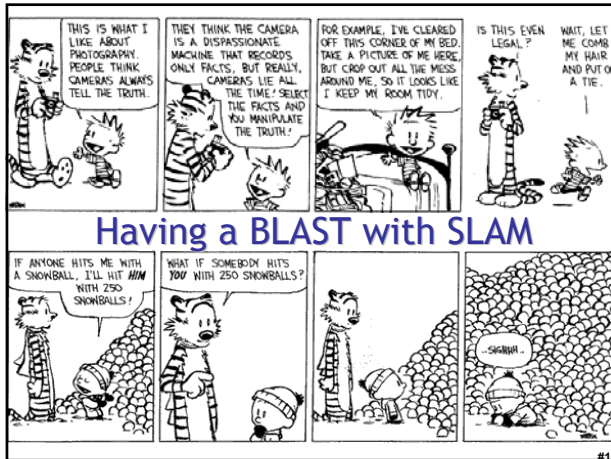




Topic: Software Model Checking via Counter-Example Guided Abstraction Refinement

- There are easily two dozen SLAM/BLAST/MAGIC papers; I will skim.

Combining Strengths

Theorem Proving

- Need loop invariants (will find automatically)
- + Behaviors encoded in logic (used to refine abstraction)
- + Theorem provers (used to compute successors, refine abstraction)

Program Analysis

- Imprecise (will be precise)
- + Abstraction (will shrink the state space we must explore)

SLAM

Model Checking

- Finite-state model, state explosion (will find small good model)
- + State Space Exploration (used to get a path sensitive analysis)
- + Counterexamples (used to find relevant facts, refine abstraction)

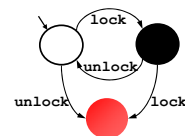
SLAM Overview

- INPUT: Program and Specification
 - Standard C Program (pointers, procedures)
 - Specification = Partial Correctness
 - Given as a finite state machine (tpestate)
 - "I use locks correctly" not "I am a webserver"
- OUTPUT: Verified or Counterexample
 - Verified = program does not violate spec
 - Can come with proof!
 - Counterexample = concrete bug instance
 - A path through the program that violates the spec

Take-Home Message

- SLAM is a software model checker. It abstracts C programs to boolean programs and model-checks the boolean programs.
- No errors in the boolean program implies no errors in the original.
- An error in the boolean program may be a real bug. Or SLAM may refine the abstraction and start again.

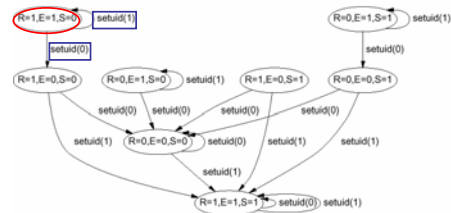
Property 1: Double Locking



"An attempt to re-acquire an acquired lock or release a released lock will cause a **deadlock**."

Calls to **lock** and **unlock** must **alternate**.

Property 2: Drop Root Privilege



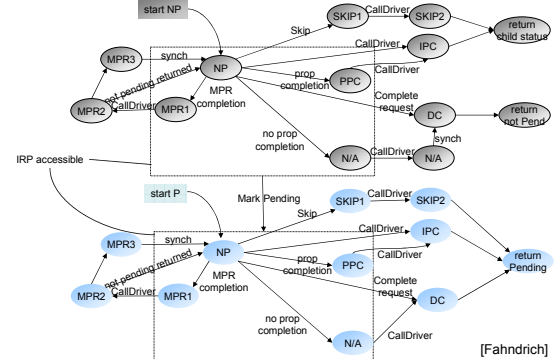
[Chen-Dean-Wagner '02]

“User applications must not run with root privilege”

When **execv** is called, must have **suid** $\neq 0$

#7

Property 3 : IRP Handler

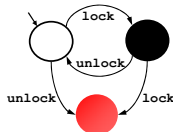


[Fahndrich]

#8

Example SLAM Input

```
Example () {
1: do{
    lock();
    old = new;
    q = q->next;
2:   if (q != NULL){
3:     q->data = new;
    unlock();
    new ++;
  }
4: } while(new != old);
5: unlock();
return;
}
```



