# EECS 487: Interactive Computer Graphics

Lecture 37:

- B-splines curves
- Rational Bézier and NURBS

#### **Cubic Splines**

A representation of cubic spline consists of:

- four control points (why four?)
- these are completely user specified
- determine a set of blending functions

There is no single "best" representation of cubic spline:

Cubic	Interpolate?	Local?	Continuity	Affine?	Convex*?	VD*?
Hermite	V	V	$C^1$	V	n/a	n/a
Cardinal (Catmull-Rom)	except endpoints	4	$C^1$	V	no	no
Bézier	endpoints	X	$C^1$	V	V	V
natural	~	×	$C^2$	~	n/a	n/a
B-splines	×	~	$C^2$	~	~	~

\* n/a when some of the control "points" are tangents, not points

#### Natural Cubic Spline

A natural cubic spline's control points:

	$\mathbf{f}(u) = \mathbf{a}_0 + u^1 \mathbf{a}_1 + u^2$	$\mathbf{a}_2 + u^3 \mathbf{a}_3$	
<ul> <li>position of start point</li> </ul>	$\mathbf{p}_0 = \mathbf{f}(0) = \mathbf{a}_0 + 0^1 \mathbf{a}_1$	$_{1} + 0^{2} \mathbf{a}_{2} + 0^{3} \mathbf{a}_{3}$	l <sub>3</sub>
• 1 <sup>st</sup> derivative of start point	$p_1 = f'(0) = a$	$\mathbf{a}_1 + 2 * 0^1 \mathbf{a}_2 + 3 * 0^2 \mathbf{a}_3$	3
• 2 <sup>nd</sup> derivative of start point	$\mathbf{p}_2 = \mathbf{f}''(0) =$	$2*1^{1}a_{2}+6*0^{2}a_{3}$	3
<ul> <li>position of end point</li> </ul>	$\mathbf{p}_3 = \mathbf{f}(1) = \mathbf{a}_0 + 1^1 \mathbf{a}_1$	$_{1} + 1^{2} \mathbf{a}_{2} + 1^{3} \mathbf{a}_{3}$	3

• constraint and basis matrices:

[	- 1	0	0	0	7	$B = C^{-1} =$	1	0	0	0
<b>C</b> –	0	1	0	0		$P - C^{-1} -$	0	1	0	0
C=	0	0	2	0	,	$\mathbf{P} = \mathbf{C} =$	0	0	$\frac{1}{2}$	0
C =	1	1	1	1			-1	-1	$-\frac{1}{2}$	1

 subsequent segments assume the position and 1<sup>st</sup> and 2<sup>nd</sup> derivatives of the end point of the preceding segment

### Natural Cubic Spline

Given n control points, a natural cubic spline has n-1 segments

For segment *i*:  $\mathbf{f}_{i}(0) = \mathbf{p}_{i-1}, \quad i = 1,...,n$  $\mathbf{f}_{i}(1) = \mathbf{p}_{i}, \quad i = 1,...,n$ 

$$\mathbf{f}_{i}'(0) = \mathbf{f}_{i-1}'(1), \quad i = 1, \dots, n-1$$
  
$$\mathbf{f}_{i}''(0) = \mathbf{f}_{i-1}''(1), \quad i = 1, \dots, n-1$$

Set:  $\mathbf{f}_1''(0) = \mathbf{f}_n''(1) = 0$ 

#### **Natural Cubic Splines**

Each curve segment (other than the first) receives three out of its four control points from the preceding segment, this gives the curve  $C^2$  continuity

However the polynomial coefficients are dependent on all *n* control points

- control is not local: any change in any segment may change the whole curve
- curve tends to be ill-conditioned: a small change at the beginning can lead to large subsequent changes

## **B-splines**

Given  $n (\ge d + 1)$  control points, a B-spline curve has n-d segments

- *d* is the degree of each B-spline segment
- the segments are numbered d to n-1, for ease of notation
- number of control points is independent of the degree
- unlike a Bézier spline, where adding a control point necessarily increases degree by one,
- and unlike multi-segmented Bézier curve where multiple control points supporting a new segment must be added at the same time
- segment degree (d) is also curve degree

B-splines of degree d are said to have order k (= d + 1)

TP<sub>3</sub>, Watt

#### Advantages of B-splines

Main advantages of B-splines:

• number of control points not limited by degree (d)

- automatic  $C^{d-1}$  continuity
- local control

To create a large model with  $C^2$  continuity and local control, you pretty much want to use cubic B-splines

Aside from the first segment, each B-spline segment shares the first *d* control points with its preceding segment

 sounds like natural spline ... how can B-splines have local control?

