Lattice-Based Cryptography: Constructing Trapdoors and More Applications

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> > crypt@b-it 2013

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►  $f_A$ ,  $g_A$  in forward direction yield CRHFs, CPA security (w/FHE!) ... but not much else.

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• How? Use a "strong trapdoor" for A: a short basis of  $\Lambda^{\perp}(A)$ [Babai'86,GGH'97,Klein'01,GPV'08,P'10]





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#### Other "Black-Box" Applications of $f^{-1}$ , $g^{-1}$

- Standard Model (no RO) signatures [CHKP'10,R'10,B'10]
- SM CCA-secure encryption [PW'08,P'09]
- SM (Hierarchical) IBE [GPV'08,CHKP'10,ABB'10a,ABB'10b]
- Many more: OT, NISZK, homom enc/sigs, deniable enc, func enc, ... [PVW'08,PV'08,GHV'10,GKV'10,BF'10a,BF'10b,OPW'11,AFV'11,ABVVW'11,...]

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_		tight, <mark>iterative, fp</mark>	looser, parallel, offline	
-	$g_{\mathbf{A}}^{-1}$	[Babai'86]	[Babai'86]	
	$f_{\mathbf{A}}^{-1}$	[Klein'01,GPV'08]	[P'10]	

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- ✓ <u>New kind of trapdoor</u> not a basis! (But just as powerful.)
- ✓ More efficient applications: CCA, (H)IBE in standard model

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**3** Reduce  $f_A^{-1}$ ,  $g_A^{-1}$  to  $f_G^{-1}$ ,  $g_G^{-1}$  plus pre-/post-processing.

▶ Let  $q = 2^k$ . Define 1-by-k "parity check" vector

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\* <u>OR</u> presample many  $\mathbf{x} \leftarrow \mathbb{Z}^k$  and store in q 'buckets'  $f_{\mathbf{g}}(\mathbf{x})$  for later.

• Another view: for  $\mathbf{g} = \begin{bmatrix} 1 & 2 & \cdots & 2^{k-1} \end{bmatrix}$  the lattice  $\Lambda^{\perp}(\mathbf{g})$  has basis

$$\mathbf{S} = \begin{bmatrix} 2 & & & \\ -1 & 2 & & \\ & -1 & \ddots & \\ & & & 2 \\ & & & -1 & 2 \end{bmatrix} \in \mathbb{Z}^{k \times k}, \quad \text{with } \tilde{\mathbf{S}} = 2 \cdot \mathbf{I}_k.$$

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• Define 
$$\mathbf{G} = \mathbf{I}_n \otimes \mathbf{g} = \begin{bmatrix} \cdots \mathbf{g} \cdots & & \\ & \ddots \mathbf{g} \cdots & \\ & & \ddots & \\ & & \ddots & \\ & & & \ddots \mathbf{g} \cdots \end{bmatrix} \in \mathbb{Z}_q^{n \times nk}.$$
  
Now  $f_{\mathbf{G}}^{-1}$ ,  $g_{\mathbf{G}}^{-1}$  reduce to  $n$  parallel (and offline) calls to  $f_{\mathbf{g}}^{-1}$ ,  $g_{\mathbf{g}}^{-1}$ .

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\*  $[\mathbf{I} | \bar{\mathbf{A}} | -(\bar{\mathbf{A}}\mathbf{R}_1 + \mathbf{R}_2)]$  is pseudorandom (under LWE) for  $\bar{m} = n$ .

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#### Relating New and Old Trapdoors

Given a basis  $\mathbf{S}$  for  $\Lambda^{\perp}(\mathbf{G})$  and a trapdoor  $\mathbf{R}$  for  $\mathbf{A}$ , we can efficiently construct a basis  $\mathbf{S}_{\mathbf{A}}$  for  $\Lambda^{\perp}(\mathbf{A})$ where  $\|\tilde{\mathbf{S}}_{\mathbf{A}}\| \leq (s_1(\mathbf{R}) + 1) \cdot \|\tilde{\mathbf{S}}\|$ .

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Works if each entry of  $\mathbf{e}^t \begin{bmatrix} \mathbf{R} \\ \mathbf{I} \end{bmatrix}$  in  $[-\frac{q}{4}, \frac{q}{4}) \Leftarrow \|\mathbf{e}\| < q/(4s_1(\begin{bmatrix} \mathbf{R} \\ \mathbf{I} \end{bmatrix}))$ .

