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### One-Slide Summary

- A **global optimization** changes an entire method (consisting of **multiple** basic blocks).
- We must be **conservative** and only apply global optimizations when they preserve the **semantics**.
- We use **global flow analyses** to determine if it is OK to apply an optimization.
- Flow analyses are built out of simple **transfer functions** and can work **forwards** or **backwards**.

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### Lecture Outline

- Global flow analysis
- Global constant propagation
- Liveness analysis

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## Local Optimization

Recall the simple basic-block optimizations

- Constant propagation
- Dead code elimination

$X := 3$   
 $Y := Z * W$   
 $Q := X + Y$      $\rightarrow$      $X := 3$   
 $Y := Z * W$   
 $Q := 3 + Y$      $\rightarrow$      $Y := Z * W$   
 $Q := 3 + Y$

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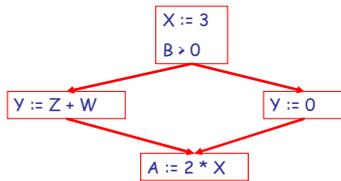
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## Global Optimization

These optimizations can be extended to an entire control-flow graph



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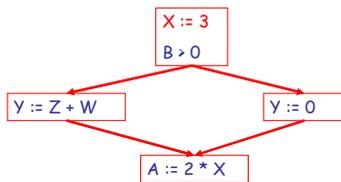
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## Global Optimization

These optimizations can be extended to an entire control-flow graph



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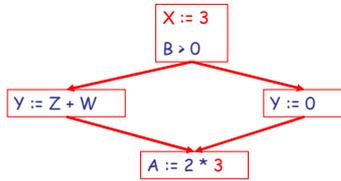
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## Global Optimization

These optimizations can be extended to an entire control-flow graph



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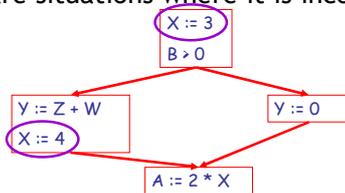
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## Correctness

- How do we know it is OK to globally propagate constants?
- There are situations where it is incorrect:



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## Correctness (Cont.)

To replace a use of  $x$  by a constant  $k$  we must know this **correctness condition**:

*On every path to the use of  $x$ , the last assignment to  $x$  is  $x := k$  \*\**

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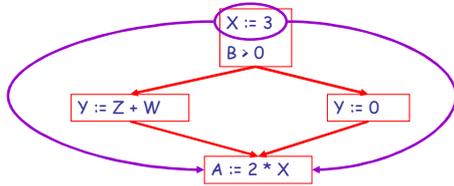
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## Example 1 Revisited



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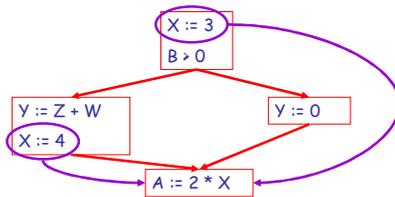
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## Example 2 Revisited



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## Discussion

- The correctness condition is not trivial to check
- “All paths” includes paths around loops and through branches of conditionals
- Checking the condition requires **global analysis**
  - Global = an analysis of the entire control-flow graph for *one* method body

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## Global Analysis

Global optimization tasks share several traits:

- The optimization depends on knowing a property **P** at a particular point in program execution
- Proving **P** at any point requires knowledge of the entire method body
  
- Property **P** is typically *undecidable!*

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## Undecidability of Program Properties

- **Rice's Theorem:** Most interesting dynamic properties of a program are undecidable:
  - Does the program halt on all (some) inputs?
    - This is called the **halting problem**
  - Is the result of a function **F** always positive?
    - Assume we can answer this question precisely
    - Take function **H** and find out if it halts by testing function  $F(x) \{ H(x); \text{return } 1; \}$  whether it has positive result
- Syntactic properties are decidable!
  - e.g., How many occurrences of "x" are there?
- Programs without looping are also decidable!

