

# Ideal Lattices and Ring-LWE: Overview and Open Problems

Chris Peikert  
Georgia Institute of Technology

ICERM  
23 April 2015

# Agenda

- 1 Ring-LWE and its hardness from ideal lattices
- 2 Open questions

## Selected bibliography:

- LPR'10** V. Lyubashevsky, C. Peikert, O. Regev.  
"On Ideal Lattices and Learning with Errors Over Rings," Eurocrypt'10  
and JACM'13.
- LPR'13** V. Lyubashevsky, C. Peikert, O. Regev.  
"A Toolkit for Ring-LWE Cryptography," Eurocrypt'13.

# A Brief, Selective History of Lattice Cryptography

1996 Ajtai's **worst-case/average-case** reduction, **one-way function**  
& public-key **encryption** (very inefficient)

# A Brief, Selective History of Lattice Cryptography

- 1996 Ajtai's worst-case/average-case reduction, one-way function & public-key encryption (very inefficient)
- 1996 NTRU **efficient ring-based encryption** (heuristic security)

# A Brief, Selective History of Lattice Cryptography

- 1996 Ajtai's worst-case/average-case reduction, one-way function & public-key encryption (very inefficient)
- 1996 NTRU efficient ring-based encryption (heuristic security)
- 2002 Micciancio's ring-based one-way function with worst-case hardness (no encryption)

# A Brief, Selective History of Lattice Cryptography

- 1996 Ajtai's worst-case/average-case reduction, one-way function & public-key encryption (very inefficient)
- 1996 NTRU efficient ring-based encryption (heuristic security)
- 2002 Micciancio's ring-based one-way function with worst-case hardness (no encryption)
- 2005 Regev's **LWE**: encryption with worst-case hardness (inefficient)

# A Brief, Selective History of Lattice Cryptography

- 1996 Ajtai's worst-case/average-case reduction, one-way function & public-key encryption (very inefficient)
- 1996 NTRU efficient ring-based encryption (heuristic security)
- 2002 Micciancio's ring-based one-way function with worst-case hardness (no encryption)
- 2005 Regev's LWE: encryption with worst-case hardness (inefficient)
- 2008– Countless **applications** of LWE (still inefficient)

# A Brief, Selective History of Lattice Cryptography

- 1996 Ajtai's worst-case/average-case reduction, one-way function & public-key encryption (very inefficient)
- 1996 NTRU efficient ring-based encryption (heuristic security)
- 2002 Micciancio's ring-based one-way function with worst-case hardness (no encryption)
- 2005 Regev's LWE: encryption with worst-case hardness (inefficient)
- 2008– Countless applications of LWE (still inefficient)
- 2010 **Ring-LWE**: efficient encryption, worst-case hardness ( )



## Learning With Errors [Regev'05]

- ▶ Parameters: dimension  $n$ , modulus  $q = \text{poly}(n)$ .

## Learning With Errors [Regev'05]

- ▶ Parameters: dimension  $n$ , modulus  $q = \text{poly}(n)$ .
- ▶ **Search:** find secret  $\mathbf{s} \in \mathbb{Z}_q^n$  given many 'noisy inner products'

$$\mathbf{a}_1 \leftarrow \mathbb{Z}_q^n \quad , \quad b_1 \approx \langle \mathbf{a}_1 , \mathbf{s} \rangle \text{ mod } q$$

$$\mathbf{a}_2 \leftarrow \mathbb{Z}_q^n \quad , \quad b_2 \approx \langle \mathbf{a}_2 , \mathbf{s} \rangle \text{ mod } q$$

⋮

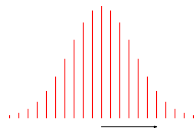
# Learning With Errors [Regev'05]

- ▶ Parameters: dimension  $n$ , modulus  $q = \text{poly}(n)$ .
- ▶ **Search:** find secret  $\mathbf{s} \in \mathbb{Z}_q^n$  given many 'noisy inner products'

$$\mathbf{a}_1 \leftarrow \mathbb{Z}_q^n, \quad \mathbf{b}_1 = \langle \mathbf{a}_1, \mathbf{s} \rangle + e_1 \in \mathbb{Z}_q$$

$$\mathbf{a}_2 \leftarrow \mathbb{Z}_q^n, \quad \mathbf{b}_2 = \langle \mathbf{a}_2, \mathbf{s} \rangle + e_2 \in \mathbb{Z}_q$$

⋮

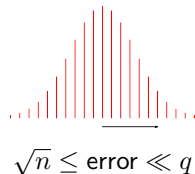


$$\sqrt{n} \leq \text{error} \ll q$$

# Learning With Errors [Regev'05]

- ▶ Parameters: dimension  $n$ , modulus  $q = \text{poly}(n)$ .
- ▶ **Search:** find secret  $\mathbf{s} \in \mathbb{Z}_q^n$  given many 'noisy inner products'

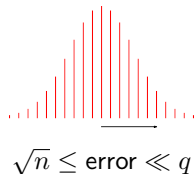
$$\begin{pmatrix} \vdots \\ \mathbf{A} \\ \vdots \end{pmatrix}, \quad \begin{pmatrix} \vdots \\ \mathbf{b} \\ \vdots \end{pmatrix} = \mathbf{A}\mathbf{s} + \mathbf{e}$$



# Learning With Errors [Regev'05]

- ▶ Parameters: dimension  $n$ , modulus  $q = \text{poly}(n)$ .
- ▶ **Search:** find secret  $\mathbf{s} \in \mathbb{Z}_q^n$  given many 'noisy inner products'

$$\begin{pmatrix} \vdots \\ \mathbf{A} \\ \vdots \end{pmatrix}, \begin{pmatrix} \vdots \\ \mathbf{b} \\ \vdots \end{pmatrix} = \mathbf{A}\mathbf{s} + \mathbf{e}$$



- ▶ **Decision:** distinguish  $(\mathbf{A}, \mathbf{b})$  from uniform  $(\mathbf{A}, \mathbf{b})$





## LWE is Versatile

What kinds of crypto can we do with LWE?



## LWE is Versatile

What kinds of crypto can we do with LWE?

Public Key Encryption and Oblivious Transfer

[R'05,PVW'08]

Actively Secure PKE (w/o RO)

[PW'08,P'09,MP'12]

## LWE is Versatile

What kinds of crypto can we do with LWE?

Public Key Encryption and Oblivious Transfer

[R'05,PVW'08]

Actively Secure PKE (w/o RO)

[PW'08,P'09,MP'12]

-----  
Identity-Based Encryption (in RO model)

[GPV'08]

Hierarchical ID-Based Encryption (w/o RO)

[CHKP'10,ABB'10]

## LWE is Versatile

What kinds of crypto can we do with LWE?