#### Local Control

Unlike natural splines and Bézier curves, B-splines' control points are not derivatives

Instead each segment is a weighted-sum of *d* basis functions (only), giving the control points local control

Hence **Basis** spline

#### Why is **B** called the Basis Matrix?

#### Polynomials as a Vector Space

Polynomials  $f(u) = a_0 + a_1 u + a_2 u^2 + ... + a_n u^n$ 

- can be added: just add the coefficients
- can be multiplied by a scalar: multiply the coefficients
- are closed under addition and multiplication by scalar
- i.e., the result is still a polynomial
- $\Rightarrow$  It's a vector space!

#### A vector space is defined by a set of basis

- · linearly independent vectors
- linear combination of the basis vectors spans the space
- here vector = polynomial

Durand

#### **Canonical Power Basis**

 $1, u, u^2, u^3, \ldots, u^n$ 

- are independent
- any polynomial is a linear combination of these,  $a_0 + a_1u + a_2u^2 + \ldots + a_nu^n$
- $a_0 + a_1 u + a_2 u^2 + \ldots + a_n u^n$
- often called the canonical basis functions

Just as with Euclidean space, there are infinite number of possible basis

For cubic, the basis functions could be, for example:

• 1, 1+u, 1+u+ $u^2$ , 1+u- $u^2$ + $u^3$ 

•  $u^3$ ,  $u^3-u^2$ ,  $u^3+u$ ,  $u^3+1$ 

#### **Basis Matrix and Basis Functions**

A basis matrix **(B)** transforms the canonical basis **(u)** to another basis:

$$\mathbf{f}(\boldsymbol{u}) = \mathbf{u}\mathbf{a} = \mathbf{u}\mathbf{B}\mathbf{p} = (1 - \boldsymbol{u})\mathbf{p}_0 + \boldsymbol{u}\mathbf{p}_1 = \sum_{i=0}^n b_i(\boldsymbol{u})\mathbf{p}_i$$
$$\mathbf{u}\mathbf{B} = \sum_{i=0}^n b_i(\boldsymbol{u})$$

The  $b_i(u)$ 's are the basis functions of the other basis (we've known them as the blending functions)

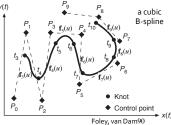
## **B-splines**

Given n control points, there are n-d segments

- we call the segments  $\mathbf{f}_i(u)$ ,  $d \le i < n$ • each segment has a unit range,  $0 \le u \le 1$
- we call the entire B-spline curve with n control points  $\mathbf{f}(t)$

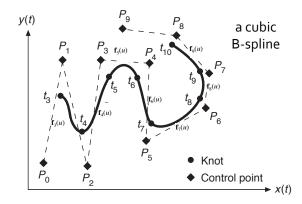
# The parameters $t_i$ 's where two segments join are called knots

- the start and end points ( $t_d$  and  $t_n$ ) are also called knots
- the range  $[t_d, t_n]$  is the domain of a B-spline curve
- the parameter u of segment i is scaled to  $t_i \le u < t_{i+1}$



## **Uniform B-splines**

The knots of a uniform B-splines are spaced at equal intervals



Foley, van Dam90

#### What Degree is Sufficient?

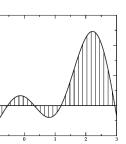
Arbitrary curves have an uncountable number of parameters

Real-number function value expanded into an infinite set of basis functions:

