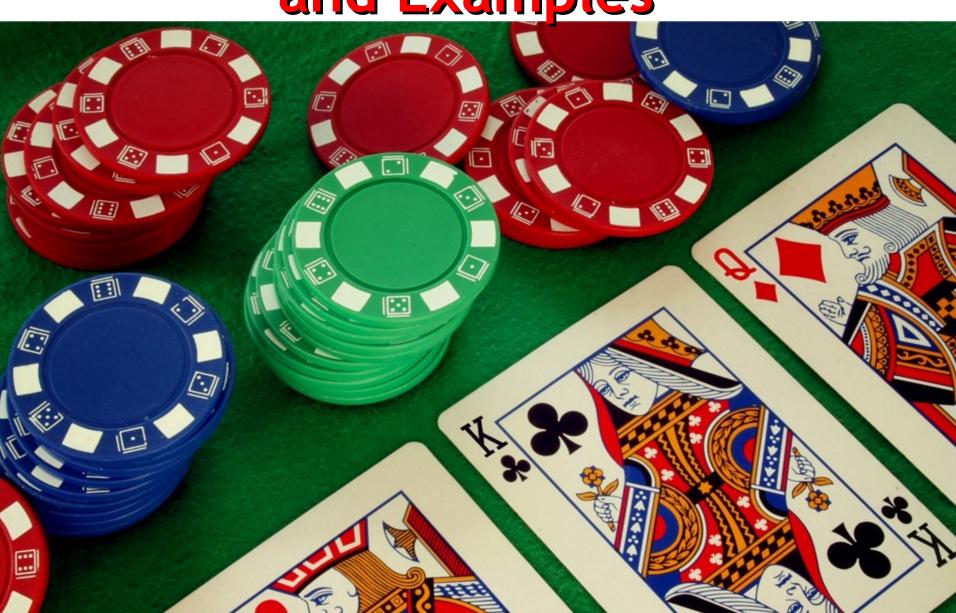
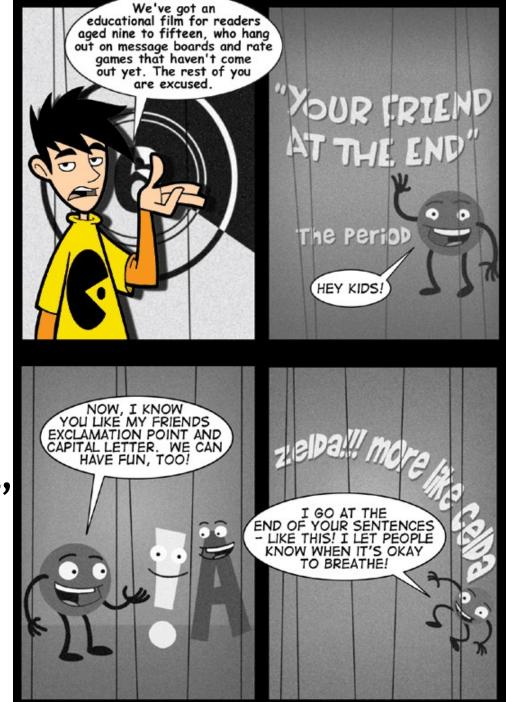
Earley Parsing and Examples





Outline

- Earley's Algorithm
 - Chart States
 - Operations
 - Example
- MyEarley.py
- PA3.jison
- Grammar "Conflicts"
 - Shift/Reduce



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Administrivia

- Midterm 1 will take place Wednesday in class
 - Everything including today is fair game.
- Class vote, pick one option:
 - You may bring one page of printed or handwritten notes, front-and-back (= 2 sides).
 - The test is open book: you may use any printed materials including your printed notes and/or the textbook and/or other printed readings.

Think.

In One Slide

- Earley parsers are top-down and use dynamic programming. An Earley state records incremental information: when we started, what has been seen so far, and what we expect to see. The Earley chart holds a set of states for each input position. Shift, reduce and closure operations fill in the chart.
- You enjoy parsing. Parsing is easy and fun.

Review: Earley States

- Let X be a non-terminal
- Let a and b be (possibly-empty) sequences of terminals and non-terminals
- Let $X \rightarrow ab$ be a production in your grammar
- Let j be a position in the input
- Each Earley State is a tuple $\langle X \rightarrow a \bullet b, j \rangle$
 - We are currently parsing an X
 - We have seen a, we expect to see b
 - We started parsing this *X* after seeing the first *j* tokens from the input.

Review: Earley Parse Table

- An Earley parsing table (or chart) is a onedimensional array. Each array element is a set of Earley states.
 - chart[i] holds the set of valid parsing states we could be in after seeing the first *i* input tokens
- Then the string tok₁...tok_n is in the language of a grammar with start symbol S iff
 - chart[n] contains < S → ab• , 0 > for some production rule S → ab in the grammar.
 - We then say the parser accepts the string.

Review: Filling In The Chart

- Three operations build up chart[n]
- The first is called shift or scan.
 - It corresponds to "seeing the next expected token" or "helping to confirm the current hypothesis" or "we're winning".

Example:

- chart[1] contains $\langle E \rightarrow E \bullet + E, 0 \rangle$
- 2nd token is "+"
- Then put $\langle E \rightarrow E + \bullet E, 0 \rangle$ in chart[2]

Review: Filling In The Chart (2)

- The second operation is the closure or predictor.
 - It corresponds to "expanding rewrite rules" or "substituting in the definitions of non-terminals"
- Suppose the grammar is:

$$S \rightarrow E$$
 $E \rightarrow E + E \mid E - E \mid int$

• If chart[0] has $< S \rightarrow \bullet E$, 0 > then add

$$\langle E \rightarrow \bullet E + E, 0 \rangle$$

$$< E \rightarrow \bullet E - E , 0 >$$

$$< E \rightarrow \bullet int, 0 >$$

Review: Filling In The Chart (3)

- The third operation is reduction or completion.
 - It corresponds to "finishing a grammar rewrite rule" or "being done parsing a non-terminal" or "doing a rewrite rule in reverse and then shifting over the non-terminal".

Suppose:

```
- E \rightarrow int | E + E | E - E | (E), input is "(int"

- chart[2] contains < E \rightarrow int • , 1 >

- chart[1] contains < E \rightarrow (• E ), 0 >

- Then chart[2] += < E \rightarrow ( E • ), 0 >
```

Shift Practice

chart[3] contains

```
< S \rightarrow E \bullet , 0 > < E \rightarrow E \bullet - E , 0 > < E \rightarrow E \bullet - E , 0 > < E \rightarrow E \bullet - E , 2 > < E \rightarrow int \bullet , 2 >
```

• The 4th token is "+". What does shift bring in?

Shift Practice

chart[3] contains

```
< S \rightarrow E \bullet , 0 > < E \rightarrow E \bullet - E , 0 > < E \rightarrow E \bullet + E , 0 > < E \rightarrow E \bullet - E , 0 > < E \rightarrow E \bullet - E , 2 > < E \rightarrow int \bullet , 2 >
```

• The 4th token is "+". What does shift bring in?

$$< E \rightarrow E + \bullet E , 0>$$

 $< E \rightarrow E + \bullet E , 2 >$

... are both added to chart[4].

