

CHECKERBOARD NIGHTMARE by Kristofer Straub

Dependant Type Systems
(saying what you are)

Data Abstraction
(hiding what you are)

Spammers are people too.
Don't filter.

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Review

- We studied a variety of type systems
- We repeatedly made the type system **more expressive** to enable the type checker to catch more errors
- But we have steered clear of **undecidable** systems
 - Thus there must still be **many errors that are not caught**
- Now we explore more **complex type systems** that bring type checking closer to **program verification**

#2

Dependent Types

- Say that we have the functions
 - $\text{zero} : \text{nat} \rightarrow \text{vector}$ (creates vector of requested length)
 - $\text{dotprod} : \text{vector} \rightarrow \text{vector} \rightarrow \text{real}$ (dot product)
- The types do not prevent using dotprod on **vectors of different length**
 - If they did, we could catch more bugs!
- Idea: Make “vector” a type family annotated by a natural number
 - “vector n” is the type of vectors of length n
 - $\text{dotprod} : \text{vector } n \rightarrow \text{vector } n \rightarrow \text{real}$ (where is n bound?)
 - $\text{zero} : \text{nat} \rightarrow \text{vector} ?$

Need a way to refer to the **value** of the first argument in the type!

#3

Dependent Type Notation

- How to write the type of $\text{zero} : \text{nat} \rightarrow \text{vector}$?
- Given two sets A and B verify the isomorphism
 - $A \rightarrow B \simeq \prod_{x \in A} B$
 - The latter is the cartesian product of B with itself as many times as there are elements in A
 - Also written as $\prod x:A. B$ (x plays no role so far!)
 - But now we can make B depend on x!
- Definition:** $\prod x:A. B$ is the type of functions with argument in A and with the result type B (possibly depending on the value of the argument x in A)
 - We write “ $\text{zero} : \prod x:\text{nat}. \text{vector } x$ ”
 - Special case when $x \notin B$ we abbreviate as $A \rightarrow B$
 - We play “fast and loose” with the binding of \prod

#4

Dependent Typing Rules

$$\frac{\Gamma, x : \tau_2 \vdash e : \tau}{\Gamma \vdash \lambda x : \tau_2. e : \Pi x : \tau_2. \tau} \quad \frac{\Gamma \vdash e_1 : \Pi x : \tau_2. \tau \quad \Gamma \vdash e_2 : \tau_2}{\Gamma \vdash e_1 e_2 : [e_2/x]\tau}$$

- Note that **expressions are now part of types**
- Have types like “vector 5” and “vector (2 + 3)”
- We need **type equivalence**

$$\frac{\Gamma \vdash e : \tau \quad \Gamma \vdash \tau \equiv \tau'}{\Gamma \vdash e : \tau'}$$

$$\frac{\Gamma \vdash e_1 \equiv e_2}{\Gamma \vdash \text{vector } e_1 \equiv \text{vector } e_2}$$

#5

Dependent Types and Program Specifications

- Types act as specifications**
- With dependent types we can specify **any property!**
- For example, define the following types:
 - “eq e” - the type of values equal to “e”.
Also named “sng e” (the **singleton type**)
 - “ge e” - the type of values larger or equal to “e”
 - “lt e” - the type of values smaller than “e”
 - “and $\tau_1 \tau_2$ ” - the type of values having both type τ_1 and τ_2
- Need appropriate typing rules for the new types
- The precondition for vector-accessing (cf. HW5)
 - read: $\Pi n:\text{nat}. \text{vector } n \rightarrow (\text{and } (\text{ge } 0) (\text{lt } n)) \rightarrow \text{int}$
- The **type checker must do program verification**

#6

Dependent Type Commentary

- Type checking with Π types can be *as hard as full program verification*
- Type equivalence can be **undecidable**
 - If types are dependent on expressions drawn from a powerful language (“powerful” = “arithmetic”)
 - Then even **type checking will be undecidable**
- Dependent types play an important role in the **formalization of logics**
 - Started with Per Martin-Lof
 - **Proof checking via type checking**
 - Proof-carrying code uses a dependent type checker to check proofs
 - There are program specification tools based on Π types

#7

Dependent Sum Types

- We want to pack a **vector** with **its length**
 - $e = (n, v)$ where “ v : vector n ”
 - The type of an element of a pair depends on the **value** of another element
 - This is another form of dependency
 - The type of e is “ $\text{nat} \times \text{vector } ?$ ”
- Given two sets A and B verify the isomorphism

$$A \times B \simeq \sum_{x \in A} B$$
 - The latter is the **disjoint union** of B with itself as many times as there are elements in A
 - Also written as $\sum x:A. B$ (x here plays no role)
 - But now we can make B depend on x !

