# EECS 487: Interactive Computer Graphics

Lecture 33:

- Keyframe interpolation and splines
- Cubic splines

### Interpolating Key Values



## Potential Problem with Interpolation

The curve may undershoot and cause inter-penetration Solution: add key frames (= control points)!



# Motion Control Curve

Given the key frames, how would you mathematically represent a control curve that interpolates (passes through) the control points?



# Motion Control Curve

Which representation We don't want motion with of curves has these • unnatural (painful) twists and bends characteristics? jerkiness Desired characteristics of the motion control curve: user controlled with control points defines a smooth and continuous curve · ability to evaluate derivatives stable: doesn't cross over itself local control of curve shape • change to one part of the curve doesn't effect the entire curve



# **Representation of Curves**

#### Polyline: piecewise linear curves

- given a sequence of vertices (control points)
- connect each pair of consecutive vertices with a line segment
- a smooth curve will need a continuous
- set of points on the plane (or in space)
- hard to get precise, smooth results
- too much data, too hard to work with

#### Explicit: y = f(x)

- + easy to generate points
- single-valued for each x
- must be a function: big limitation,
- e.g., vertical lines?
- rotations completely change representation





Yu

Hodgins

# **Representation of Curves**

Implicit: f(x, y) = 0

- + supports multiple values for each x
- + easy to test if on, or to either side, of curve
- hard to generate points

#### Parametric: (x, y) = (f(u), g(u))

- parameterization of a curve == how a change in *u* moves you along a given curve in *xyz* space
- + supports multiple values for each x
- + fairly easy to generate points
- + can describe trajectories, the speed at which we move on the curve

We want some kind of parametric curve to control motion!

n	
	r 0

 $f(x, y) = x^2 + y^2 - r^2 = 0$ 

#### $(x, y) = (r \cos \theta, r \sin \theta)$

Schulze,Yu

### Parametric Curves

Define a mapping from parameter space (e.g., u, usually  $\in [0,1]$ ), to points in 2D, 3D, etc.

#### Parameter space mapping:



• 2D: [f(u), g(u)] maps u to points on surface

## Parametric Curves

In general, described by a vector-valued function, i.e., *n* scalar functions, of 1D parameter space



### **Parameter Mapping**



FvD,Schulze,Merrell



### Parametric Polynomial Curves

Linear: $\mathbf{f}(u) = \mathbf{a}_0 + \mathbf{a}_1 u, 0 \le u \le 1$ Evaluated as:	Quadratic: $\mathbf{f}(\boldsymbol{u}) = \mathbf{a}_0 + \mathbf{a}_1 \boldsymbol{u} + \mathbf{a}_2 \boldsymbol{u}^2$
$\mathbf{f}(\boldsymbol{u}) = \begin{bmatrix} x(\boldsymbol{u}) \\ y(\boldsymbol{u}) \\ z(\boldsymbol{u}) \end{bmatrix} = \begin{bmatrix} a_{0_x} + a_{1_x}\boldsymbol{u} \\ a_{0_y} + a_{1_y}\boldsymbol{u} \\ a_{0_z} + a_{1_z}\boldsymbol{u} \end{bmatrix}$	y
y $\mathbf{z}$ $\mathbf{a}_0$ x	Cubic: $\mathbf{f}(u) = \mathbf{a}_0 + \mathbf{a}_1 u + \mathbf{a}_2 u^2 + \mathbf{a}_3 u^3$
	Zi Schulze

Schulze

### **Canonical Form**

Splines have the canonical form:

$$\mathbf{f}(\boldsymbol{u}) = \mathbf{a}_0 + \boldsymbol{u}^1 \mathbf{a}_1 + \boldsymbol{u}^2 \mathbf{a}_2 + \dots + \boldsymbol{u}^{n-1} \mathbf{a}_{n-1},$$
  
$$= \sum_{i=0}^{n-1} \boldsymbol{u}^i \mathbf{a}_i,$$
  
$$= \mathbf{u} \mathbf{a},$$
  
$$\mathbf{u} = \begin{bmatrix} 1 & \boldsymbol{u} & \boldsymbol{u}^2 & \dots & \boldsymbol{u}^k \end{bmatrix},$$
  
$$\boldsymbol{u} \in \begin{bmatrix} 0,1 \end{bmatrix}$$

### Non-unique

Even restricted to polynomial functions, the same parametric curve may have multiple descriptions



Schulze

Lagrange Interpolation

Problem: given n+1 control points, how do we define a parametric curve that interpolates all points?

