# Trapdoors for Lattices: Signatures, ID-Based Encryption, and Beyond 

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Lattice Crypto Day
ENS, 29 May 2010

## Talk Agenda

(1) Lattice-based trapdoor functions and 'oblivious' sampling
(2) Applications: signatures, ID-based encryption (in RO model)
(3) 'Bonsai trees:' removing the RO \& more advanced apps

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(3 'Bonsai trees:' removing the RO \& more advanced apps

- C. Gentry, C. Peikert, V. Vaikuntanathan (STOC 2008) "Trapdoors for Hard Lattices and New Cryptographic Constructions"
- D. Cash, D. Hofheinz, E. Kiltz, C. Peikert (Eurocrypt 2010) "Bonsai Trees, or How to Delegate a Lattice Basis"


## This Talk’s Main Message

Lattices admit a hierarchy of increasingly powerful
'trapdoors,' which enable many rich applications

## Part 1:

## Trapdoor Functions and Oblivious Sampling

## Digital Signatures



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(public)

(secret)

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- 'Hash and sign:' $p k=f, s k=f^{-1} . \quad$ Sign $(\mathrm{msg})=f^{-1}(H(\mathrm{msg}))$.
- Candidate TDPs: [RSA'78,Rabin'79,Paillier'99] ("general assumption")

All rely on hardness of factoring:
$x$ Complex: 2048-bit exponentiation
$x$ Broken by quantum algorithms [Shor'97]

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- 'Hash and sign:' $p k=f, s k=f^{-1} . \quad$ Sign $(\mathrm{msg})=f^{-1}(H(\mathrm{msg}))$.
- Still secure! Can generate $(x, y)$ in two equivalent ways:



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2 Signing algorithm leaks secret basis!

* Total break after several signatures [NguyenRegev'06]


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- First used in worst/average-case reductions [Regev'03,MiccReg'04,...]
- Now an essential ingredient in many crypto protocols [GPV'08,PV'08,ACPS'09,CHKP'10,OP'10,...]


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Typical fact: $\left\|D_{\mathcal{L}, \mathbf{u}}\right\| \leq \sqrt{n} \cdot$ std dev

## Preimage Sampling



- Sample $D_{\mathcal{L}, \mathbf{u}}$ given any 'short enough' basis $\mathbf{S}$ : max $\left\|\tilde{\mathbf{s}}_{i}\right\| \leq$ std dev
* Output distribution leaks no information about $\mathbf{S}$ !


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- Proof idea: $D_{\mathcal{L}, \mathbf{u}}$ (plane) depends only on $\operatorname{dist}(\mathbf{u}$, plane $)$
- [P'10]: Efficient \& parallel algorithm for std dev $\geq s_{1}(\mathbf{S}) \approx \max \left\|\tilde{\boldsymbol{s}}_{i}\right\|$


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Theorem: Worst-Case/Average-Case [Ajtai'96,...,MR'04,GPV'08]
For uniform $\mathbf{A}$ and $q \geq \beta \sqrt{n}$, finding solution $\mathbf{z} \neq \mathbf{0}$ where $\|\mathbf{z}\| \leq \beta$ $\Downarrow$
Solving $\beta \sqrt{n}$-approx GapSVP \& more, on any $n$-dim lattice!

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(3) Gaussian $\mathbf{x} \leftrightarrow$ syndrome $\mathbf{u}=\mathbf{A} \mathbf{x}=f_{\mathrm{A}}(\mathbf{x})$
$\star$ Given $\mathbf{u}$, hard to find short $\mathbf{x} \in f_{\mathbf{A}}^{-1}(\mathbf{u})$.

* But given basis $\mathbf{S}$, can sample $f_{\mathrm{A}}^{-1}(\mathbf{u})$ !


Part 2:

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## ‘Learning With Errors’ (LWE) Problem [Regev'05]

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- Goal: distinguish $\left(\mathbf{a}_{i}, b_{i}=\left\langle\mathbf{a}_{i}, \mathbf{s}\right\rangle+e_{i}\right)$ from uniform $\left(\mathbf{a}_{i}, b_{i}\right)$

$$
\begin{array}{ccc}
\mathbf{a}_{1} & , & b_{1}=\left\langle\mathbf{a}_{1}, \mathbf{s}\right\rangle+e_{1} \\
\mathbf{a}_{2} & , & b_{2}=\left\langle\mathbf{a}_{2}, \mathbf{s}\right\rangle+e_{2} \\
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\begin{aligned}
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- Recall: as hard as worst-case lattice problems [Regev'05,P'09]
- Observe: given short nonzero $\mathbf{z} \in \mathbb{Z}^{m}$ such that $\mathbf{A z}=\mathbf{0} \bmod q$,

$$
\begin{aligned}
& \langle\mathbf{z}, \mathbf{b}\rangle=\langle\mathbf{A} \mathbf{z}, \mathbf{s}\rangle+\langle\mathbf{z}, \mathbf{e}\rangle \approx 0 \bmod q \\
& \langle\mathbf{z}, \mathbf{b}\rangle=\text { uniform } \bmod q
\end{aligned}
$$

## ‘Learning With Errors’ (LWE) Problem [Regev’os]

- Secret $\mathbf{s} \in \mathbb{Z}_{q}^{n}$, uniform $\mathbf{a}_{i} \in \mathbb{Z}_{q}^{n} \quad$ (here $q$ is prime)
- Goal: distinguish ( $\left.\mathbf{A}, \mathbf{b}=\mathbf{A}^{t} \mathbf{s}+\mathbf{e}\right)$ from uniform ( $\left.\mathbf{A}, \mathbf{b}\right)$

$$
\begin{aligned}
& m\left\{\left(\begin{array}{c}
\vdots \\
\mathbf{A}^{t} \\
\vdots
\end{array}\right), \quad\left(\begin{array}{c}
\vdots \\
\mathbf{b} \\
\vdots
\end{array}\right)=\mathbf{A}^{t} \mathbf{s}+\mathbf{e}\right. \\
& \sqrt{n} \leq \text { error } \ll q
\end{aligned}
$$

