

# Introduction to Denotational Semantics (1/2)



# Class Likes/Dislikes Survey

- + humor = 2
- + teaching style = 2
- + slides = 1
- + candy = 2
- + class takes place in afternoon = 1
- + class is interesting = 3
- + students help solve some examples = 1
- + prewritten ML code = 1
- + material is fundamental and relevant = 1
- - only one small-step lecture before HW due = 1
- - too much reading = 1
- - class takes place in afternoon = 1
- - too much pieter = 1
- - not enough pieter = 1
- - clicker = 1
- - lecture pace is too fast (too much material) = 1

# Lie To Me, Giles

- HW2 due Thursday (not Today)
- Buffy: Does it ever get easy?
- Giles: You mean life?
- Buffy: Yeah. Does it get easy?
- Giles: What do you want me to say?
- Buffy: Lie to me.
- Giles: Yes, it's terribly simple. The good guys are always stalwart and true, the bad guys are easily distinguished by their point horns or black hats. We always defeat them and save the day. No one ever dies, and everybody lives happily ever after.
- Buffy: Liar.

# But first!

- Recall our proof that the large-step opsem rules for IMP are **deterministic**
- If  $\langle c, \sigma \rangle \Downarrow \sigma'$  and  $\langle c, \sigma \rangle \Downarrow \sigma''$  then  $\sigma' = \sigma''$
- Proof by ***induction on the structure of the derivation***
- If  $\langle c, \sigma \rangle \Downarrow \sigma'$  then  $D :: \langle c, \sigma \rangle \Downarrow \sigma'$
- If  $\langle c, \sigma \rangle \Downarrow \sigma''$  then  $D'' :: \langle c, \sigma \rangle \Downarrow \sigma'$
- $D$  and  $D''$  are the derivations!
- What was the last rule used in  $D$ ?
- By the inductive hypothesis, we can assume the property holds for sub-derivations of  $D$

# Induction on Derivations (3)

- Case: the last rule used in  $D$  was the one for **sequencing**

$$D :: \frac{D_1 :: \langle c_1, \sigma \rangle \Downarrow \sigma_1 \quad D_2 :: \langle c_2, \sigma_1 \rangle \Downarrow \sigma'}{\langle c_1; c_2, \sigma \rangle \Downarrow \sigma'}$$

- Pick arbitrary  $\sigma''$  such that  $D'' :: \langle c_1; c_2, \sigma \rangle \Downarrow \sigma''$ .
  - by **inversion**  $D''$  uses the rule for sequencing
  - and has subderivations  $D''_1 :: \langle c_1, \sigma \rangle \Downarrow \sigma''_1$  and  $D''_2 :: \langle c_2, \sigma''_1 \rangle \Downarrow \sigma''$
- By induction hypothesis on  $D_1$  (with  $D''_1$ ):  $\sigma_1 = \sigma''_1$ 
  - Now  $D''_2 :: \langle c_2, \sigma_1 \rangle \Downarrow \sigma''$
- By induction hypothesis on  $D_2$  (with  $D''_2$ ):  $\sigma'' = \sigma'$
- This is a **simple inductive case**

# Induction on Derivations (4)

- Case: the last rule used in  $D$  was **while true**

$$D :: \frac{D_1 :: \langle b, \sigma \rangle \Downarrow \text{true} \quad D_2 :: \langle c, \sigma \rangle \Downarrow \sigma_1 \quad D_3 :: \langle \text{while } b \text{ do } c, \sigma_1 \rangle \Downarrow \sigma'}{\langle \text{while } b \text{ do } c, \sigma \rangle \Downarrow \sigma'}$$

- Pick arbitrary  $\sigma''$  s.t.  $D'' :: \langle \text{while } b \text{ do } c, \sigma \rangle \Downarrow \sigma''$ 
  - by **inversion and determinism of boolean expressions**,  $D''$  also uses the rule for **while true**
  - and has subderivations  $D''_2 :: \langle c, \sigma \rangle \Downarrow \sigma''_1$  and  $D''_3 :: \langle \text{while } b \text{ do } c, \sigma''_1 \rangle \Downarrow \sigma''$
- By induction hypothesis on  $D_2$  (with  $D''_2$ ):  $\sigma_1 = \sigma''_1$ 
  - Now  $D''_3 :: \langle \text{while } b \text{ do } c, \sigma_1 \rangle \Downarrow \sigma''$
- By induction hypothesis on  $D_3$  (with  $D''_3$ ):  $\sigma'' = \sigma'$

# What Do You, The Viewers At Home, Think?

- Let's do `if true` together!
- Case: the last rule in D was `if true`

$$D :: \frac{D_1 :: \langle b, \sigma \rangle \Downarrow \text{true} \quad D_2 :: \langle c1, \sigma \rangle \Downarrow \sigma_1}{\langle \text{if } b \text{ do } c1 \text{ else } c2, \sigma \rangle \Downarrow \sigma_1}$$

- Try to do this on a piece of paper. In a few minutes I'll have some lucky winners come on down.