An *n*-degree polynomial (Lagrange polynomial) can interpolate any n+1 points

Problem: small-degree Lagrange polynomials are fine but high degree ones are too wiggly





Introducing . . . Splines

A spline is a piecewise parametric polynomial function

Many low degree (mostly cubic) splines can be pieced together to interpolate a given set of control points, with guaranteed continuity

Piecewise definition gives local control of curve



O'Brien

# Splines



Originally used by draftsmen (draughtsmen in English, loftsmen in shipbuilding) to draw life-size curves

Physically, a stiff piece of metal that can be bent into desired shape for tracing, and held in place with "ducks"

• (the mathematical equivalent of these metal strips is the natural-cubic spline)



# Advantages of Splines

Specified by a few control points

- efficient for UI
- efficient for storage

Gives a smooth parametric polynomial curve  $\mathbf{p}(u)$ 

- defined in Cartesian coordinates by x(u) and y(u)
- convenient for animation where *u* is time
- convenient for tessellation in modeling as *u* can be discretized and the curve approximated with small linear segments

# Splines

#### Many uses in CG:

- 2D illustration (e.g., Adobe Illustrators)
- font definition
- 3D modeling
- color ramps
- animation: trajectories
- in general, interpolate keyframes





Linear Splines

The two coefficients of a first-order, linear polynomial can be determined from its two end-points:



where **C** is called the constraint matrix

### **Basis Matrix**

Then 
$$\mathbf{a} = \mathbf{C}^{-1}\mathbf{p}_{\mathbf{i}}\begin{bmatrix} \mathbf{a}_{0} \\ \mathbf{a}_{1} \end{bmatrix} = \begin{bmatrix} 1 & 0 \\ -1 & 1 \end{bmatrix} \begin{bmatrix} \mathbf{p}_{0} \\ \mathbf{p}_{1} \end{bmatrix},$$
  
 $\mathbf{a}_{0} = \mathbf{p}_{0}$   
 $\mathbf{a}_{1} = \mathbf{p}_{1} - \mathbf{p}_{0}$ 

Let's call  $\mathbf{B} = \mathbf{C}^{-1}$  the blending/basis matrix (for reasons to be explained later), then:

$$\mathbf{f}(\boldsymbol{u}) = \mathbf{u}\mathbf{a} = \mathbf{u}\mathbf{B}\mathbf{p}$$

Schulze



solve for the a's (coefficient vectors)!)

Two Views of Splines

$$\mathbf{f}(u) = \begin{bmatrix} 1 & u \end{bmatrix} \mathbf{B} \begin{bmatrix} \mathbf{p}_0 \\ \mathbf{p}_1 \end{bmatrix}, \text{ where } \mathbf{B} = \begin{bmatrix} 1 & 0 \\ -1 & 1 \end{bmatrix}$$
$$\mathbf{f}(u) = \begin{bmatrix} 1 & u \end{bmatrix} \begin{pmatrix} \mathbf{B} \begin{bmatrix} \mathbf{p}_0 \\ \mathbf{p}_1 \end{bmatrix} \end{pmatrix} \qquad \mathbf{f}(u) = \begin{pmatrix} \begin{bmatrix} 1 & u \end{bmatrix} \mathbf{B} \begin{pmatrix} \mathbf{p}_0 \\ \mathbf{p}_1 \end{bmatrix}$$
$$\mathbf{f}(u) = \begin{bmatrix} 1 & u \end{bmatrix} \begin{bmatrix} \mathbf{a}_0 \\ \mathbf{a}_1 \end{bmatrix} \qquad \mathbf{f}(u) = \begin{bmatrix} (1-u) & u \end{bmatrix} \begin{bmatrix} \mathbf{p}_0 \\ \mathbf{p}_1 \end{bmatrix}$$
$$\mathbf{f}(u) = \mathbf{a}_0 + u\mathbf{a}_1 \qquad \mathbf{f}(u) = (1-u)\mathbf{p}_0 + u\mathbf{p}_1$$

