

# Efficient and Robust Streaming Provisioning in VPNs

Z. Morley Mao\*, David Johnson, Oliver Spatscheck, Jacobus E. van der Merwe, and Jia Wang  
*AT&T Labs–Research*

## ABSTRACT

Today, most large companies maintain virtual private networks (VPNs) to connect their remote locations into a single secure network. VPNs can be quite large covering more than 1000 locations and in most cases use standard Internet protocols and services. Such VPNs are implemented using a diverse set of technologies such as Frame Relay, MPLS, or IPSEC to achieve the goal of privacy and performance isolation from the public Internet.

Using VPNs to distribute live content has recently received tremendous interest. For example, a VPN could be used to broadcast a CEO-employee town hall meeting. To distribute this type of content economically without overloading the network, the deployment of streaming caches or splitters is most likely required.

In this paper, we address the problem of optimally placing such streaming splitters or caches to broadcast to a given set of VPN endpoints under the constraints typically found within a VPN. In particular, we introduce an efficient algorithm with complexity  $O(V)$ ,  $V$  being the number of routers in the VPN. This guarantees the optimal cache placement if interception is used for redirection. We prove that the general problem is NP-hard and introduce multiple heuristics for efficient and robust cache placement suitable under different constraints. At the expense of increased implementation complexity, each heuristic solution provides additional saving in the number of caches required. We evaluate proposed solutions using extensive simulations. In particular, we show our flow-based solution is very close to the optimal.

## 1. INTRODUCTION

The distribution of live streaming content is gaining popularity in VPN environments to support webcasts ranging from tens to hundreds of thousands of streaming media clients. Due to a lack of layer 3 multicast availability in these environments, such streaming services are typically offered using unicast transport protocols. Obviously the inherent problem of distributing such a large number of streams via unicast delivery is that some links within the VPN might become overloaded.

The typical VPN network topology compounds this problem even further. VPNs usually consist of a small hub network which provides connectivity to, in some cases, thousands of individual spokes. The fact that both the hubs and the spokes are usually geographically distant makes increasing the bandwidth to accommo-

\*Zhuoqing Morley Mao (email: zmao@cs.berkeley.edu) is a Computer Science graduate student at University of California, Berkeley. This work was done during her internship at AT&T Research Labs.

Copyright is held by the author/owner(s).  
WWW2003, May 20–24, 2003, Budapest, Hungary.  
ACM 2003.

date a large number of unicast streams prohibitively expensive.

The standard solution to this problem is to deploy streaming cache servers within the VPN which in the context of live streaming simply split the unicast traffic and, therefore, can be used to offload congested links. Such cache servers are offered today by a large number of vendors such as Network Appliance, Volera, and Cisco Systems. However, the cache cost, as well as the maintenance cost associated with each cache, requires careful placement of the caches to minimize the overall cost of the live streaming infrastructure. In the context of an existing VPN, it is therefore important to find the optimal cache placement to minimize the number of caches which have to be deployed to serve a given client population.

We first show that this optimal cache placement problem is NP-hard in general, and hence only restricted versions of the problem are likely to be efficiently solvable. We then show that the problem restricted to the use of interception caches has a provable optimal solution with complexity  $O(V)$  with  $V$  being the number of routers in the VPN. We also provide heuristic solutions for the general case and thoroughly evaluate them using simulations. Each heuristic solution provides improvement over the interception-based solution at the expense of additional implementation cost.

The rest of this paper is organized as follows. In Section 2, we discuss related work. Section 3 contains a more detailed problem statement and describes our proposed cache placement algorithms. Section 4 describes the simulation method we used to evaluate the proposed algorithms, and Section 5 contains the simulation results. Section 6 concludes the paper.

## 2. RELATED WORK

While a significant body of related work exists, we believe the work presented in this paper to be unique in the following aspects:

- Considering the placement of cache servers for the support of live streaming media in a VPN environment. Most related work deals with the placement of servers to support Web traffic in an Internet environment.
- Considering the minimum number of caches to fully support a known user population. Most related work considers the potential performance improvement of user experience as a tradeoff against the cost of deploying servers.
- Considering the robustness of our algorithms in the face of imperfect input data. While some papers mention this as an issue, we are unaware of any work in which it has been thoroughly examined.

In the Web space, several papers consider the placement of objects or replicas on network servers [6, 8, 7]. The purpose here is

to decide which objects should be replicated on what set of network servers while trading off improved performance against the cost of servers. For the problem of live streaming, which we have addressed, this kind of partial object placement is not possible because in general all of the content (*i.e.*, the complete live stream) needs to be distributed to all users.

Another group of papers investigated the placement of network servers in various scenarios [13, 12, 5, 11]. The most general of these with a problem statement closest to our work is work by Shi and Turner [13]. They consider the number of servers which are needed and where they need to be placed in an overlay network to satisfy a certain quality of service to all clients. Their work differs from ours in that it aims at an Internet environment rather than the more constrained VPN environment we have considered. Furthermore, while their problem statement is very general, they make a number of simplifying assumptions, *e.g.*, network capacity constraints are ignored, which is not realistic for our problem setting. Work by Jamin *et al.* [5] and Qiu *et al.* [12] investigate server placement in the context of performance improvement of Web traffic.

In summary, the existing cache or object placement algorithms for Web traffic in the Internet environment do not directly apply to our problem, because streaming services have a more strict requirement on bandwidth. There is usually a minimum bandwidth guarantee needed for the streaming service to be acceptable. Furthermore, streaming events are typically of longer duration than web transactions and thus sufficient bandwidth must exist between the client and the server during the entire duration of the event. By taking into account this additional and indispensable bandwidth constraint, our study is focused on developing both efficient and robust algorithms for provisioning streaming services in mostly known network settings.

### 3. PROPOSED SOLUTIONS

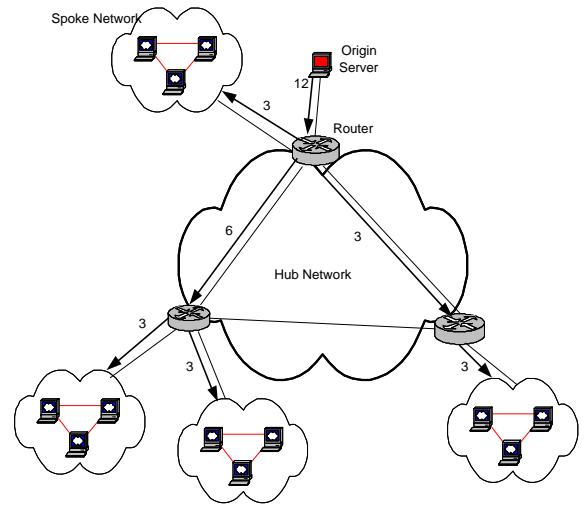
#### 3.1 Problem statement

Figure 1 depicts a typical VPN topology to illustrate the scenario we are considering in this paper. In the figure, a live stream is assumed to originate from an origin server which connects directly to a densely interconnected “hub network”, consisting of high capacity (typically WAN) links. It interconnects “spoke networks” consisting of richly connected islands of LAN technology.