# Induction on Derivations (5)

- Case: the last rule in  $D$  was `if true`

$$D :: \frac{D_1 :: \langle b, \sigma \rangle \Downarrow \text{true} \qquad D_2 :: \langle c1, \sigma \rangle \Downarrow \sigma'}{\langle \text{if } b \text{ do } c1 \text{ else } c2, \sigma \rangle \Downarrow \sigma'}$$

- Pick arbitrary  $\sigma''$  such that
$$D'' :: \langle \text{if } b \text{ do } c1 \text{ else } c2, \sigma \rangle \Downarrow \sigma''$$
  - By `inversion and determinism`,  $D''$  also uses `if true`
  - And has subderivations  $D''_1 :: \langle b, \sigma \rangle \Downarrow \text{true}$  and  $D''_2 :: \langle c1, \sigma \rangle \Downarrow \sigma''$
- By induction hypothesis on  $D_2$  (with  $D''_2$ ):  $\sigma' = \sigma''$

# Induction on Derivations

## Summary

- If you must prove  $\forall x \in A. P(x) \Rightarrow Q(x)$ 
  - A is some structure (e.g., AST), P(x) is some property
  - we pick arbitrary  $x \in A$  and  $D :: P(x)$
  - we could do induction on both facts
    - $x \in A$  leads to induction on the structure of x
    - $D :: P(x)$  leads to induction on the structure of D
  - Generally, the induction on the structure of the derivation is more powerful and a safer bet
- Sometimes there are many choices for induction
  - choosing the right one is a trial-and-error process
  - a bit of practice can help a lot

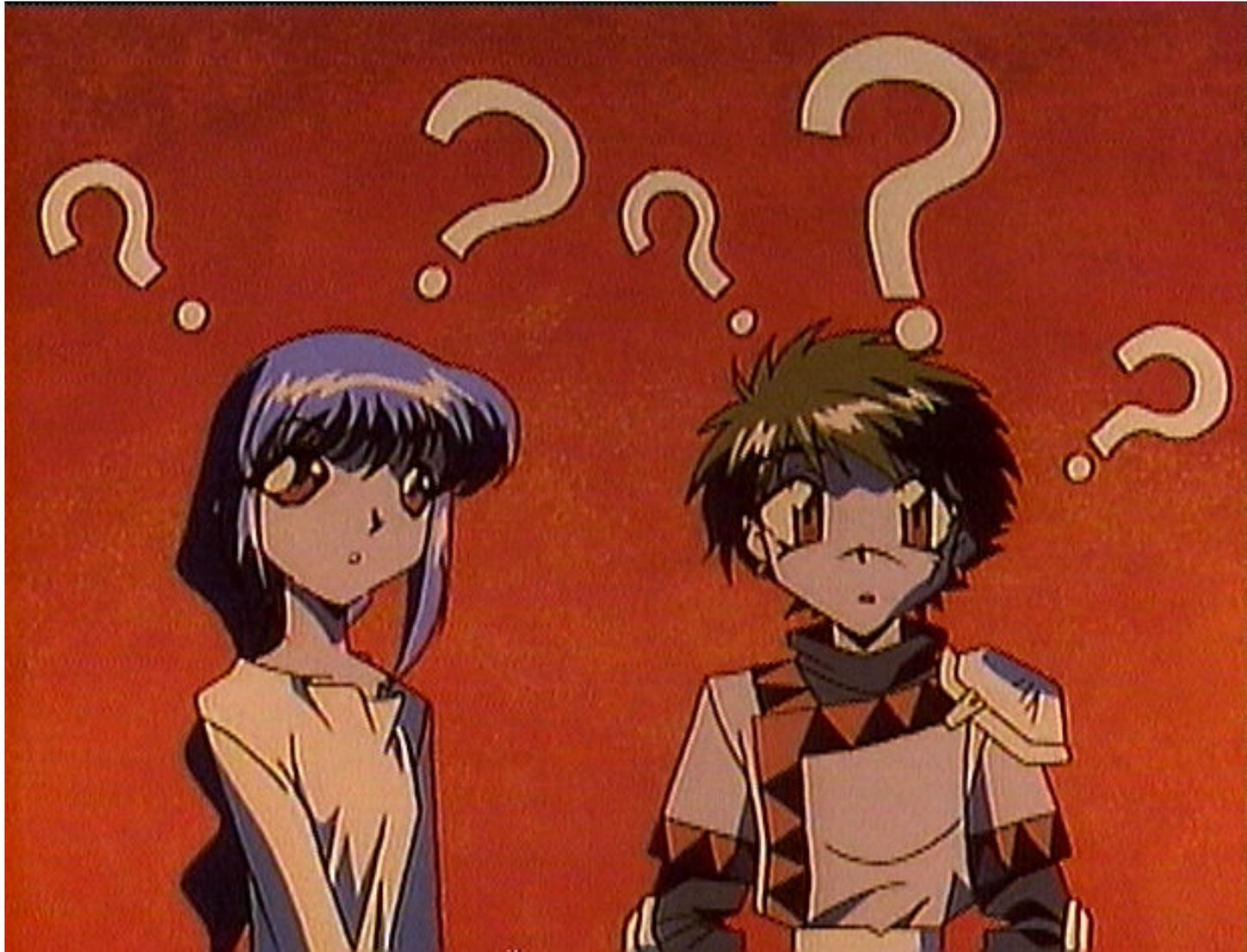
# Summary of Operational Semantics

- Precise specification of dynamic semantics
  - order of evaluation (or that it doesn't matter)
  - error conditions (sometimes implicitly, by rule applicability; “no applicable rule” = “get stuck”)
- Simple and abstract (vs. implementations)
  - no low-level details such as stack and memory management, data layout, etc.
- Often not compositional (see while)
- Basis for many proofs about a language
  - Especially when combined with type systems!
- Basis for much reasoning about programs
- Point of reference for other semantics

# Dueling Semantics

- Operational semantics is
  - simple
  - of many flavors (natural, small-step, more or less abstract)
  - not compositional
  - commonly used in the real (modern research) world
- Denotational semantics is
  - **mathematical** (the meaning of a syntactic expression is a mathematical object)
  - **compositional**
- Denotational semantics is also called: fixed-point semantics, mathematical semantics, Scott-Strachey semantics