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## Conservative Program Analyses

- So, we cannot tell for sure that "x" is always 3
  - Then, how can we apply constant propagation?
- It is OK to be **conservative**. If the optimization requires **P** to be true, then want to know either
  - **P** is definitely true
  - Don't know if **P** is true
- It is always correct to say "don't know"
  - We try to say don't know as rarely as possible
- All program analyses are conservative

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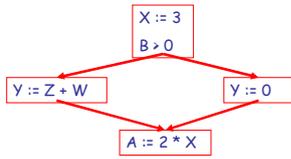
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## Global Optimization: Review



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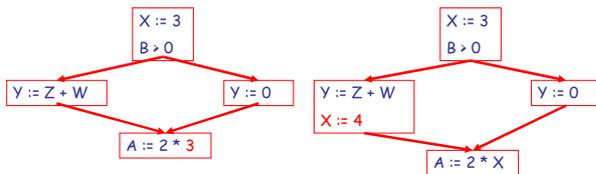
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## Global Optimization: Review



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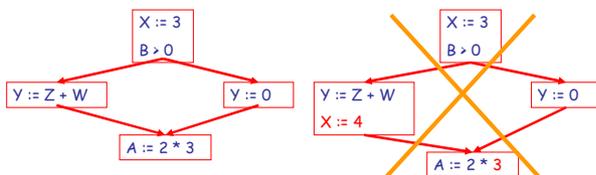
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## Global Optimization: Review



- To replace a use of  $x$  by a constant  $k$  we must know that:  
*On every path to the use of  $x$ , the last assignment to  $x$  is  $x := k$  \*\**

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## Review

- The correctness condition is not trivial to check
- Checking the condition requires global analysis
  - An analysis of the entire control-flow graph for one method body

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## Global Analysis

- **Global dataflow analysis** is a standard technique for solving problems with these characteristics
- Global constant propagation is one example of an optimization that requires global dataflow analysis

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## Global Constant Propagation

- Global constant propagation can be performed at any point where **\*\*** holds
- Consider the case of computing **\*\*** for a single variable **X** at all program points

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It's rarely this easy to find key locations ...

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## Global Constant Propagation (Cont.)

- To make the problem precise, we associate one of the following values with  $X$  at every program point

value	interpretation
#	This statement is not reachable
c	$X = \text{constant } c$
*	Don't know if $X$ is a constant

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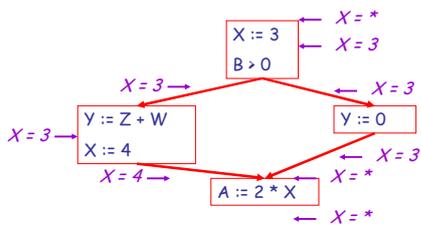
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## Example



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## Using the Information

- Given global constant information, it is easy to perform the optimization
  - Simply inspect the  $x = ?$  associated with a statement using  $x$
  - If  $x$  is constant at that point replace that use of  $x$  by the constant
- But how do we compute the properties  $x = ?$

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## The Idea

*The analysis of a complicated program can be expressed as a combination of **simple rules** relating the change in information between **adjacent statements***

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## Explanation

- The idea is to “push” or “**transfer**” information from one statement to the next
- For each statement  $s$ , we compute information about the value of  $x$  immediately before and after  $s$ 
  - $C_{in}(x,s)$  = value of  $x$  before  $s$
  - $C_{out}(x,s)$  = value of  $x$  after  $s$

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## Transfer Functions

- Define a **transfer function** that transfers information from one statement to another

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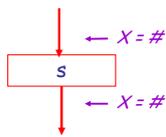
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### Rule 1



$$C_{\text{out}}(x, s) = \# \text{ if } C_{\text{in}}(x, s) = \#$$

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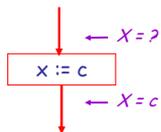
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### Rule 2



$$C_{\text{out}}(x, x := c) = c \text{ if } c \text{ is a constant}$$

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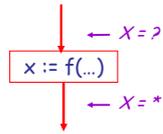
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### Rule 3



$$C_{\text{out}}(x, x := f(\dots)) = *$$

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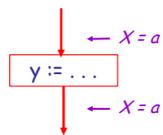
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### Rule 4



$$C_{\text{out}}(x, y := \dots) = C_{\text{in}}(x, y := \dots) \text{ if } x \neq y$$