Figure 1 also shows the inherent problem with distributing continuous streaming content via unicast delivery. The thick lines show a shortest-path distribution tree from the origin server. The numbers next to each of the lines indicate the required capacity of each link assuming that each spoke network requires three units of capacity. The well-known solution to this scalability problem consist of the distribution of streaming caching servers throughout the network to effectively form an application level multicast distribution mechanism.

In this paper, we address the practical and important problem of determining the minimum number of caching servers required to deliver live unicast streaming content to a given client population. By definition, a *client* represents a streaming media end user that requests a single live stream. We start by assuming that the network topology and link capacities are known. These constraints are relaxed later to investigate the robustness of our algorithms. We assume all clients need to be satisfied, *i.e.*, we want to serve the live streams without degradation in quality to all clients. Given the minimum number of caching servers, a second optimization criterion is minimizing the network congestion defined as the total bandwidth usage by placing the caches strategically.

There is an inherent tradeoff between bandwidth utilization and

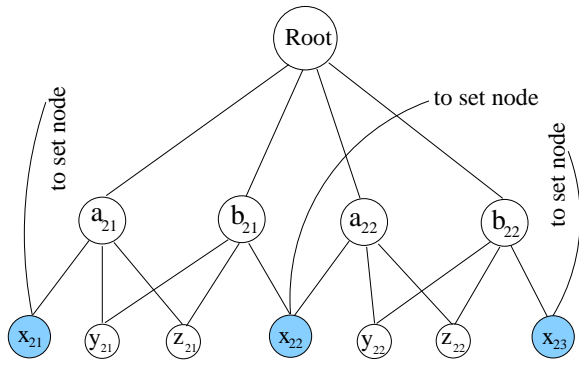


**Figure 1: Streaming distribution in a VPN from the origin server**

the number of caches needed to satisfy a user population. At an extreme, we can place a cache at each router to achieve the minimal total bandwidth usage, *i.e.*, emulating the use of native multicast. The optimization criteria we study in this paper require that we satisfy all users with the minimal number of caches. Therefore, we first find the minimal number of caches and then place them to minimize bandwidth usage. We emphasize that our algorithms can be easily adapted to a different cost model by modifying the cost of adding caches and bandwidth usage. For instance, our algorithms can be used to discover the bottleneck links and compare the cost of placing a cache versus just upgrading the link. Our contribution is to provide a general framework for optimizing different criteria. We believe that our chosen criteria represent a reasonable choice for practical considerations.

More precisely we make the following assumptions unless otherwise stated:

- Network information: The topology, link capacity, and receiving client distribution are known.
- Origin streaming server: The location of the origin server and the bandwidth of the stream are known. We assume there is a single origin server.
- Routing: IP layer routing is performed using a shortest path algorithm. We assume the default routing metric (link weight is the reciprocal of the link capacity) and assume the absence of layer 3 multicast support.
- Request routing: A client can request the stream from any cache or the origin server.
- Cache location: Any router can be a potential location for cache placement.
- Application requirement: The delay and delay jitter between the client and the cache/origin server is not of concern, however, the route must provide sufficient bandwidth for the stream.
- Bandwidth usage: The bandwidth usage for each link cannot exceed the link capacity. We assume bandwidth on different



**Figure 2: An element component in the transformation from X3C to the Minimum Cache Placement problem when  $k_2 = 3$**

links is of equal cost, and we minimize the total bandwidth used, which is the sum of bandwidth usage on all links. If different types of links have different cost models, the problem can be easily modified by including a cost unit for each link.

- Cache or origin server is not the bottleneck relative to the bandwidth usage; thus, server load is not a consideration in our algorithms. We assume that each server can saturate the total bandwidth of its outgoing links. This assumption is reasonable in the streaming context, as even small streaming servers today can trivially saturate OC-12 links, and large servers can handle Gigabit speeds. Note, however, our solution can be easily adjusted if server load is an issue by including a bound on the server load.
- VPN topology is of hub and spoke nature, where the hub consists of a few highly meshed routers connected with relatively high bandwidth links across the wide area. Each hub node serves several spoke domains.

As indicated earlier, we use this “perfect” knowledge as a starting point and then consider the robustness of our algorithms in the case of incomplete knowledge.

### 3.2 Problem complexity

The general Cache Placement Problem can be defined as follows. We are given a number  $K$  of allowed caches and a network modeled as a directed graph  $G(V, E)$ , where  $V$  is the set of nodes and contains a specified root  $R \in V$  and  $E$  is a set of directed links of known capacity, expressed as a multiple of the stream bandwidth. For each node we are also given the number of streams requested by clients located at that node. Do  $K$  caches suffice to satisfy all the demands?

**Claim:** The Minimum Cache Placement problem is NP-hard.

**PROOF.** Our proof is by a transformation from the Exact Cover by 3-Sets problem (X3C) [4] to our problem. An instance of X3C consists of a set  $X = \{x_1, x_2, \dots, x_{3q}\}$  and a collection  $C = \{c_1, c_2, \dots, c_n\}$  of 3-element subsets of  $X$  (triplets). The answer for an instance is “yes” if and only if there exists a subcollection  $C' \subseteq C$  such that every element of  $X$  occurs in exactly one member of  $C'$ . Note that we must have  $|C'| = q$ . We transform an X3C instance to an instance of our problem as follows.

Suppose that  $x_i$  occurs in  $k_i$  triplets. Then  $x_i$  is represented in our construction by a component consisting of  $5k_i - 4$  nodes which

we shall denote as  $x_{i,h}$ ,  $1 \leq h \leq k_i$  and  $a_{i,h}, b_{i,h}, y_{i,h}, z_{i,h}$ ,  $1 \leq h \leq k_i - 1$ . If we let  $\{u, v\}$  represent the pair of directed edges  $(u, v)$  and  $(v, u)$ , the edges for this component are  $\{R, a_{i,h}\}$ ,  $\{R, b_{i,h}\}$ ,  $\{a_{i,h}, x_{i,h}\}$ ,  $\{a_{i,h}, y_{i,h}\}$ ,  $\{a_{i,h}, z_{i,h}\}$ ,  $\{b_{i,h}, y_{i,h}\}$ ,  $\{b_{i,h}, z_{i,h}\}$ , and  $\{b_{i,h}, x_{i,h+1}\}$ , for  $1 \leq h \leq k_i - 1$ . Figure 2 shows an example element component when  $k_2 = 3$ .

For each set  $c_j \in C$  we have a node  $c_j$ , with edges  $\{R, c_j\}$  and, if the set  $c_j$  contains the  $h$ th occurrence of element  $x_i$ ,  $\{c_j, x_{i,h}\}$ .

All edges have capacity 1 and each node has one client.