# Typical Student Reaction To Denotation Semantics



# Denotational Semantics

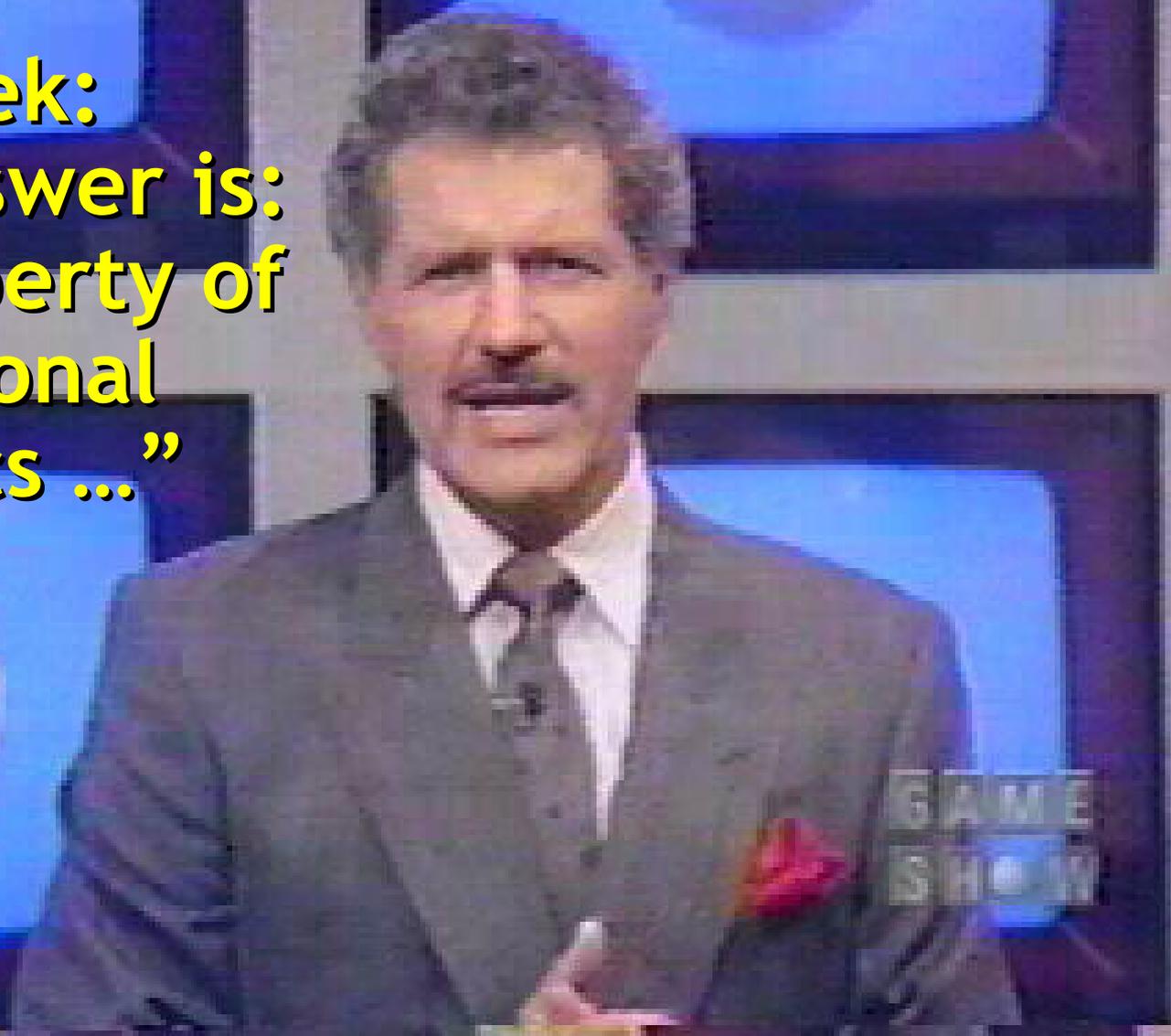
## Learning Goals

- DS is compositional (!)
- When should I use DS?
- In DS, meaning is a “math object”
- DS uses  $\perp$  (“bottom”) to mean non-termination
- DS uses **fixed points** and **domains** to handle `while`
  - This is the tricky bit

**You're On Jeopardy!**

**Alex Trebek:**

**“The answer is:  
this property of  
denotational  
semantics ...”**



# DS In The Real World

- ADA was formally specified with it
- Handy when you want to study non-trivial models of computation
  - e.g., “actor event diagram scenarios”, process calculi
- Nice when you want to compare a program in Language 1 to a program in Language 2

# Deno-Challenge

- You may **skip homework assignment 3 or 4** if you can **find** two (2) post-1999 papers in first- or second-tier PL conferences that use denotational semantics *and* you write me a two paragraph **summary** of each paper.

# Foreshadowing

- Denotational semantics assigns meanings to programs
- The meaning will be a **mathematical object**
  - A number  $a \in \mathbb{Z}$
  - A boolean  $b \in \{\text{true}, \text{false}\}$
  - A function  $c : \Sigma \rightarrow (\Sigma \cup \{\text{non-terminating}\})$
- The meaning will be determined compositionally
  - Denotation of a command is based on the denotations of its immediate sub-commands (= more than merely syntax-directed)

# New Notation

- ‘Cause, why not?

