

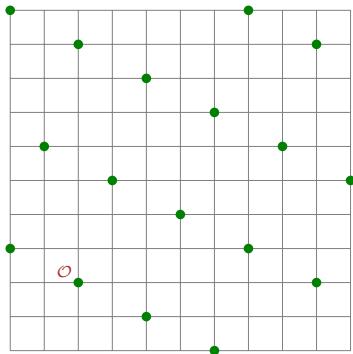
Lattice-Based Cryptography:
Short Integer Solution (SIS) and
Learning With Errors (LWE)

Chris Peikert
Georgia Institute of Technology

crypt@b-it 2013

Recall: Lattices

- ▶ Full-rank **additive subgroup** in \mathbb{Z}^m .

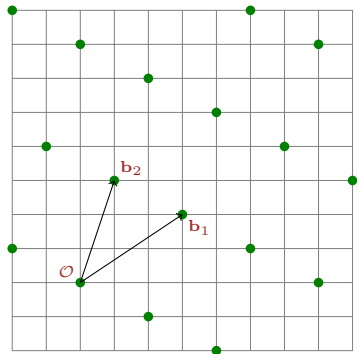


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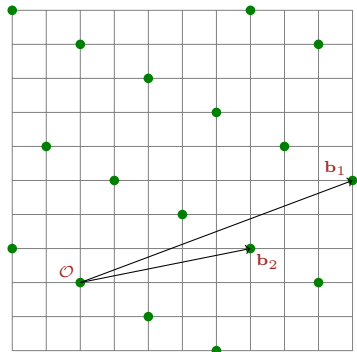


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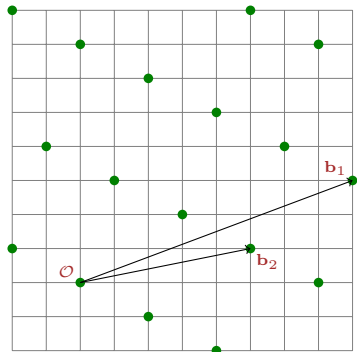
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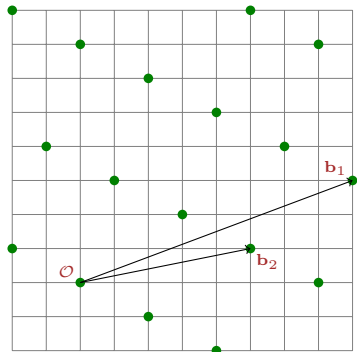
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Hard Problems

- ▶ Find/detect **short** nonzero lattice vector(s): SVP, GapSVP, SIVP
- ▶ Decode under small amount of error: BDD

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- ▶ $\mathbb{Z}_q^n = n$ -dimensional vectors modulo q (e.g., $q \approx n^3$)
- ▶ Goal: **find** nontrivial **small** $z_1, \dots, z_m \in \mathbb{Z}$ such that:

$$z_1 \cdot \begin{pmatrix} | \\ \mathbf{a}_1 \\ | \end{pmatrix} + z_2 \cdot \begin{pmatrix} | \\ \mathbf{a}_2 \\ | \end{pmatrix} + \dots + z_m \cdot \begin{pmatrix} | \\ \mathbf{a}_m \\ | \end{pmatrix} = \begin{pmatrix} | \\ \mathbf{0} \\ | \end{pmatrix} \in \mathbb{Z}_q^n$$

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One-Way & Collision-Resistant Hash Function

- ▶ Set $m > n \lg q$. Define $f_{\mathbf{A}} : \{0, 1\}^m \rightarrow \mathbb{Z}_q^n$ as

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... yields **solution** $\mathbf{z} = \mathbf{x} - \mathbf{x}' \in \{0, \pm 1\}^m$, of norm $\|\mathbf{z}\| \leq \sqrt{m}$.

Cool! (but what does this have to do with lattices?)