#8

Dependent Sum Types

- **Definition:** $\sum x:A. B$ is the type of **pairs** with first element of type A and second element of type B (possibly depending on the **value of first element x**)
 - Now we can write $e : \sum x:\text{nat}. \text{vector } x$
- Functions that compute the length of a vector
 - $\text{vlength} : \Pi n:\text{nat}. \text{vector } n \rightarrow \text{nat}$
 - (the result is not constrained)
 - $\text{slength} : \Pi n:\text{nat}. \text{vector } n \rightarrow \text{sng } n$
 - “ $\text{sng } n$ ” is a dependent type that contains only n
 - called the **singleton type** (recall from 3 slides ago ...)
- What if the vector is packed with its length?
 - $\text{pvlength} : \sum n:\text{nat}. \text{vector } n \rightarrow \text{nat}$
 - $\text{pslength} : \sum n:\text{nat}. \text{vector } n \rightarrow \text{sng } n$

#9

Dependent Sum Types Static Semantics

$$\frac{\Gamma \vdash e_1 : \tau_1 \quad \Gamma \vdash e_2 : [e_1/x]\tau_2}{\Gamma \vdash (e_1, e_2) : \sum x : \tau_1. \tau_2}$$

$$\frac{\Gamma \vdash e : \sum x : \tau_1. \tau_2}{\Gamma \vdash \text{snd } e : [\text{fst } e/x]\tau_2}$$

- Note how this rule **reduces to the usual rules** for tuples when there is no dependency
- The evaluation rules are **unchanged**

#10

Weimeric Commentary

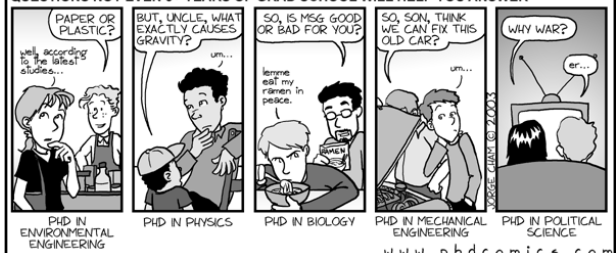
- Dependant types seem obscure: **why care?**
- Grand Unified Theory
 - Type Checking = Verification (= Model Checking = Proof Checking = Abstract Interpretation ...)
- CCured Project
 - Rumor has it this project was successful
 - The whole thing is dependant sum types
 - SEQ = (pointer + lower bound + upper bound)
 - FSEQ = (pointer + upper bound)
 - WILD = (pointer + lower bound + upper bound + rtti)

#11

Types for Data Abstraction

What’s inside the implementation?
We don’t know!

QUESTIONS NOT EVEN 5+ YEARS OF GRAD SCHOOL WILL HELP YOU ANSWER



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Data Abstraction

- Ability to **hide (abstract) concrete implementation details**
- **Modularity** builds on data abstraction
- Improves program structure and minimizes dependencies
- One of the most influential developments of the 1970's
- Key element for much of the success of object orientation in the 1980's

#13

Example of Abstraction

- Cartesian points (gotta love it!)
- Introduce the “**abstype**” language construct:
 - abstype** point **implements**
 - mk : real × real → point
 - xc : point → real
 - yc : point → real
 - is**
 - < point = real × real,
 - mk = λx. x,
 - xc = fst,
 - yc = snd >
- Shows a concrete implementation
- Allows the rest of the program to access the implementation **through an abstract interface**
- Only the interface need to be publicized
- Allows **separate compilation**

#14

Data Abstraction

- It is useful to **separate the creation of the abstract type and its use** (newsflash ...)
- Extend the syntax (t = imp, σ = interface):
 - Terms ::= ... | < t = τ, e : σ > | open e_a as t, x : σ in e_b
 - Types ::= ... | ∃t. σ
- The expression <t=τ, e : σ> takes the concrete implementation e and “**packs it**” as a value of an abstract type
 - Alternative notation: “pack e as ∃t. σ with t = τ”
 - “**existential types**” - used to model the stack, etc.
- The “**open**” expression allows e_b to access the abstract type expression e_a using the name x, the unknown type of the **concrete implementation** “t” and the **interface σ**