$\llbracket \ \rrbracket$  = “means” or “denotes”

- Example:

$\llbracket \text{foo} \rrbracket$  = “denotation of foo”

$\llbracket 3 < 5 \rrbracket$  = true

$\llbracket 3 + 5 \rrbracket$  = 8

- Sometimes we write  $A[\cdot]$  for arith,  $B[\cdot]$  for boolean,  $C[\cdot]$  for command

# Rough Idea of Denotational Semantics

- The **meaning** of an arithmetic expression  $e$  in state  $\sigma$  is a number  $n$
- So, we try to define  $A[[e]]$  as a function that **maps the current state to an integer**:

$$A[[\cdot]] : Aexp \rightarrow (\Sigma \rightarrow \mathbb{Z})$$

- The meaning of boolean expressions is defined in a similar way

$$B[[\cdot]] : Bexp \rightarrow (\Sigma \rightarrow \{\text{true}, \text{false}\})$$

- All of these denotational function are **total**
  - Defined for all syntactic elements
  - For other languages it might be convenient to define the semantics only for well-typed elements

# Denotational Semantics of Arithmetic Expressions

- We **inductively** define a function

$$A[\cdot] : \text{Aexp} \rightarrow (\Sigma \rightarrow \mathbb{Z})$$

$A[n] \sigma =$  the integer denoted by literal  $n$

$A[x] \sigma = \sigma(x)$

$A[e_1 + e_2] \sigma = A[e_1] \sigma + A[e_2] \sigma$

$A[e_1 - e_2] \sigma = A[e_1] \sigma - A[e_2] \sigma$

$A[e_1 * e_2] \sigma = A[e_1] \sigma * A[e_2] \sigma$

- This is a total function (= defined for all expressions)

# Denotational Semantics of Boolean Expressions

- We inductively define a function

$$B[\cdot] : \text{Bexp} \rightarrow (\Sigma \rightarrow \{\mathbf{true}, \mathbf{false}\})$$

$$B[\mathbf{true}]\sigma = \mathbf{true}$$

$$B[\mathbf{false}]\sigma = \mathbf{false}$$

$$B[b_1 \wedge b_2]\sigma = B[b_1]\sigma \wedge B[b_2]\sigma$$

$$B[e_1 = e_2]\sigma = \text{if } A[e_1]\sigma = A[e_2]\sigma$$

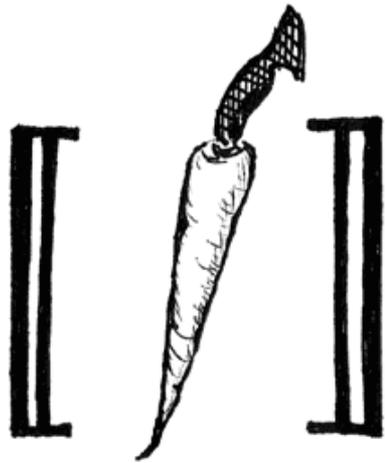
then **true** else **false**

Seems Easy So Far

# [[SEMANTICS]]

of a structure

By Tom 7



= carrot



= bowling pin

# Denotational Semantics for Commands

- Running a command  $c$  starting from a state  $\sigma$  yields another state  $\sigma'$
- So, we try to define  $C[[c]]$  as a function that maps  $\sigma$  to  $\sigma'$

$$C[[\cdot]] : \text{Comm} \rightarrow (\Sigma \rightarrow \Sigma)$$

- Will this work? Bueller?

# $\perp$ = Non-Termination

- We introduce the special element  $\perp$  to denote a special resulting state that stands for non-termination
- For any set  $X$ , we write  $X_{\perp}$  to denote  $X \cup \{\perp\}$

Convention:

whenever  $f \in X \rightarrow X_{\perp}$  we extend  $f$  to  $X_{\perp} \rightarrow X_{\perp}$  so that  $f(\perp) = \perp$

- This is called strictness

# Denotational Semantics of Commands

- We try:

$$C[\cdot] : \text{Comm} \rightarrow (\Sigma \rightarrow \Sigma_{\perp})$$

$$C[\text{skip}] \sigma = \sigma$$

$$C[x := e] \sigma = \sigma[x := A[e] \sigma]$$

$$C[c_1; c_2] \sigma = C[c_2] (C[c_1] \sigma)$$

$$C[\text{if } b \text{ then } c_1 \text{ else } c_2] \sigma = \\ \text{if } B[b] \sigma \text{ then } C[c_1] \sigma \text{ else } C[c_2] \sigma$$

$$C[\text{while } b \text{ do } c] \sigma = ?$$

# Examples

- $C[[x:=2; x:=1]] \sigma = \sigma[x := 1]$
- $C[[\text{if true then } x:=2; x:=1 \text{ else } \dots]] \sigma = \sigma[x := 1]$
- The semantics does not care about intermediate states (cf. “big-step”)
- We haven’t used  $\perp$  yet

# Denotational Semantics of WHILE

- Notation:  $W = C[\text{while } b \text{ do } c]$
- Idea: rely on the equivalence (see end of notes)  
 $\text{while } b \text{ do } c \approx \text{if } b \text{ then } c; \text{ while } b \text{ do } c \text{ else skip}$
- Try

$$W(\sigma) = \text{if } B[b]\sigma \text{ then } W(C[c]\sigma) \text{ else } \sigma$$

- This is called the unwinding equation
- It is not a good denotation of  $W$  because:
  - It defines  $W$  in terms of itself
  - It is not evident that such a  $W$  exists
  - It does not describe  $W$  uniquely
  - It is not compositional

# More on WHILE

- The unwinding equation does **not specify W uniquely**
- Take  $C\llbracket\text{while true do skip}\rrbracket$
- The unwinding equation reduces to  $W(\sigma) = W(\sigma)$ , **which is satisfied by every function!**
- Take  $C\llbracket\text{while } x \neq 0 \text{ do } x := x - 2\rrbracket$
- The following solution satisfies equation (for any  $\sigma'$ )  
$$W(\sigma) = \begin{cases} \sigma[x := 0] & \text{if } \sigma(x) = 2k \wedge \sigma(x) \geq 0 \\ \sigma' & \text{otherwise} \end{cases}$$