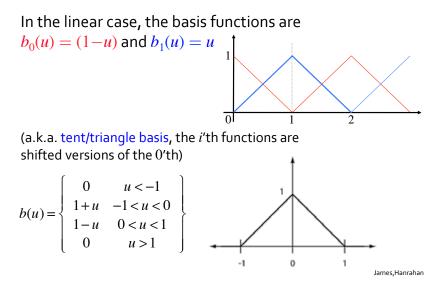
$$\mathbf{f}(u) = \sum_{i=0}^{\infty} b_i(u) \mathbf{p}$$

Approximate by truncating set at some reasonable point, e.g., 3:

$$\mathbf{f}(u) = \sum_{i=0}^{3} b_i(u) \mathbf{p}_i$$



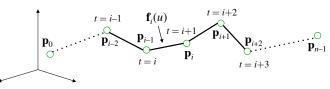
#### Linear B-spline Segment



#### Linear B-spline Curve

Consider using linear B-splines (d=1, k=2) to draw a piecewise linear curve (a polyline)

To draw the curve, we perform linear interpolation of a set of control points  $\mathbf{p}_0, \ldots, \mathbf{p}_{n-1}$ 

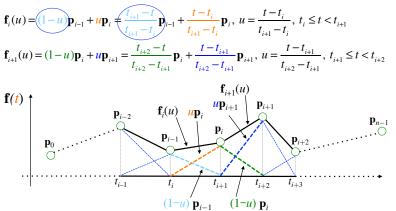


For segment *i*, we write the interpolating linear curve as  $\mathbf{f}_i(u) = (1-u)\mathbf{p}_{i-1} + u\mathbf{p}_i$ , where  $u = \frac{t-t_i}{t_{i+1}-t_i} \in [0,1]$ 

[Craig]

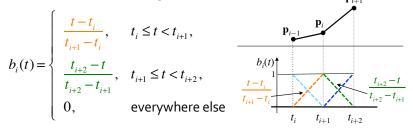
#### Linear B-splines

Linearly interpolating the set of control points to draw the curve:



#### Linear B-splines

The influence of control point  $\mathbf{p}_i$  on the whole curve is thus the "tent/triangle" function:



The hardest part of working with B-splines is keeping track of the tedious notations!

[Craig]

#### Linear B-splines

$$\begin{aligned} \mathbf{f}_{i}(u) &= (1-u)\mathbf{p}_{i-1} + u\mathbf{p}_{i} = \frac{t_{i+1} - t_{i}}{t_{i+1} - t_{i}} \mathbf{p}_{i-1} + \frac{t - t_{i}}{t_{i+1} - t_{i}} \mathbf{p}_{i}, \ u &= \frac{t - t_{i}}{t_{i+1} - t_{i}}, \ t_{i} \leq t < t_{i+1} \\ \mathbf{f}_{i+1}(u) &= (1-u)\mathbf{p}_{i} + u\mathbf{p}_{i+1} = \frac{t_{i+2} - t}{t_{i+2} - t_{i+1}} \mathbf{p}_{i} + \frac{t - t_{i+1}}{t_{i+2} - t_{i+1}} \mathbf{p}_{i+1}, \ u &= \frac{t - t_{i+1}}{t_{i+2} - t_{i+1}}, \ t_{i+1} \leq t < t_{i+2} \end{aligned}$$

We can rewrite the segment functions as:

$$\mathbf{f}_{i}(t) = b_{i-1}(t)\mathbf{p}_{i-1} + b_{i}(t)\mathbf{p}_{i}, \ t_{i} \le t < t_{i+1}$$
  
$$\mathbf{f}_{i+1}(t) = b_{i}(t)\mathbf{p}_{i} + b_{i+1}(t)\mathbf{p}_{i+1}, \ t_{i+1} \le t < t_{i+2}$$

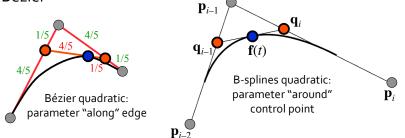
And for the whole curve:

$$\mathbf{f}(t) = \sum_{i=1}^{n-1} \mathbf{f}_i(t) = \sum_{i=1}^{n-1} b_i(t) \mathbf{p}_i(t)$$

where  $b_i(t)$ 's are the basis functions (in this case, linear)