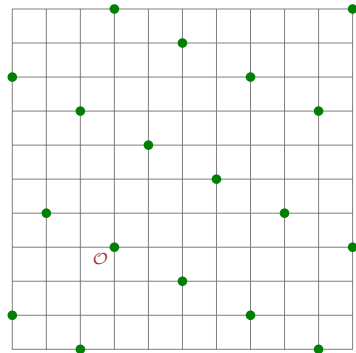
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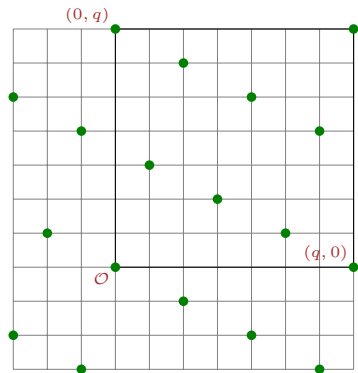
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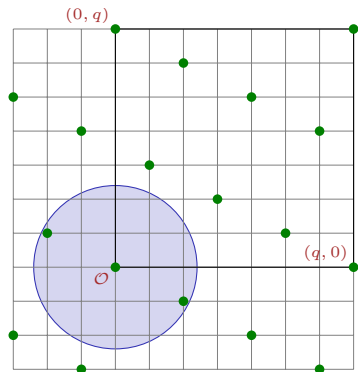
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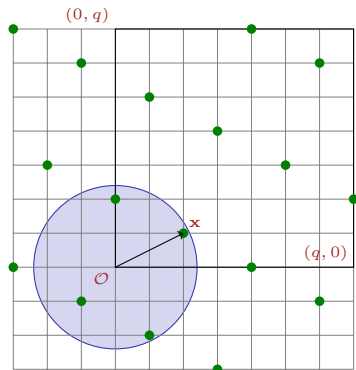
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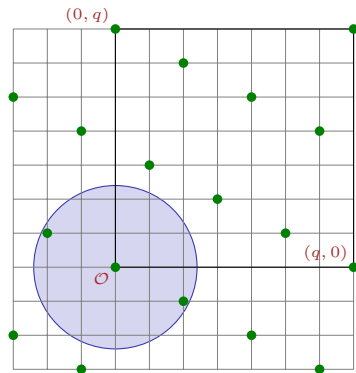
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Worst-Case/Average-Case Connection [Ajtai'96, ...]

Finding short ($\|\mathbf{z}\| \leq \beta \ll q$) nonzero $\mathbf{z} \in \mathcal{L}^\perp(\mathbf{A})$

for uniformly random $\mathbf{A} \in \mathbb{Z}_q^{n \times m}$



solving $\text{GapSVP}_{\beta\sqrt{n}}$ and $\text{SIVP}_{\beta\sqrt{n}}$ on **any** n -dim lattice.

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Dirty Little Secret

- ▶ This trick — reducing a short vector modulo a lattice — is the **only one-way function used in all of lattice crypto!**

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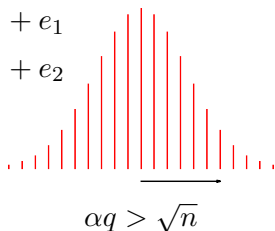
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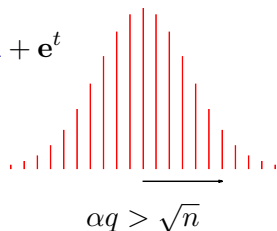


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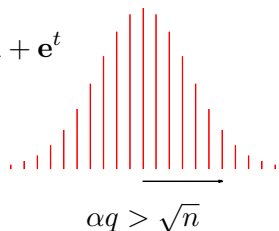


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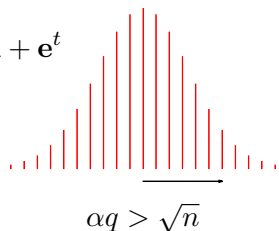
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- ▶ Foundation for a huge amount of crypto

[R'05,PW'08,GPV'08,PVW'08,CDMW'08,AGV'09,ACPS'09,CHKP'10,ABB'10a,ABB'10b,GKV'10,BV'11,BGV'12,...]

LWE as a Lattice Problem

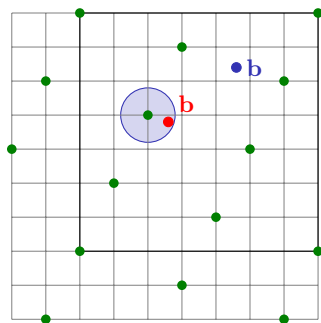
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► **Lattice** interpretation:

$$\mathcal{L}(\mathbf{A}) = \{ \mathbf{z}^t \equiv \mathbf{s}^t \mathbf{A} \pmod{q} \}$$

Finding \mathbf{s}, \mathbf{e} : BDD on $\mathcal{L}(\mathbf{A})$!