# Denotational Game Plan

- Since WHILE is recursive
  - always have something like:  $W(\sigma) = F(W(\sigma))$
- Admits many possible values for  $W(\sigma)$
- We will order them
  - With respect to non-termination = “least”
- And then find the least fixed point
- LFP  $W(\sigma) = F(W(\sigma))$  == meaning of “while”

# WHILE $k$ -steps Semantics

- Define  $W_k: \Sigma \rightarrow \Sigma_{\perp}$  (for  $k \in \mathbb{N}$ ) such that

$$W_k(\sigma) = \begin{cases} \sigma' & \text{if “while b do c” in state } \sigma \\ & \text{terminates in fewer than } k \\ & \text{iterations in state } \sigma' \\ \perp & \text{otherwise} \end{cases}$$

- We can define the  $W_k$  functions as follows:

$$W_0(\sigma) = \perp$$
$$W_k(\sigma) = \begin{cases} W_{k-1}(C[[c]]\sigma) & \text{if } B[[b]]\sigma \text{ for } k \geq 1 \\ \sigma & \text{otherwise} \end{cases}$$

# WHILE Semantics

- How do we get  $W$  from  $W_k$ ?

$$W(\sigma) = \begin{cases} \sigma' & \text{if } \exists k. W_k(\sigma) = \sigma' \neq \perp \\ \perp & \text{otherwise} \end{cases}$$

- This is a valid compositional definition of  $W$

- Depends only on  $C[[c]]$  and  $B[[b]]$

- Try the examples again:

- For  $C[[\text{while true do skip}]]$

$$W_k(\sigma) = \perp \quad \text{for all } k, \text{ thus } W(\sigma) = \perp$$

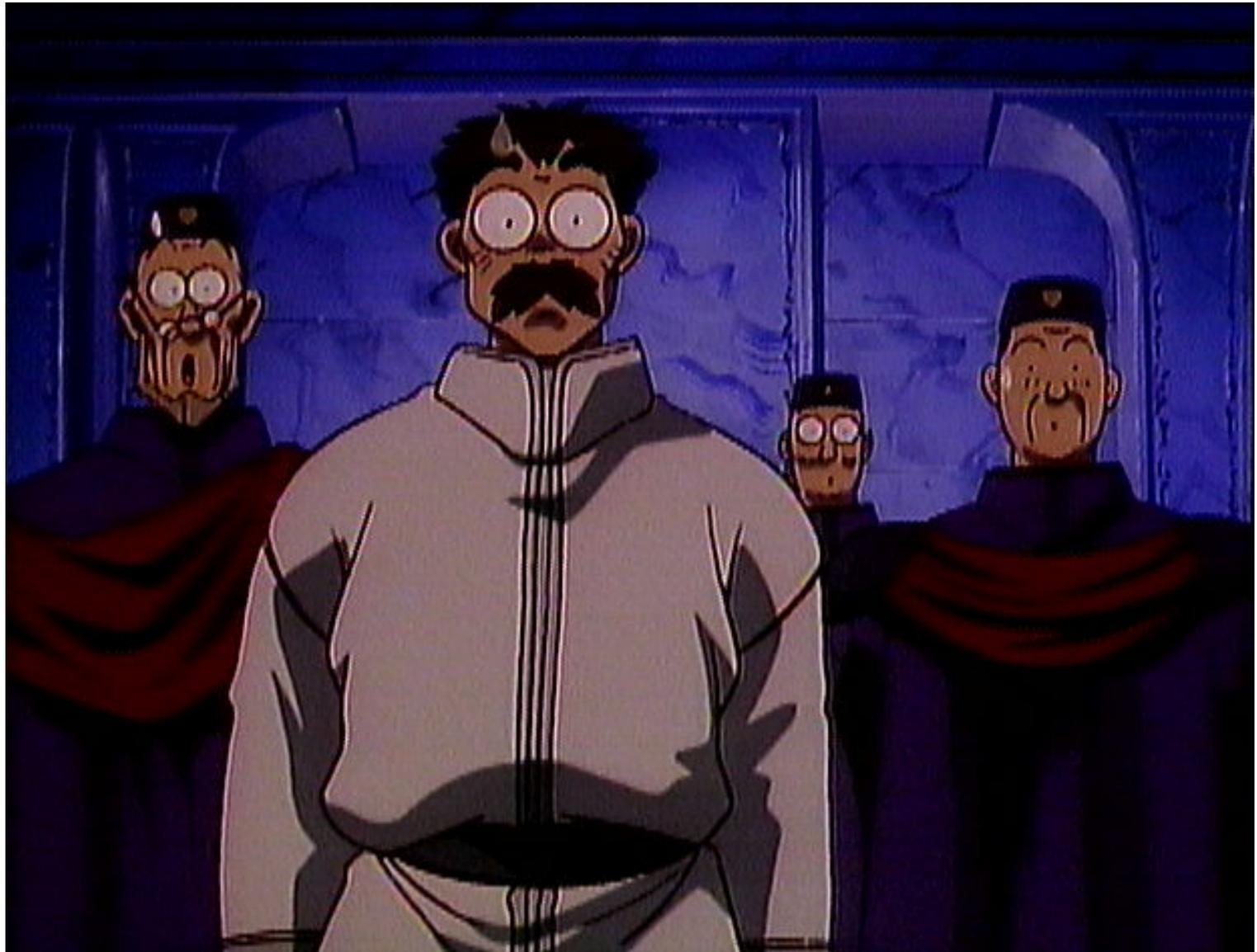
- For  $C[[\text{while } x \neq 0 \text{ do } x := x - 2]]$

$$W(\sigma) = \begin{cases} \sigma[x:=0] & \text{if } \sigma(x) = 2n \wedge \sigma(x) \geq 0 \\ \perp & \text{otherwise} \end{cases}$$

# More on WHILE

- The solution is **not quite satisfactory** because
  - It has an **operational flavor** (= “run the loop”)
  - It **does not generalize** easily to more complicated semantics (e.g., higher-order functions)
- However, precisely due to the operational flavor this solution is easy to prove sound w.r.t operational semantics

That Wasn't Good Enough!?