We claim that  $K = 3n - 2q$  caches suffice if and only if an exact cover existed for the original X3C instance. First suppose such a cover  $C'$  exists. We can then satisfy the requests as follows. Each cache will be placed at an  $a$ ,  $b$ , or  $c$  node, and the root will send streams to all such nodes, thus satisfying their individual requests and supplying any caches they contain. We first place caches on each of the  $c_j$  nodes corresponding to members of  $C'$  (a total of  $q$  caches), with the  $c_j$  node sending a stream to each of the three  $x_{i,h}$  nodes to which it is linked. Now consider the component for an element  $x_i$ . Because  $C'$  was an exact cover, exactly one of the vertices  $x_{i,h}$  is served by a cache at a set node. Let us denote this node by  $x_{i,H}$ . We then place a cache at node  $a_{i,h}$ ,  $1 \leq h < H$ , with  $a_{i,h}$  sending streams to  $x_{i,h}$ ,  $y_{i,h}$  and  $z_{i,h}$ , and on node  $b_{i,h}$ ,  $H \leq h \leq k_i - 1$ , with  $b_{i,h}$  sending streams to  $y_{i,h}$ ,  $z_{i,h}$ , and  $x_{i,h+1}$ . The reader may verify that the overall number of caches used is  $3n - 2q$ , as desired.

Now suppose that  $K$  caches suffice. We must show that an exact cover exists. This is a bit more complicated, and we leave the complete details for the extended version of this paper. A key observation is that for each element  $x_i$ , the corresponding element component must contain at least  $k_i - 1$  caches, one from each  $a_{i,h}, b_{i,h}$  pair.  $\square$

Note that although the topology of the instance we constructed does not follow the “hub and spoke” model specified in the previous section, it could be the topology for a spoke in such a model, and thus the problem remains NP-hard when restricted to the hub and spoke model. Note also that the construction in the above proof shows that the Cache Placement Problem is NP-hard even if all links are symmetric and all capacities are equal to 1. Furthermore, the result holds both when all routes must follow shortest paths as in OSPF and when there are no constraints on routing other than link capacity.

### 3.3 Interception proxy based solution

To make use of streaming caching servers to build application level distribution trees, streaming clients have to request the stream from a caching server rather than the origin server. One way to achieve this is by transparently intercepting requests for streaming content at the network layer and passing it to a caching server, the intercepting proxy approach, as illustrated in Figure 3. In the figure, the numbers next to each of the lines indicate the required capacity of each link; arrows of the same line type indicate these streams come from the same source <sup>1</sup>. WCCP [3] is an example protocol that enables this functionality by intercepting requests on certain port ranges in a router and passing all such traffic to a caching server.

Fortunately, when intercepting proxies are used, the problem is simplified by restricting traffic to a single distribution tree rooted at the origin server. Thus, a cache can only serve the clients downstream from the root of the tree, given the viewpoint that the root of

<sup>1</sup>For ease of illustration, we place caches at the hub nodes. In practice, as we show later, caches are typically placed in spoke domains and rarely needed in the hub network.

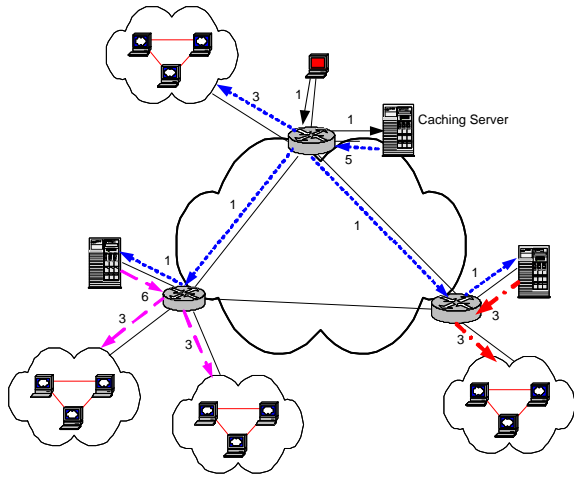


Figure 3: Streaming distribution using interception proxies

the tree is the origin server. Such a restriction represents the mechanism used in the intercepting proxy solution where end clients are requesting from the origin server as they would normally do, but caches placed on the route between the client and server intercept the request and deliver the stream. We now present a simple and efficient greedy algorithm that gives the *optimal* solution in terms of the minimum number of caches. We subsequently show how a dynamic programming algorithm working on the results of the greedy solution can compute a way to place this minimum number of caches so as to minimize the total bandwidth used.

### 3.3.1 Greedy minimum cache placement algorithm

We assume we are given a distribution tree  $T$  with nodes  $V$  and edges  $E$  directed away from the root  $v_s$ , which is the origin server for the stream. In addition, there is a capacity  $C_e$  for each edge  $e \in E$ , and a demand (number of clients requesting streams)  $S_v$  for each node. We assume without loss of generality that every leaf node has at least one client requesting a stream, *i.e.*, that  $S_v \geq 1$  for all leaf nodes  $v$ . If not, we can simply delete the leaf node and its incoming link from the tree. The tree itself can be computed in  $O(|V|)$  time based on router table information, assuming that shortest path routing without path splitting is in effect, as in common implementations of the OSPF routing protocol.

In what follows, we shall use the notation  $D_e$  to denote the amount of bandwidth required on link  $e$ , given the current cache placement. Note that if  $D_e > C_e$  the link is overloaded. If the link  $(u, v)$  is overloaded, we shall call  $v$  a *red node*; otherwise it is a *green node*. Note that one way to get a feasible cache placement is simply to identify the set of red nodes for the case of no caches, and then place a cache on each red node. This will be called the “red nodes” solution in what follows. This may not be an optimal solution however. To get an optimal solution for a tree  $T$ , we can use the following algorithm.

**Algorithm:** Label each node  $v$  with a depth value  $d(v)$  – the number of links on the path from  $v$  to the origin server  $v_s$ . Let  $d_{max} = \max\{d(v) : v \in V\}$ . For each node  $v$ , let  $e(v)$  denote the incoming link for  $v$ , *i.e.*, the unique edge  $(u, v)$  in the tree. (We can let this be a dummy edge of infinite capacity in the case of the root  $v_s$ .) Let  $T(v)$  denote the subtree rooted at  $v$  and let  $children(v)$  denote the set of nodes  $u$  such that  $(v, u) \in E$ .

### Greedy( $T$ )

For each  $i = (d_{max}, d_{max-1}, \dots, 1)$ ,

For each  $v \in V$  with  $d(v) = i$

Recompute  $D_{e(v)}$  as  $S_v + \sum_{u \in children(v)} D_{(v,u)}$ .

If  $D_{e(v)} > C_{e(v)}$ , place a cache at  $v$  and set  $D_{e(v)} = 1$ .

Note that the algorithm works in from the leaves of the tree, processing a node only after all its children have been processed. It takes time  $O(|V|)$  since it processes each node once, and looks at each link (of which there are only  $|V| - 1$  in a tree) just twice.

**Claim:** The greedy algorithm assigns the minimum number of caches needed to satisfy all client requests, assuming flows are restricted to the distribution tree  $T$ .

**PROOF.** For each node  $v$ , let  $opt(v)$  denote the minimum number of caches that  $T(v)$  can contain in an overall cache placement that satisfies all requests. Our proof is by induction using the following induction hypothesis:

For each node  $v$  that has been processed

1. The subtree rooted at  $v$  contains  $opt(v)$  caches, and
2. The updated value  $D_{e(v)}$  is the minimum possible value over all cache placements for  $T(v)$  that use  $opt(v)$  caches and satisfy all requests.