Distinguishing \mathbf{b} vs. \mathbf{b} : decision-BDD.



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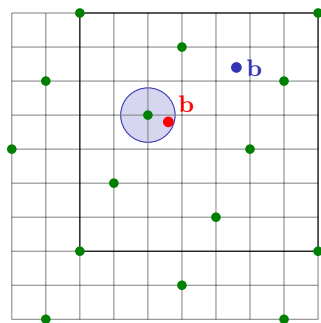
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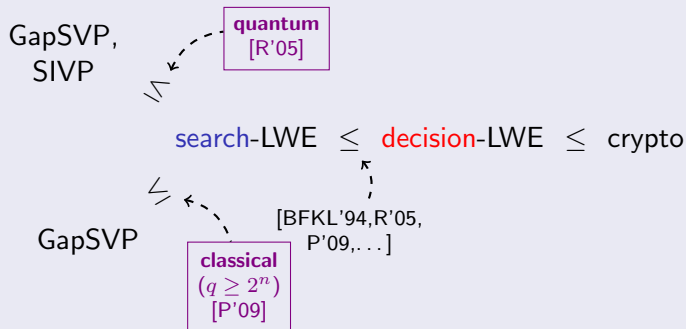
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- ▶ Also enjoys **worst-case** hardness [R'05,P'09]
... but results are more subtle.



Overview of LWE Hardness



- ▶ Dim-modulus tradeoff [BLPRS'13]: e.g., $n, q = 2^n$ for $n^2, q = \text{poly}(n)$.
- ▶ Why error $\alpha q > \sqrt{n}$?
 - ★ Required by worst-case hardness proofs
 - ★ There's an $\exp((\alpha q)^2)$ -time attack! [AG'11]

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'CRYPTOMANIA'

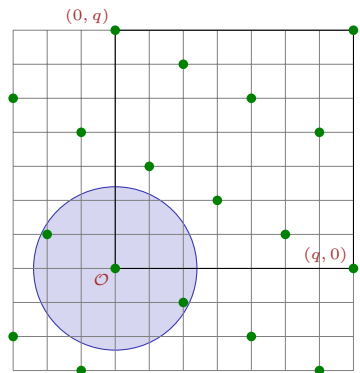
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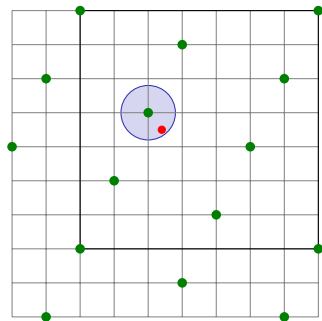


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Average-case BDD:

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Lets us amplify success probabilities (both search & decision):

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- ③ **Multiple secrets:** $(\mathbf{a}, b_1 \approx \langle s_1, \mathbf{a} \rangle, \dots, b_t \approx \langle s_t, \mathbf{a} \rangle)$ vs. $(\mathbf{a}, b_1, \dots, b_t)$.

Simple hybrid argument, since \mathbf{a} 's are *public*.

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- ▶ Suppose \mathcal{D} solves **decision**-LWE: it perfectly* distinguishes between pairs $(\mathbf{a}, b = \langle \mathbf{s}, \mathbf{a} \rangle + e)$ and (\mathbf{a}, b) .

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- ▶ (Don't actually need $q = \text{poly}(n)$.) [P'09,ACPS'09,MM'11,MP'12,BGV'12]

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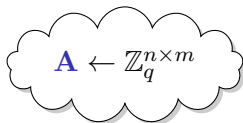
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- ▶ This maps (\mathbf{a}, \mathbf{b}) to $(\mathbf{a}', \mathbf{b}')$, so it applies to decision-LWE too.

