

# Set Theory

## 1 Set Theory Exercise — Problem

This exercise is meant to help you refresh your knowledge of set theory and functions. Let  $X$  and  $Y$  be sets. Let  $\mathcal{P}(X)$  denote the powerset of  $X$  (the set of all subsets of  $X$ ). Show that there is a 1-1 correspondence (i.e., a bijection) between the sets  $A$  and  $B$ , where  $A = X \rightarrow \mathcal{P}(Y)$  and  $B = \mathcal{P}(X \times Y)$ . Note that  $A$  is a set of functions and  $B$  is a (or can be viewed as a) set of relations. This correspondence will allow us to use functional notation for certain sets in class. This is Exercise 1.4 from page 8 of Winskel's book.

## 2 Set Theory Exercise — Solution 1 (Injective + Surjective)

Let us construct a function  $f : A \rightarrow B$  and prove that it is injective and surjective. More precisely, the type of  $f$  is  $f : (X \rightarrow \mathcal{P}(Y)) \rightarrow \mathcal{P}(X \times Y)$ . We choose  $f$  as follows:

$$f(a) =_{\text{def}} \{(x, y) \mid y \in a(x)\}$$

A function  $f$  is *injective* (or *one-to-one*) if for all  $a_1 \in A$  and  $a_2 \in A$ , if  $f(a_1) = f(a_2)$  then  $a_1 = a_2$ . Let  $a_1$  and  $a_2$  be arbitrary elements of  $A$ , and assume  $f(a_1) = f(a_2)$ . Then, by definition of  $f$ :

$$\{(x, y) \mid y \in a_1(x)\} = \{(x, y) \mid y \in a_2(x)\}$$

By the *axiom of extensionality* in Set Theory, two sets are equal if they have exactly the same elements. Applied to the two sets above, we find that for any  $(x, y)$ , whenever  $y \in a_1(x)$ , we also have  $y \in a_2(x)$ . Applying the *axiom of extensionality* to  $a_1(x)$  and  $a_2(x)$ , we find that they must be equal sets (because for all  $y$  they either both contain that same  $y$  or both do not contain that same  $y$ ). So for any  $x$ ,  $a_1(x) = a_2(x)$ . Thus by the definition of *function*,  $a_1$  and  $a_2$  are equal functions (they agree on all arguments). Thus  $f$  is injective.

A function  $f : A \rightarrow B$  is *surjective* (or *onto*) if, for every  $b \in B$  there is an  $a \in A$  with  $f(a) = b$ . To demonstrate this, let  $b$  be an arbitrary element of  $B$ . So  $b \in \mathcal{P}(X \times Y)$  (by definition of  $B$ , above). So every element of  $b$  is of the form  $(x, y)$  with  $x \in X$  and  $y \in Y$ . We now construct an  $a$  such that  $f(a) = b$ . By definition of  $f$ ,  $f(a) = \{(x, y) \mid y \in a(x)\}$ . So we pick our function  $a$  by letting  $a(x) = \{y \mid (x, y) \in b\}$ . By substitution,  $f(a) = \{(x, y) \mid y \in \{y \mid (x, y) \in b\}\}$ , which simplifies to  $f(a) = \{(x, y) \mid (x, y) \in b\}$ . Since  $f(a)$  is the set of elements that are exactly those elements found in  $b$ , by the *axiom of extensionality*,  $f(a) = b$ . So the function  $f$  is surjective.

Since  $f$  is injective and surjective, it is also bijective (i.e., invertible). Since there exists an invertible function  $f : A \rightarrow B$ , there is a 1-1 correspondence between  $A$  and  $B$ . QED.

## 3 Set Theory Exercise — Solution 2 (Explicit Inverse)

In this alternate solution, we'll construct an invertible  $f$  by explicitly showing its inverse. Let  $f$  be as in the previous solution:

$$f(a) =_{\text{def}} \{(x, y) \mid y \in a(x)\}$$

We introduce a second function,  $g$ , that we will show to be the inverse of  $f$ . Since  $g : B \rightarrow A$  and  $A$  is a set of functions, every  $g(b)$  will be a function. We define  $g$  as follows:

$$(g(b))(x) =_{\text{def}} \{y \mid (x, y) \in b\}$$

An optional presentation of the same  $g$  in the style of the lambda calculus is:

$$g(b) =_{\text{def}} \lambda x. \{y \mid (x, y) \in b\}$$

However, we have not yet introduced the lambda calculus in class. Do not worry if you are not familiar with it. In either case,  $g(b)$  returns a function. When that function is presented with the argument  $x$ , it returns the set  $\{y \mid (x, y) \in b\}$ .

By the definition of *invertible*, to show that  $f$  and  $g$  are inverses, we show that that  $f$  composed with  $g$  is the identity function:  $g(f(a)) = a$ . Let  $a$  be an arbitrary element of  $A$ . So  $a$  is a function mapping  $X$  to  $\mathcal{P}(Y)$ . To show that  $g(f(a)) = a$ , since they're both functions, we'll show that they behave the same way on all inputs:  $(g(f(a)))(x) = a(x)$ . Now we expand  $g(f(a))(x)$  by definition of  $f(a)$ :

$$g(f(a))(x) = g(\{(x, y) \mid y \in a(x)\})(x)$$

Now we expand by definition of  $(g(b))(x)$ :

$$g(f(a))(x) = \{y \mid (x, y) \in \{(x, y) \mid y \in a(x)\}\}$$

By simplification we have:

$$g(f(a))(x) = \{y \mid y \in a(x)\}$$

By the *axiom of extensionality*, the set of all elements found in  $a(x)$  is exactly  $a(x)$  itself, so we have:

$$g(f(a))(x) = a(x)$$

So we're done:  $f$  composed with  $g$  is the identity function, so  $f$  and  $g$  are inverses, so  $f : A \rightarrow B$  is invertible, so there is a 1-to-1 correspondence between  $A$  and  $B$ . QED.