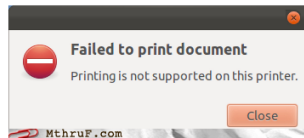


# Gödel and Computability



# One-Slide Summary

- A **proof** of  $X$  in a formal system is a sequence of **steps** starting with **axioms**. Each step must use a valid **rule** of inference and the final step must be  $X$ .
- All interesting logical systems are **incomplete**: there are true statements that **cannot** be proven within the system.
- An **algorithm** is a (mechanizable) procedure that always **terminates**.
- A problem is **decidable** if there exists an **algorithm** to solve it. A problem is **undecidable** if it is **not possible** for an algorithm to exist that solves it.
- The **halting problem** is undecidable.

#2

# Outline

- Gödel's Proof
- Unprovability
- Algorithms
- Computability
- The Halting Problem



# Epimenides Paradox

Epimenides (a Cretan):

“All Cretans are liars.”

Equivalently:

“This statement is false.”

Russell's types can help with the set paradox, but not with these.

#4

# Gödel's Solution

All consistent axiomatic formulations of number theory include *undecidable* propositions.

(GEB, p. 17)

**undecidable** - cannot be proven either true or false inside the system.



#5

# Kurt Gödel

- Born 1906 in Brno (now Czech Republic, then Austria-Hungary)
- 1931: publishes *Über formal unentscheidbare Sätze der Principia Mathematica und verwandter Systeme* (On Formally Undecidable Propositions of Principia Mathematica and Related Systems)



#6

- 1939: flees Vienna
- Institute for Advanced Study, Princeton
- Died in 1978 – convinced everything was poisoned and refused to eat



#7

## Gödel's Theorem

In the Principia Mathematica system, there are statements that cannot be proven either true or false.



## Gödel's Theorem

In **any interesting rigid system**, there are statements that cannot be proven either true or false.



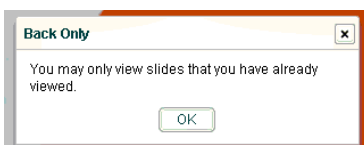
## Gödel's Theorem

All logical systems of any complexity are **incomplete**: there are statements that are *true* that cannot be proven within the system.



## Proof - General Idea

- Theorem: In the Principia Mathematica system, there are statements that cannot be proven either true or false.
- Proof: Find such a statement!



#11

## Gödel's Statement

G: This statement does not have any proof in the system of *Principia Mathematica*.

G is unprovable, but true!

Why?

#12

## Gödel's Statement

$G$ : This statement does not have any proof in the system.

Possibilities:

1.  $G$  is **true**  $\Rightarrow G$  has **no** proof  
System is *incomplete*
2.  $G$  is **false**  $\Rightarrow G$  has **a** proof  
System is *inconsistent*

#13

## Gödel's Proof Idea

$G$ : This statement does not have any proof in the system of  $PM$ .

If  $G$  is provable,  $PM$  would be inconsistent.

If  $G$  is unprovable,  $PM$  would be incomplete.

Thus,  $PM$  cannot be complete and consistent!

#14

## Liberal Arts Trivia: Women's Studies

- This American-invented contact sport involves two teams roller skating around an oval track. It became popular in 1935 during the Great Depression and continued to grow in the '50s, '60s and '70s. Teams score points when the *jammer* passes an opposing *blocker* or *pivot*. The sport is strongly associated with third-wave feminism.



#15

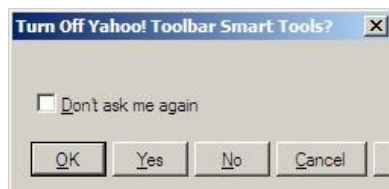
## Liberal Arts Trivia: Philosophy

- In philosophy, *this* is a hypothetical being that cannot be distinguished from a normal human except that it lacks conscious experience, qualia or sentience. That is, it does not feel pain, but will react appropriately when poked with a sharp stick. They are typically invoked in thought experiments in the philosophy of mind to argue against physicalist stances such as materialism or behaviorism, such as those of David Chalmers in *The Conscious Mind*.

#16

## Finishing The Proof

- Turn  $G$  into a statement in the *Principia Mathematica* system
- Is  $PM$  powerful enough to express “This statement does not have any proof in the  $PM$  system.”?



