### Practical Bootstrapping in Quasilinear Time

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> UC San Diego 29 April 2013

# Fully Homomorphic Encryption [RAD'78,Gen'09]

FHE lets you do this:

$$\mu \longrightarrow \boxed{\mathsf{Eval}\left(f \ , \ \mu\right)} \longrightarrow \boxed{f(\mu)}$$

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Naturally occurring schemes are "somewhat homomorphic" (SHE): they can only evaluate functions of an *a priori* bounded depth.

$$\mu \to \boxed{\mathsf{Eval}\left(f,\mu\right)} \to \boxed{f(\mu)} \to \boxed{\mathsf{Eval}\left(g,f(\mu)\right)} \to \boxed{g(f(\mu))}$$

$$\boxed{sk} \longrightarrow \boxed{\mathsf{Eval}\left(f(x) = \mathsf{Dec}_x(\underline{\mu}), \underline{sk}\right)} \longrightarrow \underline{\mu}$$

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- Intensive study, many techniques [G'09,GH'11a,GH'11b,GHS'12b], but still very inefficient – the main bottleneck in FHE, by far.
- The asymptotically most efficient methods on "packed" ciphertexts [GHS'12a,GHS'12b] are very complex, and appear practically worse than asymptotically slower methods.

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X Log-depth mod- $\Phi_m(X)$  circuit is complex, w/large hidden constants. XX [GHS'12a] compiler is very complex, w/large polylog overhead factor.

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  - Completely decouples the algebraic structure of SHE plaintext ring from that needed for bootstrapping.

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• "Unpacked" plaintext  $\mu \in \mathbb{Z}_2 \subseteq R_2$ , i.e., just a constant polynomial. "Packed" plaintext uses more of  $R_2$ , e.g., multiple "slots" [SV'11]. Warm-Up: Bootstrapping Unpacked Ciphertexts

### Bootstrapping Unpacked Ciphertexts: Main Idea

**1** Isolate message-carrying coefficient  $v_0$  of v(X) by homomorphically "tracing down" a tower of cyclotomic rings  $\mathcal{O}_{2k}/\mathcal{O}_k/\cdots/\mathcal{O}_4/\mathbb{Z}$ .

(Trace = sum of the two automorphisms of  $\mathcal{O}_{2i}/\mathcal{O}_{i}$ .)

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$$\begin{array}{cccc} v_{0} + v_{1}X + v_{2}X^{2} + \cdots + v_{k-1}X^{k-1} & \mathbb{Z}_{q}[X]/(X^{k}+1) \\ & & & | \\ v_{0} + 0X + v_{2}X^{2} + \cdots + 0X^{k-1} & \mathbb{Z}_{q}[X^{2}]/(X^{k}+1) \\ & & & \vdots \\ v_{0} + v_{k/4}X^{k/4} + \cdots + v_{3k/4}X^{3k/4} & \mathbb{Z}_{q}[X^{k/4}]/(X^{k}+1) \\ & & & | \\ v_{0} + v_{k/2}X^{k/2} & \mathbb{Z}_{q}[X^{k/2}]/(X^{k}+1) \\ & & & | \\ v_{0} & \mathbb{Z}_{q} \end{array}$$

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**2** Homomorphically "round"  $v_0 \in \mathbb{Z}_q$  to the message bit  $\lfloor \frac{2}{q} \cdot v_0 \rceil \in \mathbb{Z}_2$ .

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$$\begin{split} \zeta_k^2 &= \zeta_{k/2} & \mathcal{O}_k = \mathcal{O}_{k/2}[\zeta_k] & \mathcal{O}_{k/2}\text{-basis } B'_k = \{1,\zeta_k\} \\ & \vdots \\ \zeta_8^2 &= \zeta_4 & \mathcal{O}_8 = \mathcal{O}_4[\zeta_8] & \mathcal{O}_4\text{-basis } B'_8 = \{1,\zeta_8\} \\ & & | \\ \zeta_4^2 &= \zeta_2 & \mathcal{O}_4 = \mathcal{O}_2[\zeta_4] & \mathcal{O}_2\text{-basis } B'_4 = \{1,\zeta_4\} \\ & & | \\ \zeta_2^2 &= 1 & \mathcal{O}_2 = \mathbb{Z}[\zeta_2] = \mathbb{Z} & \mathbb{Z}\text{-basis } B'_2 = \{1\} \end{split}$$

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• "Product"  $\mathbb{Z}$ -basis of  $\mathcal{O}_k$ :

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 $\Rightarrow \operatorname{Tr}_{\mathcal{O}_i/\mathcal{O}_{i'}}(\mathcal{O}_i) = \operatorname{deg}(\mathcal{O}_i/\mathcal{O}_{i'}) \cdot \mathcal{O}_{i'}.$ 

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$$\star \operatorname{Tr}_{\mathcal{O}_i/\mathcal{O}_{i''}} = \operatorname{Tr}_{\mathcal{O}_{i'}/\mathcal{O}_{i''}} \circ \operatorname{Tr}_{\mathcal{O}_i/\mathcal{O}_{i'}}$$

$$\Rightarrow \operatorname{Tr}_{\mathcal{O}_i/\mathcal{O}_{i'}}(\mathcal{O}_i) = \operatorname{deg}(\mathcal{O}_i/\mathcal{O}_{i'}) \cdot \mathcal{O}_{i'}.$$

 $\Rightarrow \operatorname{Tr}_{\mathcal{O}_i/\mathbb{Z}}(v) = \frac{i}{2} \cdot v_0, \text{ where } v_0 \in \mathbb{Z} \text{ is the coeff of } \zeta_i^0 = 1.$ 

Recall:  $R = \mathcal{O}_k$ , and  $v = c_0 + c_1 \cdot s \approx \frac{q}{2}\mu \in R_q$  for message  $\mu \in \mathbb{Z}_2 \subseteq R_2$ .