#15

Example with Abstraction

- C = {mk = λx.x, xc = fst, yc = snd } is a **concrete implementation** of points as **real × real**
- We want to hide the type of the representation σ is the following type:
 - { mk : real × real → point,
 - xc : point → real, yc : point → real }
- Note that **C : [real×real/point]σ**
- A = <point=real×real, C : σ> is an expression of the abstract type **∃point.σ**
- We want clients to access only the second component of A and just use the abstract name “point” for the first component:
 - open A as point, P : σ in ... P.xc(P.mk(1.0, 2.0)) ...**

#16

Typing Rules for Existential Types

- We add the following typing rules:

$$\frac{\Gamma \vdash [\tau/t]e : [\tau/t]\sigma}{\Gamma \vdash \langle t = \tau, e : \sigma \rangle : \exists t. \sigma}$$

$$\frac{\Gamma \vdash e_a : \exists t. \sigma \quad \Gamma, t, p : \sigma \vdash e_b : \tau}{\Gamma \vdash \text{open } e_a \text{ as } t, p : \sigma \text{ in } e_b : \tau} \quad t \notin FV(\Gamma \cup \tau)$$

- The restriction in the rule for “open” ensures that **t does not escape its scope**

#17

Evaluation Rules for Abstract Types

- We add a new form of value
 - v ::= ... | <t=τ, v : σ>
 - This is **just like v** but with some type decorations that make it have an existential type
- $$\frac{e_a \Downarrow \langle t = \tau, v : \sigma \rangle \quad [v/x][\tau/t]e_b \Downarrow v'}{\text{open } e_a \text{ as } t, x : \sigma \text{ in } e_b \Downarrow v'}$$
- At the time e_b is evaluated, abstract-type variables are replaced with concrete values
 - If we ignore the type issues “open e_a as t, x : σ in e_b” is like “let x : σ = e_a in e_b”
 - Difference: e_b **cannot know statically** what is the **concrete type of x** so it cannot take advantage of it

#18

Abstract Types as a Specification Mechanism

- Just like polymorphism, **existential types are mostly a type checking mechanism**
- A function of type $\forall t. t \text{ List} \rightarrow \text{int}$ does not know **statically** what is the type of the list elements. Therefore no operations are allowed on them
 - But it will have at run-time the actual value of t
 - "There are no type variables at run-time"
- Same goes for existentials
- These type mechanisms are a very powerful (and widely used!) **form of static checking**
 - Recall Wadler's "Theorems for Free"

#19

Data Abstraction and the Real World

- Example: **file descriptors**
- Solution 1:
 - Represent file descriptors as "int" and export the interface $\{\text{open}:\text{string} \rightarrow \text{int}, \text{read}:\text{int} \rightarrow \text{data}\}$
- An untrusted client of the interface calls "read"
- How can we know that "read" is invoked with a file descriptor that was obtained from "open"? Anyone?

#20

Data Abstraction and the Real World

- Example: **file descriptors**
- Solution 1:
 - Represent file descriptors as "int" and export the interface $\{\text{open}:\text{string} \rightarrow \text{int}, \text{read}:\text{int} \rightarrow \text{data}\}$
- An untrusted client of the interface calls "read"
- How can we know that "read" is invoked with a file descriptor that was obtained from "open"?
 - We must **keep track of all integers that represent file descriptors**
 - We design the interface such that all such integers are small integers and we can essentially keep a bitmap
 - This becomes expensive with more complex (e.g. pointer-based) representations

#21

Data Abstraction, Static Checking

- Solution 2: Use the same representation but **export an abstraction** of it.
 - $\exists \text{fd}. \text{File}$ or
 - $\exists \text{fd}. \{\text{open} : \text{string} \rightarrow \text{fd}, \text{read} : \text{fd} \rightarrow \text{data}\}$
 - A possible value:
 - $\text{Fd} = \langle \text{fd} = \text{int}, \{\text{open} = \dots, \text{read} = \dots\} : \text{File} \rangle : \exists \text{fd}. \text{File}$
- Now the **untrusted** client e
 - $\text{open Fd as fd}, x : \text{File in } e$
- At run-time "e" can see that file descriptors are integers
 - But cannot cast 187 as a file descriptor.
 - Static checking with no run-time costs!
 - Catch: you must be able to type check $e!$