#### **Quadratic B-splines**

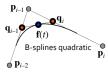
Quadratic B-splines (d=2, k=3) are drawn by two interpolation steps, similar but different to quadratic Bézier



Whereas de Casteljau algorithm performs the iterative interpolations for Bézier curves, de Boor algorithm does so for B-splines

[TP3,Buss]

#### **Quadratic B-splines**



Using the de Boor algorithm we first compute  $\mathbf{q}_{i-1}$  and  $\mathbf{q}_i$  (note: over two knot intervals):

$$\mathbf{q}_{i-1} = \mathbf{f}_{i-1,2}^{1}(t) = \frac{t_{i+1} - t}{t_{i+1} - t_{i-1}} \mathbf{p}_{i-2} + \frac{t - t_{i-1}}{t_{i+1} - t_{i-1}} \mathbf{p}_{i-1}, \ t_{i-1} \le t < t_{i+1}$$
$$\mathbf{q}_{i} = \mathbf{f}_{i,2}^{1}(t) = \frac{t_{i+2} - t}{t_{i+2} - t_{i}} \mathbf{p}_{i-1} + \frac{t - t_{i}}{t_{i+2} - t_{i}} \mathbf{p}_{i}, \ t_{i} \le t < t_{i+2}$$

#### de Boor Algorithm

De Boor algorithm is an iterative interpolation algorithm that generalizes de Casteljau's algorithm

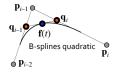
To evaluate a B-spline curve  $\mathbf{f}(t)$  at parameter value t:

- 1. determine the  $[t_i, t_{i+1})$  in which t belongs;  $d \le i < n_i$  the domain of the curve is  $[t_d, t_n]$
- 2. to compute  $\mathbf{f}(t)$  of degree  $d_i$ , first interpolate between control points  $\mathbf{p}$ 's
- 3. then, in a bottom up fashion, continue to perform r rounds of pairwise linear interpolations, until r = d, using:

$$\mathbf{f}_{j,d}^{r}(t) = \frac{t_{j+k-r} - t}{t_{j+k-r} - t_{j}} \mathbf{f}_{j-1}^{r-1}(t) + \frac{t - t_{j}}{t_{j+k-r} - t_{j}} \mathbf{f}_{j}^{r-1}(t), \ t_{j} \le t < t_{j+k-r}, \\ 1 \le r \le d, \\ j = i - d + r, \ i - d + r + 1, \ \dots, i$$

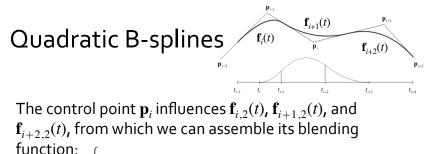
[TP3,Buss]

#### **Quadratic B-splines**



Then we linearly interpolate between  $\mathbf{q}_{i-1}$  and  $\mathbf{q}_i$  in a second round (r = 2) of interpolation:

$$\begin{aligned} \mathbf{f}_{i,2}^{2}(t) &= \frac{t_{i+1} - t_{i}}{t_{i+1} - t_{i}} \mathbf{q}_{i-1} + \frac{t - t_{i}}{t_{i+1} - t_{i}} \mathbf{q}_{i}, \ t_{i} \leq t < t_{i+1} \\ \mathbf{f}(t) &= \frac{t_{i+1} - t}{t_{i+1} - t_{i}} \frac{t_{i+1} - t}{t_{i+1} - t_{i-1}} \mathbf{p}_{i-2} \\ &+ \left( \frac{t_{i+1} - t}{t_{i+1} - t_{i}} \frac{t - t_{i-1}}{t_{i+1} - t_{i-1}} + \frac{t - t_{i}}{t_{i+1} - t_{i}} \frac{t_{i+2} - t}{t_{i+2} - t_{i}} \right) \mathbf{p}_{i-1} \\ &+ \frac{t - t_{i}}{t_{i+1} - t_{i}} \frac{t - t_{i}}{t_{i+2} - t_{i}} \mathbf{p}_{i} \end{aligned}$$