Note that (1) and (2) hold for the leaves of  $T$ : If a leaf  $v$  gets a cache then this must be unavoidable, and the updated value of  $D_{e(v)}$  is 1, the minimum possible value. If  $v$  does not get a cache, then one is not required and  $D_{e(v)} = S_v$ , the minimum possible given that  $v$  does not contain a cache.

The induction step, proving that if (1) and (2) hold for all the children of  $v$  then they hold for  $v$  as well, is relatively straightforward and we postpone the details to the extended version of this paper. Given the induction step, we conclude that (1) and (2) hold for all nodes, and in particular they hold for the root, which implies that we have an optimal solution.  $\square$

### 3.3.2 Minimizing bandwidth utilization

As shown in the previous section, the greedy algorithm produces a cache placement for a tree such that for any node in the tree, the number of caches in the subtree rooted at the node is the minimum possible if all requests in that subtree are to be satisfied. This placement may not, however, be the best with respect to bandwidth utilization of some other measure of congestion. A standard method for measuring overall congestion is to take the sum over all edges  $e$  of a *congestion cost*  $cc(e, t)$  for that edge, where  $t$  is the amount of traffic carried by edge  $e$ , and  $cc(e, t)$  is typically assumed to be a nondecreasing function of  $t$ . For this application, we may assume that  $t$  is an integer in the range from 0 to  $capacity(e)$ , where capacity is expressed in terms of the maximum number of simultaneous streams the link can carry. For congestion cost corresponding to bandwidth utilization is simply  $cc(e, t) = t$ .

It is not difficult to see that it might be possible to decrease total congestion cost by moving a cache from its greedy location toward a leaf. Although this may increase the traffic on the link coming into the old location, it might decrease the traffic on the link between the old and the new location, for a net decrease in congestion.

In this section we observe that, given the greedy placement and an arbitrary congestion cost function  $cc(e, t)$ , we can efficiently find a placement that minimizes total congestion cost, subject to the constraint that the optimal number of caches must

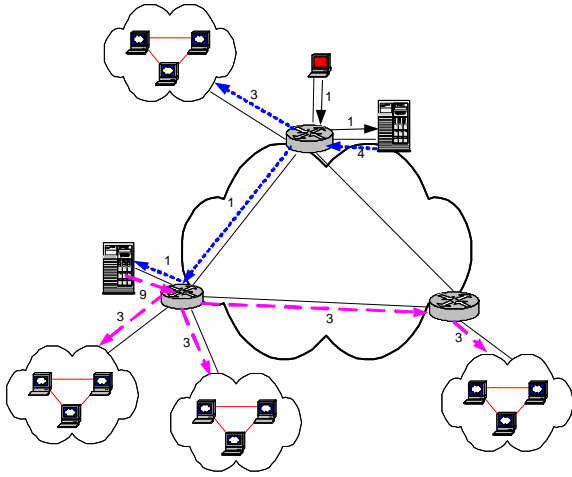


Figure 4: Streaming distribution with router-based redirection

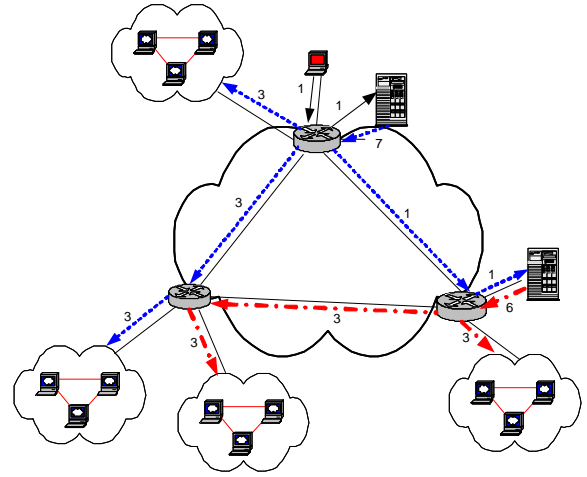


Figure 5: Streaming distribution with client-based redirection

be used. The algorithm uses dynamic programming and takes time  $O(\sum_{e \in E} capacity(e)^2) = O(|E|(\max\{capacity(e) : e \in E\})^2)$ . Note that this can be fairly expensive, since some of the links in the cores of the networks we study in our simulations have sufficient capacities to carry more than 8000 streams. However, one would expect a shortest-path tree in such a network to contain relatively few such edges.

Preliminary simulations show that applying the algorithm to the bandwidth utilization case ( $cc(e, t) = t$ ) can result in improvements of as much as 20% in total congestion for large topologies. The technical details of the algorithm and the simulations will be presented in the extended version of this paper.

### 3.4 Additional redirection systems

In the previous section, we assumed that client requests are intercepted on layer 3 and redirected to an appropriate cache. However, all cache vendors today offer in addition to interception based redirection, layer 7 based redirection methods such as DNS, HTTP/RTSP redirect or meta-file rewriting [2].

Layer 7 redirection relaxes the constraint of using a single distribution tree from the origin server and allows each client to use any cache, no longer restricting the client to caches that lie between it and the root in a fixed distribution tree. Since this problem is NP-hard as proved in Section 3.2, we settle for designing heuristics that attempt to find near-optimal solutions.

Similar to the Content Distribution Networks that commonly use DNS to do server selection [10], a layer of indirection is required to translate the request for streaming server by the user to the desired server. Such Layer 7 redirection can be done at different granularities with higher cost associated with a finer granularity. At the extreme, each client attached to the router can potentially select a different cache server. A more coarse-grained redirection can be done on a per prefix level. Similarly, clients attached to the same router are constrained to select the same server. We consider the improvement in cache reduction compared to the greedy algorithm at two different granularities: client-based redirection and router-based redirection. Note, due to the inherent tradeoff between bandwidth usage and the number of caches, these solutions attempt to find fewer caches by making use of links with spare bandwidth and hence have higher overall bandwidth utilization. These two approaches are shown in Figures 4 and 5. In Figure 4, all clients

connecting through the lower left router are served from the same cache, while in Figure 5, clients connecting through the bottom left router are served from two different caches. Here we assume the two clouds attached to the lower left router are not separate spoke networks; they are clients directly connected to the router.

#### 3.4.1 Router-based redirection

In this section we present a heuristic for exploiting router-based redirection. Finding the best cache placement for router-based redirection is NP-hard (as implied by the constructions in Section 3.2), but the following algorithm can provide significant improvements over simply using interception caches, as we shall see in our simulation results. As with the Greedy algorithm of Section 3.3.1, the algorithm assumes we start with a shortest-path distribution tree  $T$  with depths  $d(v)$  for each node in  $V$ , but now we are not required to restrict all our paths to  $T$ .

##### Greedy\_Router\_Redirect( $G, T$ )

Begin by computing link demands  $D_e$  under the assumption that all routing follows the distribution tree and no caches are used. Let  $R$  denote the initial set of red nodes (nodes  $v$  whose incoming link  $e(v)$  has  $D_{e(v)} > C_{e(v)}$ ).

For each  $i = (d_{max}, d_{max-1}, \dots, 1)$

Let  $R' \subseteq R$  be the set of red nodes with  $d(v) = i$ .