Public Key Encryption and Oblivious Transfer [R'05,PVW'08]

Actively Secure PKE (w/o RO) [PW'08,P'09,MP'12]

-----  
Identity-Based Encryption (in RO model) [GPV'08]

Hierarchical ID-Based Encryption (w/o RO) [CHKP'10,ABB'10]

-----  
Leakage-Resilient Crypto [AGV'09,DGKPV'10,GKPV'10,ADNSWW'10,...]

Fully Homomorphic Encryption [BV'11,BGV'12,GSW'13,...]

Attribute-Based Encryption [AFV'11,GVW'13,BGG+'14,...]

Symmetric-Key Primitives [BPR'12,BMLR'13,BP'14,...]

Other Exotic Encryption [ACPS'09,BHHI'10,OP'10,...]

the list goes on...

## LWE is (Sort Of) Efficient

$$(\cdots \mathbf{a}_i \cdots) \begin{pmatrix} \vdots \\ \mathbf{s} \\ \vdots \end{pmatrix} + e = b \in \mathbb{Z}_q$$

- ▶ Getting **one** pseudorandom scalar requires an  **$n$ -dim inner product mod  $q$**

## LWE is (Sort Of) Efficient

$$(\cdots \mathbf{a}_i \cdots) \begin{pmatrix} \vdots \\ \mathbf{s} \\ \vdots \end{pmatrix} + e = \mathbf{b} \in \mathbb{Z}_q$$

- ▶ Getting one pseudorandom scalar requires an  $n$ -dim inner product mod  $q$
- ▶ Can **amortize** each  $\mathbf{a}_i$  over many secrets  $\mathbf{s}_j$ , but still  $\tilde{O}(n)$  **work** per scalar output.

## LWE is (Sort Of) Efficient

$$(\cdots \mathbf{a}_i \cdots) \begin{pmatrix} \vdots \\ \mathbf{s} \\ \vdots \end{pmatrix} + e = \mathbf{b} \in \mathbb{Z}_q$$

- ▶ Getting one pseudorandom scalar requires an  $n$ -dim inner product mod  $q$
- ▶ Can amortize each  $\mathbf{a}_i$  over many secrets  $\mathbf{s}_j$ , but still  $\tilde{O}(n)$  work per scalar output.

- ▶ Cryptosystems have rather large keys:

$$pk = \underbrace{\begin{pmatrix} \vdots \\ \mathbf{A} \\ \vdots \end{pmatrix}}_n, \quad \left. \begin{pmatrix} \vdots \\ \mathbf{b} \\ \vdots \end{pmatrix} \right\} \Omega(n)$$

## LWE is (Sort Of) Efficient

$$(\cdots \mathbf{a}_i \cdots) \begin{pmatrix} \vdots \\ \mathbf{s} \\ \vdots \end{pmatrix} + e = \mathbf{b} \in \mathbb{Z}_q$$

- ▶ Getting one pseudorandom scalar requires an  $n$ -dim inner product mod  $q$
- ▶ Can amortize each  $\mathbf{a}_i$  over many secrets  $\mathbf{s}_j$ , but still  $\tilde{O}(n)$  work per scalar output.

- ▶ Cryptosystems have rather large keys:

$$pk = \underbrace{\begin{pmatrix} \vdots \\ \mathbf{A} \\ \vdots \end{pmatrix}}_n, \quad \left. \begin{pmatrix} \vdots \\ \mathbf{b} \\ \vdots \end{pmatrix} \right\} \Omega(n)$$

- ▶ Can fix  $\mathbf{A}$  for all users, but still  $\geq n^2$  work to encrypt & decrypt an  $n$ -bit message

## Wishful Thinking...

$$\begin{pmatrix} \vdots \\ \mathbf{a}_i \\ \vdots \end{pmatrix} \star \begin{pmatrix} \vdots \\ \mathbf{s} \\ \vdots \end{pmatrix} + \begin{pmatrix} \vdots \\ \mathbf{e}_i \\ \vdots \end{pmatrix} = \begin{pmatrix} \vdots \\ \mathbf{b}_i \\ \vdots \end{pmatrix} \in \mathbb{Z}_q^n$$

- ▶ Get  $n$  pseudorandom scalars from just **one** (cheap) product operation?



## Wishful Thinking...

$$\begin{pmatrix} \vdots \\ \mathbf{a}_i \\ \vdots \end{pmatrix} \star \begin{pmatrix} \vdots \\ \mathbf{s} \\ \vdots \end{pmatrix} + \begin{pmatrix} \vdots \\ \mathbf{e}_i \\ \vdots \end{pmatrix} = \begin{pmatrix} \vdots \\ \mathbf{b}_i \\ \vdots \end{pmatrix} \in \mathbb{Z}_q^n$$

- ▶ Get  $n$  pseudorandom scalars from just one (cheap) product operation?

### Question

- ▶ How to define the product ' $\star$ ' so that  $(\mathbf{a}_i, \mathbf{b}_i)$  is pseudorandom?

## Wishful Thinking...

$$\begin{pmatrix} \vdots \\ \mathbf{a}_i \\ \vdots \end{pmatrix} \star \begin{pmatrix} \vdots \\ \mathbf{s} \\ \vdots \end{pmatrix} + \begin{pmatrix} \vdots \\ \mathbf{e}_i \\ \vdots \end{pmatrix} = \begin{pmatrix} \vdots \\ \mathbf{b}_i \\ \vdots \end{pmatrix} \in \mathbb{Z}_q^n$$

- ▶ Get  $n$  pseudorandom scalars from just one (cheap) product operation?

### Question

- ▶ How to define the product ' $\star$ ' so that  $(\mathbf{a}_i, \mathbf{b}_i)$  is pseudorandom?
- ▶ Careful! With small error, **coordinate-wise multiplication** is insecure!

## Wishful Thinking...

$$\begin{pmatrix} \vdots \\ \mathbf{a}_i \\ \vdots \end{pmatrix} \star \begin{pmatrix} \vdots \\ \mathbf{s} \\ \vdots \end{pmatrix} + \begin{pmatrix} \vdots \\ \mathbf{e}_i \\ \vdots \end{pmatrix} = \begin{pmatrix} \vdots \\ \mathbf{b}_i \\ \vdots \end{pmatrix} \in \mathbb{Z}_q^n$$

- ▶ Get  $n$  pseudorandom scalars from just one (cheap) product operation?