Closure Practice

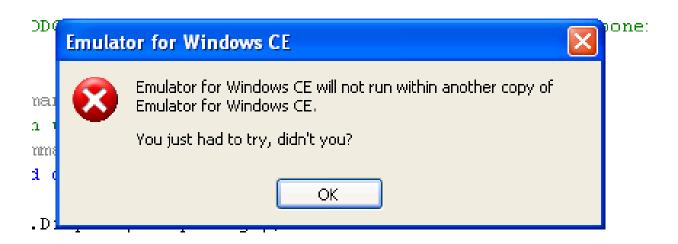
Grammar is

$$-S \rightarrow E$$
 $E \rightarrow E + E \mid E - E \mid (E) \mid int$

chart[4] contains:

$$\langle E \rightarrow E + \bullet E, 0 \rangle$$
 $\langle E \rightarrow E + \bullet E, 2 \rangle$

What does the closure operation bring in?



Closure Practice

Grammar is

$$-S \rightarrow E$$
 $E \rightarrow E + E \mid E - E \mid (E) \mid int$

chart[4] contains:

$$\langle E \rightarrow E + \bullet E, 0 \rangle$$
 $\langle E \rightarrow E + \bullet E, 2 \rangle$

What does the closure operation bring in?

$$<$$
 E \rightarrow • E + E , 4 $>$ $<$ E \rightarrow • E - E , 4 $>$ $<$ E \rightarrow • (E) , 4 $>$ $<$ E \rightarrow • int , 4 $>$... are all added to chart[4].

Reduction Practice

chart[4] contains:

```
\langle E \rightarrow E + \bullet E, 0 \rangle \langle E \rightarrow E + \bullet E, 2 \rangle

\langle E \rightarrow \bullet E + E, 4 \rangle \langle E \rightarrow \bullet E - E, 4 \rangle

\langle E \rightarrow \bullet (E), 4 \rangle \langle E \rightarrow \bullet int, 4 \rangle
```

chart[5] contains:

$$- < E \rightarrow int \bullet , 4 >$$

What does the reduce operator bring in?



Reduction Practice

chart[4] contains:

```
< E \rightarrow E + \bullet E , 0 > < E \rightarrow E + \bullet E , 2 > < E \rightarrow \bullet E + E , 4 > < E \rightarrow \bullet E - E , 4 > < E \rightarrow \bullet (E), 4 > < E \rightarrow \bullet int, 4 >
```

chart[5] contains:

```
- < E \rightarrow int \bullet , 4 >
```

What does the reduce operator bring in?

$$\langle E \rightarrow E + E \bullet , 0 \rangle$$
 $\langle E \rightarrow E + E \bullet , 2 \rangle$
 $\langle E \rightarrow E \bullet + E , 4 \rangle$ $\langle E \rightarrow E \bullet - E , 4 \rangle$

- ... are all added to chart[5]. (Plus more in a bit!)

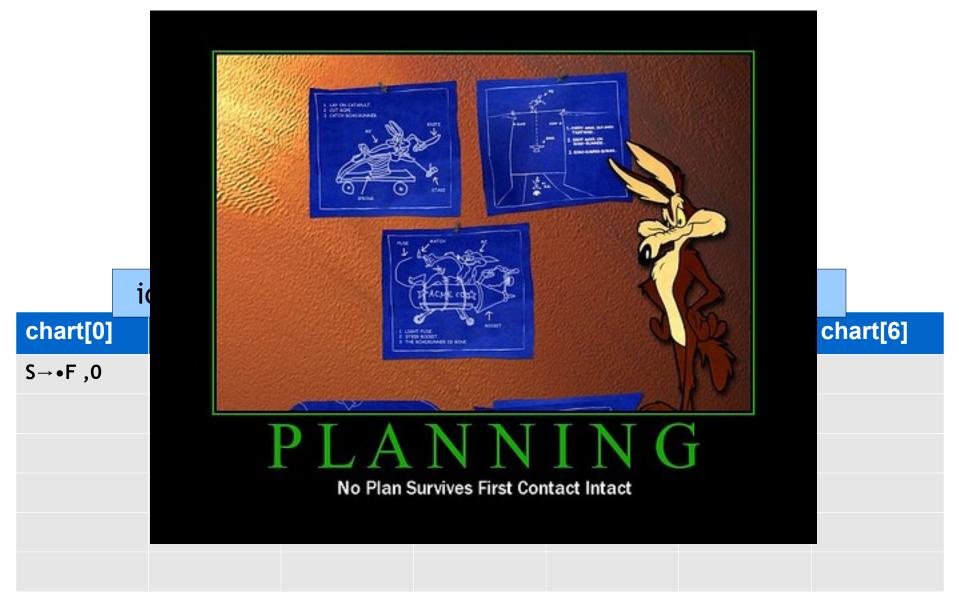
Earley Parsing Algorithm

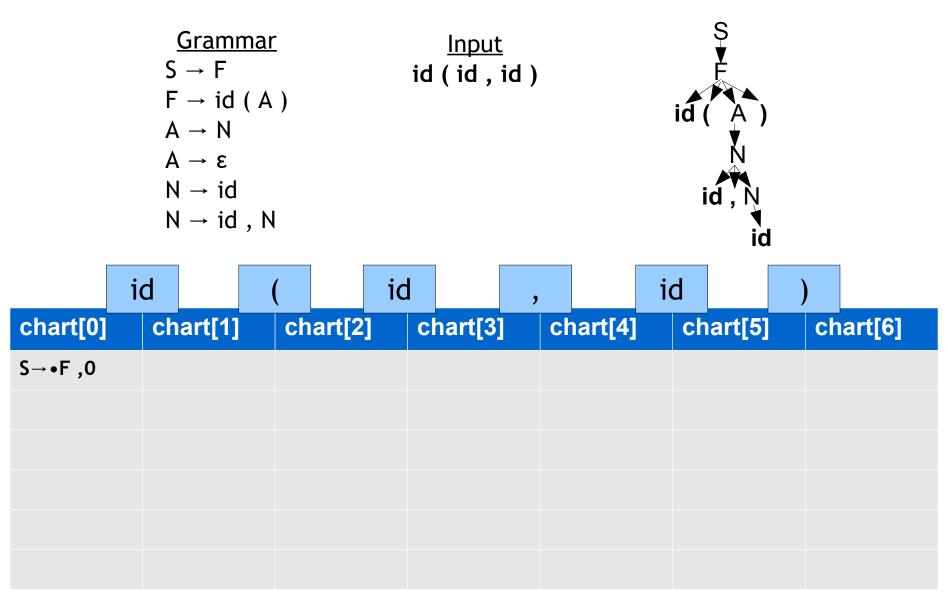
- Input: CFG G, Tokens tok₁...tok_n
- Work:

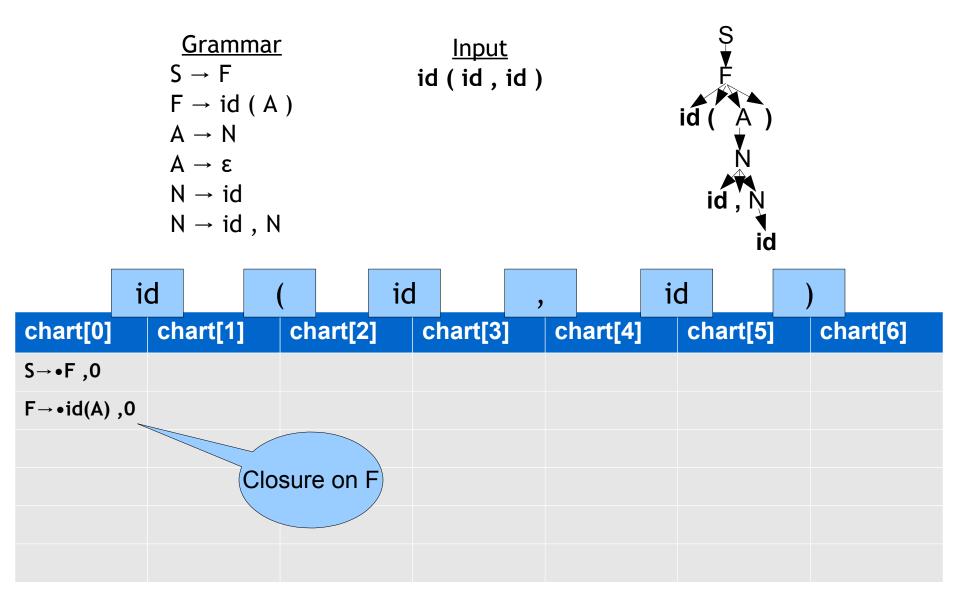
```
chart[0] = { < S → •ab , 0 > }
for i = 0 to n
  repeat
  use shift, reduce and closure on chart[i]
  until no new states are added
```

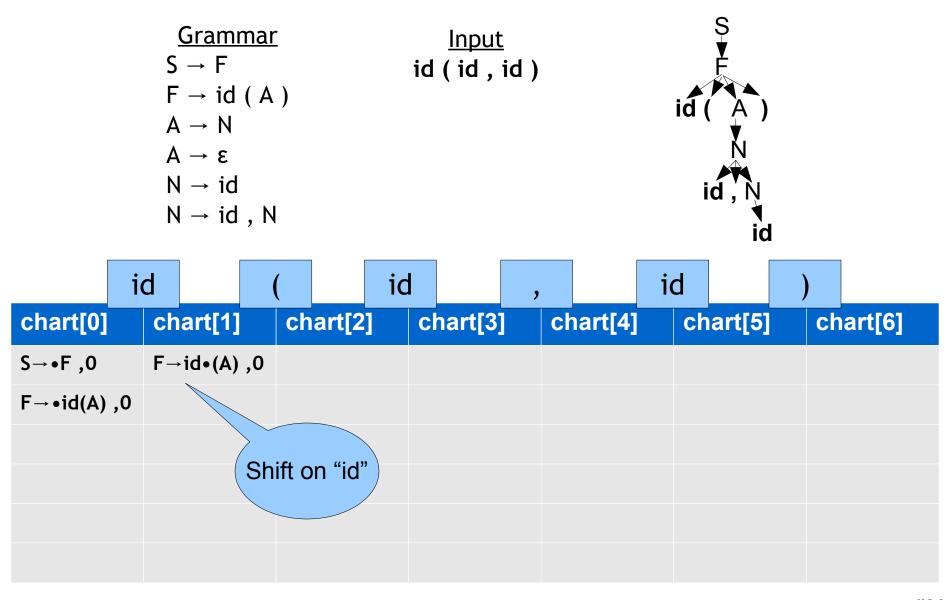
Output:

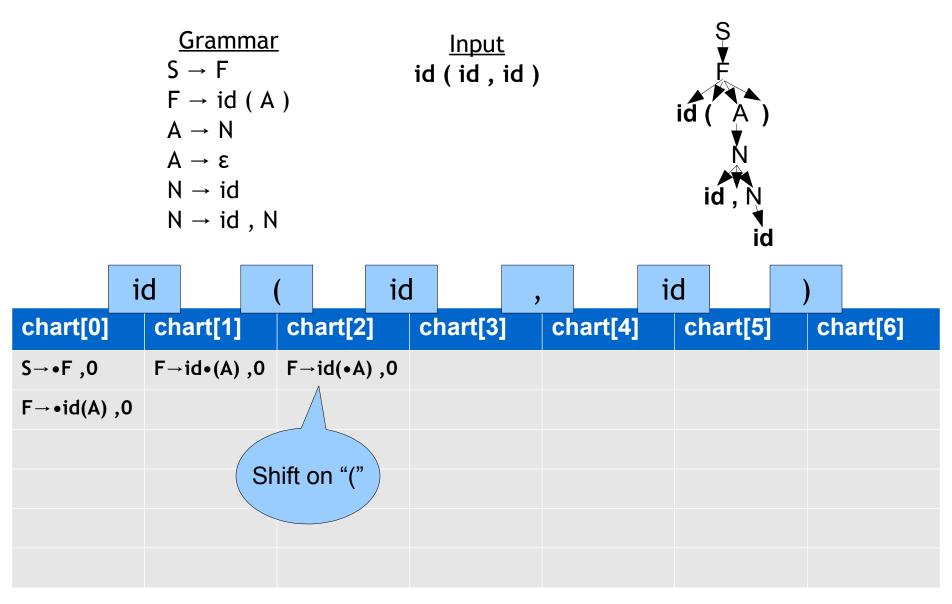
- true iff < S → ab• , 0 > in chart[n]