$$b_{i}(t) = \begin{cases} \frac{t_{i+1} - t}{t_{i+1} - t_{i}} \frac{t_{i+1} - t}{t_{i+1} - t_{i-1}}, & t_{i} \leq t < t_{i+1}, \\ \frac{t_{i+1} - t}{t_{i+1} - t_{i}} \frac{t - t_{i-1}}{t_{i+1} - t_{i-1}} + \frac{t - t_{i}}{t_{i+1} - t_{i}} \frac{t_{i+2} - t}{t_{i+2} - t_{i}}, & t_{i+1} \leq t < t_{i+2}, \\ \frac{t - t_{i}}{t_{i+1} - t_{i}} \frac{t - t_{i}}{t_{i+2} - t_{i}}, & t_{i+2} \leq t < t_{i+3}, \\ 0, & \text{everywhere else} \end{cases}$$

#### Interpolation and Basis Functions

	Bézier	B-spline
interpolation	de Casteljau	de Boor
basis functions	Bernstein	Cox-de Boor
	polynomials	recurrence

[TP3,Buss]

#### Cox-de Boor Recurrence

de Boor algorithm constructs basis functions "bottomup", whereas Cox-de Boor recurrence generates the basis functions "top-down"

Let  $b_{i,k}(t)$  be a k-th order basis function for weighting control point  $\mathbf{p}_{ii}$ 

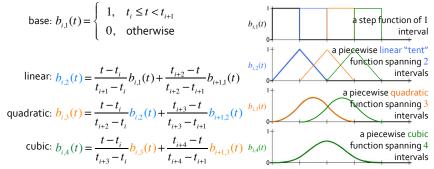
$$b_{i,1}(t) = \begin{cases} 1, & t_i \le t < t_{i+1} \text{ (both } \le \text{ for last segment),} \\ 0, & \text{otherwise} \end{cases}$$

$$b_{i,k}(t) = \frac{t - t_i}{t_{i+k-1} - t_i} b_{i,k-1}(t) + \frac{t_{i+k} - t}{t_{i+k} - t_{i+1}} b_{i+1,k-1}(t)$$

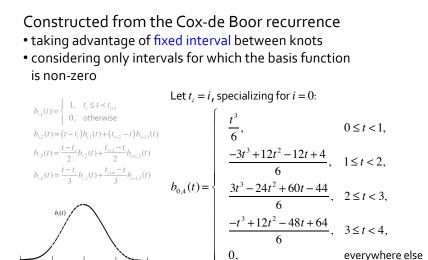
- if the denominator is 0 (non-uniform knots), the basis function is defined to be 0
- Cox-de Boor recurrence essentially takes a linear interpolation of linear interpolations of linear interpolations, similar to the de Casteljau algorithm

#### **Cubic B-splines**

For  $4^{\text{th}}$  order (cubic) B-splines, the recursive definition starts at  $b_{i,4}(t)$ :



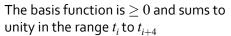
Illustrated with uniformly spaced knots



Uniform Cubic Basis Function

[Buss, Shirley, Gleicher]

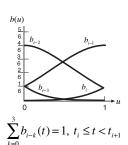
#### **Convex Hull Property**



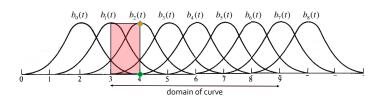
⇒ all the control points form a convex hull

⇒ the whole curve is within the convex hull

Between knot values, the four basis functions are non-zero and sum to unity

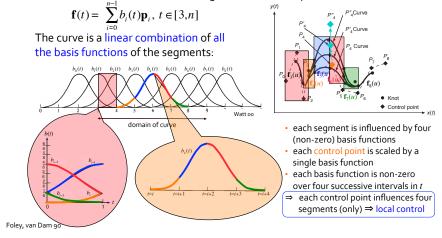


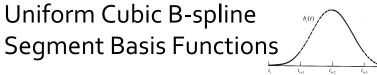
At each knot value, one basis function "switches off" and another "switches on", and three basis functions are non-zero and sum to unity