### Question

- ▶ How to define the product ' $\star$ ' so that  $(\mathbf{a}_i, \mathbf{b}_i)$  is pseudorandom?
- ▶ Careful! With small error, coordinate-wise multiplication is insecure!

### Answer

- ▶ ' $\star$ ' = multiplication in a **polynomial ring**: e.g.,  $\mathbb{Z}_q[X]/(X^n + 1)$ .  
Fast and practical with FFT:  $n \log n$  operations mod  $q$ .

## Wishful Thinking...

$$\begin{pmatrix} \vdots \\ \mathbf{a}_i \\ \vdots \end{pmatrix} \star \begin{pmatrix} \vdots \\ \mathbf{s} \\ \vdots \end{pmatrix} + \begin{pmatrix} \vdots \\ \mathbf{e}_i \\ \vdots \end{pmatrix} = \begin{pmatrix} \vdots \\ \mathbf{b}_i \\ \vdots \end{pmatrix} \in \mathbb{Z}_q^n$$

- ▶ Get  $n$  pseudorandom scalars from just one (cheap) product operation?

### Question

- ▶ How to define the product ' $\star$ ' so that  $(\mathbf{a}_i, \mathbf{b}_i)$  is pseudorandom?
- ▶ Careful! With small error, coordinate-wise multiplication is insecure!

### Answer

- ▶ ' $\star$ ' = multiplication in a **polynomial ring**: e.g.,  $\mathbb{Z}_q[X]/(X^n + 1)$ .  
Fast and practical with FFT:  $n \log n$  operations mod  $q$ .
- ▶ Same ring structures used in NTRU cryptosystem [HPS'98],  
& in compact one-way / CR hash functions [Mic'02, PR'06, LM'06, ...]

## LWE Over Rings, Over Simplified

- ▶ Let  $R = \mathbb{Z}[X]/(X^n + 1)$  for  $n$  a power of two, and  $R_q = R/qR$

## LWE Over Rings, Over Simplified

- ▶ Let  $R = \mathbb{Z}[X]/(X^n + 1)$  for  $n$  a power of two, and  $R_q = R/qR$ 
  - ★ Elements of  $R_q$  are **deg <  $n$  polynomials** with **mod- $q$  coefficients**
  - ★ Operations in  $R_q$  are **very efficient** using FFT-like algorithms

## LWE Over Rings, Over Simplified

- ▶ Let  $R = \mathbb{Z}[X]/(X^n + 1)$  for  $n$  a power of two, and  $R_q = R/qR$ 
  - ★ Elements of  $R_q$  are  $\deg < n$  polynomials with mod- $q$  coefficients
  - ★ Operations in  $R_q$  are very efficient using FFT-like algorithms
- ▶ **Search:** find secret ring element  $s(X) \in R_q$ , given:

$$a_1 \leftarrow R_q \quad , \quad b_1 = a_1 \cdot s + e_1 \in R_q$$

$$a_2 \leftarrow R_q \quad , \quad b_2 = a_2 \cdot s + e_2 \in R_q$$

$$a_3 \leftarrow R_q \quad , \quad b_3 = a_3 \cdot s + e_3 \in R_q$$

⋮

( $e_i \in R$  are 'small')

## LWE Over Rings, Over Simplified

- ▶ Let  $R = \mathbb{Z}[X]/(X^n + 1)$  for  $n$  a power of two, and  $R_q = R/qR$ 
  - ★ Elements of  $R_q$  are  $\deg < n$  polynomials with mod- $q$  coefficients
  - ★ Operations in  $R_q$  are very efficient using FFT-like algorithms
- ▶ **Search:** find secret ring element  $s(X) \in R_q$ , given:

$$\begin{aligned} a_1 \leftarrow R_q & \quad , \quad b_1 = a_1 \cdot s + e_1 \in R_q \\ a_2 \leftarrow R_q & \quad , \quad b_2 = a_2 \cdot s + e_2 \in R_q \\ a_3 \leftarrow R_q & \quad , \quad b_3 = a_3 \cdot s + e_3 \in R_q \\ & \quad \vdots \end{aligned} \quad (e_i \in R \text{ are 'small'})$$

Note:  $(a_i, b_i)$  are uniformly random subject to  $b_i - a_i \cdot s \approx 0$



## LWE Over Rings, Over Simplified

- ▶ Let  $R = \mathbb{Z}[X]/(X^n + 1)$  for  $n$  a power of two, and  $R_q = R/qR$ 
  - ★ Elements of  $R_q$  are  $\deg < n$  polynomials with mod- $q$  coefficients
  - ★ Operations in  $R_q$  are very efficient using FFT-like algorithms
- ▶ **Search:** find secret ring element  $s(X) \in R_q$ , given:

$$\begin{aligned} a_1 \leftarrow R_q & , \quad b_1 = a_1 \cdot s + e_1 \in R_q \\ a_2 \leftarrow R_q & , \quad b_2 = a_2 \cdot s + e_2 \in R_q \\ a_3 \leftarrow R_q & , \quad b_3 = a_3 \cdot s + e_3 \in R_q \\ & \vdots \end{aligned} \quad (e_i \in R \text{ are 'small'})$$

Note:  $(a_i, b_i)$  are uniformly random subject to  $b_i - a_i \cdot s \approx 0$

- ▶ **Decision:** distinguish  $(a_i, b_i)$  from uniform  $(a_i, b_i) \in R_q \times R_q$  (with noticeable advantage)

# Hardness of Ring-LWE

- ▶ Two main theorems (reductions):

$$\begin{array}{ccc} \text{worst-case approx-SVP} & \leq & \text{search } R\text{-LWE} \leq \text{decision } R\text{-LWE} \\ \text{on } \textit{ideal} \text{ lattices in } R & \swarrow & \swarrow \\ & \text{(quantum,} & \text{(classical,} \\ & \text{any } R = \mathcal{O}_K) & \text{any cyclotomic } R) \end{array}$$

# Hardness of Ring-LWE

- ▶ Two main theorems (reductions):

$$\begin{array}{ccc} \text{worst-case approx-SVP} & \leq & \text{search } R\text{-LWE} \leq \text{decision } R\text{-LWE} \\ \text{on } \textit{ideal} \text{ lattices in } R & \swarrow & \swarrow \\ & \text{(quantum,} & \text{(classical,} \\ & \text{any } R = \mathcal{O}_K) & \text{any cyclotomic } R) \end{array}$$

- 1 If you can find  $s$  given  $(a_i, b_i)$ , then you can find approximately shortest vectors in *any* ideal lattice in  $R$  (using a **quantum** algorithm).