#17

## How to express “does not have any proof in the system of $PM$ ”

- What does “**have a proof** of  $S$  in  $PM$ ” mean?
  - There is a sequence of **steps** that follow the **inference rules** that starts with the initial **axioms** and ends with  $S$
- What does it mean to “not have any proof of  $S$  in  $PM$ ”?
  - There is **no** sequence of steps that follow the inference rules that starts with the initial axioms and ends with  $S$

#18

## Can PM express unprovability?

- There is **no** sequence of steps that follows the inference rules that starts with the initial axioms and ends with  $S$
- Sequence of steps:
 
$$T_0, T_1, T_2, \dots, T_N$$

$T_0$  must be the axioms  
 $T_N$  must include  $S$   
 Every step must follow from the previous using an inference rule

#19

## Can we express “This statement”?

- Yes!
  - Optional Reading: the TNT Chapter in GEB
- We can write turn every statement into a number, so we can turn “This statement does not have any proof in the system” into a number

#20

## Gödel’s Proof

$G$ : This statement does not have any proof in the system of  $PM$ .

If  $G$  is provable,  $PM$  would be inconsistent.  
 If  $G$  is unprovable,  $PM$  would be incomplete.

**PM can express  $G$ .**

Thus, **PM cannot be complete and consistent!**

#21

## Generalization

All logical systems of any complexity are incomplete: there are statements that are *true* that cannot be proven within the system.



#22

## Practical Implications

- Mathematicians will *never* be completely replaced by computers
  - There are mathematical truths that cannot be determined mechanically
  - We can build a computer that will prove only true theorems about number theory, but if it cannot prove something we do not know that that is not a true theorem.
- We'll always need *creativity*.



What does it mean for an axiomatic system to be complete and consistent?

Derives **all** true statements, and **no** false statements starting from a finite number of axioms and following mechanical inference rules.

#24

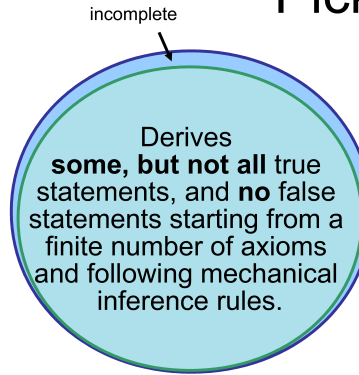
What does it mean for an axiomatic system to be complete and consistent?

It means the axiomatic system is weak.

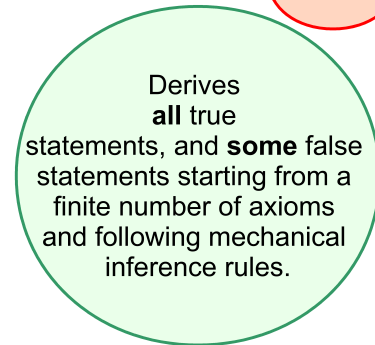
Indeed, it is so weak, it cannot express:  
"This statement has no proof."

#25

Pick one:



*Incomplete*  
Axiomatic System



*Inconsistent*  
Axiomatic System

#26

## Inconsistent Axiomatic System

Derives **all** true statements, and **some** false statements starting from a finite number of axioms and following mechanical inference rules.

some false statements

Once you can prove one false statement, everything can be proven! false  $\Rightarrow$  anything

#27

## Liberal Arts Trivia: Chemistry

- Also known as the rapture of the deep, *this* is a completely reversible alteration in consciousness that occurs while scuba diving at depth. The state is quite similar to alcohol intoxication, and usually occurs at depths beyond 100 feet. It is caused by breathing gasses that dissolve into nerve membranes and disrupt transmission: apart from helium, all breathable gasses have a narcotic effect, which is greater as lipid solubility increases.

#28

## Liberal Arts Trivia: History

- Between 1945 and 1946, the political and military leadership of Nazi Germany, such as Hermann Göring, were tried in military tribunals in this location. The trials had a lasting legacy on international criminal law, including the later Geneva Conventions.



## Liberal Arts Trivia: Music

- *This* is the name given to a chord consisting of only the root note of the chord and the fifth, usually played on an electric guitar through an amplification process with distortion. They are a key element of many styles of rock music. In these, the ratio between the frequencies of the root and fifth is simply 3:2, leading to a coherent sound and harmonics closely related to the original two notes when played through distortion.

#30

## Algorithms

- What's an **algorithm**?  
A procedure that always **terminates**.
- What's a **procedure**?  
A precise (mechanizable) description of a process.