 $\mathbf{f}(\mathbf{u}) = \mathbf{a}_0 + \mathbf{u}\mathbf{a}_1$ 

Coefficients  $(\mathbf{a}_i)$  can be computed from control points  $\mathbf{p}_i$ 's

Each point on the curve is a linear blending of the control points  $\mathbf{p}_i$ 's

Zhang



### **Quadratic Splines**

Quadratic (2<sup>nd</sup> degree) spline  $\mathbf{f}(u) = \mathbf{a}_0 + \mathbf{a}_1 u + \mathbf{a}_2 u^2$  are specified by three coefficients and can be solved by, for example,



p1 quadratic p2

The control points are the geometric constraints or boundary conditions and are completely user specified

• or by a point and its first and second derivatives:

$\mathbf{p}_0 = \mathbf{f}(0.5) = \mathbf{a}_0$	$+ \boldsymbol{u}^1 \boldsymbol{a}_1 + \boldsymbol{u}^2 \boldsymbol{a}_2 = \boldsymbol{a}_0 +$	$-0.5^{1}\mathbf{a}_{1}+0.5^{2}$ $\mathbf{a}_{2}$
$\mathbf{p}_1 = \mathbf{f}'(0.5) =$	$\mathbf{a}_1 + 2\boldsymbol{u}\mathbf{a}_2 =$	$a_1 + 2 * 0.5 a_2$
$\mathbf{p}_2 = \mathbf{f}''(0.5) =$	$2a_2 =$	<b>2a</b> <sub>2</sub>

Zhang

# **User-Specified Control Points**

Given control points  $\mathbf{p}_0$ ,  $\mathbf{p}_1$ ,  $\mathbf{p}_2$ , the quadratic spline:  $\mathbf{f}(u) = \mathbf{a}_0 + \mathbf{a}_1 u^1 + \mathbf{a}_2 u^2$ ,  $u \in [0,1]$ can describe any of the following motion:



## **Blending Functions**

The quadratic spline specified as:

$\mathbf{p}_0 = \mathbf{f}(0.5)$	$= \mathbf{a}_0 + \mathbf{u}$	$\mathbf{a}_1 + \mathbf{u}^2 \mathbf{a}_2$	$= \mathbf{a}_0 + 0.5^1$	$a_1 + 0.5^2$ a	<b>h</b> <sub>2</sub>
$\mathbf{p}_1 = \mathbf{f}'(0.5)$	=	$\mathbf{a}_1 + 2\mathbf{u}\mathbf{a}_2$	=	$a_1 + 2 * 0.5$	$\mathbf{a}_2$
$\mathbf{p}_2 = \mathbf{f}''(0.5)$	=	$2\mathbf{a}_2$	=	2	$\mathbf{a}_2$
has					

	1	.5	.25	] [	1	5	.125
<b>C</b> =	0	1	1	and $\mathbf{B} = \mathbf{C}^{-1} =$	0	1	5
	0	0	2		0	0	.5

### **Blending Functions**

For the quadratic spline specified as:

$$\mathbf{p}_{0} = \mathbf{f}(0.5) = \mathbf{a}_{0} + u^{1}\mathbf{a}_{1} + u^{2}\mathbf{a}_{2} = \mathbf{a}_{0} + 0.5^{1}\mathbf{a}_{1} + 0.5^{2} = \mathbf{a}_{2}$$

$$\mathbf{p}_{1} = \mathbf{f}'(0.5) = \mathbf{a}_{1} + 2u\mathbf{a}_{2} = \mathbf{a}_{1} + 2*0.5\mathbf{a}_{2}$$

$$\mathbf{p}_{2} = \mathbf{f}''(0.5) = 2\mathbf{a}_{2} = 2\mathbf{a}_{2}$$
its
$$\mathbf{C} = \begin{bmatrix} 1 & .5 & .25 \\ 0 & 1 & 1 \\ 0 & 0 & 2 \end{bmatrix} \text{ and } \mathbf{B} = \mathbf{C}^{-1} = \begin{bmatrix} 1 & -.5 & .125 \\ 0 & 1 & -.5 \\ 0 & 0 & .5 \end{bmatrix}$$

$$\mathbf{f}(u) = \sum_{i=0}^{2} b_{i}(u)\mathbf{p}_{i} = \begin{bmatrix} 1 & u & u^{2} \end{bmatrix} \begin{bmatrix} 1 & -.5 & .125 \\ 0 & 1 & -.5 \\ 0 & 0 & .5 \end{bmatrix} \begin{bmatrix} \mathbf{p}_{0} \\ \mathbf{p}_{1} \\ \mathbf{p}_{2} \end{bmatrix}$$