# Hardness of Ring-LWE

- ▶ Two main theorems (reductions):

$$\begin{array}{ccc} \text{worst-case approx-SVP} & \leq & \text{search } R\text{-LWE} \leq \text{decision } R\text{-LWE} \\ \text{on } \textit{ideal} \text{ lattices in } R & \swarrow & \swarrow \\ & \text{(quantum,} & \text{(classical,} \\ & \text{any } R = \mathcal{O}_K) & \text{any cyclotomic } R) \end{array}$$

- 1 If you can find  $s$  given  $(a_i, b_i)$ , then you can find approximately shortest vectors in *any* ideal lattice in  $R$  (using a **quantum** algorithm).
- 2 If you can distinguish  $(a_i, b_i)$  from  $(a_i, b_i)$ , then you can find  $s$ .

# Hardness of Ring-LWE

- ▶ Two main theorems (reductions):

$$\begin{array}{c} \text{worst-case approx-SVP} \\ \text{on } \textit{ideal} \text{ lattices in } R \end{array} \leq \underset{\substack{\uparrow \\ \text{(quantum,} \\ \text{any } R = \mathcal{O}_K)}}}{\text{search } R\text{-LWE}} \leq \underset{\substack{\uparrow \\ \text{(classical,} \\ \text{any cyclotomic } R)}}}{\text{decision } R\text{-LWE}}$$

- 1 If you can find  $s$  given  $(a_i, b_i)$ , then you can find approximately shortest vectors in *any* ideal lattice in  $R$  (using a **quantum** algorithm).
- 2 If you can distinguish  $(a_i, b_i)$  from  $(a_i, b_i)$ , then you can find  $s$ .

- ▶ Then:

$$\text{decision } R\text{-LWE} \leq \text{lots of crypto}$$

# Hardness of Ring-LWE

- ▶ Two main theorems (reductions):

$$\begin{array}{c} \text{worst-case approx-SVP} \\ \text{on } \textit{ideal} \text{ lattices in } R \end{array} \leq \underset{\substack{\uparrow \\ \text{(quantum,} \\ \text{any } R = \mathcal{O}_K)}}}{\text{search } R\text{-LWE}} \leq \underset{\substack{\uparrow \\ \text{(classical,} \\ \text{any cyclotomic } R)}}}{\text{decision } R\text{-LWE}}$$

- 1 If you can find  $s$  given  $(a_i, b_i)$ , then you can find approximately shortest vectors in *any* ideal lattice in  $R$  (using a **quantum** algorithm).
- 2 If you can distinguish  $(a_i, b_i)$  from  $(a_i, b_i)$ , then you can find  $s$ .

- ▶ Then:

$$\text{decision } R\text{-LWE} \leq \text{lots of crypto}$$

- ★ If you can break the crypto, then you can distinguish  $(a_i, b_i)$  from  $(a_i, b_i)$ ...

## Ideal Lattices

- ▶ Say  $R = \mathbb{Z}[X]/(X^n + 1)$  for power-of-two  $n$ . (Or  $R = \mathcal{O}_K$ .)
- ▶ An **ideal**  $\mathcal{I} \subseteq R$  is closed under  $+$  and  $-$ , and under  $\cdot$  with  $R$ .

## Ideal Lattices

- ▶ Say  $R = \mathbb{Z}[X]/(X^n + 1)$  for power-of-two  $n$ . (Or  $R = \mathcal{O}_K$ .)
- ▶ An ideal  $\mathcal{I} \subseteq R$  is closed under  $+$  and  $-$ , and under  $\cdot$  with  $R$ .

To get **ideal lattices**, embed  $R$  and its ideals into  $\mathbb{R}^n$ . How?



## Ideal Lattices

- ▶ Say  $R = \mathbb{Z}[X]/(X^n + 1)$  for power-of-two  $n$ . (Or  $R = \mathcal{O}_K$ .)
- ▶ An ideal  $\mathcal{I} \subseteq R$  is closed under  $+$  and  $-$ , and under  $\cdot$  with  $R$ .

To get ideal lattices, embed  $R$  and its ideals into  $\mathbb{R}^n$ . How?

- 1 'Obvious' answer: 'coefficient embedding'

$$a_0 + a_1X + \cdots + a_{n-1}X^{n-1} \in R \quad \mapsto \quad (a_0, \dots, a_{n-1}) \in \mathbb{Z}^n$$

## Ideal Lattices

- ▶ Say  $R = \mathbb{Z}[X]/(X^n + 1)$  for power-of-two  $n$ . (Or  $R = \mathcal{O}_K$ .)
- ▶ An ideal  $\mathcal{I} \subseteq R$  is closed under  $+$  and  $-$ , and under  $\cdot$  with  $R$ .

To get ideal lattices, embed  $R$  and its ideals into  $\mathbb{R}^n$ . How?

- 1 'Obvious' answer: 'coefficient embedding'

$$a_0 + a_1X + \cdots + a_{n-1}X^{n-1} \in R \quad \mapsto \quad (a_0, \dots, a_{n-1}) \in \mathbb{Z}^n$$

$+$  is coordinate-wise, but analyzing  $\cdot$  is cumbersome.

# Ideal Lattices

- ▶ Say  $R = \mathbb{Z}[X]/(X^n + 1)$  for power-of-two  $n$ . (Or  $R = \mathcal{O}_K$ .)
- ▶ An ideal  $\mathcal{I} \subseteq R$  is closed under  $+$  and  $-$ , and under  $\cdot$  with  $R$ .

To get ideal lattices, embed  $R$  and its ideals into  $\mathbb{C}^n$ . How?

- 1 'Obvious' answer: 'coefficient embedding'

$$a_0 + a_1X + \cdots + a_{n-1}X^{n-1} \in R \quad \mapsto \quad (a_0, \dots, a_{n-1}) \in \mathbb{Z}^n$$

$+$  is coordinate-wise, but analyzing  $\cdot$  is cumbersome.

- 2 [Minkowski]: 'canonical embedding.' Let  $\omega = \exp(\pi i/n) \in \mathbb{C}$ , so roots of  $X^n + 1$  are  $\omega^1, \omega^3, \dots, \omega^{2n-1}$ . Embed:

$$a(X) \in R \quad \mapsto \quad (a(\omega^1), a(\omega^3), \dots, a(\omega^{2n-1})) \in \mathbb{C}^n$$

# Ideal Lattices

- ▶ Say  $R = \mathbb{Z}[X]/(X^n + 1)$  for power-of-two  $n$ . (Or  $R = \mathcal{O}_K$ .)
- ▶ An ideal  $\mathcal{I} \subseteq R$  is closed under  $+$  and  $-$ , and under  $\cdot$  with  $R$ .