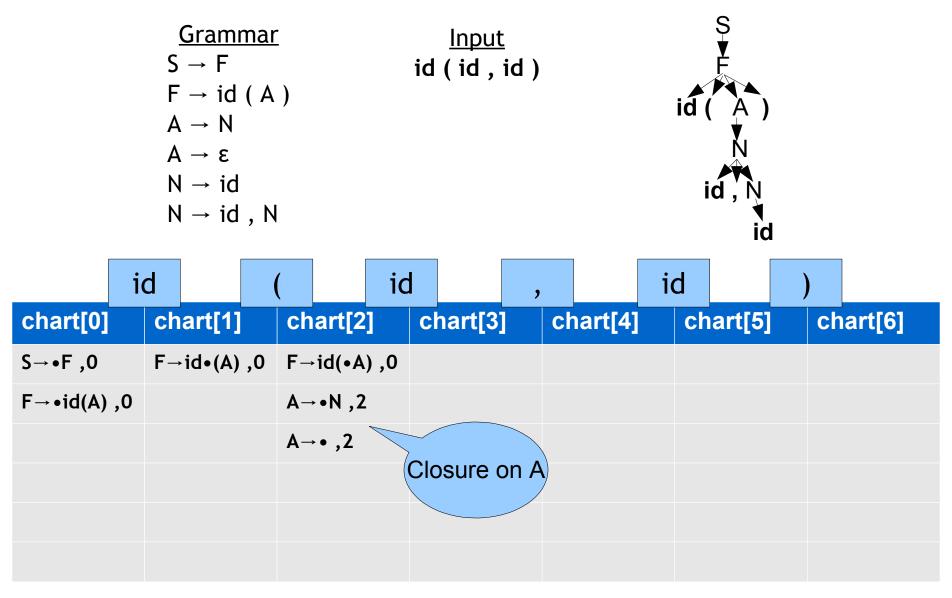


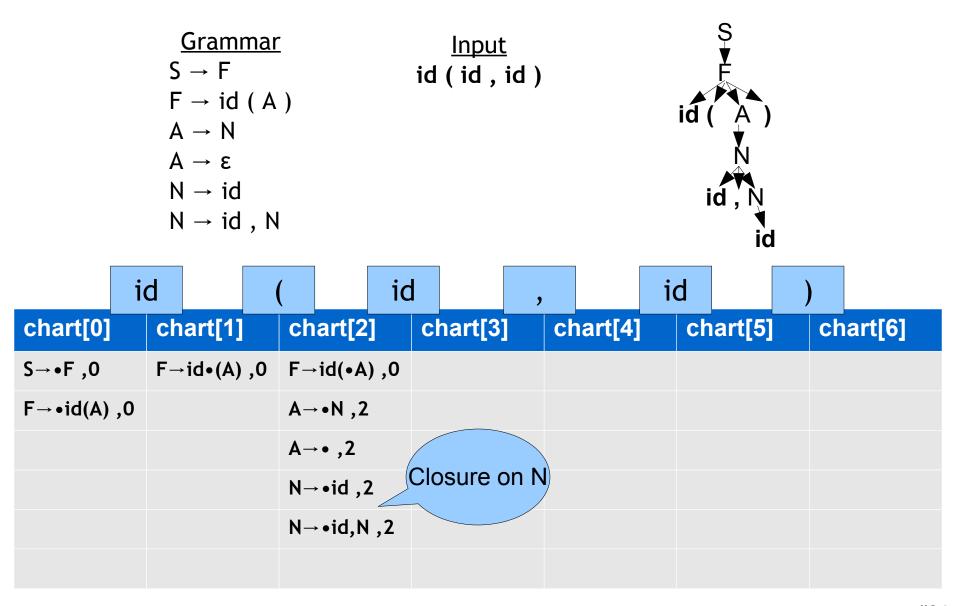


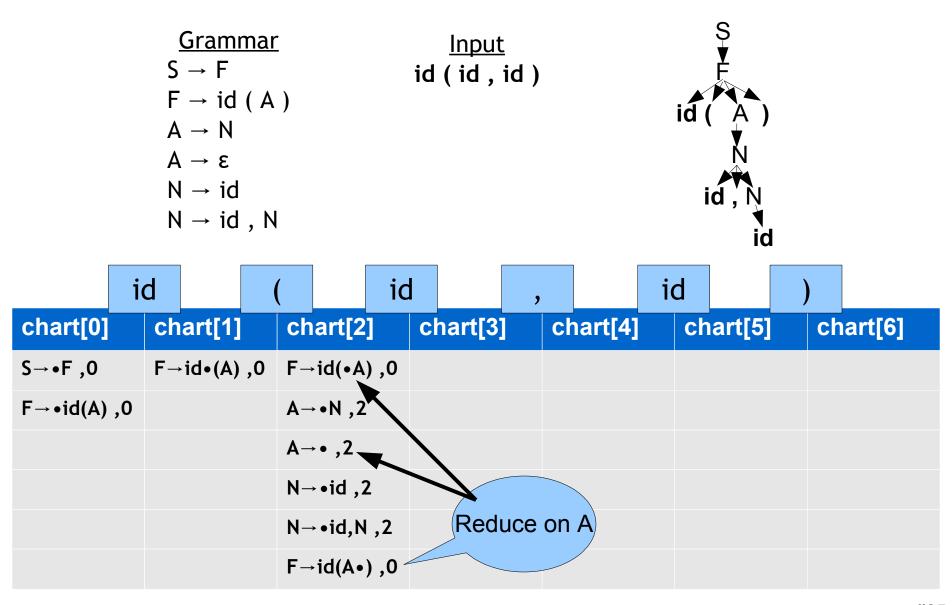


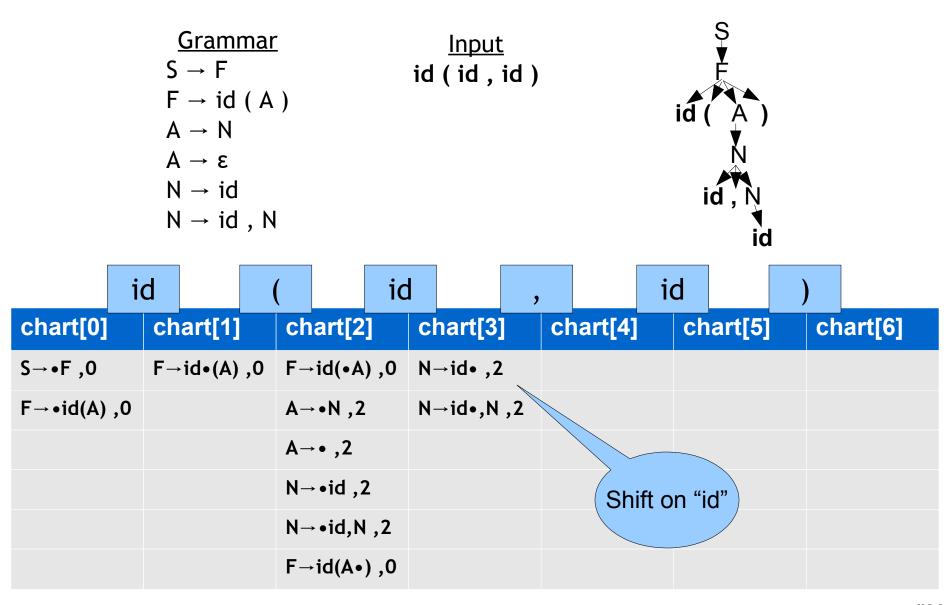


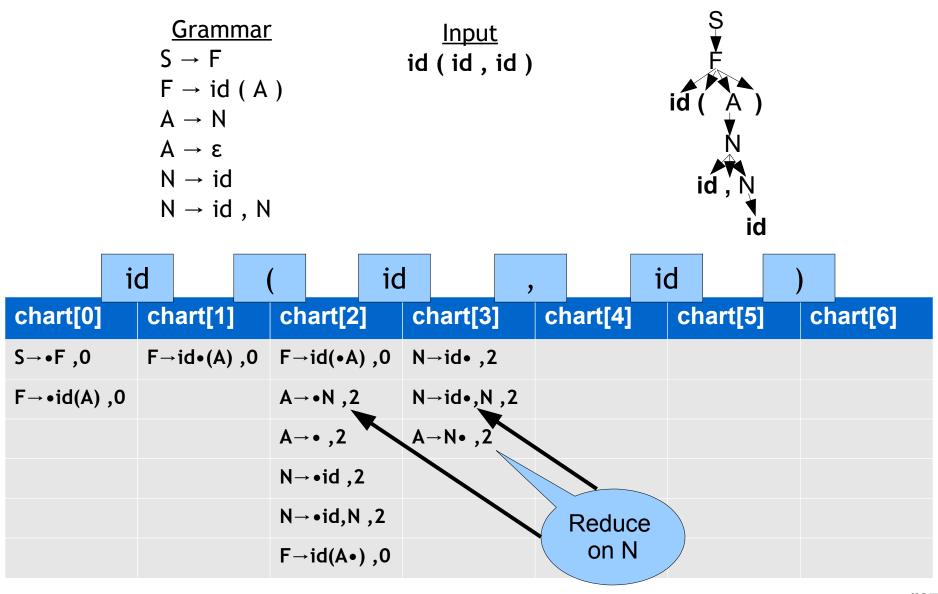


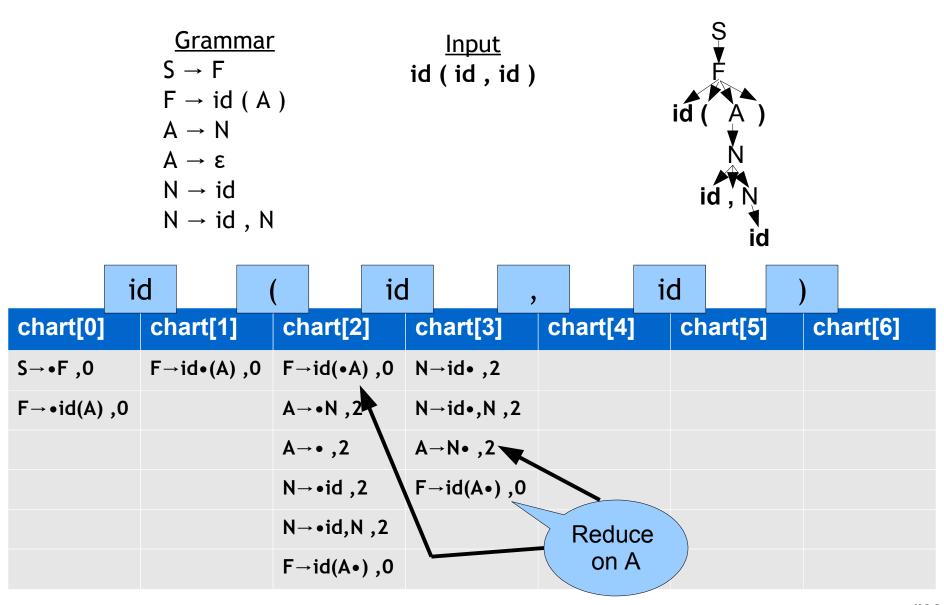


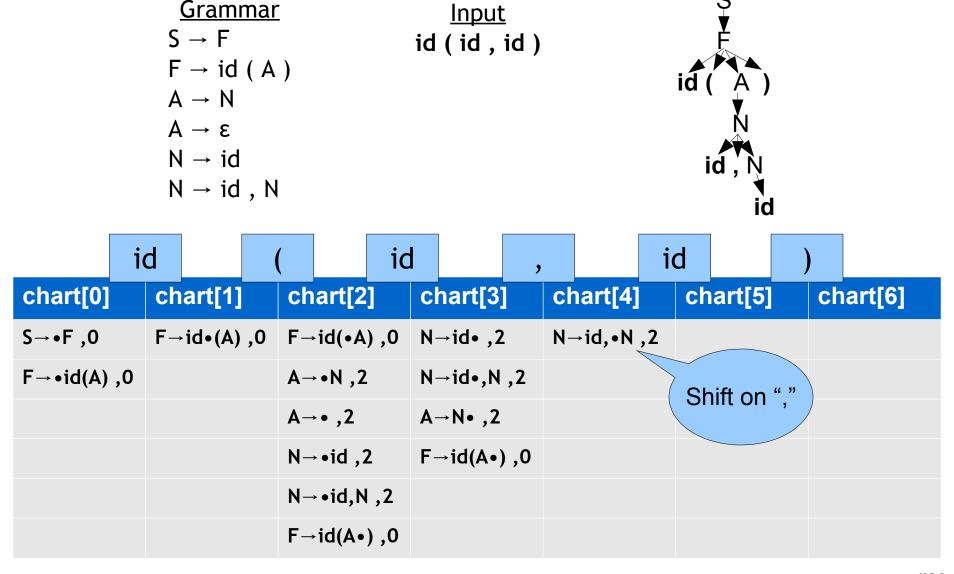


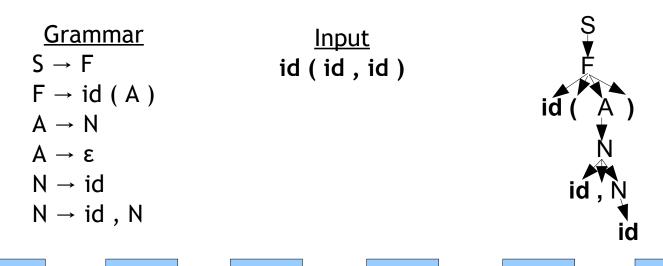




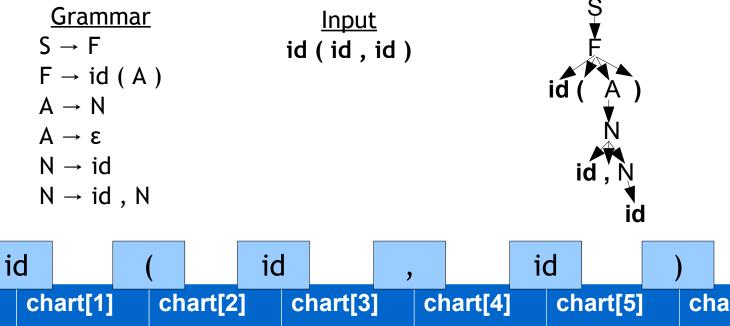




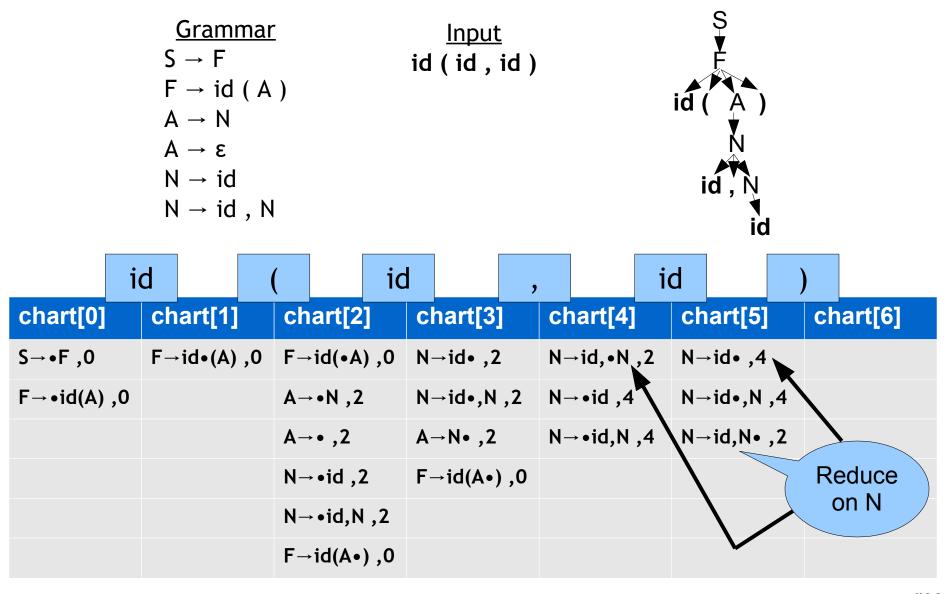




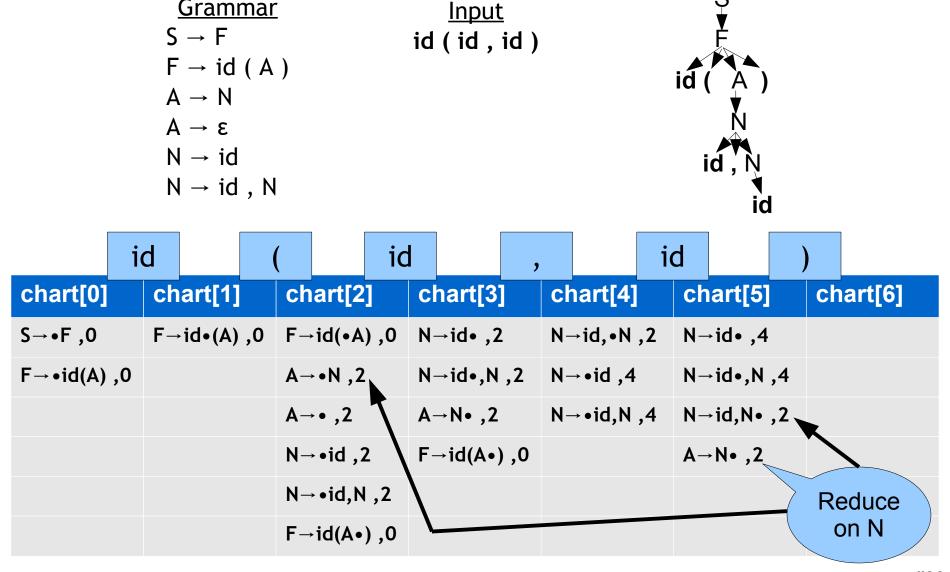
	10		(10		,			10)		