# Simple Domain Theory

- Consider programs in an eager, deterministic language with one variable called “x”
  - All these restrictions are just to simplify the examples
- A state  $\sigma$  is just the value of x
  - Thus we can use  $\mathbb{Z}$  instead of  $\Sigma$
- The semantics of a command give the value of final x as a function of input x

$$C[[c]] : \mathbb{Z} \rightarrow \mathbb{Z}_{\perp}$$

# Examples - Revisited

- Take  $C$  `[[while true do skip]]`
  - Unwinding equation reduces to  $W(x) = W(x)$
  - Any function satisfies the unwinding equation
  - Desired solution is  $W(x) = \perp$
- Take  $C$  `[[while x ≠ 0 do x := x - 2]]`
  - Unwinding equation:  
 $W(x) = \text{if } x \neq 0 \text{ then } W(x - 2) \text{ else } x$
  - Solutions (for all values  $n, m \in \mathbb{Z}_{\perp}$ ):  
 $W(x) = \text{if } x \geq 0 \text{ then}$   
    if  $x$  even then 0 else  $n$   
    else  $m$
  - Desired solution:  $W(x) = \text{if } x \geq 0 \wedge x \text{ even then } 0 \text{ else } \perp$

# An Ordering of Solutions

- The desired solution is the one in which all the arbitrariness is replaced with **non-termination**
  - The arbitrary values in a solution are not uniquely determined by the semantics of the code
- We introduce an ordering of semantic functions
- Let  $f, g \in \mathbb{Z} \rightarrow \mathbb{Z}_\perp$
- Define  $f \sqsubseteq g$  as
$$\forall x \in \mathbb{Z}. f(x) = \perp \text{ or } f(x) = g(x)$$
  - A “smaller” function terminates *at most as often*, and when it terminates it produces the same result

# Alternative Views of Function Ordering

- A semantic function  $f \in \mathbb{Z} \rightarrow \mathbb{Z}_\perp$  can be written as  $S_f \subseteq \mathbb{Z} \times \mathbb{Z}$  as follows:

$$S_f = \{ (x, y) \mid x \in \mathbb{Z}, f(x) = y \neq \perp \}$$

- set of “terminating” values for the function
- If  $f \sqsubseteq g$  then
  - $S_f \subseteq S_g$  (and vice-versa)
  - We say that  $g$  refines  $f$
  - We say that  $f$  approximates  $g$
  - We say that  $g$  provides more information than  $f$

# The “Best” Solution

- Consider again  $C[\text{while } x \neq 0 \text{ do } x := x - 2]$ 
  - Unwinding equation:  
 $W(x) = \text{if } x \neq 0 \text{ then } W(x - 2) \text{ else } x$
- Not all solutions are comparable:  
 $W(x) = \text{if } x \geq 0 \text{ then if } x \text{ even then } 0 \text{ else } 1 \text{ else } 2$   
 $W(x) = \text{if } x \geq 0 \text{ then if } x \text{ even then } 0 \text{ else } \perp \text{ else } 3$   
 $W(x) = \text{if } x \geq 0 \text{ then if } x \text{ even then } 0 \text{ else } \perp \text{ else } \perp$   
(last one is least and best)
- Is there **always a least solution?**
- How do we find it?
- *If only we had a general framework* for answering these questions ...

# Fixed-Point Equations

- Consider the general unwinding equation for **while**  
 $\text{while } b \text{ do } c \equiv \text{if } b \text{ then } c; \text{ while } b \text{ do } c \text{ else skip}$
- We define a context **C** (command with a hole)  
 $C = \text{if } b \text{ then } c; \bullet \text{ else skip}$   
 $\text{while } b \text{ do } c \equiv C[\text{while } b \text{ do } c]$ 
  - The grammar for **C** does not contain “while b do c”
- We can find such a (recursive) context for any looping construct
  - Consider: **fact n** = if n = 0 then 1 else n \* fact (n - 1)
  - $C(n) = \text{if } n = 0 \text{ then } 1 \text{ else } n * \bullet (n - 1)$
  - $\text{fact} = C [\text{fact} ]$

# Fixed-Point Equations

- The meaning of a context is a semantic functional

$F : (\mathbb{Z} \rightarrow \mathbb{Z}_\perp) \rightarrow (\mathbb{Z} \rightarrow \mathbb{Z}_\perp)$  such that

$$F \llbracket C[w] \rrbracket = F \llbracket w \rrbracket$$

- For “while”:  $C = \text{if } b \text{ then } c; \bullet \text{ else skip}$

$F w x = \text{if } \llbracket b \rrbracket x \text{ then } w (\llbracket c \rrbracket x) \text{ else } x$

-  $F$  depends only on  $\llbracket c \rrbracket$  and  $\llbracket b \rrbracket$

- We can rewrite the unwinding equation for while

-  $W(x) = \text{if } \llbracket b \rrbracket x \text{ then } W(\llbracket c \rrbracket x) \text{ else } x$

- or,  $W x = F W x$  for all  $x$ ,

- or,  $W = F W$  (by function equality)

# Fixed-Point Equations

- The meaning of “while” is a solution for  $W = F W$
- Such a  $W$  is called a fixed point of  $F$
- We want the least fixed point
  - We need a general way to find least fixed points
- Whether such a least fixed point exists depends on the properties of function  $F$ 
  - Counterexample:  $F w x = \text{if } w x = \perp \text{ then } 0 \text{ else } \perp$
  - Assume  $W$  is a fixed point
  - $F W x = W x = \text{if } W x = \perp \text{ then } 0 \text{ else } \perp$
  - Pick an  $x$ , then  $\text{if } W x = \perp \text{ then } W x = 0 \text{ else } W x = \perp$
  - Contradiction. This  $F$  has no fixed point!