To get ideal lattices, embed  $R$  and its ideals into  $\mathbb{C}^n$ . How?

- 1 'Obvious' answer: 'coefficient embedding'

$$a_0 + a_1X + \cdots + a_{n-1}X^{n-1} \in R \quad \mapsto \quad (a_0, \dots, a_{n-1}) \in \mathbb{Z}^n$$

$+$  is coordinate-wise, but analyzing  $\cdot$  is cumbersome.

- 2 [Minkowski]: 'canonical embedding.' Let  $\omega = \exp(\pi i/n) \in \mathbb{C}$ , so roots of  $X^n + 1$  are  $\omega^1, \omega^3, \dots, \omega^{2n-1}$ . Embed:

$$a(X) \in R \quad \mapsto \quad (a(\omega^1), a(\omega^3), \dots, a(\omega^{2n-1})) \in \mathbb{C}^n$$

Both  $+$  and  $\cdot$  are coordinate-wise.

# Ideal Lattices

- ▶ Say  $R = \mathbb{Z}[X]/(X^n + 1)$  for power-of-two  $n$ . (Or  $R = \mathcal{O}_K$ .)
- ▶ An ideal  $\mathcal{I} \subseteq R$  is closed under  $+$  and  $-$ , and under  $\cdot$  with  $R$ .

To get ideal lattices, embed  $R$  and its ideals into  $\mathbb{R}^n$ . How?

- 1 'Obvious' answer: 'coefficient embedding'

$$a_0 + a_1X + \cdots + a_{n-1}X^{n-1} \in R \quad \mapsto \quad (a_0, \dots, a_{n-1}) \in \mathbb{Z}^n$$

$+$  is coordinate-wise, but analyzing  $\cdot$  is cumbersome.

- 2 [Minkowski]: 'canonical embedding.' Let  $\omega = \exp(\pi i/n) \in \mathbb{C}$ , so roots of  $X^n + 1$  are  $\omega^1, \omega^3, \dots, \omega^{2n-1}$ . Embed:

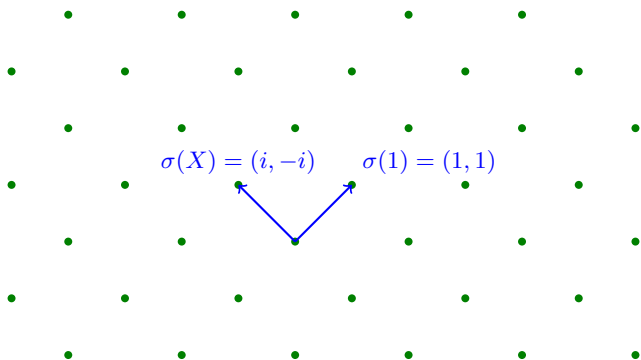
$$a(X) \in R \quad \mapsto \quad (a(\omega^1), a(\omega^3), \dots, a(\omega^{2n-1})) \in \mathbb{C}^n$$

Both  $+$  and  $\cdot$  are coordinate-wise.

(NB: LWE error distribution is Gaussian in canonical embedding.)

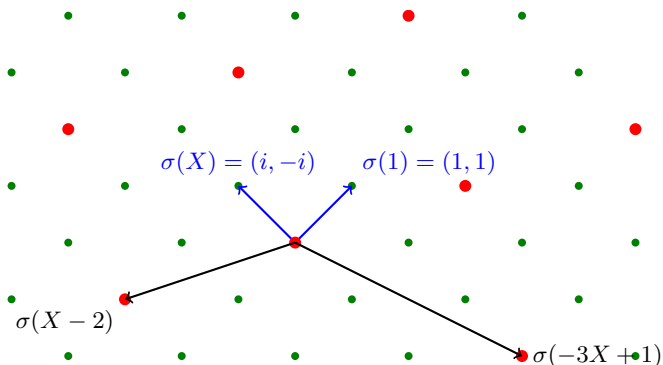
## Ideal Lattices

- Say  $R = \mathbb{Z}[X]/(X^2 + 1)$ . Embeddings map  $X \mapsto \pm i$ .



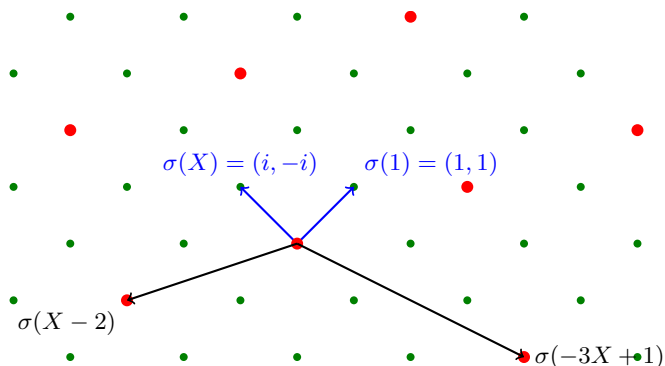
## Ideal Lattices

- ▶ Say  $R = \mathbb{Z}[X]/(X^2 + 1)$ . Embeddings map  $X \mapsto \pm i$ .
- ▶  $\mathcal{I} = \langle X - 2, -3X + 1 \rangle$  is an ideal in  $R$ .



## Ideal Lattices

- ▶ Say  $R = \mathbb{Z}[X]/(X^2 + 1)$ . Embeddings map  $X \mapsto \pm i$ .
- ▶  $\mathcal{I} = \langle X - 2, -3X + 1 \rangle$  is an ideal in  $R$ .



### (Approximate) Shortest Vector Problem

- ▶ Given (an arbitrary basis of) an **arbitrary** ideal  $\mathcal{I} \subseteq R$ , find a **nearly shortest** nonzero  $a \in \mathcal{I}$ .



# Hardness of Search Ring-LWE

## Theorem 1

For any large enough  $q$ , solving **search**  $R$ -LWE is as hard as **quantumly** solving  $\text{poly}(n)$ -approx SVP in **any** (worst-case) ideal lattice in  $R = \mathcal{O}_K$ .

# Hardness of Search Ring-LWE

## Theorem 1

For any large enough  $q$ , solving **search**  $R$ -LWE is as hard as **quantumly** solving  $\text{poly}(n)$ -approx SVP in **any** (worst-case) ideal lattice in  $R = \mathcal{O}_K$ .

- ▶ Proof follows the template of [Regev'05] for LWE & arbitrary lattices. Quantum component used as 'black-box;' only classical part needs adaptation to the ring setting.