chart[0]	cł	nart[1]	cł	nart[2]	С	hart[3		ch	art[4]	С	hart[5]		chart[6]	
S→•F ,0	F-	→id•(A)	,0 F-	→id(•A)	,0 N	→id• ,2		N→	·id,•N ,	2				
F→•id(A)	,0		A -	→•N ,2	N	→id•,N	,2	N→	•id ,4		Closure	,		
			A -	→• ,2	A	→N• ,2		N→	•id,N ,4	4	on N			
			N-	→•id ,2	F	→id(A•)	,0							
			N-	→•id,N	,2									
			F-	→id(A•)	,0									

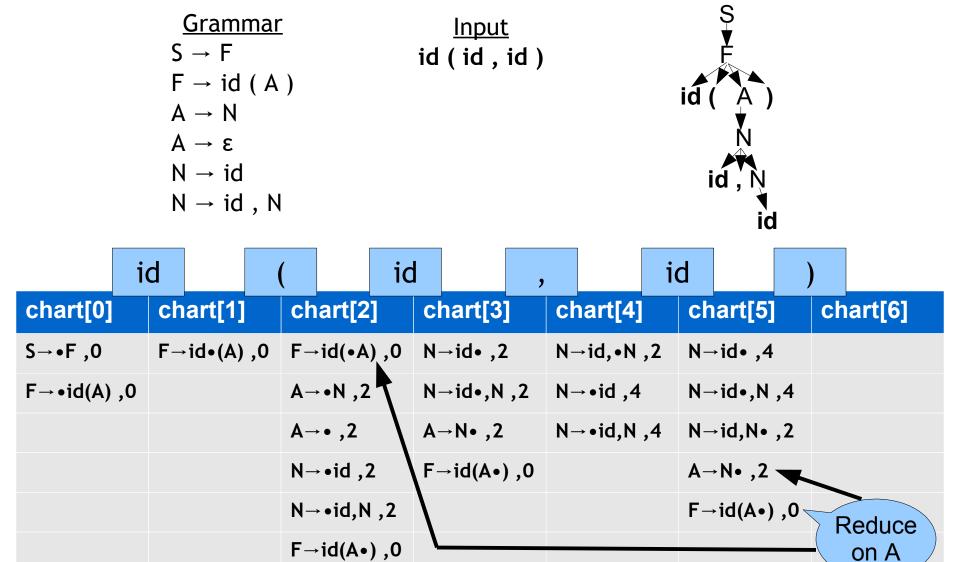


chart[0]	chart[1]	chart[2]	chart[3]	chart[4]	chart[5]	chart[6]
S→•F ,0	F→id•(A) ,0	F→id(•A) ,0	N→id• ,2	N→id,•N ,2	N→id• ,4	