Public-Key Cryptosystem using LWE [Regev'05]



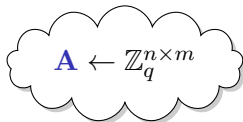
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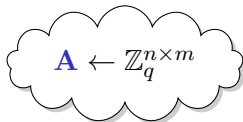
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—————→
(public key)

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$$\mathbf{s} \leftarrow \mathbb{Z}_q^n$$



$$\mathbf{A} \leftarrow \mathbb{Z}_q^{n \times m}$$

$$\mathbf{x} \leftarrow \{0, 1\}^m$$



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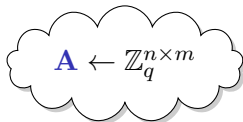
$$\mathbf{u} = \mathbf{A} \mathbf{x}$$

←————
(ciphertext 'preamble')

Public-Key Cryptosystem using LWE [Regev'05]



$$\mathbf{s} \leftarrow \mathbb{Z}_q^n$$



$$\mathbf{A} \leftarrow \mathbb{Z}_q^{n \times m}$$

$$\mathbf{x} \leftarrow \{0, 1\}^m$$



$$\mathbf{b}^t = \mathbf{s}^t \mathbf{A} + \mathbf{e}^t$$

→
(public key)

$$\mathbf{u} = \mathbf{A} \mathbf{x}$$

←
(ciphertext 'preamble')

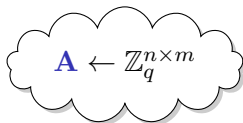
$$\mathbf{u}' = \mathbf{b}^t \mathbf{x} + \text{bit} \cdot \frac{q}{2}$$

←
('payload')

Public-Key Cryptosystem using LWE [Regev'05]



$$\mathbf{s} \leftarrow \mathbb{Z}_q^n$$



$$\mathbf{A} \leftarrow \mathbb{Z}_q^{n \times m}$$

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$$\mathbf{b}^t = \mathbf{s}^t \mathbf{A} + \mathbf{e}^t$$

(public key)

$$\mathbf{u} = \mathbf{A} \mathbf{x}$$

(ciphertext 'preamble')

$$\mathbf{u}' = \mathbf{b}^t \mathbf{x} + \text{bit} \cdot \frac{q}{2}$$

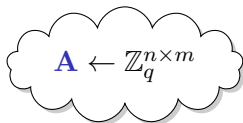
('payload')

$$\mathbf{u}' - \mathbf{s}^t \mathbf{u} \approx \text{bit} \cdot \frac{q}{2}$$

Public-Key Cryptosystem using LWE [Regev'05]



$$\mathbf{s} \leftarrow \mathbb{Z}_q^n$$



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$$\mathbf{b}^t = \mathbf{s}^t \mathbf{A} + \mathbf{e}^t$$

(public key)

$$\mathbf{u} = \mathbf{A} \mathbf{x}$$

(ciphertext 'preamble')

$$\mathbf{u}' = \mathbf{b}^t \mathbf{x} + \text{bit} \cdot \frac{q}{2}$$

('payload')

$$\mathbf{u}' - \mathbf{s}^t \mathbf{u} \approx \text{bit} \cdot \frac{q}{2}$$

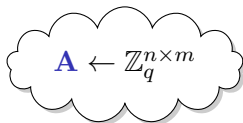


$$(\mathbf{A}, \mathbf{b}^t), (\mathbf{u}, \mathbf{u}')$$

Public-Key Cryptosystem using LWE [Regev'05]



$$\mathbf{s} \leftarrow \mathbb{Z}_q^n$$



$$\mathbf{x} \leftarrow \{0, 1\}^m$$

$$\mathbf{b}^t = \mathbf{s}^t \mathbf{A} + \mathbf{e}^t$$

—————→
(public key)

$$\mathbf{u} = \mathbf{A} \mathbf{x}$$

←————
(ciphertext 'preamble')

$$\mathbf{u}' - \mathbf{s}^t \mathbf{u} \approx \text{bit} \cdot \frac{q}{2}$$

$$\mathbf{u}' = \mathbf{b}^t \mathbf{x} + \text{bit} \cdot \frac{q}{2}$$

←————
('payload')