# Hardness of Search Ring-LWE

## Theorem 1

For any large enough  $q$ , solving **search**  $R$ -LWE is as hard as **quantumly** solving  $\text{poly}(n)$ -approx SVP in **any** (worst-case) ideal lattice in  $R = \mathcal{O}_K$ .

- ▶ Proof follows the template of [Regev'05] for LWE & arbitrary lattices. Quantum component used as 'black-box;' only classical part needs adaptation to the ring setting.
- ▶ Main technique: '**clearing ideals**' while preserving  $R$ -**module** structure:

$$\begin{aligned}\mathcal{I}/q\mathcal{I} &\mapsto R/qR, \\ \mathcal{I}^\vee/q\mathcal{I}^\vee &\mapsto R^\vee/qR^\vee.\end{aligned}$$

Uses Chinese remainder theorem and theory of duality for ideals.

# Hardness of Decision Ring-LWE

## Theorem 2

Solving **decision**  $R$ -LWE in any **cyclotomic**  $R = \mathbb{Z}[\zeta_m] \cong \mathbb{Z}[X]/\Phi_m(X)$

(for any poly( $n$ )-bounded prime  $q = 1 \pmod{m}$ )

is as hard as solving **search**  $R$ -LWE.

# Hardness of Decision Ring-LWE

## Theorem 2

Solving **decision**  $R$ -LWE in any **cyclotomic**  $R = \mathbb{Z}[\zeta_m] \cong \mathbb{Z}[X]/\Phi_m(X)$   
(for any poly( $n$ )-bounded prime  $q = 1 \pmod{m}$ )  
is as hard as solving **search**  $R$ -LWE.

## Facts Used in the Proof

- ▶  $\mathbb{Z}_q^*$  has order  $q - 1 = 0 \pmod{m}$ , so has an element  $\omega$  of order  $m$ .

# Hardness of Decision Ring-LWE

## Theorem 2

Solving **decision**  $R$ -LWE in any **cyclotomic**  $R = \mathbb{Z}[\zeta_m] \cong \mathbb{Z}[X]/\Phi_m(X)$   
(for any poly( $n$ )-bounded prime  $q = 1 \pmod{m}$ )  
is as hard as solving **search**  $R$ -LWE.

## Facts Used in the Proof

- ▶  $\mathbb{Z}_q^*$  has order  $q - 1 = 0 \pmod{m}$ , so has an element  $\omega$  of order  $m$ .
- ▶ Modulo  $q$ ,  $\Phi_m(X)$  has  $n = \varphi(m)$  **roots**  $\omega^j$ , for  $j \in \mathbb{Z}_m^*$ .

# Hardness of Decision Ring-LWE

## Theorem 2

Solving **decision**  $R$ -LWE in any **cyclotomic**  $R = \mathbb{Z}[\zeta_m] \cong \mathbb{Z}[X]/\Phi_m(X)$   
(for any  $\text{poly}(n)$ -bounded prime  $q = 1 \pmod m$ )  
is as hard as solving **search**  $R$ -LWE.

## Facts Used in the Proof

- ▶  $\mathbb{Z}_q^*$  has order  $q - 1 = 0 \pmod m$ , so has an element  $\omega$  of order  $m$ .
- ▶ Modulo  $q$ ,  $\Phi_m(X)$  has  $n = \varphi(m)$  roots  $\omega^j$ , for  $j \in \mathbb{Z}_m^*$ .
- ▶ So there is a **ring isomorphism**  $R_q \cong \mathbb{Z}_q^n$  given by

$$a(X) \in R_q \mapsto (a(\omega^j))_{j \in \mathbb{Z}_m^*} \in \mathbb{Z}_q^n.$$

# Hardness of Decision Ring-LWE

## Theorem 2

Solving **decision** Ring-LWE in  $R_q = \mathbb{Z}_q[X]/\Phi_m(X)$  is as hard as solving **search** Ring-LWE.

## Proof Sketch

Given:  $\mathcal{O}$  distinguishes samples  $(a, b \approx a \cdot s)$  from uniform  $(a, b)$ .

Goal: Find  $s \in R_q$ , given samples  $(a, b \approx a \cdot s)$ .



# Hardness of Decision Ring-LWE

## Theorem 2

Solving **decision** Ring-LWE in  $R_q = \mathbb{Z}_q[X]/\Phi_m(X)$  is as hard as solving **search** Ring-LWE.

## Proof Sketch

Given:  $\mathcal{O}$  distinguishes samples  $(a, b \approx a \cdot s)$  from uniform  $(a, b)$ .

Goal: Find  $s \in R_q$ , given samples  $(a, b \approx a \cdot s)$ .

- 1 Equivalent to finding  $s(\omega^j) \in \mathbb{Z}_q$  for all  $j \in \mathbb{Z}_m^*$ .

# Hardness of Decision Ring-LWE

## Theorem 2

Solving **decision** Ring-LWE in  $R_q = \mathbb{Z}_q[X]/\Phi_m(X)$  is as hard as solving **search** Ring-LWE.

## Proof Sketch

Given:  $\mathcal{O}$  distinguishes samples  $(a, b \approx a \cdot s)$  from uniform  $(a, b)$ .

Goal: Find  $s \in R_q$ , given samples  $(a, b \approx a \cdot s)$ .

- 1 Equivalent to finding  $s(\omega^j) \in \mathbb{Z}_q$  for all  $j \in \mathbb{Z}_m^*$ .
- 2 Hybrid argument: **randomize** one  $b(\omega^j) \in \mathbb{Z}_q$ ; or two; or three; or ...  
Then  $\mathcal{O}$  must distinguish relative to some  $\omega^{j^*}$ .

# Hardness of Decision Ring-LWE

## Theorem 2

Solving **decision** Ring-LWE in  $R_q = \mathbb{Z}_q[X]/\Phi_m(X)$  is as hard as solving **search** Ring-LWE.

## Proof Sketch

Given:  $\mathcal{O}$  distinguishes samples  $(a, b \approx a \cdot s)$  from uniform  $(a, b)$ .

Goal: Find  $s \in R_q$ , given samples  $(a, b \approx a \cdot s)$ .

- 1 Equivalent to finding  $s(\omega^j) \in \mathbb{Z}_q$  for all  $j \in \mathbb{Z}_m^*$ .
- 2 Hybrid argument: **randomize** one  $b(\omega^j) \in \mathbb{Z}_q$ ; or two; or three; or ...  
Then  $\mathcal{O}$  must distinguish relative to some  $\omega^{j^*}$ .
- 3 Using  $\mathcal{O}$ , guess-and-check to find  $s(\omega^{j^*}) \in \mathbb{Z}_q$ .