# Desired Properties of Blending Functions

#### Affine invariance:

- affine combination:  $\sum b_i(u) = 1, 0 \le u \le 1$
- to transform a curve, we can transform the control points and then regenerate the curve
- perspective transformations are non-affine ⇒ only rational basis can be perspective transformed (see NURBS)



# Desired Properties of Blending Functions



#### Convex hull property:

• convex combination of control points::

 $\sum_{i=0}^{n} b_i(u) = 1, \ b_i(u) \ge 0, \ 0 \le u \le 1$ 

⇒ any point on the curve is a convex combination of its control points
 ⇒ the curve is a weighted average of the control points
 ⇒ no point on the curve lies outside the convex hull

⇒ makes clipping, culling, picking, etc. simpler



Interpolate or Approximate?



# Splines

Marschner

To interpolate/approximate n+1 control points requires a spline of order n:

- 3 control points: quadratic spline
- 4 control points: cubic spline

For cubic with four coefficients, we'd need four knowns to solve the polynomials

- for example, the two endpoints and their first derivatives
- Recall: control points are the geometric constraints or boundary conditions and are completely user specified

# **Cubic Splines**

Examples of (Hermite) cubic splines:





# Joining Splines

To interpolate a large number of control points, we can join together a number of splines

#### Where the splines meet are called knots/joints



Two issues:

- 1. whether the combined curve has local control
- 2. smoothness of overall combined curve

Gilles, Merrell, Shirley



# Joint Smoothness

Smoothness of overall combined curve, useful for:

- computing normals across joints in shading
- parameter interpolation between keyframes in animation

Two types of smoothness measures:

- 1. Parametric continuity:
  - continuity of coordinate functions (*x*(*u*), *y*(*u*))
  - useful for trajectories
- 2. Geometric continuity:
  - continuity of the curve/surface itself
  - useful in defining shapes (see modeling lectures)

# Measures of Joint Smoothness



#### Parametric continuity:

- 0<sup>th</sup> order,  $C^0$  Foley, van l curve segments meet at joint:  $\mathbf{f}_2(0) = \mathbf{f}_1(1)$
- 1<sup>st</sup> order,  $C^1$ 1<sup>st</sup> derivatives, velocities, equal at joint:  $\mathbf{f}_2'(0) = \mathbf{f}_1'(1)$
- $2^{nd}$  order,  $C^2$
- 2<sup>nd</sup> derivatives, accelerations, equal at joint



Marschne

# **Cubic Splines**

- A representation of cubic spline consists of:
- four control points (why four?)
- these are completely user specified
- determine a set of blending functions

There is no single "best" representation of cubic spline:

Cubic	Interpolate?	Local?	Continuity	Affine?	Convex*?	VD*?
Hermite	~	~	$C^1$	~	n/a	n/a
Cardinal (Catmull-Rom)	except endpoints	~	$C^1$	<ul> <li></li> </ul>	no	no
Bézier	endpoints	×	$C^1$	V	V	V
natural	V	X	$C^2$	V	n/a	n/a
B-Splines	X	V	$C^2$	V	V	V

\* n/a when some of the control "points" are tangents, not points

# **Cubic Splines**

#### Reasons we prefer to work with cubic splines in CG:

- cubics allow for  $C^2$  continuity, quadratics offer only  $C^1$
- lower degree polynomials are not flexible enough
- the three points specifying a second-order polynomial define a plane in which the polynomial lies
- cubics are the lowest order polynomials that can be non-planar in  $3\mathrm{D}$
- the greater smoothness offered by quartic\* and other higher-order polynomials are rarely important
- higher degree polynomials can introduce "wiggles" (oscillations) and are more expensive to compute
- used mainly in designing aerodynamic curves/surfaces

\*don't confuse quartic (4th order) polynomial with quadric (implicit quadratic surfaces formed from conic sections)

