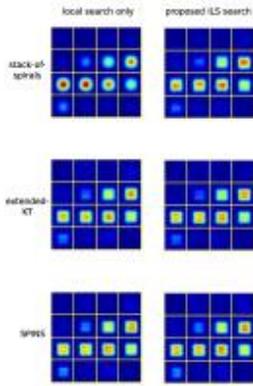
#### Figures



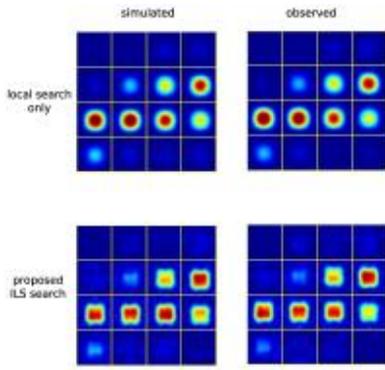
Iterated Local Search strategy. The local optimization (red paths) is done by descending Eq. (1) using the method in [3].



Cost function (Eq. (1)) vs. computation time for three different k-space initializations, for both local-only search (dashed curves) and the proposed ILS search (solid curves). When using the proposed ILS approach, all three k-space initializations converge to nearly the same final cost function value.



Simulated excitation patterns for the three k-space initializations (seeds) tested in Fig. 2, after either local search (left) or the proposed ILS search (right).



Experimental validation of the Bloch simulation results in Fig. 3, for stack-of-spirals k-space initialization. Simulated and observed excitation patterns are in excellent agreement.