#9

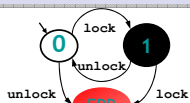
SLAM in a Nutshell

```
SLAM(Program p, Spec s) =                                // program name
Program q = incorporate_spec(p,s);                        // slic
PredicateSet abs = { };
while true do
  BooleanProgram b = abstract(q,abs);                    // c2bp
  match model_check(b) with                               // bebop
  | No_Error -> printf("no bug"); exit(0)
  | Counterexample(c) ->
    if is_valid_path(c, p) then                          // newton
      printf("real bug"); exit(1)
    else
      abs ← abs ∪ new_preds(c)                            // newton
done
```

#10

Incorporating Specs

```
Example () {
1: do{
    lock();
    old = new;
    q = q->next;
2:   if (q != NULL){
3:     q->data = new;
    unlock();
    new ++;
  }
4: } while(new != old);
5: unlock();
return;
}
```

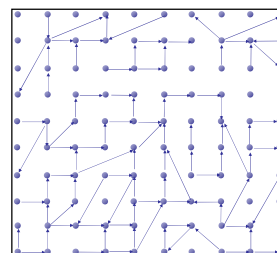


```
Example () {
1: do{
    if L=1 goto ERR;
    else L=1;
    old = new;
    q = q->next;
2:   if (q != NULL){
3:     q->data = new;
    if L=0 goto ERR;
    else L=0;
    new ++;
  }
4: } while(new != old);
5: if L=0 goto ERR;
   else L=0;
return;
ERR: abort();
}
```

Original program violates spec iff new program reaches ERR

#11

Program As Labeled Transition System



State

Transition

```
pc -> 3
lock -> ●
old -> 5
new -> 5
q -> 0x133a

3: unlock();
new++;
4: ...

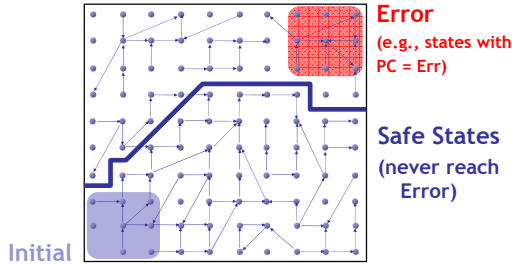
pc -> 4
lock -> ○
old -> 5
new -> 6
q -> 0x133a
```

Example () {

```
1: do{
    lock();
    old = new;
    q = q->next;
2:   if (q != NULL){
3:     q->data = new;
    unlock();
    new ++;
  }
4: } while(new != old);
5: unlock();
return;
}
```

#12

The Safety Verification Problem



Is there a path from an initial to an error state ?

Problem: Infinite state graph (old=1, old=2, old=...)

Solution: Set of states $\simeq$ logical formula

#13

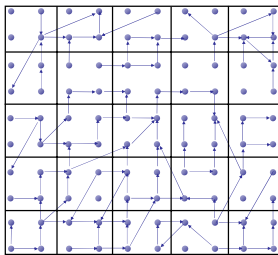
Representing [Sets of States] as *Formulas*

$[F]$ states satisfying F $\{s \mid s \models F\}$	F FO fmla over prog. vars
$[F_1] \cap [F_2]$	$F_1 \wedge F_2$
$[F_1] \cup [F_2]$	$F_1 \vee F_2$
$\overline{[F]}$	$\neg F$
$[F_1] \subseteq [F_2]$	$F_1 \Rightarrow F_2$

i.e. $F_1 \wedge \neg F_2$ unsatisfiable

#14

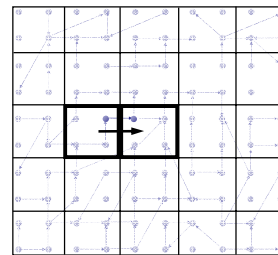
Idea 1: Predicate Abstraction



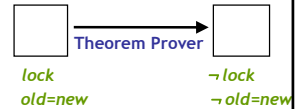
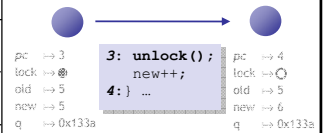
- **Predicates** on program state:
lock (i.e., lock=true)
old = new
- States satisfying **same** predicates are **equivalent**
 - Merged into one **abstract state**
- #abstract states is **finite**
 - Thus **model-checking the abstraction will be feasible!**