- Recall: as hard as worst-case lattice problems [Regev'05,P'09]
- Observe: given short nonzero $\mathbf{z} \in \mathbb{Z}^{m}$ such that $\mathbf{A z}=\mathbf{0} \bmod q$,

$$
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& \langle\mathbf{z}, \mathbf{b}\rangle=\langle\mathbf{A} \mathbf{z}, \mathbf{s}\rangle+\langle\mathbf{z}, \mathbf{e}\rangle \approx 0 \bmod q \\
& \langle\mathbf{z}, \mathbf{b}\rangle=\text { uniform } \bmod q
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$$

$\Longrightarrow \mathbf{z}$ is a 'weak' trapdoor, for distinguishing LWE from uniform

## Warm-Up: Public-Key Encryption


$\boldsymbol{l} \times \leftarrow$ Gauss
s,e

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$\bigcap_{x} x$ Gauss

$\xrightarrow[\text { (public key) }]{\mathbf{u}=\mathbf{A} \mathbf{x}=f_{\mathbf{A}}(\mathbf{x})}$

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(ciphertext 'preamble')

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$$
b^{\prime}=\langle\mathbf{u}, \mathbf{s}\rangle+e^{\prime}
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$b^{\prime}+$ bit $\cdot\left\lfloor\frac{q}{2}\right\rfloor$
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$$


$\langle\mathbf{x}, \mathbf{b}\rangle \approx\langle\mathbf{u}, \mathbf{s}\rangle \quad \frac{b^{\prime}+\mathrm{bit} \cdot\left\lfloor\frac{q}{2}\right\rfloor}{\text { ('payload') }^{b^{\prime}=\langle\mathbf{u}, \mathbf{s}\rangle+e^{\prime}}}$

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分? ( $\left.\mathbf{A}, \mathbf{u}, \mathbf{b}, b^{\prime}\right)$

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$$

$\AA_{?}\left(\mathbf{A}, \mathbf{u}, \mathbf{b}, b^{\prime}\right)$

## ID-Based Encryption



$$
\mathbf{u}=H \text { ("Alice") }
$$

('identity' key)


$$
\langle\mathbf{x}, \mathbf{b}\rangle \approx\langle\mathbf{u}, \mathbf{s}\rangle \quad \frac{b^{\prime}+\text { bit } \cdot\left\lfloor\frac{q}{2}\right\rfloor}{\text { ('payload') }_{\longleftarrow} \quad b^{\prime}=\langle\mathbf{u}, \mathbf{s}\rangle+e^{\prime},{ }^{\prime} \text { (pad') }}
$$

## Part 3:

## Bonsai Trees: <br> Removing the Random Oracle and More Advanced Applications



## CONTROLLED or NATURAL?



- Bonsai: collection of techniques for selective control of tree growth, for the creation of natural aesthetic forms


## Bonsai Trees in Cryptography


(1) Hierarchy of TDFs
(Functions specified by public key, random oracle, interaction, ...)

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(1) Hierarchy of TDFs
(Functions specified by public key, random oracle, interaction, ...)
(2) Techniques for selective 'control' of growth \& delegation of control
(3) Applications: 'hash-and-sign,' (hierarchical) IBE
— all without random oracles!

## Bonsai Trees: Abstract Properties



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(Allows simulation to embed its challenge into the tree, while still being able to answer queries.)
(3) Can delegate control of any subtree, w/o endangering ancestors.

## Bonsai Trees: Realization

## Property 1: Control $f_{v} \Rightarrow$ Control $f_{v z}$

Short basis $\mathbf{S}_{1}$ for $\mathbf{A}_{1} \Rightarrow$ short basis $\mathbf{S}$ for $\mathbf{A}=\left[\mathbf{A}_{1} \mid \mathbf{A}_{2}\right]$, for any $\mathbf{A}_{2}$.

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- Using $\mathbf{S}_{1}$, compute a short integer soln $\mathbf{X}$ to $\mathbf{A}_{1} \mathbf{X}=-\mathbf{A}_{2} \bmod q$. Then:

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\mathbf{A} \cdot \mathbf{S}=\left[\mathbf{A}_{1} \mid \mathbf{A}_{2}\right] \cdot \underbrace{\left[\begin{array}{cc}
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- Just generate $\mathbf{A}_{2}$ with short basis $\mathbf{S}_{2}$.

Then use above technique to control A!

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## Property 3: Securely Delegate Control ?

- Basis $\mathbf{S}$ contains $\mathbf{S}_{1}$, so unsafe to reveal! Solution: Use $\mathbf{S}$ to sample new Gaussian basis.



## Other Applications of Today's Tools

(1) Noninteractive (Statistical) Zero Knowledge [PV'08]
(2) Universally Composable Oblivious Transfer [PVW'08]
(3) CCA-Secure Encryption [P'09]
(4) Many-add, Single-mult Homomorphic Encryption [GHV'10]
(5) Bonsai trees with smaller keys [ABB'10]

6 (Bi-)Deniable Encryption [OP'10]
(7) Whatever you can invent!

## Closing Thoughts

- A hierarchy of trapdoors for lattices:


## Short vector (decryption)

$<$ Short basis (sampling)
$<\underline{\text { Short basis for 'ancestor' lattice (delegation) }}$
$<\cdots$

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Thanks!