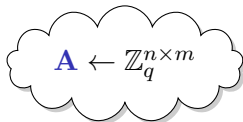


$(\mathbf{A}, \mathbf{b}^t), (\mathbf{u}, \mathbf{u}')$
by LWE

Public-Key Cryptosystem using LWE [Regev'05]



$$\mathbf{s} \leftarrow \mathbb{Z}_q^n$$



$$\mathbf{A} \leftarrow \mathbb{Z}_q^{n \times m}$$

$$\mathbf{x} \leftarrow \{0, 1\}^m$$



$$\mathbf{b}^t = \mathbf{s}^t \mathbf{A} + \mathbf{e}^t$$

(public key)

$$\mathbf{u} = \mathbf{A} \mathbf{x}$$

(ciphertext 'preamble')

$$\mathbf{u}' = \mathbf{b}^t \mathbf{x} + \text{bit} \cdot \frac{q}{2}$$

('payload')

$$\mathbf{u}' - \mathbf{s}^t \mathbf{u} \approx \text{bit} \cdot \frac{q}{2}$$



$(\mathbf{A}, \mathbf{b}^t), (\mathbf{u}, \mathbf{u}')$
by LWE and
by LHL when
 $m \geq n \log q$

“Dual” Cryptosystem [GPV'08]



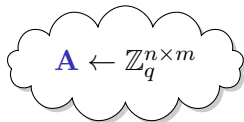
$$\mathbf{x} \leftarrow \{0, 1\}^m$$



“Dual” Cryptosystem [GPV'08]



$$\mathbf{x} \leftarrow \{0, 1\}^m$$



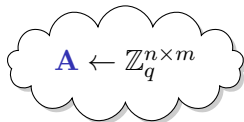
$$\mathbf{u} = \mathbf{A}\mathbf{x}$$

(public key, uniform when $m \geq n \log q$)

“Dual” Cryptosystem [GPV'08]



$$\mathbf{x} \leftarrow \{0, 1\}^m$$



$$\mathbf{A} \leftarrow \mathbb{Z}_q^{n \times m}$$

$$\mathbf{s} \leftarrow \mathbb{Z}_q^n$$



$$\mathbf{u} = \mathbf{A}\mathbf{x}$$

(public key, uniform when $m \geq n \log q$)

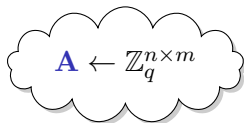
$$\mathbf{b}^t = \mathbf{s}^t \mathbf{A} + \mathbf{e}^t$$

(ciphertext 'preamble')

“Dual” Cryptosystem [GPV'08]



$$\mathbf{x} \leftarrow \{0, 1\}^m$$



$$\mathbf{A} \leftarrow \mathbb{Z}_q^{n \times m}$$

$$\mathbf{s} \leftarrow \mathbb{Z}_q^n$$



$$\mathbf{u} = \mathbf{A}\mathbf{x}$$

(public key, uniform when $m \geq n \log q$)

$$\mathbf{b}^t = \mathbf{s}^t \mathbf{A} + \mathbf{e}^t$$

(ciphertext 'preamble')

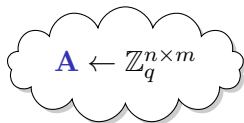
$$\mathbf{b}' = \mathbf{s}^t \mathbf{u} + \mathbf{e}' + \text{bit} \cdot \frac{q}{2}$$

('payload')

“Dual” Cryptosystem [GPV'08]



$$\mathbf{x} \leftarrow \{0, 1\}^m$$



$$\mathbf{A} \leftarrow \mathbb{Z}_q^{n \times m}$$

$$\mathbf{s} \leftarrow \mathbb{Z}_q^n$$



$$\mathbf{u} = \mathbf{A}\mathbf{x}$$

(public key, uniform when $m \geq n \log q$)

$$\mathbf{b}^t = \mathbf{s}^t \mathbf{A} + \mathbf{e}^t$$

(ciphertext 'preamble')

$$\mathbf{b}' - \mathbf{b}^t \mathbf{x} \approx \text{bit} \cdot \frac{q}{2}$$

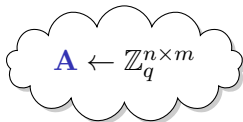
$$\mathbf{b}' = \mathbf{s}^t \mathbf{u} + \mathbf{e}' + \text{bit} \cdot \frac{q}{2}$$

('payload')