#15

Abstract States and Transitions

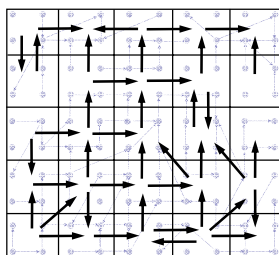


State

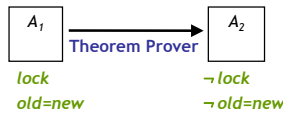
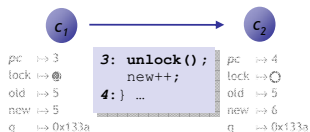


#16

Abstraction



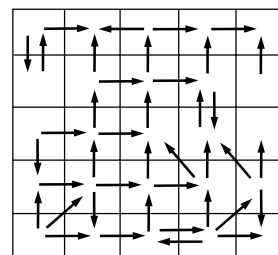
State



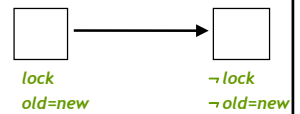
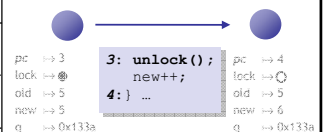
Existential Lifting
(i.e., $A_1 \rightarrow A_2$ iff $\exists c_1 \in A_1. \exists c_2 \in A_2. c_1 \rightarrow c_2$)

#17

Abstraction

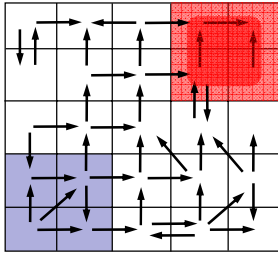


State



#18

Analyze Abstraction



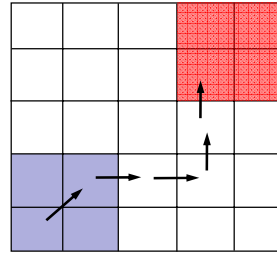
Analyze finite graph

Over Approximate:
Safe $\Rightarrow$ System Safe
No **false negatives**

Problem
Spurious **counterexamples**

#19

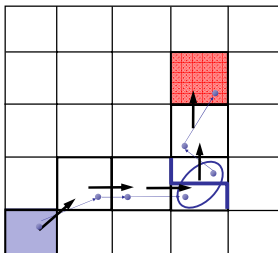
Idea 2: Counterex.-Guided Refinement



Solution
Use spurious **counterexamples**
to **refine** abstraction !

#20

Idea 2: Counterex.-Guided Refinement

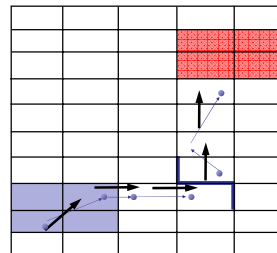


Solution
Use spurious **counterexamples**
to **refine** abstraction

1. Add **predicates** to distinguish states across **cut**
2. Build **refined** abstraction
Imprecision due to **merge**

#21

Iterative Abstraction-Refinement



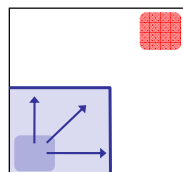
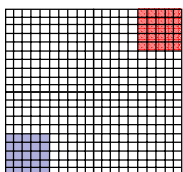
Solution
Use spurious **counterexamples**
to **refine** abstraction

1. Add predicates to distinguish states across **cut**
2. Build **refined** abstraction
-eliminates counterexample
3. **Repeat** search
Until real counterexample
or system proved safe

[Kurshan et al 93] [Clarke et al 00]
[Ball-Rajamani 01]

#22

Problem: Abstraction is Expensive



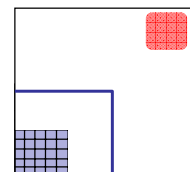
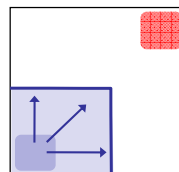
Reachable

Problem
#abstract states = $2^{\#predicates}$
Exponential Thm. Prover queries

Observe
Fraction of state space reachable
#Preds ~ 100's, #States ~ 2^{100} ,
#Reach ~ 1000's