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### The Other Half

- Rules 1-4 relate the *in* of a statement to the *out* of the same statement
  - they propagate information across statements
- Now we need rules relating the *out* of one statement to the *in* of the successor statement
  - to propagate information **forward** across CFG edges
- In the following rules, let statement *s* have immediate predecessor statements  $p_1, \dots, p_n$

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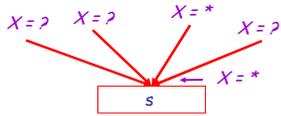
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### Rule 5



if  $C_{out}(x, p_i) = *$  for some  $i$ , then  $C_{in}(x, s) = *$

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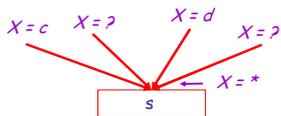
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### Rule 6



if  $C_{out}(x, p_i) = c$  and  $C_{out}(x, p_j) = d$  and  $d \neq c$   
then  $C_{in}(x, s) = *$

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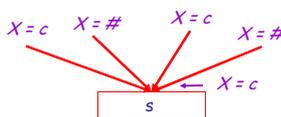
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### Rule 7



if  $C_{out}(x, p_i) = c$  or  $\#$  for all  $i$ ,  
then  $C_{in}(x, s) = c$

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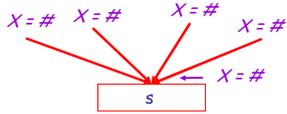
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## Rule 8



if  $C_{out}(x, p_i) = \#$  for all  $i$ ,  
then  $C_{in}(x, s) = \#$

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## An Algorithm

1. For every entry  $s$  to the program, set  $C_{in}(x, s) = *$
2. Set  $C_{in}(x, s) = C_{out}(x, s) = \#$  everywhere else
3. Repeat until all points satisfy 1-8:  
Pick  $s$  not satisfying 1-8 and update using the appropriate rule

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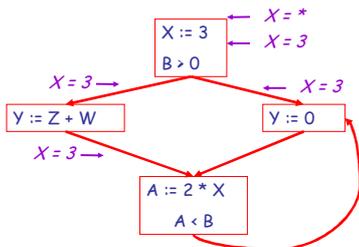
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## The Value #

- To understand why we need #, look at a loop



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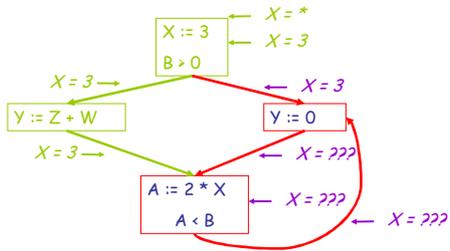
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## The Value #

- To understand why we need #, look at a loop



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## The Value # (Cont.)

- Because of cycles, all points must have values at all times during the analysis
- Intuitively, assigning some initial value allows the analysis to break cycles
- The initial value # means “so far as we know, control never reaches this point”

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Sometimes all paths lead to the same place.

Thus you need #.

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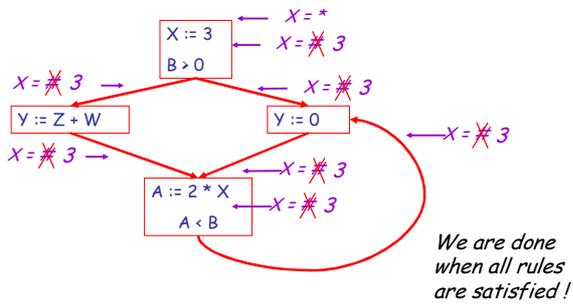
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### Example



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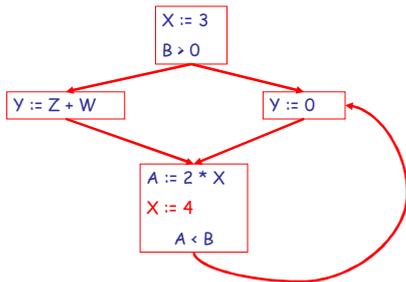
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### Another Example