For each  $v \in R'$ ,

Call heuristic  $H(v)$  (described below) to identify a set  $R(v)$  of red nodes that can be turned green if a cache is placed on  $v$ . Set  $x(v) = |R(v)|$ .

Order the nodes in  $R'$  by decreasing value of  $x(v)$ .

While  $R'$  is nonempty,

Let  $v'$  be the first node in  $R'$  according to the above order and place a cache at  $v'$ .

To the extent possible use the routing computed by  $H(v')$  to turn red nodes in  $R(v')$  green, so long as this can be done without turning any green nodes red.

(Note that if this is not the first cache placed at depth  $i$  not all conversions envisioned in the computation of  $H(v)$  may still be possible.)

Remove any nodes that have turned green from  $R$  and  $R'$ .



The heuristic  $H(v)$  works as follows. We randomly pick nodes (without replacement) from  $R$  in order of decreasing depth, starting with depth  $d(v)$ . (By the way the algorithm works,  $H(v)$  is only called when there are no red nodes with greater depth than  $v$ .) For each chosen node  $v'$ , we then look for a way to route streams from a cache at  $v$  to  $v'$  or its children so as to reduce the demand on  $e(v')$  enough to turn  $v'$  green, subject to the requirement that if the cache serves any client at a node, it must serve all the clients at that node. If we find a way to turn  $v'$  green, we adjust the traffic on the affected links to reflect the changes required, both from adding the new streams from the cache at  $v$  and from deleting the old streams from other caches or the origin that were replaced. We then go on to the next choice for  $v'$  and continue until we have considered all red nodes other than  $v$ .

Note that  $H$  is just a heuristic. (The problem of finding the maximum number of red nodes that can be turned green is itself NP-hard.) As we have implemented it,  $H$  has running time  $O(|V||E|)$ , so the running time for the overall algorithm is  $O(|V|^2|E|)$ .

### 3.4.2 Client-based redirection

In the algorithm of the previous section, we assumed all clients attached to a router must request from a single cache server. To handle client-based redirection we simply modify heuristic  $H(v)$  so that it does not have to obey this restriction. Details will be presented in the extended version of this paper.

### 3.4.3 Flow-based redirection

All the algorithms presented assume routing is predetermined using shortest path. However, source routing can be made available using technologies such as MPLS or OSPF weight adjustments. In such VPNs, end-to-end routing is controlled such that a client can be redirected to a particular cache using a path avoiding bottlenecks. In this regime we can determine whether a given set of caches suffice by solving a maximum flow problem in an auxiliary graph (details in the extended paper). This gives rise to the following heuristic: Given a cache placement from one of the greedy algorithms, we randomly select a cache to delete and test whether the new set of caches suffice using our maxflow subroutine. If the new set suffices, we delete the cache; otherwise we keep it. This process proceeds until we reach a situation in which no cache can be deleted. As discussed in Section 5, the solutions obtained using this simple heuristic is very close to optimal.

### 3.4.4 Local exhaustive search

To evaluate the effectiveness of our algorithms, we would like to calculate the minimum number of caches needed for a given network assuming any user can request from any cache using any route. Due to the NP-hardness of the problem, this is likely to require exponential time. However, although time exponential in the size of the full network will typically be infeasible, many of our instances are such that exhaustive search is feasible for each spoke network separately, on the assumption that every hub node contains a cache. If we then add up the total number of caches for all the spokes, we obtain a lower bound on the overall total needed, and one that is off by at most the number of hub nodes, typically 6 or fewer in our experiments (a very small number compared to the total number of nodes in our larger test instances).

## 3.5 Robustness considerations

One of the assumptions in our problem statement is that we have complete knowledge of the network including the topology, link capacity, and sharing of layer 3 links at layer two (which we can model by breaking links into shared and unshared segments).

However, in practice, it is difficult to obtain completely accurate information on the entire VPN. Wide area links are typically well-known, but various locally managed spoke networks may have network configurations that are not fully known. Link bandwidth estimation tools may have errors and do not provide information on layer 2 sharing due to VLAN trunking. Furthermore, background traffic load can always reduce the available bandwidth.

Thus, it is essential to evaluate how well our algorithms perform under such practical constraints. The solutions presented so far unrealistically assume that the link capacity is well known and there is no background traffic. In Section 5 we empirically measure the number of clients left unserved due to faulty capacity estimation in our model, and also measure the improvement obtainable if we restrict usage of links to 80% of capacity, thus using more caches but hopefully serving more clients.

## 4. SIMULATION METHODOLOGY

To evaluate the effectiveness of various algorithms proposed in this paper, we simulate the behavior of our algorithms on typical VPN hub-spoke topologies. In this section, we discuss the simulation parameters and assumptions, network topologies, and evaluation metrics used.

### 4.1 Algorithm implementations

We implemented our algorithms in C using the Stanford Graph-base library [9] which provides the basic graph data structure and graph manipulation routines. Our implementations are efficient and can handle networks with up to 10,000 routers given the 2 gigabyte memory constraint of the machine on which we ran our experiments. Our implementations are modular, allowing the specification of different cost constraints and different optimization criteria in the algorithms.

### 4.2 Network topology

Hub-spoke topology is used in simulations with configurable hub and spoke size. Typically each spoke domain attaches to a single hub router. However, for redundancy purposes, a spoke domain can be multihomed to another hub router at a different location. The wide area links between the spoke domain and the hub router are typically of high speed, but usually of lower speed than the links between hub routers. Finally the links between routers in the same spoke domain are typically 10Mbit, 100Mbit or 1Gigbit Ethernet links.

Our simulations encompass three classes of VPNs.

- Large companies: a large number of spoke sites with high bandwidth between the hub routers.
- Retail stores: a large number of spoke sites with relatively smaller bandwidth between hub routers.
- Engineering firms: a few dozen sites with hundreds of networks at each site.

We modified the GT-ITM internetwork topology generator [1] to produce layer 3 hub-spoke topologies. Since each router has a number of clients requesting the streaming service, we make sure that the topology is connected. The topology inside each spoke domain is constructed by connecting two vertices randomly with a specified probability.