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Given u, sample  $\mathbf{z} \leftarrow f_{\mathbf{G}}^{-1}(\mathbf{u})$  and output  $\mathbf{x} = \begin{bmatrix} \mathbf{R} \\ \mathbf{I} \end{bmatrix} \mathbf{z} \in f_{\mathbf{A}}^{-1}(\mathbf{u})$  ?

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- Solution: use offline 'perturbation' [P'10] to get spherical Gaussian w/ std dev  $\approx s_1(\mathbf{R})$ : output  $\mathbf{x} = \mathbf{p} + \begin{bmatrix} \mathbf{R} \\ \mathbf{I} \end{bmatrix} \mathbf{z}$ .

### A First Attempt

• Given u, sample  $\mathbf{z} \leftarrow f_{\mathbf{G}}^{-1}(\mathbf{u})$  and output  $\mathbf{x} = \begin{bmatrix} \mathbf{R} \\ \mathbf{I} \end{bmatrix} \mathbf{z} \in f_{\mathbf{A}}^{-1}(\mathbf{u})$  ?

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$$\Sigma := \mathbb{E}_{\mathbf{x}} \big[ \mathbf{x} \cdot \mathbf{x}^t \big] = \mathbb{E}_{\mathbf{z}} \big[ \mathbf{R} \cdot \mathbf{z} \mathbf{z}^t \cdot \mathbf{R}^t \big] \approx s^2 \cdot \mathbf{R} \mathbf{R}^t.$$



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Covariance can be measured — and it leaks  $\mathbf{R}!$  (up to rotation)



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(pos def means:  $\mathbf{u}^t \Sigma \mathbf{u} > 0$  for all unit  $\mathbf{u}$ .)

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For  $\Sigma_1 = \mathbf{R} \mathbf{R}^t$ , can use any  $s > s_1(\mathbf{R}) := \max \text{ singular val of } \mathbf{R}$ .

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**(**) Generate perturbation  $\mathbf{p}$  with covariance  $\Sigma_2 := s^2 \mathbf{I} - \mathbf{R} \mathbf{R}^t > 0$ .



 $(s^2 \mathbf{I} - \mathbf{R} \mathbf{R}^t)$ 

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#### Convolution\* Theorem

Algorithm generates a spherical discrete Gaussian over  $\mathcal{L}_{\mathbf{u}}^{\perp}(\mathbf{A})$ .

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(\*technically not a convolution, since step 2 depends on step 1.)

## Application: Efficient IBE a la [ABB'10]

• Setup: choose  $\mathbf{A} = [\bar{\mathbf{A}} \mid -\bar{\mathbf{A}}\mathbf{R}]$ . Let  $mpk = (\mathbf{A}, \mathbf{u})$ ,  $msk = \mathbf{R}$ . (A has trapdoor  $\mathbf{R}$  with tag 0.)

### Application: Efficient IBE a la [ABB'10]

- <u>Setup</u>: choose A = [Ā | -ĀR]. Let mpk = (A, u), msk = R.
  (A has trapdoor R with tag 0.)
- Extract( $\mathbf{R}$ , id): map  $id \mapsto$  invertible  $\mathbf{H}_{id} \in \mathbb{Z}_q^{n \times n}$ . [DF'94,...,ABB'10] Using  $\mathbf{R}$ , choose  $sk_{id} = \mathbf{x} \leftarrow f_{\mathbf{A}_{id}}^{-1}(\mathbf{u})$ , where

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Encrypt to A<sub>id</sub>, decrypt using sk<sub>id</sub> as in 'dual' system [GPV'08].

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- Encrypt to  $A_{id}$ , decrypt using  $sk_{id}$  as in 'dual' system [GPV'08].
- Security ("puncturing"): Given target id\* (selective security), set up

$$\mathbf{A} = [ar{\mathbf{A}} \mid -\mathbf{H}_{id^*} \cdot \mathbf{G} - ar{\mathbf{A}}\mathbf{R}] \Longrightarrow \mathbf{A}_{id} = [ar{\mathbf{A}} \mid (\mathbf{H}_{id} - \mathbf{H}_{id^*})\mathbf{G} - ar{\mathbf{A}}\mathbf{R}]$$

\*  $\mathbf{H}_{id} - \mathbf{H}_{id^*}$  is invertible for all  $id \neq id^*$ , so can extract  $sk_{id}$  using  $\mathbf{R}$ . \*  $\mathbf{A}_{id^*} = [\bar{\mathbf{A}} \mid -\bar{\mathbf{A}}\mathbf{R}]$ , so can embed an LWE challenge at  $id^*$ .