## Local Control Property

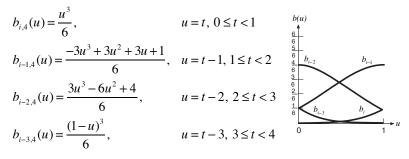
For uniform, multi-segment B-spline curves, the knot values are equally spaced and each basis function is a copy and translate of each other We define the entire set of curve segments as one B-spline curve in *t*:





Basis functions for a single B-spline segment are • shifted pieces of a single basis function to  $u \in [0,1]$  range

Specializing for i = 0:

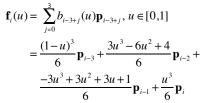


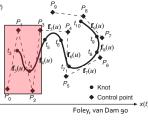
[Shirley, Gleicher]

#### Uniform Cubic B-spline Segment

Control points for one segment  $\mathbf{f}_i(u)$  are  $\mathbf{p}_{i-3}$ ,  $\mathbf{p}_{i-2}$ ,  $\mathbf{p}_{i-1}$ ,  $\mathbf{p}_{i}$ ,  $3 \le i < n$ , recall: the control points can take on arbitrary values (geometric constraints)

#### A segment is described as:



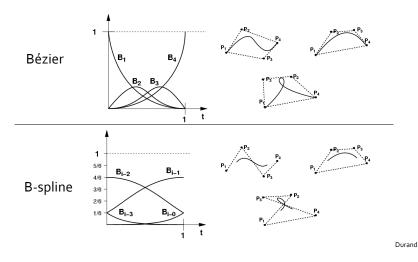


The cubic B-spline segment basis matrix is: B =

			F	oley, v	an Dam 90
$=\frac{1}{6}$	$\begin{bmatrix} -1\\ 3\\ -3\\ 1 \end{bmatrix}$	3 6 0 4	-3 3 3 1	1 0 0 0	

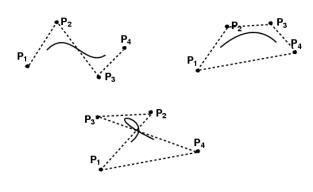
### Bézier is Not B-spline

Relationship to the control points is different



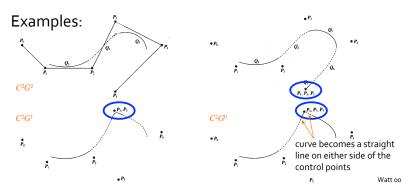
#### Interpolation

A B-spline curve doesn't have to interpolate any of its control points



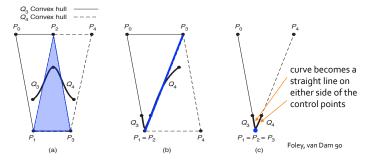
## Interpolation with Multiple Control Points

A B-spline curve can be made to interpolate one or more of its control points by adding multiple control points of the same value, at the loss of continuity



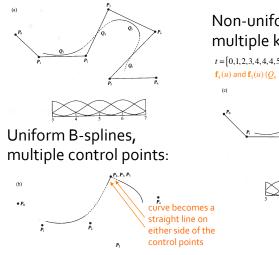
#### Interpolation with Multiple Control Points

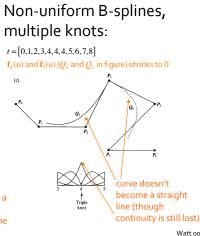
Multiple control points reduces continuity: the intersection between the two convex hulls shrinks from a region to a line to a point, and causes the adjacent segments to become linear



#### Interpolation with Multiple Knots

Uniform B-splines:

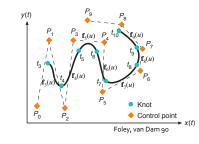




#### Non-uniform B-splines

Non-uniform B-splines interpolate without causing adjacent segments to become linear by using multiple knots instead of multiple control points