The input parameters to the topology generator are the following: number of fully meshed hub routers, average number of spoke domains per hub router, average number of routers per hub, number of multihoming links, and the probability that two routers inside the

Link type	10Mbit	100Mbit	1Gbit	T1	DS3	OC12	OC48
spoke	40%	50%	10%	-	-	-	-
hub-spoke	-	-	-	45%	30%	25%	-
hub	-	-	-	-	50%	45%	5%

**Table 1: Link capacity assignment in simulations**

sample size	mean	median	variance	max	min	86%ile	95%ile
619	-21.5	-0.028	0.0071	291	-971	0	22.2

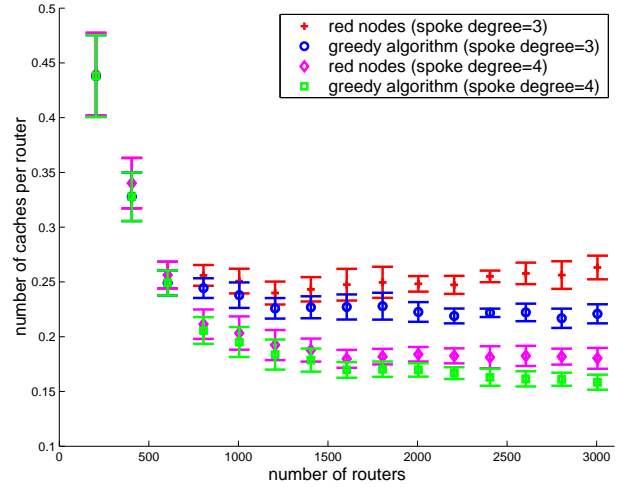
**Table 2: Error distribution for client-based capacity estimates (unit:Mbps)**

same spoke are connected. Multihoming links are randomly placed between a hub router and a spoke router not within the spoke domain connected to the hub router. Furthermore, for the evaluation of the resilience of our algorithms to uncertainties in topology data, the topology generator also takes in an error distribution for link capacity estimation and a value representing the probability that two links in the same spoke domain share at layer 2.

### 4.3 Simulation parameters and assumptions

We now describe the basic assumptions made in the implementation of our topology generator. Some of these assumptions are specified as input parameters and can be modified for different optimization goals.

- **Link capacity and routing:** The network parameters are mostly known, both link capacity as well as Layer 2 sharing of links. Symmetric shortest path routing is assumed with link weight being the reciprocal of the capacity values. The links are modeled as bidirectional with symmetric bandwidths. We relax the assumption of known capacity and link sharing in the evaluation of robustness of our algorithms. We use the distribution in Table 1 to assign link capacities. The values in the table present typical values for these types of links based on our survey.
- **Client distribution:** clients that need to receive the unicast multimedia streams are located at known locations. Each router has between 20 and 70 clients attached to it, which is typical distribution for a LAN. Each client must receive the stream either from the origin server or a cache server. A single stream requires 300kbps bandwidth in the simulations.
- **The streaming source is located in the hub domain.** If a different streaming source is used, the solution to the problem is still applicable by first delivering the stream to the intended origin server location and then using the allocated cache servers to distribute the content as is often done in practice. In our simulations, we found that due to the symmetric nature of the hub domain topology where hub routers are highly meshed, the cache placement results are quite stable for different selection of streaming sources within the hub domain.
- **The error distribution for link capacity estimates is directly obtained from a measurement study we performed on the AT&T corporate VPN based on more than 600 measurements.** We developed both Java and activeX based client side measurement tools and asked voluntary participants from AT&T corporate employees to visit the measurement Web page. We compare our measurement results with the survey



**Figure 6: Effect of spoke domain size and spoke router degree on cache requirement**

results from the participants to obtain the error distribution shown in Table 2. Most of our estimation underestimates the true capacity values; therefore, the errors do not have much impact on overloading links.

### 4.4 Evaluation metric

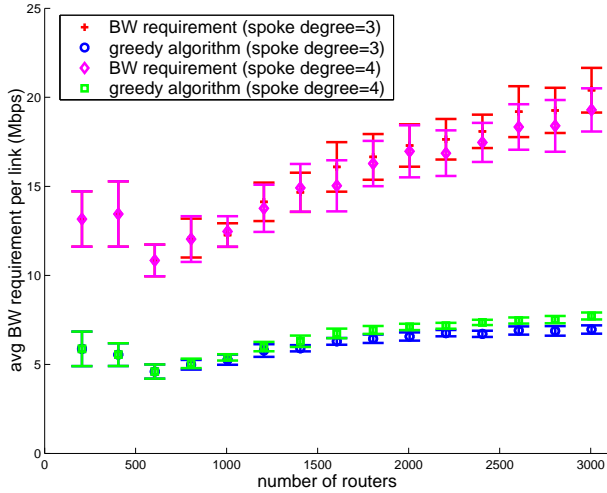
We evaluate our algorithms in terms of the number of caches required to satisfy a given user demand. Secondly, we examine the amount of bandwidth used. Due to the inherent tradeoff between the number of caches and bandwidth usage, fewer caches usually result in more bandwidth usage. However, we found that some algorithms result in the same number of caches but with higher bandwidth usage. To test the resilience of the algorithms to inaccurate topology information, we calculate how many users are not satisfied.

## 5. SIMULATION RESULTS

In this section, we evaluate the effectiveness of our proposed algorithms on a variety of hub and spoke topologies. We provide insight on when more complex solutions will provide more benefit than simple greedy strategies. We also show how various properties of the topology affect the results of the algorithms.

### 5.1 Interception proxy based solution

We first study the effectiveness of our greedy algorithm. We also highlight some interesting effect of the topology on the cache requirement. Figure 6 plots the average number of cache servers per router required using the greedy algorithm for spoke router degree of 3 and 4, as the spoke domain size increases. The size of hub network is fixed at 5 hub routers, each with an average of 40 spoke domains. We define the *spoke router degree* or *spoke degree* to be the average number of outgoing links a router in the spoke domain has. The figure shows that increasing spoke domain size increases the overall cache requirement and increasing spoke router degree decreases cache requirement. With larger spoke domain size, there is inherently higher demand for bandwidth because of larger number of clients. However, the average number of caches per router actually decreases slightly with increasing spoke size, because a single cache can serve more clients. With higher router degree, a



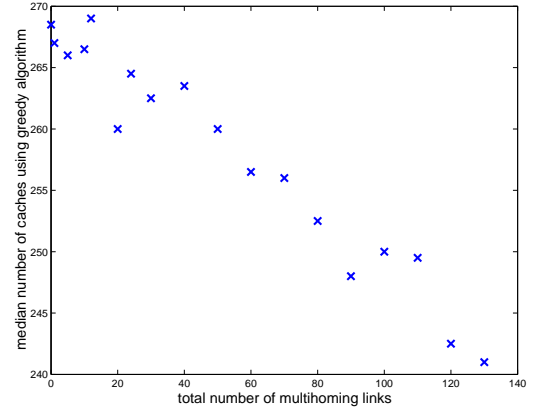
**Figure 7: Effect of spoke domain size and spoke router degree on total bandwidth requirement**

cache placed inside a spoke domain can serve more clients; therefore, fewer caches are needed overall. Intuitively, caches should be placed where there is high degree of connectivity to maximize its benefit.

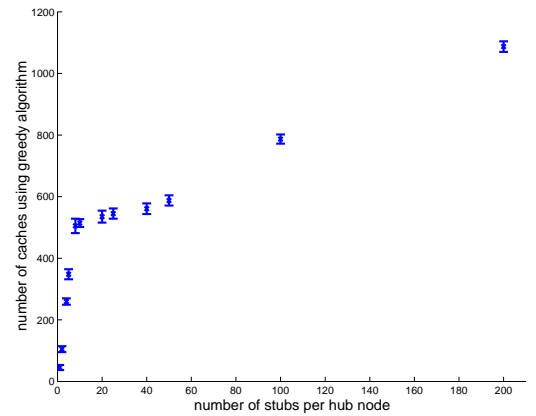
Figure 6 also plots the number red nodes versus topology size. Red nodes are routers with demand from child routers exceeding its parent link capacity in the original distribution tree before any caches have been placed. This gives an upper bound on the number of caches required using the greedy algorithm. As the number of routers increases, the probability of a cache alleviating the demand of its parent red nodes also increases. Note that the percentage by which the greedy algorithm improves on this upper bound is substantial, although the gain declines as router degree increases. For large topologies with spoke degree of 3, the maximum reduction in cache requirement is about 28%. The variance in the results are due to differences in the number of multihoming links, client distribution, and randomness in topology generation.