# Can We Solve This?

- Good news: the functions  $F$  that *correspond to contexts in our language* have least fixed points!
- The only way  $F w x$  uses  $w$  is by invoking it
- If any such invocation diverges, then  $F w x$  diverges!
- It turns out:  $F$  is monotonic, continuous
  - Not shown here!

# New Notation: $\lambda$

- $\lambda x. e$ 
  - an anonymous function with body  $e$  and argument  $x$
- Example:  $\text{double}(x) = x+x$   
 $\text{double} = \lambda x. x+x$
- Example:  $\text{allFalse}(x) = \text{false}$   
 $\text{allFalse} = \lambda x. \text{false}$
- Example:  $\text{multiply}(x,y) = x*y$   
 $\text{multiply} = \lambda x. \lambda y. x*y$

# The Fixed-Point Theorem

- If  $F$  is a semantic function corresponding to a context in our language

- $F$  is monotonic and continuous (we assert)
- For any fixed-point  $G$  of  $F$  and  $k \in \mathbb{N}$

$$F^k(\lambda x. \perp) \sqsubseteq G$$

- The least of all fixed points is

$$\sqcup_k F^k(\lambda x. \perp)$$

- Proof (not detailed in the lecture):

1. By mathematical induction on  $k$ .

Base:  $F^0(\lambda x. \perp) = \lambda x. \perp \sqsubseteq G$

Inductive:  $F^{k+1}(\lambda x. \perp) = F(F^k(\lambda x. \perp)) \sqsubseteq F(G) = G$

- Suffices to show that  $\sqcup_k F^k(\lambda x. \perp)$  is a fixed-point

$$F(\sqcup_k F^k(\lambda x. \perp)) = \sqcup_k F^{k+1}(\lambda x. \perp) = \sqcup_k F^k(\lambda x. \perp)$$

# WHILE Semantics

- We can use the fixed-point theorem to write the denotational semantics of while:

$$\llbracket \text{while } b \text{ do } c \rrbracket = \sqcup_k F^k (\lambda x. \perp)$$

where  $F f x = \text{if } \llbracket b \rrbracket x \text{ then } f (\llbracket c \rrbracket x) \text{ else } x$

- Example:  $\llbracket \text{while true do skip} \rrbracket = \lambda x. \perp$
- Example:  $\llbracket \text{while } x \neq 0 \text{ then } x := x - 1 \rrbracket$ 
  - $F (\lambda x. \perp) x = \text{if } x = 0 \text{ then } x \text{ else } \perp$
  - $F^2 (\lambda x. \perp) x = \text{if } x = 0 \text{ then } x \text{ else if } x-1 = 0 \text{ then } x-1 \text{ else } \perp$   
 $= \text{if } 1 \geq x \geq 0 \text{ then } 0 \text{ else } \perp$
  - $F^3 (\lambda x. \perp) x = \text{if } 2 \geq x \geq 0 \text{ then } 0 \text{ else } \perp$
  - $\text{LFP}_F = \text{if } x \geq 0 \text{ then } 0 \text{ else } \perp$
- Not easy to find the closed form for general LFPs!

# Discussion

- We can write the denotational semantics but we cannot always compute it.
  - Otherwise, we could decide the halting problem
  - $H$  is halting for input 0 iff  $\llbracket H \rrbracket 0 \neq \perp$
- We have derived this for programs with one variable
  - Generalize to multiple variables, even to variables ranging over richer data types, even higher-order functions: [domain theory](#)

# Can You Remember?

*You just survived the hardest lectures in 615.  
It's all downhill from here.*



# Recall: Learning Goals

- DS is compositional
- When should I use DS?
- In DS, meaning is a “math object”
- DS uses  $\perp$  (“bottom”) to mean non-termination
- DS uses fixed points and domains to handle `while`
  - This is the tricky bit

# Homework

- Homework 2 Due Thursday
- Homework 3 Out Thursday
  - Not as long as it looks - separated out every exercise sub-part for clarity.
  - Your denotational answers must be **compositional** (e.g.,  $W_k(\sigma)$  or LFP)
- Read Winskel Chapter 6 for Tue Sep 25
- Read Hoare article for Tue Sep 25
- Read Floyd article for Tue Sep 25

# Equivalence

- Two expressions (commands) are equivalent if they yield the same result from all states

$$e_1 \approx e_2 \text{ iff}$$

$$\forall \sigma \in \Sigma. \forall n \in \mathbb{N}.$$

$$\langle e_1, \sigma \rangle \Downarrow n \text{ iff } \langle e_2, \sigma \rangle \Downarrow n$$

and for commands

$$c_1 \approx c_2 \text{ iff}$$

$$\forall \sigma, \sigma' \in \Sigma.$$

$$\langle c_1, \sigma \rangle \Downarrow \sigma' \text{ iff } \langle c_2, \sigma \rangle \Downarrow \sigma'$$