#23

Solution1: Only Abstract Reachable States



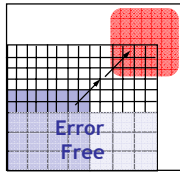
Safe

Problem
#abstract states = $2^{\#predicates}$
Exponential Thm. Prover queries

Solution
Build abstraction **during** search

#24

Solution2: Don't Refine Error-Free Regions



Problem

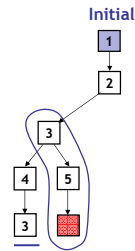
#abstract states = $2^{\#predicates}$
Exponential Thm. Prover queries

Solution

Don't refine error-free regions

#25

Key Idea: Reachability Tree



Unroll Abstraction

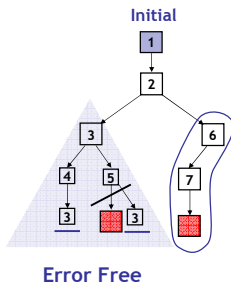
1. Pick tree-node (=abs. state)
2. Add children (=abs. successors)
3. On re-visiting abs. state, cut-off

Find min infeasible suffix

- Learn new predicates
- Rebuild subtree with new preds.

#26

Key Idea: Reachability Tree



Error Free

Unroll Abstraction

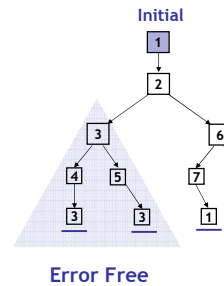
1. Pick tree-node (=abs. state)
2. Add children (=abs. successors)
3. On re-visiting abs. state, cut-off

Find min infeasible suffix

- Learn new predicates
- Rebuild subtree with new preds.

#27

Key Idea: Reachability Tree



Error Free

SAFE

Unroll

1. Pick tree-node (=abs. state)
2. Add children (=abs. successors)
3. On re-visiting abs. state, cut-off

Find min spurious suffix

- Learn new predicates
- Rebuild subtree with new preds.

S1: Only Abstract Reachable States

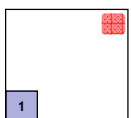
S2: Don't refine error-free regions

#28

Build-and-Search

```
Example () {
1: do{
  lock();
  old = new;
  q = q->next;
2: if (q != NULL){
3:   q->data = new;
  unlock();
  new ++;
}
4:}while(new != old);
5: unlock ();
}
```

1 → LOCK



Predicates: LOCK

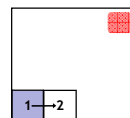
Reachability Tree

#29

Build-and-Search

```
Example () {
1: do{
  lock();
  old = new;
  q = q->next;
2: if (q != NULL){
3:   q->data = new;
  unlock();
  new ++;
}
4:}while(new != old);
5: unlock ();
}
```

lock() old = new q=q->next 1 → LOCK 2 → LOCK



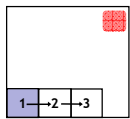
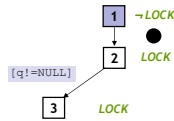
Predicates: LOCK

Reachability Tree

#30

Build-and-Search

```
Example () {
1: do{
    lock();
    old = new;
    q = q->next;
2: if (q != NULL){
3:   q->data = new;
    unlock();
    new++;
  }
4: while(new != old);
5: unlock ();
}
```



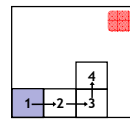
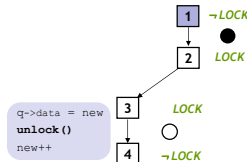
Predicates: LOCK

Reachability Tree

#31

Build-and-Search

```
Example () {
1: do{
    lock();
    old = new;
    q = q->next;
2: if (q != NULL){
3:   q->data = new;
    unlock();
    new++;
  }
4: while(new != old);
5: unlock ();
}
```



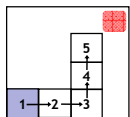
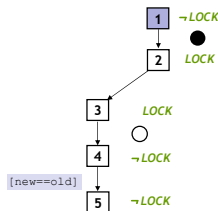
Predicates: LOCK

Reachability Tree

#32

Build-and-Search

```
Example () {
1: do{
    lock();
    old = new;
    q = q->next;
2: if (q != NULL){
3:   q->data = new;
    unlock();
    new++;
  }
4: while(new != old);
5: unlock ();
}
```



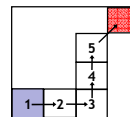
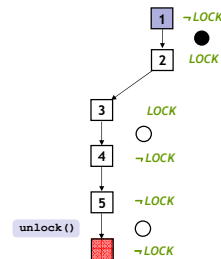
Predicates: LOCK