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Plaintext ring is now  $R_q$ , not  $R_2$ !

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★★ Now have an encryption of ⌊v<sub>0</sub>⌉ = μ. Done!

- ?? Use "ring switching" [GHPS'12] ?
  - ✓ Computes  $Tr_{R/R'}$  homomorphically, by taking  $Tr_{R/R'}$  of ciphertext.

# Evaluating $\operatorname{Trace}_{R/\mathbb{Z}}$ Homomorphically

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?? Directly apply all automorphisms au of  $R/\mathbb{Z}$  to ciphertext, then sum?

$$\tau(c_0) + \tau(c_1) \cdot \tau(s) = \tau(v) \quad \stackrel{\text{key-switch}}{\Longrightarrow} \quad c_0' + c_1' \cdot s \approx \tau(v)$$

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Detail #2: each  $Tr(\mathcal{O}_i) = 2\mathcal{O}_{i/2}$ , so lift to plaintext modulus 2q, then halve result.

Main Result: Bootstrapping Packed Ciphertexts

**1** Prepare: as before, view c as a "noiseless" encryption of plaintext

$$v = c_0 + c_1 \cdot s = \sum_j v_j \cdot b_j \in R_q.$$

Recall:  $\mu = \lfloor v \rceil = \sum_{j} \lfloor v_{j} \rceil \cdot b_{j} \in R_{2}$  (where  $b_{j} = \zeta^{j}$ ).

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**2** Homomorphically map coeffs  $v_j$  to " $\mathbb{Z}_q$ -slots" of certain ring  $S_q$ :

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**4** Homomorphically reverse-map  $\mathbb{Z}_2$ -slots back to *B*-coeffs:

$$\sum \lfloor v_j \rceil \cdot c_j \in S_2 \quad \longmapsto \quad \sum \lfloor v_j \rceil \cdot b_j = \mu \in R_2.$$

(Akin to homomorphic  $DFT^{-1}$ .)

► Let 
$$1 = \ell_0 |\ell_1| \ell_2 | \cdots$$
 (all odd), and  $S^{(i)} = \mathcal{O}_{\ell_i} = \mathbb{Z}[\zeta_{\ell_i}].$   
Identifying  $\zeta_{\ell_i}^{\ell_i/\ell_{i-1}} = \zeta_{\ell_{i-1}}$ , we get a tower  $S^{(i)}/S^{(i-1)}/\cdots/\mathbb{Z}.$ 

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- ln  $S = S^{(i)}$ , 2 factors into distinct prime ideals, like so:



- Let 1 = ℓ<sub>0</sub>|ℓ<sub>1</sub>|ℓ<sub>2</sub>|··· (all odd), and S<sup>(i)</sup> = O<sub>ℓi</sub> = ℤ[ζ<sub>ℓi</sub>]. Identifying ζ<sub>ℓi</sub><sup>ℓi/ℓi-1</sup> = ζ<sub>ℓi-1</sub>, we get a tower S<sup>(i)</sup>/S<sup>(i-1)</sup>/···/ℤ.
  In S = S<sup>(i)</sup>, 2 factors into distinct prime ideals, like so: S<sup>(2)</sup> = O<sub>91</sub> p<sub>1,1</sub> p<sub>1,2</sub> p<sub>1,3</sub> p<sub>2,1</sub> p<sub>2,2</sub> p<sub>2,3</sub> I S<sup>(1)</sup> = O<sub>7</sub> p<sub>1</sub> ℤ<sub>ℓ</sub> = O<sub>1</sub>
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▶ By Chinese Rem Thm,  $S_2 \cong \bigoplus_j (S/\mathfrak{p}_j)$  via natural homomorphism. "CRT set:"  $C = \{c_j\} \subset S$  s.t.  $c_j = 1 \pmod{\mathfrak{p}_j}, = 0 \pmod{\mathfrak{p}_{\neq j}}$ . Mapping  $v_j \in \mathbb{Z}_2 \mapsto v_j \cdot c_j \in S_2$  embeds  $\mathbb{Z}_2$  into *j*th "slot" of  $S_2$ .

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## Mapping Coeffs to Slots: Overview

• Choose S so that  $S_q$  has  $\geq \deg(R/\mathbb{Z}) \mathbb{Z}_q$ -slots, via:

$$(v_j) \in \mathbb{Z}_q^{k/2} \longmapsto \sum v_j \cdot c_j \mod q$$

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#### Goal for Remainder of Talk

Extend ring-switching to (efficiently) handle  $\mathbb{Z}$ -linear maps  $L: \mathbb{R} \to S$ .

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► Compositum *T* as a tensor product of *R*, *S*, where  $\otimes$  is *E*-bilinear:  $T \cong (R/E) \otimes (S/E) := \left\{ \sum e_{i,j}(r_i \otimes s_j) : e_{i,j} \in E, r_i \in R, s_j \in S \right\}.$ 

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- Proof: define  $\overline{L}$  by  $\overline{L}(r \otimes s) = L(r) \cdot s \in S$ .

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- ► Ensure small compositums T<sup>(i)</sup> = H<sup>(i-1)</sup> + H<sup>(i)</sup> via large gcd's: replace prime factors of k with those of l, one at a time.



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In general, switch through ≤ log(deg(R/Z)) = log(λ) hybrid rings, one for each prime factor of k.

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## Thanks!