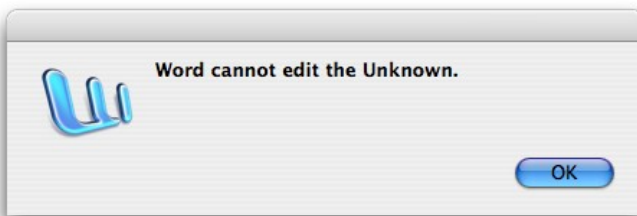


## Computability

- Is there an algorithm that solves a problem?
- **Computable (decidable)** problems:
  - There is an algorithm that solves the problem.
  - Make a photomosaic, sorting, drug discovery, winning chess (it doesn't mean we know the algorithm, but there is one)
- **Uncomputable (undecidable)** problems:
  - There is no algorithm that solves the problem.
  - There might be a procedure, but it doesn't always terminate.

#32

Are there any uncomputable problems?



#33

## The Halting Problem

**Input:** a specification of a procedure  $P$

**Output:** If evaluating an application of  $P$  halts, output **true**. Otherwise, output **false**.

#34

## Alan Turing (1912-1954)

- Codebreaker at Bletchley Park
  - Broke Enigma Cipher
  - Perhaps more important than Lorenz
- Published *On Computable Numbers ...* (1936)
  - Introduced the Halting Problem
  - Formal model of computation (now known as "Turing Machine")
- After the war: convicted of homosexuality (then a crime in Britain), committed suicide eating cyanide apple



5 years after Gödel's proof!

#35

## Halting Problem

Define a procedure **halts?** that takes a procedure specification and evaluates to **True** if evaluating that code would terminate, and to **False** if evaluating that code would not terminate.

```
def halts?(proc):
```

```
...
```

#36

## Examples

```
>>> halts?("(lambda x : 3 + 3)(5)")
True
>>> code = """
def loop(): return loop()
loop()"""
>>> halts?(code)
False
```



## Halting Examples

```
>>> halts?("""
def fact(n):
    if n == 1: return 1
    return n * fact(n-1)
fact(7)
""")
True

>>> halts?("""
def fact(n):
    if n == 1: return 1
    return n * fact(n-1)
fact(0)
""")
False
```

```
>>> halts?("""
def fibo(n):
    if n <= 2: return 1
    return fibo(n-1) + \
           fibo(n-2)
fibo(100)""")
True
```

#38

## Halting Examples

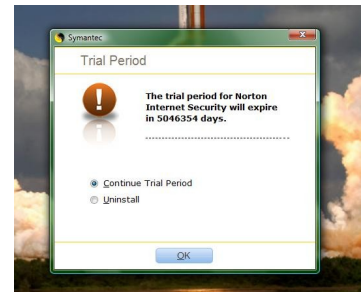
```
>>> halts?("""
def is_sum_of_two_primes(n):
    return [ 1 for p1 in primes(n) for p2 in primes(n)
            if n == p1+p2 ] != []
def test_goldbach(n):
    if not(is_sum_of_two_primes(n)):
        return false # Goldbach is Wrong!
    return test_goldbach(n+2)
test_goldbach(2)""")
???
```

**Goldbach Conjecture** (see GEB, p. 394):  
Every even integer can be written as the sum of two primes.

#39

## Can we define halts? ?

- We could try for a really long time, get something to work for simple examples, but could we solve the problem - make it work for all possible inputs?



#40

## Informal Proof

```
define paradox():
    def loop_forever():
        return loop_forever()
    if halts?(paradox):
        loop_forever()
    else: return "halts"
```

If paradox halts, the if test is true and it evaluates loop\_forever() - it doesn't halt!

If paradox doesn't halt, the if test is false, and it evaluates to "halts". It halts!

#41

## Proof by Contradiction

Goal: Show that A is false.

1. Show X is nonsensical.
2. Show that if you have A you can make X.
3. Therefore, A must not exist.

X = paradox

A = halts? algorithm

#42

## How convincing is our Halting Problem proof?

```
define paradox():  
    if halts?(paradox):  
        loop_forever()  
    else: return "halts"
```

If paradox halts, the if test is true and it evaluates to loop\_forever() - it doesn't halt!

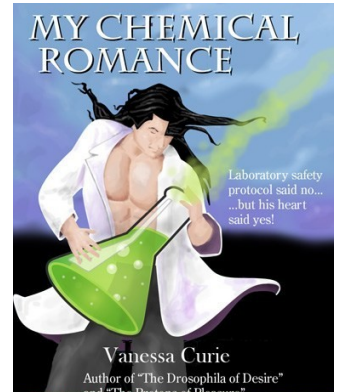
If paradox doesn't halt, the if test is false, and it evaluates to "halts". It halts!

This "proof" assumes Python exists and is consistent!  
Python is too complex to believe this...we need a simpler model of computation (in two weeks).

#43

## Homework

- Read Chapter 12
- Read Obituary
- PS6 Due Tuesday



#44