The interval between  $t_i$  and  $t_{i+1}$  may be non-uniform; when  $t_i = t_{i+1}$ , curve segment  $\mathbf{f}_i$  is a single point



#### Non-Uniform B-splines Basis Functions

Because the intervals between knots are not uniform, there is no single set of basis functions

Instead, the basis functions depend on the intervals between knot values and are defined recursively in terms of lower-order basis functions (using the Coxde Boor recurrence)

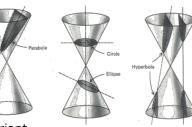
A Bézier curve is really a non-uniform B-splines with no (interior) knot between control points

 B-splines can be rendered as a Bézier curve, by inserting multiple knots at the control points, with no interior knot!

#### **Rational Curves**

Polynomial curves cannot represent conic sections/ quadrics exactly–for modeling machine parts, e.g.

Why not? A conic section in 2D is the perspective projection of a parabola in 3D onto the plane z = 1, with the COP at the origin **o** 



Polynomial curves are affine invariant, but not perspective invariant

Instead, use a rational curve, i.e., a ratio of polynomials:  $\mathbf{f}(u) = \frac{p_1(u)}{p_2(u)}$ 

Merrell.	Funkhouser,	andrews.ed
wichen	ronknooser,	unurews.cu

## Rational Cubic Bézier

As with homogeneous coordinate, a rational curve is a nonrational curve that has been perspective projected

#### Cubic Bézier:

- add an extra weight coordinate:  $w_i \mathbf{p}_i = (w_i x_i, w_i y_i, w_i z_i, w_i)$ ( $w_i$  is the homogeneous coordinate)
- rational due to division by final weight coordinate:  $\sum_{i=0}^{3} w_i b_i(u) \mathbf{p}_i$ (= perspective divide)  $\mathbf{f}_p(u) = \frac{i=0}{3}$

• projected to z = 1:

 $w_i b_i(u)$ 

If the w<sub>i</sub>'s are all equal, we recover the nonrational curve

Ramamoorthi, Wattoo

### Advantages of Rational Curves

Both affine and perspective invariant

Can represent conics as rational quadratics

Weights ( $w_i$ 's) provide extra control: values affect "tension" near control points

- the wi's cannot all be simultaneously zero
- if all the  $w_i$ 's are  $\geq 0$ , the curve is still contained in the convex hull of the control polygon

## Role of the Weights ( $w_i$ 's)

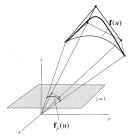
 $\mathbf{f}_{u}(u)$ 

For example: larger  $w_1$  means that the pre-image, nonrational curve near  $\mathbf{p}_1$  is "further up" in z, and the projected image is "pulled" towards  $\mathbf{p}_1$ 



moving control point





#### Non-Uniform Rational B-Splines

$$\mathbf{f}(u) = \frac{\sum_{i=0}^{3} w_i b_{i,k}(u) \mathbf{p}_i}{\sum_{i=0}^{3} w_i b_{i,k}(u)}$$

with:

 $w_i$  = scalar weight for each control point

 $\mathbf{p}_i = \text{ control points}$ 

$$w_i \mathbf{p}_i = (w_i x_i, w_i y_i, w_i z_i, w_i)$$

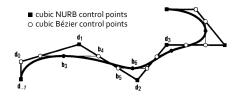
 $b_{i,k}(u)$  = the B-splines basis functions

k = B-splines order

#### Advantages of NURBS

#### Most general, can represent:

- B-splines
- Bézier and rational Bézier
- conic sections



#### Properties:

- local control
- convex hull (if  $w_i \ge 0$ )
- variation diminishing (if  $w_i \ge 0$ )
- invariant under both affine and projective transformations

Standard tool for representing freeform curves in CAGD applications

#### [Farin]

#### How to Choose a Spline

Hermite curves are good for single segments when you know the parametric derivative or want easy control of it

Bézier curves are good for single segments or patches where a user controls the points

B-splines are good for large continuous curves and surfaces

NURBS are the most general, and are good when that generality is useful, or when conic sections must be accurately represented (CAD)