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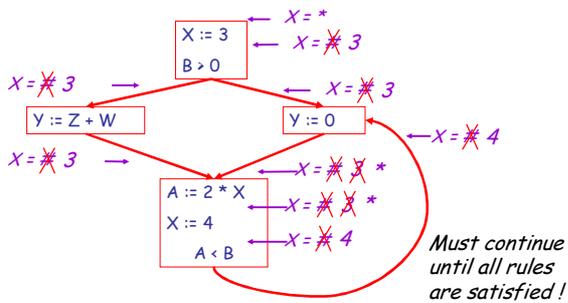
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### Another Example



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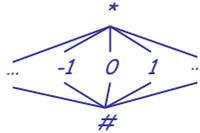
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## Orderings

- We can simplify the presentation of the analysis by ordering the values

$$\# < c < *$$

Drawing a picture with “lower” values drawn lower, we get



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## Orderings (Cont.)

- \* is the greatest value, # is the least
  - All constants are in between and incomparable
- Let *lub* be the least-upper bound in this ordering
- Rules 5-8 can be written using lub:  
 $C_{in}(x, s) = \text{lub} \{ C_{out}(x, p) \mid p \text{ is a predecessor of } s \}$

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## Termination

- Simply saying “repeat until nothing changes” doesn’t guarantee that eventually nothing changes
- The use of lub explains why the algorithm **terminates**
  - Values start as # and only *increase*
  - # can change to a constant, and a constant to \*
  - Thus,  $C_{in}(x, s)$  can change at most twice

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## Termination (Cont.)

Thus the algorithm is linear in program size

Number of steps =

Number of C\_(...) values computed \* 2 =

Number of program statements \* 4

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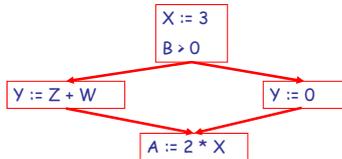
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## Liveness Analysis

Once constants have been globally propagated, we would like to eliminate dead code



After constant propagation,  $X := 3$  is dead ?  
(assuming this is the entire CFG)

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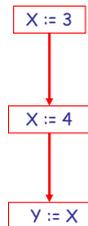
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## Live and Dead

• The first value of  $x$  is **dead** (never used)

• The second value of  $x$  is **live** (may be used)

• Liveness is an important concept



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## Liveness

A variable  $x$  is live at statement  $s$  if

- There exists a statement  $s'$  that uses  $x$
- There is a path from  $s$  to  $s'$
- That path has no intervening assignment to  $x$

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## Global Dead Code Elimination

- A statement  $x := \dots$  is dead code if  $x$  is dead after the assignment
- Dead statements can be deleted from the program
- But we need liveness information first . . .

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## Computing Liveness

- We can express liveness in terms of information transferred between adjacent statements, just as in constant propagation
- Liveness is simpler than constant propagation, since it is a boolean property (true or false)

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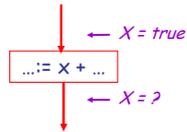
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### Liveness Rule 1



$L_{in}(x, s) = true$  if  $s$  refers to  $x$  on the rhs

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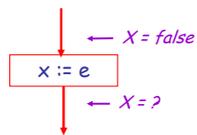
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### Liveness Rule 2



$L_{in}(x, x := e) = false$  if  $e$  does not refer to  $x$

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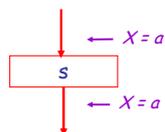
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### Liveness Rule 3



$L_{in}(x, s) = L_{out}(x, s)$  if  $s$  does not refer to  $x$

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## Termination

- A value can change from **false** to **true**, but not the other way around
- Each value can change only once, so termination is guaranteed
- Once the analysis is computed, it is simple to eliminate dead code

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## Forward vs. Backward Analysis

We've seen two kinds of analysis:

Constant propagation is a **forwards** analysis: information is pushed from inputs to outputs

Liveness is a **backwards** analysis: information is pushed from outputs back towards inputs

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## Analysis Analysis

- There are many other global flow analyses
- Most can be classified as either forward or backward
- Most also follow the methodology of local rules relating information between adjacent program points

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## Homework

- PA4 due this Friday March 30<sup>th</sup> (tomorrow)
- For Tuesday - Read Chapter 7.7
  - Optional David Bacon article
- **Midterm 2** - Thursday April 12 (15 days)

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