We now study the benefit of caching on reducing bandwidth usage. Figure 7 plots the average per link bandwidth requirement in the presence of caches using the greedy placement (shown in Figure 6) compared with that in the original network using a distribution from the origin server. The benefit of cache servers is quite clear, as the bandwidth requirement grows roughly sublinearly with the greedy algorithm’s cache placement. The rate of increase is visibly faster in the case when no caches are present. We also note that the original bandwidth requirement for the topology with spoke router degree of 3 is slightly higher than the topology with spoke router degree of 4 for the same router count. Intuitively, with higher spoke degree, there is higher chance of aggregating traffic because the distribution tree is bushier. With higher router degree, a single cache also has more chance of serving more clients. As we have shown in Figure 6, fewer cache servers are needed with higher spoke degree for the same topology size. Due to the inherent trade-off between the number of cache servers and bandwidth usage, the bandwidth usage in the presence of caches is slightly higher for the topology with spoke router degree of 4, because of fewer number of caches.

Figure 8 shows the median number of caches required for a given topology as the number of multihoming links increases. The topol-



**Figure 8: Effect of multihoming links on cache requirement**

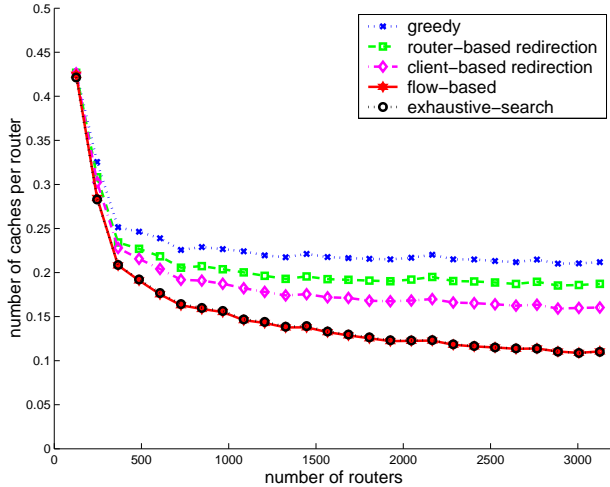


**Figure 9: Effect of number of spoke networks per hub router or spoke size on cache requirement**

ogy consists of 12 hub routers with an average of 10 spoke domains per hub router and a spoke router degree of 3. On average, there is less requirement for cache servers as the number of multihoming links increases. Any multihomed spoke domain can reach the hub network through any of the links. The shortest path tree rooted at the origin server in the hub network takes advantage of such multihomed links to find higher capacity paths to the spokes and thus minimize the number of caches needed. In this example shown, for this particular topology, the amount of saving in the number of cache server requirement can be more than 10%.

Figure 9 shows the effect of the number of spokes per hub node or the spoke size on cache requirement. In this set of simulations we keep the total number of routers in the topology constant at 2,412; the total number of clients varies between 105,486 and 109,072 with standard deviation of 694. There are 12 hub nodes in the hub network. We vary the number of spoke domains attached to each hub router. In effect, we also vary the number of routers in each spoke domain to keep the total number of routers constant. At one extreme, each hub router has only a single spoke domain with 200 routers. At the other extreme, each hub router has 200 spoke domains, each with a single router. As the number of spoke domain increases, less aggregation is possible. Each spoke domain only has a single link to the hub domain. If that link is unable to support the client demand within the spoke domain, a cache must be placed





**Figure 10: Comparing approximation algorithms with exhaustive search**

there. The smaller the spoke domain, the fewer clients can make use of the cache. As a result, the topologies with numerous small spoke domains require significantly more caches. We emphasize that this is an inherent limitation in the topology and is not due to the greedy algorithm. Designers of VPNs may take this effect of topology on cache requirement into consideration.

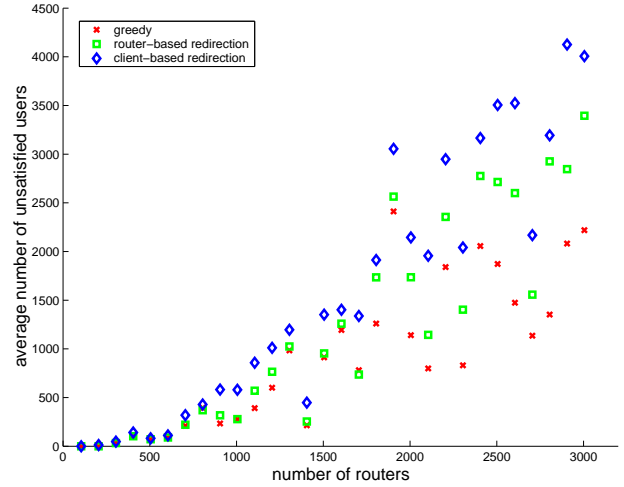
## 5.2 Additional redirection systems

We now compare the greedy algorithm and the additional redirection systems with the bound obtained using local exhaustive search. Figure 10 plots the average number of caches per router with each data point representing the mean of 60 simulation runs with varying number of multihoming links. It shows that as the number of routers increases, greedy is outperformed by router-based, client-based, and flow-based redirection. With large topologies, client-based redirection can reduce the number of caches used by greedy algorithm by more than 27%. We also observe that finer grained redirection provides sufficient benefit for large networks. Client-based redirection can provide more than 17% in the number of caches compared with router-based redirection. And flow-based redirection can in addition reduce up to 40% number of caches compared to the client-based redirection. The curve for flow-based algorithm and local exhaustive search are very close to each other, indicating that flow-based redirection results in close to optimal number of caches. In fact, the difference is just 18 caches or less for large topologies, out of a total of 384 or fewer caches.

This particular example is based on the network topology consisting of 6 fully meshed hub routers in the hub network, each hub router having on average 20 spoke domains, and an average spoke router degree of 3. The number of multihoming links vary between 1 and the hub size 6. This means that the local exhaustive search may predict up to 6 fewer caches compared to the true optimal placement. We have observed similar results with different topology setups.