#22

Modularity

- A **module** is a **program fragment along with visibility constraints**
- **Visibility** of functions and data
 - Specify the function interface but hide its implementation
- **Visibility** of type definitions
 - More complicated because the type might appear in specifications of the visible functions and data
 - Can use data abstraction to handle this
- A module is represented as a **type component** and an **implementation component**
 - $\langle t = \tau, e : \sigma \rangle$ (where t can occur in e and σ)
 - even though the specification (σ) refers to the implementation type we can still hide the latter

#23

Problems with Existentialists

- Existentialist types
 - Assert that **truth is subjectivity**
 - **Oppose the rational tradition** and positivism
 - Are subject to an "absurd" universe
- Problems:
 - "In so far as Existentialism is a philosophical doctrine, it remains an idealistic doctrine: it hypothesizes specific historical conditions of human existence into ontological and metaphysical characteristics. **Existentialism thus becomes part of the very ideology which it attacks**, and its radicalism is illusory." (Herbert Marcuse, "Sartre's Existentialism", p. 161)

#24

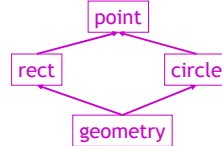
Problems with Existentials

- Existential types
 - Allow **representation (type) hiding**
 - Allow **separate compilation**. Need to know only the type of a module to compile its client
 - **First-class modules**. They can be selected at run-time. (cf. OO interface subtyping)
- Problems:
 - **Closed scope**. Must open an existential before using it!
 - Poor support for **module hierarchies**

#25

Problems with Existentials (Cont.)

- There is an inherent tension between **handling modules in isolation** (good for **separate compilation**, interchangeability) and the need to **integrate them**



(the arrow means “depends on”)

- Solution 1: **open “point” at top level**
 - Inversion of program structure
 - The most basic construct has the widest scope

#26

Give Up Abstraction?

- Solution 2: **incorporate point in rect and circle**
 - $R = \langle \text{point} = \dots, \langle \text{rect} = \text{point} \times \text{point}, \dots \rangle \dots \rangle$
 - $C = \langle \text{point} = \dots, \langle \text{circle} = \text{point} \times \text{real}, \dots \rangle \dots \rangle$
- When we open R and C we get **two distinct notions of point!**
 - And we will **not be able to combine them**
- Another option is to allow the type checker to see the representation type
 - and thus give up representation hiding

#27

Strong Sums

- New way to open a package
 - Terms $e ::= \dots \mid \text{Ops}(e)$
 - Types $\tau ::= \dots \mid \Sigma t. \tau \mid \text{Typ}(e)$
 - Use **Typ and Ops to decompose the module**
 - Operationally, they are just like “fst” and “snd”
 - $\Sigma t. \tau$ is the **dependent sum type**
 - It is like $\exists t. \tau$ except we can look at the type

$$\frac{\Gamma \vdash e : \Sigma t. \tau}{\Gamma \vdash \text{Ops}(e) : \tau[\text{Typ}(e)/t]}$$

#28

Modularity with Strong Sums

- Consider the R and C defined as before:
 - $\text{Pt} = \langle \text{point} = \text{real} \times \text{real}, \dots \rangle : \Sigma \text{point}. \tau_{\text{p}}$
 - $R = \langle \text{point} = \text{Typ}(\text{Pt}), \langle \text{rect} = \text{point} \times \text{point}, \dots \rangle : \Sigma \text{rect}. \tau_{\text{R}}$
 - $C = \langle \text{point} = \text{Typ}(\text{Pt}), \langle \text{circle} = \text{point} \times \text{real}, \dots \rangle : \Sigma \text{circle}. \tau_{\text{C}}$
- Since we use strong-sums the **type checker sees that the two point types are the same**

#29

Modules with Strong Sums

- ML’s module system is based on strong sums
- Problems:
 - **Poorer data abstraction**
 - Expressions appear in types ($\text{Typ}(e)$)
 - Types might not be known until at run time
 - **Lost separate compilation**
 - Trouble if e has side-effects (but we can use a value restriction - e.g., “IntSet.t”)
 - **Second-class modules** (because of value restriction)
 - We can combine existentials with strong sums
 - Translucent sums: partially visible

#30

Homework

- Project Status Update
- Project Due Tue Nov 28
 - You have ~35 days (including holidays) to complete it.
 - Need help? Stop by my office or send email.