F→•id(A) ,0		A→•N ,2	N→id•,N ,2	N→•id ,4	N→id•,N ,4	
		A→• ,2	A→N• ,2	N→•id,N ,4		Shift
		N→•id ,2	F→id(A•) ,0			on "id"
		N→•id,N ,2				
		F→id(A•) ,0				

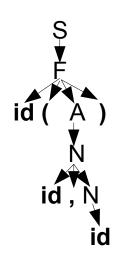


<u>Grammar</u>

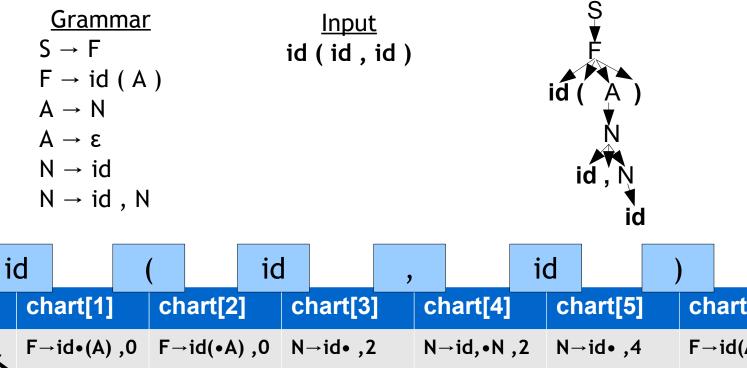




 $\begin{array}{ll} \underline{\text{Grammar}} & \underline{\text{Input}} \\ S \to F & \text{id (id, id)} \\ F \to \text{id (A)} \\ A \to N \\ A \to \epsilon \\ N \to \text{id} \\ N \to \text{id}, N \end{array}$