# Hardness of Decision Ring-LWE

## Theorem 2

Solving **decision** Ring-LWE in  $R_q = \mathbb{Z}_q[X]/\Phi_m(X)$  is as hard as solving **search** Ring-LWE.

## Proof Sketch

Given:  $\mathcal{O}$  distinguishes samples  $(a, b \approx a \cdot s)$  from uniform  $(a, b)$ .

Goal: Find  $s \in R_q$ , given samples  $(a, b \approx a \cdot s)$ .

- 1 Equivalent to finding  $s(\omega^j) \in \mathbb{Z}_q$  for all  $j \in \mathbb{Z}_m^*$ .
- 2 Hybrid argument: **randomize** one  $b(\omega^j) \in \mathbb{Z}_q$ ; or two; or three; or ...  
Then  $\mathcal{O}$  must distinguish relative to some  $\omega^{j^*}$ .
- 3 Using  $\mathcal{O}$ , guess-and-check to find  $s(\omega^{j^*}) \in \mathbb{Z}_q$ .
- 4 How to find other  $s(\omega^j)$ ? Couldn't  $\mathcal{O}$  be useless at other roots?

# Hardness of Decision Ring-LWE

## Theorem 2

Solving **decision** Ring-LWE in  $R_q = \mathbb{Z}_q[X]/\Phi_m(X)$  is as hard as solving **search** Ring-LWE.

## Proof Sketch

Given:  $\mathcal{O}$  distinguishes samples  $(a, b \approx a \cdot s)$  from uniform  $(a, b)$ .

Goal: Find  $s \in R_q$ , given samples  $(a, b \approx a \cdot s)$ .

- 1 Equivalent to finding  $s(\omega^j) \in \mathbb{Z}_q$  for all  $j \in \mathbb{Z}_m^*$ .
- 2 Hybrid argument: **randomize one**  $b(\omega^j) \in \mathbb{Z}_q$ ; or two; or three; or ...  
Then  $\mathcal{O}$  must distinguish relative to some  $\omega^{j^*}$ .
- 3 Using  $\mathcal{O}$ , guess-and-check to find  $s(\omega^{j^*}) \in \mathbb{Z}_q$ .
- 4 How to find other  $s(\omega^j)$ ? Couldn't  $\mathcal{O}$  be useless at other roots?  
 $\omega \mapsto \omega^k$  ( $k \in \mathbb{Z}_m^*$ ) **permutes roots** of  $\Phi_m(X)$ , and **preserves error**.

# Hardness of Decision Ring-LWE

## Theorem 2

Solving **decision** Ring-LWE in  $R_q = \mathbb{Z}_q[X]/\Phi_m(X)$  is as hard as solving **search** Ring-LWE.

## Proof Sketch

Given:  $\mathcal{O}$  distinguishes samples  $(a, b \approx a \cdot s)$  from uniform  $(a, b)$ .

Goal: Find  $s \in R_q$ , given samples  $(a, b \approx a \cdot s)$ .

- 1 Equivalent to finding  $s(\omega^j) \in \mathbb{Z}_q$  for all  $j \in \mathbb{Z}_m^*$ .
- 2 Hybrid argument: **randomize one**  $b(\omega^j) \in \mathbb{Z}_q$ ; or two; or three; or ...  
Then  $\mathcal{O}$  must distinguish relative to some  $\omega^{j^*}$ .
- 3 Using  $\mathcal{O}$ , guess-and-check to find  $s(\omega^{j^*}) \in \mathbb{Z}_q$ .
- 4 How to find other  $s(\omega^j)$ ? Couldn't  $\mathcal{O}$  be useless at other roots?  
 $\omega \mapsto \omega^k$  ( $k \in \mathbb{Z}_m^*$ ) **permutes roots** of  $\Phi_m(X)$ , and **preserves error**.  
So send each  $\omega^j$  to  $\omega^{j^*}$ , and use  $\mathcal{O}$  to find  $s(\omega^j)$ .

## Open Problems: Reductions

- 1 Search- $R$ -LWE is **quantumly** at least as hard as approx- $R$ -SVP.  
Is there a **classical** reduction?

# Open Problems: Reductions

- ① Search- $R$ -LWE is quantumly at least as hard as approx- $R$ -SVP.  
Is there a classical reduction?
  - ★ [P'09] reduces GapSVP (i.e., estimate  $\lambda_1(\mathcal{L})$ ) on general lattices to plain-LWE, **classically**.



# Open Problems: Reductions

- ① Search- $R$ -LWE is quantumly at least as hard as approx- $R$ -SVP.

Is there a classical reduction?

- ★ [P'09] reduces GapSVP (i.e., estimate  $\lambda_1(\mathcal{L})$ ) on general lattices to plain-LWE, classically.
- ★ But **estimating**  $\lambda_1(\mathcal{L})$  is trivially easy on ideal lattices!  
**Finding** short vectors is what appears hard.

# Open Problems: Reductions

- 1 Search- $R$ -LWE is quantumly at least as hard as approx- $R$ -SVP.  
Is there a classical reduction?
  - ★ [P'09] reduces GapSVP (i.e., estimate  $\lambda_1(\mathcal{L})$ ) on general lattices to plain-LWE, classically.
  - ★ But estimating  $\lambda_1(\mathcal{L})$  is trivially easy on ideal lattices!  
Finding short vectors is what appears hard.
- 2 Search- and decision- $R$ -LWE are equivalent in cyclotomic  $R$ .  
Does this hold in other kinds of rings?

# Open Problems: Reductions

- ① Search- $R$ -LWE is quantumly at least as hard as approx- $R$ -SVP.  
Is there a classical reduction?
  - ★ [P'09] reduces GapSVP (i.e., estimate  $\lambda_1(\mathcal{L})$ ) on general lattices to plain-LWE, classically.
  - ★ But estimating  $\lambda_1(\mathcal{L})$  is trivially easy on ideal lattices!  
Finding short vectors is what appears hard.
- ② Search- and decision- $R$ -LWE are equivalent in cyclotomic  $R$ .  
Does this hold in other kinds of rings?
  - ★ Yes, for any Galois number field (identical proof).

# Open Problems: Reductions

- ① Search- $R$ -LWE is quantumly at least as hard as approx- $R$ -SVP.

Is there a classical reduction?

- ★ [P'09] reduces GapSVP (i.e., estimate  $\lambda_1(\mathcal{L})$ ) on general lattices to plain-LWE, classically.
- ★ But estimating  $\lambda_1(\mathcal{L})$  is trivially easy on ideal lattices!  
Finding short vectors is what appears hard.

- ② Search- and decision- $R$ -LWE are equivalent in cyclotomic  $R$ .