Reachability Tree

#33

Build-and-Search

```
Example () {
1: do{
    lock();
    old = new;
    q = q->next;
2: if (q != NULL){
3:   q->data = new;
    unlock();
    new++;
  }
4: while(new != old);
5: unlock ();
}
```



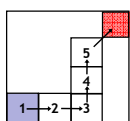
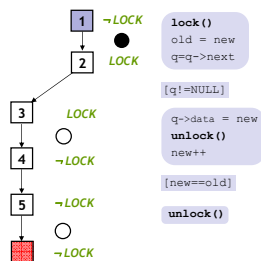
Predicates: LOCK

Reachability Tree

#34

Analyze Counterexample

```
Example () {
1: do{
    lock();
    old = new;
    q = q->next;
2: if (q != NULL){
3:   q->data = new;
    unlock();
    new++;
  }
4: while(new != old);
5: unlock ();
}
```



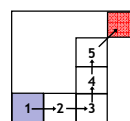
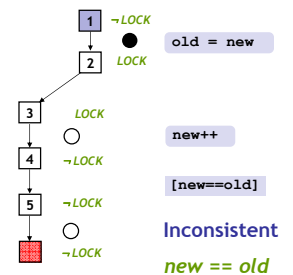
Predicates: LOCK

Reachability Tree

#35

Analyze Counterexample

```
Example () {
1: do{
    lock();
    old = new;
    q = q->next;
2: if (q != NULL){
3:   q->data = new;
    unlock();
    new++;
  }
4: while(new != old);
5: unlock ();
}
```



Predicates: LOCK

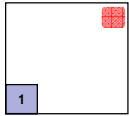
Reachability Tree

#36

Repeat Build-and-Search

```
Example () {
1: do{
    lock();
    old = new;
    q = q->next;
2: if (q != NULL){
3:   q->data = new;
    unlock();
    new++;
    }
4: while(new != old);
5: unlock ();
}
```

1 → LOCK



Predicates: LOCK, new==old

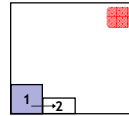
Reachability Tree

#37

Repeat Build-and-Search

```
Example () {
1: do{
    lock();
    old = new;
    q = q->next;
2: if (q != NULL){
3:   q->data = new;
    unlock();
    new++;
    }
4: while(new != old);
5: unlock ();
}
```

1 → LOCK
LOCK, new==old
2
lock()
old = new
q = q->next



Predicates: LOCK, new==old

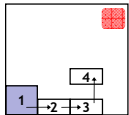
Reachability Tree

#38

Repeat Build-and-Search

```
Example () {
1: do{
    lock();
    old = new;
    q = q->next;
2: if (q != NULL){
3:   q->data = new;
    unlock();
    new++;
    }
4: while(new != old);
5: unlock ();
}
```

1 → LOCK
LOCK, new==old
2
LOCK, new==old
3
q->data = new
unlock()
new++
¬LOCK, ¬new == old
4



Predicates: LOCK, new==old

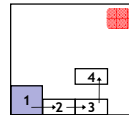
Reachability Tree

#39

Repeat Build-and-Search

```
Example () {
1: do{
    lock();
    old = new;
    q = q->next;
2: if (q != NULL){
3:   q->data = new;
    unlock();
    new++;
    }
4: while(new != old);
5: unlock ();
}
```

1 → LOCK
LOCK, new==old
2
LOCK, new==old
3
q->data = new
unlock()
new++
¬LOCK, ¬new == old
4
[new==old]



Predicates: LOCK, new==old

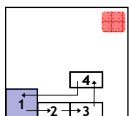
Reachability Tree

#40

Repeat Build-and-Search

```
Example () {
1: do{
    lock();
    old = new;
    q = q->next;
2: if (q != NULL){
3:   q->data = new;
    unlock();
    new++;
    }
4: while(new != old);
5: unlock ();
}
```

1 → LOCK
LOCK, new==old
2
LOCK, new==old
3
q->data = new
unlock()
new++
¬LOCK, ¬new == old
4
[new!=old]
1
¬LOCK, ¬new == old