## 5.3 Robustness evaluation

To evaluate the robustness of these algorithms, we introduce some errors in the topology information, specifically, the link capacity estimation and sharing of links at layer 2. The results discussed here also apply to other types of errors, *e.g.*, the inaccuracy



**Figure 11: Understanding the error resilience of the algorithms to imperfect topology data**

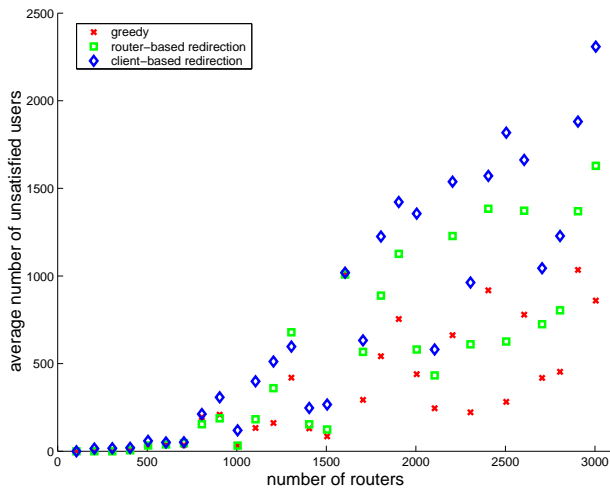
in the estimation of the number of clients. We obtain the error distribution of capacity estimation from a measurement study we conducted as shown in Table 2. The measurement methodology we used is a variant of the standard packet pair based probing. We note that other types of measurement methods may have different error characteristics. For future work, we plan to consider other types of error distribution. We set the probability of sharing between two random links inside the same spoke domain at layer 2 to be 0.1 for the purpose of this study.

### 5.3.1 Existing algorithms

Figure 11 plots the number of unsatisfied users due to overloaded links for each algorithm when we introduce an empirical error distribution for link capacity values from our measurement study and 10% link sharing probability inside any spoke domain. The number of such users increases with the topology size as the number of highly loaded links increases. However, the overall percentage of unsatisfied users never exceeds 6% in all three algorithms. The particular topology under this study consists of a hub network of 5 routers, each with an average of 20 spoke domains and a spoke degree of 3, without any multihoming links. Greedy seems to be the most error resilient since it places more cache servers in the network and thus has fewer loaded links. Inherently, all three algorithms are vulnerable to inaccurate input data, because attempting to reduce the number of caches inherently increases bandwidth utilization. For each algorithm, the maximum link utilization is usually close to 99%.

### 5.3.2 Robustness improvement

One possible way to deal with the imperfect topology information is to always leave some spare bandwidth on each link or on links where we suspect our capacity estimation is incorrect. We implemented this heuristic and only let our algorithms use 80% of the estimated link capacity. Note that underestimating the link capacity will result in more caches being used, in this case roughly 30% more. On the other hand, the number of unsatisfied users, while continuing to grow with topology size, is substantially reduced, as can be observed in Figure 12. Our evaluation also makes a compelling case that we need measurement information or external knowledge on where links are most likely shared and where



**Figure 12: Evaluation of robustness heuristics to imperfect topology data**

capacity estimates are not accurate. Given such information, we can selectively avoid overloading these links in the algorithms.

## 6. CONCLUSION

In this paper, we study the problem of placing cache servers in VPNs to provision for unicast based video streaming events. Our goal is to satisfy a given client population with the minimal number of cache servers. Given the bound on the number of cache servers, we add the additional goal of placing them in such a way as to minimize the total bandwidth usage. We developed provably optimal algorithms to achieve both goals using an interception cache server based solution. In addition, we prove that the problem is NP-hard in general. We then develop a set of simple and efficient heuristics to provide reasonable solutions to the cache placement problem if non-interception based redirection is used. Each of these solutions provide additional improvement in cache reduction at the expense of increased implementation cost compared to interception based redirection.

In addition to those theoretical results we performed extensive simulations to evaluate the performance of our algorithms in realistic settings. We discovered in particular that if non-interception based redirection systems are used, the number of caches can be reduced by more than 27% using our heuristics compared to the greedy strategy for interception based redirection. Additionally, in large networks, if redirection is based on individual client IP addresses, our heuristics reduce the number of caches by 17% compared to the case where redirection is based on the router or the entire IP prefix ranges. If technologies such MPLS is available to perform source routing, we show a flow-based algorithm can improve up to 40% in the number of caches and is very close to the actual optimal.

For future work, we intend to verify our algorithms by implementing it within a large VPN. We also plan to evaluate the robustness of flow-based algorithm in presence of inaccurate input data and more thoroughly study the benefit in bandwidth saving using our proposed dynamic programming algorithm.

## Acknowledgments

We thank Michael Rabinovich and Hoi-Sheung Wilson So for their valuable comments on drafts of the paper. Thanks to Shubho Sen for helpful discussions. We also thank Andrew Goldberg for making the maxflow software available and Mauricio Resende for help on the software. We are grateful to Chris Chase for the discussion on the types of VPNs.

## 7. REFERENCES

- [1] GT-ITM: Georgia Tech Internetwork Topology Models. <http://www.cc.gatech.edu/projects/gtitm/>.
- [2] A. Barbir, B. Cain, F. Douglass, M. Green, M. Hofmann, R. Nair, D. Potter, and O. Spatscheck. Known CN Request-Routing Mechanisms. <http://www.ietf.org/internet-drafts/draft-ietf-cdi-known-request-routin%g-01.txt>.
- [3] M Cieslak, D Forster, G Tiwana, and R Wilson. Web Cache Coordination Protocol V2.0. <http://www.wrec.org/Drafts/draft-wilson-wrec-wccp-v2-00.txt>.
- [4] Michael R. Garey and David S. Johnson. *Computers and Intractability*. W.H. Freeman and Company, 1979.
- [5] Sugih Jamin, Cheng Jin, Anthony Kurc, Yuval Shavitt, and Danny Raz. Constrained Mirror Placement on the Internet. In *Proceedings of IEEE Infocom*, April 2001.
- [6] J. Kangasharju, J. W. Roberts, and K. W. Ross. Object replication strategies in content distribution networks. In *6th International Web Content Caching and Distribution Workshop*, June 2001.
- [7] Magnus Karlsson, Christos Karamaolis, and Mallik Mahalingam. A framework for evaluating replica placement algorithms. [http://www.hpl.hp.com/personal/Magnus\\_Karlsson/papers/rp\\_framework.pdf](http://www.hpl.hp.com/personal/Magnus_Karlsson/papers/rp_framework.pdf), 2002.
- [8] Magnus Karlsson and Mallik Mahalingam. Do We Need Replica Placement Algorithms in Content Delivery Networks? In *Proceeding of 7th International Web Content Caching and Distribution Workshop*, August 2002.
- [9] Donald E. Knuth. *The Stanford GraphBase*. Addison-Wesley, 1993.
- [10] B. Krishnamurthy, C. Wills, and Y. Zhang. On the Use and Performance of Content Distribution Networks. In *Proc. ACM SIGCOMM Internet Measurement Workshop (IMW'2001)*.
- [11] Bo Li, Mordecai J. Golin, Giuseppe F. Italiano, Xin Deng, and Kazem Sohraby. On the Optimal Placement of Web Proxies in the Internet. In *Proceedings of INFOCOM*, 1999.
- [12] Lili Qiu, Venkata N. Padmanabhan, and Geoffrey M. Voelker. On the Placement of Web Server Replicas. In *Proceedings of INFOCOM*, April 2001.
- [13] Sherlia Shi and Jonathan Turner. Placing servers in overlay networks. In *Symposium on Performance Evaluation of Computer and Telecommunication Systems (SPETS)*, July 2002.