Does this hold in other kinds of rings?

- ★ Yes, for any Galois number field (identical proof).
- ★ ~~Probably not, for carefully constructed rings  $S$ , moduli  $q$ , and errors!~~  
~~Decision- $S$ -LWE easily broken, but search unaffected. [EHL'14, ELOS'15]~~  
*Update 8/2016: both search and decision are broken [CIV'16, P'16]*

# Open Problems: Reductions

- ① Search- $R$ -LWE is quantumly at least as hard as approx- $R$ -SVP.

Is there a classical reduction?

- ★ [P'09] reduces GapSVP (i.e., estimate  $\lambda_1(\mathcal{L})$ ) on general lattices to plain-LWE, classically.
- ★ But estimating  $\lambda_1(\mathcal{L})$  is trivially easy on ideal lattices!  
Finding short vectors is what appears hard.

- ② Search- and decision- $R$ -LWE are equivalent in cyclotomic  $R$ .

Does this hold in other kinds of rings?

- ★ Yes, for any Galois number field (identical proof).
- ★ ~~Probably not, for carefully constructed rings  $S$ , moduli  $q$ , and errors!~~  
~~Decision- $S$ -LWE easily broken, but search unaffected. [EHL'14, ELOS'15]~~  
~~Update 8/2016: both search and decision are broken [CIV'16, P'16]~~  
“cyclotomic fields, used for Ring-LWE, are uniquely protected against the attacks presented in this paper”

## Open Problems: Attacks

- 1 We know  $\text{approx-}R\text{-SVP} \leq R\text{-LWE}$  (quantumly). Other direction?  
Can we solve  $R\text{-LWE}$  using an oracle for  $\text{approx-}R\text{-SVP}$ ?

## Open Problems: Attacks

- ① We know  $\text{approx-}R\text{-SVP} \leq R\text{-LWE}$  (quantumly). Other direction?

Can we solve  $R\text{-LWE}$  using an oracle for  $\text{approx-}R\text{-SVP}$ ?

- ★  $R\text{-LWE}$  samples  $(a_i, b_i)_{i=1, \dots, \ell}$  don't readily translate to ideals in  $R$ .

## Open Problems: Attacks

- ① We know  $\text{approx-}R\text{-SVP} \leq R\text{-LWE}$  (quantumly). Other direction?

Can we solve  $R\text{-LWE}$  using an oracle for  $\text{approx-}R\text{-SVP}$ ?

- ★  $R\text{-LWE}$  samples  $(a_i, b_i)_{i=1, \dots, \ell}$  don't readily translate to ideals in  $R$ .
- ★ They do yield a BDD instance on an  $R\text{-module lattice}$ :

$$\mathcal{L} = \{(v_i) : v_i = a_i \cdot z \pmod{qR}\} \subseteq R^\ell$$



## Open Problems: Attacks

- ① We know  $\text{approx-}R\text{-SVP} \leq R\text{-LWE}$  (quantumly). Other direction?  
Can we solve  $R\text{-LWE}$  using an oracle for  $\text{approx-}R\text{-SVP}$ ?

- ★  $R\text{-LWE}$  samples  $(a_i, b_i)_{i=1, \dots, \ell}$  don't readily translate to ideals in  $R$ .
- ★ They do yield a BDD instance on an  $R$ -module lattice:

$$\mathcal{L} = \{(v_i) : v_i = a_i \cdot z \pmod{qR}\} \subseteq R^\ell$$

- ② How hard/easy is  $\text{approx-}R\text{-SVP}$ , anyway? (In cyclotomics etc.)

# Open Problems: Attacks

- ① We know  $\text{approx-}R\text{-SVP} \leq R\text{-LWE}$  (quantumly). Other direction?  
Can we solve  $R\text{-LWE}$  using an oracle for  $\text{approx-}R\text{-SVP}$ ?

- ★  $R\text{-LWE}$  samples  $(a_i, b_i)_{i=1, \dots, \ell}$  don't readily translate to ideals in  $R$ .
- ★ They do yield a BDD instance on an  $R$ -module lattice:

$$\mathcal{L} = \{(v_i) : v_i = a_i \cdot z \pmod{qR}\} \subseteq R^\ell$$

- ② How hard/easy is  $\text{approx-}R\text{-SVP}$ , anyway? (In cyclotomics etc.)
- ★ Despite abundant ring structure (e.g., subfields, Galois), no substantial improvement over attacks on general lattices.

# Open Problems: Attacks

- ① We know  $\text{approx-}R\text{-SVP} \leq R\text{-LWE}$  (quantumly). Other direction?  
Can we solve  $R\text{-LWE}$  using an oracle for  $\text{approx-}R\text{-SVP}$ ?

- ★  $R\text{-LWE}$  samples  $(a_i, b_i)_{i=1, \dots, \ell}$  don't readily translate to ideals in  $R$ .
- ★ They do yield a BDD instance on an  $R$ -module lattice:

$$\mathcal{L} = \{(v_i) : v_i = a_i \cdot z \pmod{qR}\} \subseteq R^\ell$$

- ② How hard/easy is  $\text{approx-}R\text{-SVP}$ , anyway? (In cyclotomics etc.)
- ★ Despite abundant ring structure (e.g., subfields, Galois), no substantial improvement over attacks on general lattices.
  - ★ Next up: attacks on a **specialized** variant: given a **principal** ideal  $\mathcal{I}$  guaranteed to have an “**unusually short**” generator, find it.

# Open Problems: Attacks

- ① We know  $\text{approx-}R\text{-SVP} \leq R\text{-LWE}$  (quantumly). Other direction?  
Can we solve  $R\text{-LWE}$  using an oracle for  $\text{approx-}R\text{-SVP}$ ?

- ★  $R\text{-LWE}$  samples  $(a_i, b_i)_{i=1, \dots, \ell}$  don't readily translate to ideals in  $R$ .
- ★ They do yield a BDD instance on an  $R$ -module lattice:

$$\mathcal{L} = \{(v_i) : v_i = a_i \cdot z \pmod{qR}\} \subseteq R^\ell$$

- ② How hard/easy is  $\text{approx-}R\text{-SVP}$ , anyway? (In cyclotomics etc.)

- ★ Despite abundant ring structure (e.g., subfields, Galois), no substantial improvement over attacks on general lattices.
- ★ Next up: attacks on a specialized variant: given a **principal** ideal  $\mathcal{I}$  guaranteed to have an "**unusually short**" generator, find it.
- ★ These conditions are extremely rare for general ideals, so (worst-case)  $\text{approx-}R\text{-SVP}$  is unaffected.