# Notes on Equivalence

- Equivalence is like logical validity
  - It must hold in all states (= all valuations)
  - $2 \approx 1 + 1$  is like “ $2 = 1 + 1$  is valid”
  - $2 \approx 1 + x$  might or might not hold.
    - So, 2 is not equivalent to  $1 + x$
- Equivalence (for IMP) is undecidable
  - If it were decidable we could solve the halting problem for IMP. *How?*
- Equivalence justifies code transformations
  - compiler optimizations
  - code instrumentation
  - abstract modeling
- **Semantics** is the basis for proving equivalence

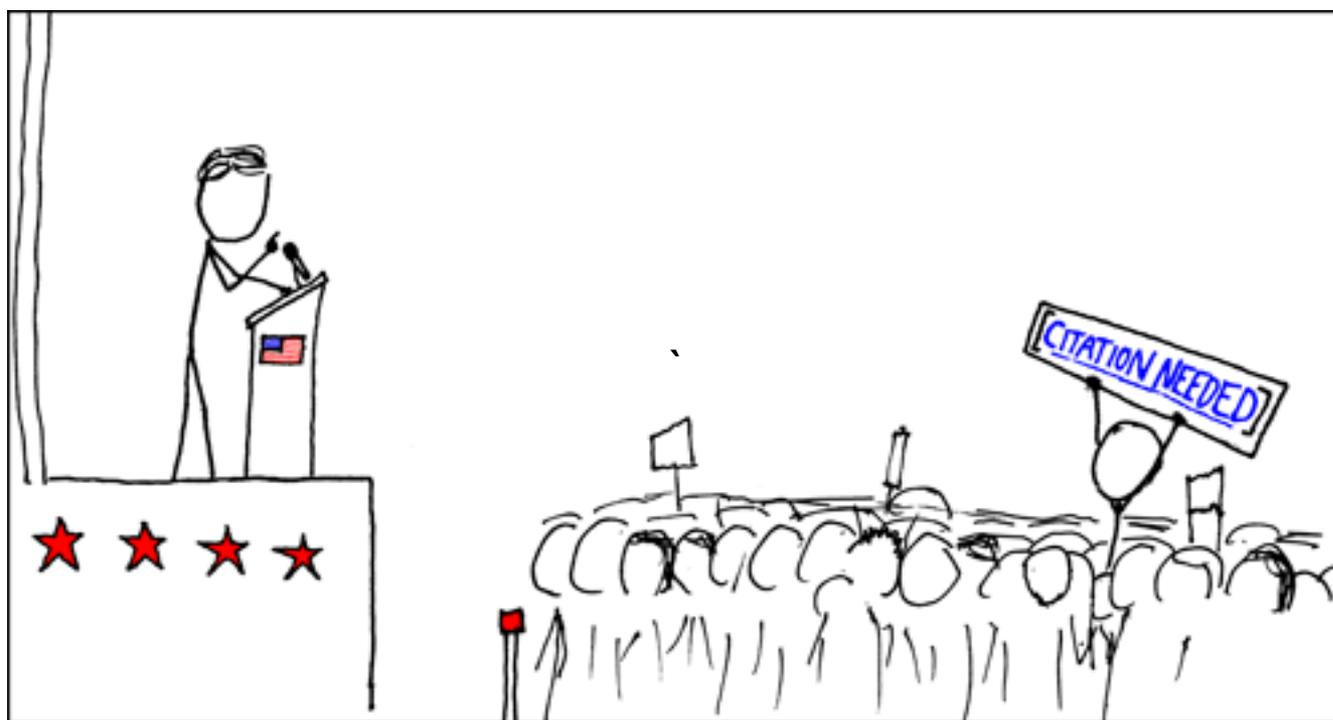
# Equivalence Examples

- skip;  $c \approx c$
- while  $b$  do  $c \approx$   
if  $b$  then  $c$ ; while  $b$  do  $c$  else skip
- If  $e_1 \approx e_2$  then  $x := e_1 \approx x := e_2$
- while true do skip  $\approx$  while true do  $x := x + 1$
- If  $c$  is  
while  $x \neq y$  do  
if  $x \geq y$  then  $x := x - y$  else  $y := y - x$   
then  
 $:= 221; y := 527; c) \approx (x := 17; y := 17)$

(x

# Potential Equivalence

- $(x := e_1; x := e_2) \approx x := e_2$
- Is this a valid equivalence?



# Not An Equivalence

- $(x := e_1; x := e_2) \not\approx x := e_2$
- lie. Chigau yo. Dame desu!
- Not a valid equivalence for all  $e_1, e_2$ .
- Consider:
  - $(x := x+1; x := x+2) \not\approx x := x+2$
- But for  $n_1, n_2$  it's fine:
  - $(x := n_1; x := n_2) \approx x := n_2$

# Proving An Equivalence

- Prove that “**skip**;  $c \approx c$ ” for all  $c$
- Assume that  $D :: \langle \text{skip}; c, \sigma \rangle \Downarrow \sigma'$
- By **inversion** (twice) we have that

$$D :: \frac{\overline{\langle \text{skip}, \sigma \rangle \Downarrow \sigma} \quad D_1 :: \langle c, \sigma \rangle \Downarrow \sigma'}{\langle \text{skip}; c, \sigma \rangle \Downarrow \sigma'}$$

- Thus, we have  $D_1 :: \langle c, \sigma \rangle \Downarrow \sigma'$
- The other direction is similar

# Proving An Inequivalence

- Prove that  $x := y \not\approx x := z$  when  $y \neq z$
- It suffices to exhibit a  $\sigma$  in which the two commands yield different results

- Let  $\sigma(y) = 0$  and  $\sigma(z) = 1$

- Then

$$\langle x := y, \sigma \rangle \Downarrow \sigma[x := 0]$$

$$\langle x := z, \sigma \rangle \Downarrow \sigma[x := 1]$$