“Dual” Cryptosystem [GPV'08]



$$\mathbf{x} \leftarrow \{0, 1\}^m$$



$$\mathbf{A} \leftarrow \mathbb{Z}_q^{n \times m}$$

$$\mathbf{s} \leftarrow \mathbb{Z}_q^n$$



$$\mathbf{u} = \mathbf{A}\mathbf{x}$$

(public key, uniform when $m \geq n \log q$)

$$\mathbf{b}^t = \mathbf{s}^t \mathbf{A} + \mathbf{e}^t$$

(ciphertext 'preamble')

$$\mathbf{b}' - \mathbf{b}^t \mathbf{x} \approx \text{bit} \cdot \frac{q}{2}$$

$$\mathbf{b}' = \mathbf{s}^t \mathbf{u} + \mathbf{e}' + \text{bit} \cdot \frac{q}{2}$$

('payload')

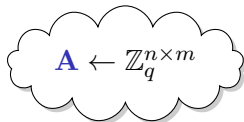


$$(\mathbf{A}, \mathbf{u}), (\mathbf{b}, \mathbf{b}')$$

“Dual” Cryptosystem [GPV'08]



$$\mathbf{x} \leftarrow \{0, 1\}^m$$



$$\mathbf{A} \leftarrow \mathbb{Z}_q^{n \times m}$$

$$\mathbf{s} \leftarrow \mathbb{Z}_q^n$$



$$\mathbf{u} = \mathbf{A}\mathbf{x}$$

(public key, uniform when $m \geq n \log q$)

$$\mathbf{b}^t = \mathbf{s}^t \mathbf{A} + \mathbf{e}^t$$

(ciphertext 'preamble')

$$\mathbf{b}' - \mathbf{b}^t \mathbf{x} \approx \text{bit} \cdot \frac{q}{2}$$

$$\mathbf{b}' = \mathbf{s}^t \mathbf{u} + \mathbf{e}' + \text{bit} \cdot \frac{q}{2}$$

('payload')



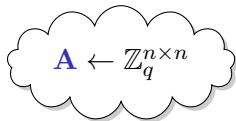
$$(\mathbf{A}, \mathbf{u}), (\mathbf{b}, \mathbf{b}')$$

by LWE

Most Efficient Cryptosystem [A'03,LPS'10,LP'11]



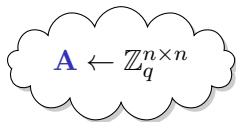
$$\mathbf{s} \leftarrow \chi^n$$



Most Efficient Cryptosystem [A'03,LPS'10,LP'11]



$$\mathbf{s} \leftarrow \chi^n$$



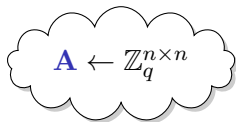
$$\mathbf{u}^t = \mathbf{s}^t \mathbf{A} + \mathbf{e}^t$$

(public key)

Most Efficient Cryptosystem [A'03,LPS'10,LP'11]



$$\mathbf{s} \leftarrow \chi^n$$



$$\mathbf{r} \leftarrow \chi^n$$



$$\mathbf{u}^t = \mathbf{s}^t \mathbf{A} + \mathbf{e}^t$$

—————→
(public key)

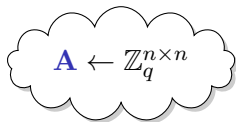
$$\mathbf{b} = \mathbf{A} \mathbf{r} + \mathbf{x}$$

←————
(ciphertext 'preamble')

Most Efficient Cryptosystem [A'03,LPS'10,LP'11]



$$\mathbf{s} \leftarrow \chi^n$$



$$\mathbf{A} \leftarrow \mathbb{Z}_q^{n \times n}$$

$$\mathbf{r} \leftarrow \chi^n$$



$$\mathbf{u}^t = \mathbf{s}^t \mathbf{A} + \mathbf{e}^t$$

→
(public key)

$$\mathbf{b} = \mathbf{A} \mathbf{r} + \mathbf{x}$$

←
(ciphertext 'preamble')

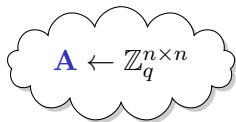
$$b' = \mathbf{u}^t \mathbf{r} + x' + \text{bit} \cdot \frac{q}{2}$$

←
('payload')