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  Useful for HIBE & IB-TDFs [CHKP'10,ABB'10,BKPW'12].
- Note: R' is only width(A) × width(G) = m × n log q.
  So size of R' grows only as O(m), not Ω(m<sup>2</sup>) like a basis does.
  Also computationally efficient: n log q samples, no HNF or ToBasis.

▶ <u>Setup</u>(*d*): choose  $\mathbf{A}_0, \ldots, \mathbf{A}_d$  where  $\mathbf{A}_{\varepsilon} = [\mathbf{A}_0 | \mathbf{A}_1]$ has trapdoor  $\mathbf{R}_{\varepsilon}$  for tag **0**. Let  $msk = sk_{\varepsilon} = \mathbf{R}_{\varepsilon}$  and  $mpk = \{\mathbf{A}_i\}$ .

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- ▶ <u>Setup</u>(*d*): choose  $\mathbf{A}_0, \ldots, \mathbf{A}_d$  where  $\mathbf{A}_{\varepsilon} = [\mathbf{A}_0 \mid \mathbf{A}_1]$ has trapdoor  $\mathbf{R}_{\varepsilon}$  for tag **0**. Let  $msk = sk_{\varepsilon} = \mathbf{R}_{\varepsilon}$  and  $mpk = {\mathbf{A}_i}$ .
- ►  $\underline{\mathsf{Extract}}(id)$ : map  $id = (id_1, \dots, id_t) \mapsto (\mathbf{H}_{id_1}, \dots, \mathbf{H}_{id_t})$  (invertible). Let

$$\mathbf{A}_{id} = [\mathbf{A}_0 \mid \mathbf{A}_1 + \mathbf{H}_{id_1}\mathbf{G} \mid \cdots \mid \mathbf{A}_t + \mathbf{H}_{id_t}\mathbf{G} \mid \mathbf{A}_{t+1}].$$

Delegate  $sk_{id}$  = trapdoor  $\mathbf{R}_{id}$  for  $\mathbf{A}_{id}$  with tag **0**.

Using  $sk_{id}$ , can delegate any  $sk_{id'}$  for any nontrivial extension id'.

- ▶ <u>Setup</u>(*d*): choose  $\mathbf{A}_0, \ldots, \mathbf{A}_d$  where  $\mathbf{A}_{\varepsilon} = [\mathbf{A}_0 \mid \mathbf{A}_1]$ has trapdoor  $\mathbf{R}_{\varepsilon}$  for tag **0**. Let  $msk = sk_{\varepsilon} = \mathbf{R}_{\varepsilon}$  and  $mpk = {\mathbf{A}_i}$ .
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- ▶ <u>Setup</u>(*d*): choose  $\mathbf{A}_0, \ldots, \mathbf{A}_d$  where  $\mathbf{A}_{\varepsilon} = [\mathbf{A}_0 \mid \mathbf{A}_1]$ has trapdoor  $\mathbf{R}_{\varepsilon}$  for tag **0**. Let  $msk = sk_{\varepsilon} = \mathbf{R}_{\varepsilon}$  and  $mpk = {\mathbf{A}_i}$ .
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Delegate  $sk_{id}$  = trapdoor  $\mathbf{R}_{id}$  for  $\mathbf{A}_{id}$  with tag **0**.

Using  $sk_{id}$ , can delegate any  $sk_{id'}$  for any nontrivial extension id'.

- Encrypt to  $A_{id}$ , decrypt using  $R_{id}$  as in [GPV'08].
- Security ("puncturing"): Set up mpk, trapdoor **R** with tags =  $-id^*$ .

# Conclusions

- A simple trapdoor that's easy to generate, use, and understand.
- Key sizes and algorithms for "strong" trapdoors are now realistic, with ring techniques (tomorrow)

Selected bibliography for this talk:

- CHKP'10 D. Cash, D. Hofheinz, E. Kiltz, C. Peikert, "Bonsai Trees, or How to Delegate a Lattice Basis," Eurocrypt'10 / J. Crypt'11.
  - ABB'10 S. Agrawal, D. Boneh, X. Boyen, "Efficient Lattice (H)IBE in the Standard Model," Eurocrypt'10.
    - MP'12 D. Micciancio, C. Peikert, "Trapdoors for Lattices: Simpler, Tighter, Faster, Smaller," Eurocrypt'12.