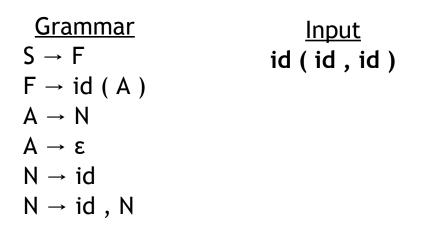


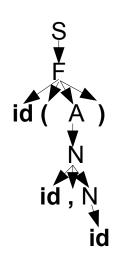
	id		(id		,		id)	
chart[0]	ch	art[1]		chart[2		chart[3] c	hart[4]	С	hart[5]		chart[6]
S→•F ,0	F-	→id•(A)	,0	F→id(•A)	0, (N→id• ,2	2 N	→id,•N	,2 N	→id• ,4		F→id(A)• ,0
F→•id(A),	,0			A→•N ,2	1	N→id∙,N	,2 N	→•id ,4	N	→id•,N	,4	
				A→• ,2	1	A→N• ,2	N	→•id,N	,4 N	→id,N•	,2	Shift
				N→•id ,2	. F	-id(A•	0, (A	→N• ,2		on ")"
				N→•id,N	,2				F	→id(A•)	,0	
				F→id(A•)	0, (



chart[0]	chart[1]	chart[2]	chart[3]	chart[4]	chart[5]	chart[6]
S→•F,0	F→id•(A) ,0	F→id(•A) ,0	N→id• ,2	N→id,•N ,2	N→id• ,4	F→id(A)•,0
F→•id(A) ,0		A→•N ,2	N→id•,N ,2	N→•id ,4	N→id•,N ,4	S→F•,0
		A→• ,2	A→N• ,2	N→•id,N ,4	N→id,N• ,2	
		N→•id ,2	F→id(A•) ,0		A→N• ,2	Reduce
		N→•id,N ,2			F→id(A•) ,0	on F
	\	F→id(A•) ,0				

Massive Earley Example



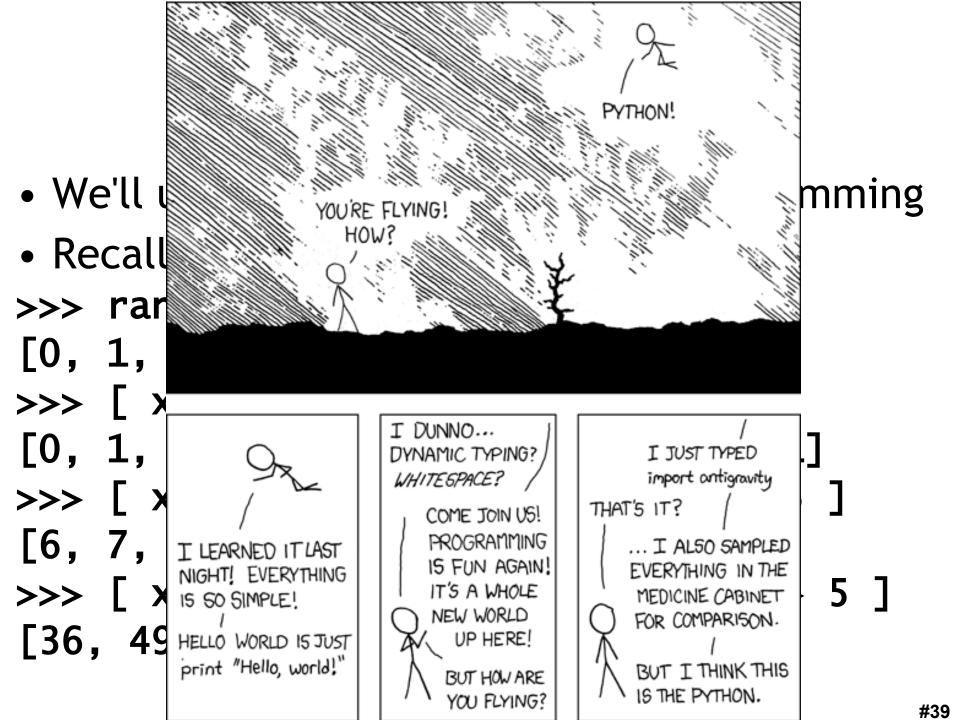


	id	(id		,		id)
chart[0]	chart[1]	cha	rt[2]	chart[3]	ch	nart[4]	chart[5]	chart[6]
S→•F ,0	F→id•(A)	,0 F→i	d(•A) ,0	N→id• ,2	N-	→id,•N ,2	N→id• ,4	F→id(A)• ,0
F→•id(A) ,0		A →•	N ,2	N→id•,N	,2 N-	→•id ,4	N→id•,N	,4 S→F• ,0
		A →•	,2	A→N• ,2	N-	→•id,N ,4	N→id,N•	,2
		N → •	id ,2	F→id(A•)	,0		A→N• ,2	Accept!
		N → •	id,N ,2				F→id(A•)	,0
		F→io	0, (•A)b					

Let's Implement It

- We'll use Python and Functional Programming
- Recall: List Comprehensions

```
>>> range(10)
[0, 1, 2, 3, 4, 5, 6, 7, 8, 9]
>>> [ x*x for x in range(10) ]
[0, 1, 4, 9, 16, 25, 36, 49, 64, 81]
>>> [x for x in range(10) if x > 5]
[6, 7, 8, 9]
>>> [ x*x for x in range(10) if x > 5 ]
[36, 49, 64, 81]
```



Data Structure Decisions

- For brevity, we'll use Lists and Tuples.
 - Named Tuples in Python 3, Classes, etc.

```
grammar = [
  ("S", ["F"]),
  ("F", ["id", "(", "A", ")"]),
  ("A", [ ] ),
  ("A", ["N"]),
  ("N", ["id", ]),
  ("N", ["id", ",", "N"]),
tokens = [ "id" , "(" , "id", "," , "id", ")" ]
# X \rightarrow ab.cd, i == ("X",["a","b"],["c","d"],i)
                                                   #40
```

Initialization

```
# By convention, the starting rule is
# the first rule in the grammar.
start_rule = grammar[0]
# The starting parse state is "S -> . abcd , from 0"
start_state = (start_rule[0], [], start_rule[1], 0)
# The parsing chart is a one-dimensional array,
# initially empty.
chart = {}
for i in range(len(tokens)+1): chart[i] = [ ]
# Start by placing the starting state in chart[0].
chart[0] = [ start_state ]
```