Most Efficient Cryptosystem [A'03,LPS'10,LP'11]



$$\mathbf{s} \leftarrow \chi^n$$



$$\mathbf{A} \leftarrow \mathbb{Z}_q^{n \times n}$$

$$\mathbf{r} \leftarrow \chi^n$$



$$\mathbf{u}^t = \mathbf{s}^t \mathbf{A} + \mathbf{e}^t$$

—————→
(public key)

$$\mathbf{b} = \mathbf{A} \mathbf{r} + \mathbf{x}$$

←————
(ciphertext 'preamble')

$$\mathbf{b}' = \mathbf{u}^t \mathbf{r} + \mathbf{x}' + \text{bit} \cdot \frac{q}{2}$$

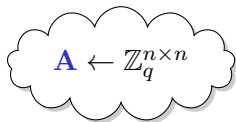
←————
('payload')

$$\mathbf{b}' - \mathbf{s}^t \mathbf{b} \approx \text{bit} \cdot \frac{q}{2}$$

Most Efficient Cryptosystem [A'03,LPS'10,LP'11]



$$\mathbf{s} \leftarrow \chi^n$$



$$\mathbf{A} \leftarrow \mathbb{Z}_q^{n \times n}$$

$$\mathbf{r} \leftarrow \chi^n$$



$$\mathbf{u}^t = \mathbf{s}^t \mathbf{A} + \mathbf{e}^t$$

—————→
(public key)

$$\mathbf{b} = \mathbf{A} \mathbf{r} + \mathbf{x}$$

←————
(ciphertext 'preamble')

$$b' - \mathbf{s}^t \mathbf{b} \approx \text{bit} \cdot \frac{q}{2}$$

$$b' = \mathbf{u}^t \mathbf{r} + x' + \text{bit} \cdot \frac{q}{2}$$

←————
('payload')

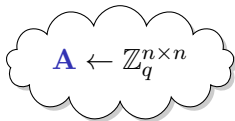


$$(\mathbf{A}, \mathbf{u}, \mathbf{b}, b')$$

Most Efficient Cryptosystem [A'03,LPS'10,LP'11]



$$\mathbf{s} \leftarrow \chi^n$$



$$\mathbf{A} \leftarrow \mathbb{Z}_q^{n \times n}$$

$$\mathbf{r} \leftarrow \chi^n$$



$$\mathbf{u}^t = \mathbf{s}^t \mathbf{A} + \mathbf{e}^t$$

—————→
(public key)

$$\mathbf{b} = \mathbf{A} \mathbf{r} + \mathbf{x}$$

←————
(ciphertext 'preamble')

$$b' - \mathbf{s}^t \mathbf{b} \approx \text{bit} \cdot \frac{q}{2}$$

$$b' = \mathbf{u}^t \mathbf{r} + x' + \text{bit} \cdot \frac{q}{2}$$

←————
('payload')

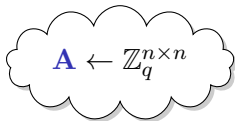


$(\mathbf{A}, \mathbf{u}, \mathbf{b}, b')$
by LWE (HNF)

Most Efficient Cryptosystem [A'03,LPS'10,LP'11]



$$\mathbf{s} \leftarrow \chi^n$$



$$\mathbf{A} \leftarrow \mathbb{Z}_q^{n \times n}$$

$$\mathbf{r} \leftarrow \chi^n$$



$$\mathbf{u}^t = \mathbf{s}^t \mathbf{A} + \mathbf{e}^t$$

—————→
(public key)

$$\mathbf{b} = \mathbf{A} \mathbf{r} + \mathbf{x}$$

←————
(ciphertext 'preamble')

$$b' - \mathbf{s}^t \mathbf{b} \approx \text{bit} \cdot \frac{q}{2}$$

$$b' = \mathbf{u}^t \mathbf{r} + x' + \text{bit} \cdot \frac{q}{2}$$

←————
('payload')



$(\mathbf{A}, \mathbf{u}, \mathbf{b}, b')$
by LWE (HNF)
by LWE (HNF)

Wrapping Up

- ▶ Now you know all the basic techniques for working with SIS and LWE.
- ▶ We've covered a lot: do the exercises to reinforce your understanding!
- ▶ Tomorrow: more advanced applications, using “strong trapdoors.”