Predicates: LOCK, new==old

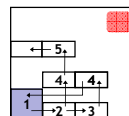
Reachability Tree

#41

Repeat Build-and-Search

```
Example () {
1: do{
    lock();
    old = new;
    q = q->next;
2: if (q != NULL){
3:   q->data = new;
    unlock();
    new++;
    }
4: while(new != old);
5: unlock ();
}
```

1 → LOCK
LOCK, new==old
2
LOCK, new==old
3
q->data = new
unlock()
new++
¬LOCK, ¬new == old
4
[new!=old]
1
¬LOCK, ¬new == old
5
LOCK, new==old
¬LOCK, new==old



Predicates: LOCK, new==old

Reachability Tree

#42

SAFE

Weakest Preconditions

$WP(P, OP)$

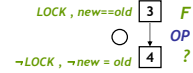
Weakest formula P' s.t.
if P' is true before OP
then P is true after OP



#49

How to compute successor ?

```
Example () {
1: do{
    lock();
    old = new;
    q = q->next;
2: if (q != NULL){
3:   q->data = new;
    unlock();
    new++;
4: }while(new != old);
5: unlock ();
}
```



For each p

- Check if p is true (or false) after OP

Q: When is p true after OP ?

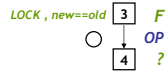
- If $WP(p, OP)$ is true before OP !
- We know F is true before OP
- Thm. Pvr. Query: $F \Rightarrow WP(p, OP)$

Predicates: $LOCK, new==old$

#50

How to compute successor ?

```
Example () {
1: do{
    lock();
    old = new;
    q = q->next;
2: if (q != NULL){
3:   q->data = new;
    unlock();
    new++;
4: }while(new != old);
5: unlock ();
}
```



For each p

- Check if p is true (or false) after OP

Q: When is p false after OP ?

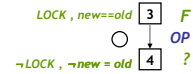
- If $WP(\neg p, OP)$ is true before OP !
- We know F is true before OP
- Thm. Pvr. Query: $F \Rightarrow WP(\neg p, OP)$

Predicates: $LOCK, new==old$

#51

How to compute successor ?

```
Example () {
1: do{
    lock();
    old = new;
    q = q->next;
2: if (q != NULL){
3:   q->data = new;
    unlock();
    new++;
4: }while(new != old);
5: unlock ();
}
```



For each p

- Check if p is true (or false) after OP

Q: When is p false after OP ?

- If $WP(\neg p, OP)$ is true before OP !
- We know F is true before OP
- Thm. Pvr. Query: $F \Rightarrow WP(\neg p, OP)$

Predicate: $new==old$

True ? $(LOCK, new==old) \Rightarrow (new + 1 = old)$ NO

False ? $(LOCK, new==old) \Rightarrow (new + 1 \neq old)$ YES

#52

Advanced SLAM/BLAST

Too Many Predicates

- Use Predicates Locally

Counter-Examples

- Craig Interpolants

Procedures

- Summaries

Concurrency

- Thread-Context Reasoning

#53

SLAM Summary

- Instrument Program With Safety Policy
- Predicates = { }
- Abstract Program With Predicates
 - Use **Weakest Preconditions and Theorem Prover Calls**
- Model-Check Resulting Boolean Program
 - Use **Symbolic Model Checking**
- Error State Not Reachable?
 - Original Program Has **No Errors: Done!**
- Check Counterexample Feasibility
 - Use **Symbolic Execution**
- Counterexample Is Feasible?
 - Real Bug: **Done!**
- Counterexample Is Not Feasible?
 - Find New Predicates (Refine Abstraction)
 - Goto Line 3

#54

Optional: SLAM Weakness

```
1: F() {  
2:   int x=0;  
3:   lock();  
4:   do x++;  
5:   while (x ≠ 88);  
6:   if (x < 77)  
7:     lock();  
8: }
```

- Preds = {}, Path = 234567
- $[x=0, \neg x+1 \neq 88, x+1 < 77]$
- Preds = { $x=0$ }, Path = 234567
- $[x=0, \neg x+1 \neq 88, x+1 < 77]$
- Preds = { $x=0, x+1=88$ }
- Path = 23454567
- $[x=0, \neg x+2 \neq 88, x+2 < 77]$
- Preds = { $x=0, x+1=88, x+2=88$ }
- Path = 2345454567
- ...
- Result: the predicates
"count" the loop iterations

#55

Homework

- Project Due

#56