Shift

```
# If chart[i] contains "X -> ab.cd , from j"
# and c is token[i] then add:
# "X -> abc.d , from j" to chart[i+1]
def shift(tokens, i, x, ab, cd, j):
  if cd <> [] and tokens[i] == cd[0]:
    c = cd[0]
    d = cd[1:]
    abc = ab + [c]
    new\_chart\_state = (x, abc, d, j)
    new_chart_index = i + 1
    return [(new_chart_index, new_chart_state)]
  else:
    return
```

Closure

```
# If chart[i] contains "X -> ab.cd , from j":
        and cd is not empty
        and c is a non-terminal
        and there is a grammar rule "c -> pqr"
# Then add:
        "c -> . pqr , from i"
        to chart[i]
def closure(grammar,i,x,ab,cd,j):
  return [ (i , (rule[0],[],rule[1],i)) \
        for rule in grammar \
        if cd \Leftrightarrow [] and cd[0] == rule[0]]
```

Reduction

```
# If chart[i] contains "X -> ab. , from j"
 (that is: cd is empty)
   and chart[j] contains "Y -> pq.Xr , from k"
# Then add
# "Y -> pqX.r , from k" to chart[i]
def reduction(chart,i,x,ab,cd,j):
  return [ (i, (jstate[0], jstate[1] + [x],
               (jstate[2])[1:], jstate[3] ))
    for jstate in chart[j]
    if cd == [] and jstate[2] <> []
                and (jstate[2])[0] == x]
```

Main Loop

```
# Step 2: Dynamic Programming
for i in range(len(tokens)):
  # Apply shift, closure and reduction until
  # no new parsing states are added to the chart.
  def apply_shift_closure_reduction():
    if any([
            add_to_chart(chart,
             shift(tokens,i,x,ab,cd,j) +
             closure(grammar,i,x,ab,cd,j) +
             reduction(chart,i,x,ab,cd,j))
            for x, ab, cd, j in chart[i] ]):
      apply_shift_closure_reduction()
      # do it again if any changes
  apply_shift_closure_reduction()
```

Example

```
grammar3 = [
  ("S", ["E"]),
  ("E", ["E", "-", "E"]),
  ("E", ["E", "+", "E"]),
  ("E", ["(", "E", ")" ]),
  ("E", ["int"]),
tokens3 = [ "int", "-", "int" ]
chart[0]
  S \rightarrow E, from 0
  E \rightarrow . E - E , from 0
  E \rightarrow E + E
                       , from 0
 E -> . (E)
                       , from 0
  E -> . int
                       , from 0
chart[1]
 E -> int .
                       , from 0
                        , from 0
  S \rightarrow E.
String Accepted: True
```

PA3 in JavaScript: parser.jison

```
%token PLUS MINUS INT
%left PLUS MINUS
%start program
%%
program: exp EOF { return $1; }
exp: exp PLUS exp { $$ = ["plus_node", $1, $3]; }
     exp MINUS exp { $$ = ["minus_node", $1,$3]; }
                   { $$ = ["int_node",
     INT
                            Number(yytext) ]; }
```

PA3 in JavaScript: main.js

```
var cl lex = [
  ['INT', "11"] ,
  'PLUS' ] .
  ['INT', "22"] ,
  ['MINUS'],
  ['INT', "33"],
  ['EOF'],
var token_count = 0
var parser =
    require("./parser").parser;
```

```
parser.lexer = {
  lex : function() {
   var cl_lex_entry =
        cl_lex[token_count++];
   var token = cl_lex_entry[0] ;
    var lexeme = cl_lex_entry[1] ;
    parser.lexer.yytext = lexeme ;
    return token;
  setInput : function(str) { }
var final_ast = parser.parse("");
console.log(final_ast);
```

PA3 in JavaScript Output:

PA3 Not Shown Here

- Reading in the .cl-lex file
- Handling line number information
- Printing out the AST in the desired format
- Adding parsing rules for whole classes and not just simple expressions
- Massive testing effort
 - diff vs. "cool --parse" requires "almost done"
- Dealing with ambiguity ("conflicts")
 - Let's do this one now.

Conflicts

- Add "%token NEG" and "exp: NEG exp".
- Oh noes:

exp -> exp . MINUS exp

```
Conflict in grammar: multiple actions possible when lookahead token is
PLUS in state 8
- reduce by rule: exp -> NEG exp
- shift token (then go to state 6)
Conflict in grammar: multiple actions possible when lookahead token is
MTNUS in state 8
- reduce by rule: exp -> NEG exp
- shift token (then go to state 7)
States with conflicts:
State 8
                           #lookaheads= EOF PLUS MINUS
  exp -> NEG exp .
  exp -> exp . PLUS exp
```

Coi

- Add "%token NEG" a
- Oh noes:

Conflict in grammar: multiple act PLUS in state 8

- reduce by rule: exp -> NEG exp
- shift token (then go to state 6)

Conflict in grammar: multiple act MINUS in state 8

- reduce by rule: exp -> NEG exp
- shift token (then go to state 7)

States with conflicts:

```
State 8
  exp -> NEG exp .
  exp -> exp . PLUS exp
  exp -> exp . MINUS exp
```



Conflict Interpretation

- So some table entry has all three:
 - $exp \rightarrow NEG exp$.
 - $exp \rightarrow exp$. PLUS exp
 - $exp \rightarrow exp$. MINUS exp
- What would the input have to look like to get to that table entry?



Internet Explorer

Question of the day: Which technological invention do you think has impacted our lives more - the telephone or the internet?

about a minute ago . Like . Comment



Conflict Interpretation

- So some table entry has all three:
 - $exp \rightarrow NEG exp$.
 - $exp \rightarrow exp$. PLUS exp
 - exp → exp . MINUS exp
- What would the input have to look like to get to that table entry?
 - NEG INT . PLUS INT

Conflict Interpretation

- So some table entry has all three:
 - $exp \rightarrow NEG exp$.
 - $exp \rightarrow exp$. PLUS exp
 - $exp \rightarrow exp$. MINUS exp
- What would the input have to look like to get

NEG

PLUS

to that table entry?

- NEG INT . PLUS INT

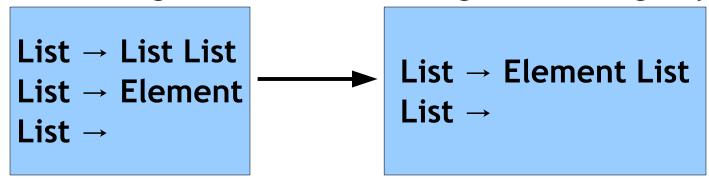
PLUS

NEG

Conflict Solution

• Shift/Reduce

- Carefully specify precedence and associativity of operators (and sometimes of random tokens).
 - In last example, NEG has higher precedence than PLUS or MINUS.
- Reduce/Reduce
 - Rewrite grammar to avoid gross ambiguity:



Homework

Midterm 1 Tomorrow In Class

- WA3 (written homework) due Monday
- PA3 due Monday