## Hermite Cubic

Control "points": position and 1<sup>st</sup> derivative of endpoints:

$$\mathbf{f}(u) = \mathbf{a}_0 + u^1 \mathbf{a}_1 + u^2 \mathbf{a}_2 + u^3 \mathbf{a}_3$$
  

$$\mathbf{p}_0 = \mathbf{f}(0) = \mathbf{a}_0 + 0^1 \mathbf{a}_1 + 0^2 \mathbf{a}_2 + 0^3 \mathbf{a}_3$$
  

$$\mathbf{p}_1 = \mathbf{f}'(0) = \mathbf{a}_1 + 2 * 0^1 \mathbf{a}_2 + 3 * 0^2 \mathbf{a}_3$$
  

$$\mathbf{p}_2 = \mathbf{f}(1) = \mathbf{a}_0 + 1^1 \mathbf{a}_1 + 1^2 \mathbf{a}_2 + 1^3 \mathbf{a}_3$$
  

$$\mathbf{p}_3 = \mathbf{f}'(1) = \mathbf{a}_1 + 2 * 1^1 \mathbf{a}_2 + 3 * 1^2 \mathbf{a}_3$$
 Constraint matrix

Basis matrix:

## Hermite Cubic Blending Functions

$$\mathbf{f}(u) = \sum_{i=0}^{3} b_i(u) \mathbf{p}_i = \begin{bmatrix} 1 & u & u^2 & u^3 \end{bmatrix} \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ -3 & -2 & 3 & -1 \\ 2 & 1 & -2 & 1 \end{bmatrix} \begin{bmatrix} \mathbf{p}_0 \\ \mathbf{p}_1 \\ \mathbf{p}_2 \\ \mathbf{p}_3 \end{bmatrix}$$

b(u)

 $\mathbf{f}(u) = (2u^3 - 3u^2 + 1)\mathbf{p}_0 + (u^3 - 2u^2 + u)\mathbf{p}_1 + (-2u^3 + 3u^2)\mathbf{p}_2 + (u^3 - u^2)\mathbf{p}_3$ 







Hodgins

FvD 90

# Hermite Cubic Blending Functions



# Hermite Cubic Examples

Recall: the control points are the geometric constraints or boundary conditions and are completely user specified



#### only **p**<sub>1</sub>'s magnitude varies for each curve

only **p**<sub>1</sub>'s direction varies for each curve

# Hermite Cubic Chain



Given *n* control points, the chain contains (n-2)/2 cubic segments

The chain interpolates the control points, provides local control and is affine invariant

Shirley

# Problem with Hermite Spline

Mixing points and tangents as control points is awkward

To get  $C^1$ , designer must explicitly specify derivative at each endpoint such that consecutive tangents are collinear

#### This gets tedious . . .



Hodgins, Shirley

# **Cubic Splines**

A representation of cubic spline consists of:

- four control points (why four?)
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There is no single "best" representation of cubic spline:

Cubic	Interpolate?	Local?	Continuity	Affine?	Convex*?	VD*?
Hermite	V	V	$C^1$	V	n/a	n/a
Cardinal (Catmull-Rom)	except endpoints	V	$C^1$	~	no	no
Bézier	endpoints	X	$C^1$	V	V	V
natural	V	X	$C^2$	~	n/a	n/a
B-Splines	X	~	$C^2$	V	V	V

 $\star$  n/a when some of the control "points" are tangents, not points

## **Cardinal Cubic Spline**

Given *n* control points, a cardinal cubic spline chain has *n*-3 segments, it interpolates all points except the endpoints

- each segment *i* uses as control points
- $\mathbf{p}_{i-1}, \mathbf{p}_i, \mathbf{p}_{i+1}, \mathbf{p}_{i+2}$ , so each segment shares control points with its 3 subsequent neighbors  $\Rightarrow$  local control  $\mathbf{p}_0$



- each segment i spans only  $\mathbf{p}_i, \mathbf{p}_{i+1}$
- the derivative at p<sub>i</sub> is determined by the vector (p<sub>i+1</sub> p<sub>i-1</sub>) the derivative at p<sub>i+1</sub> is determined by the vector (p<sub>i+2</sub> - p<sub>i</sub>)
- since the third point of segment *i* is the second point of segment *i*+1, the curve is *C*<sup>0</sup>
- further, the same vector determines the first derivative at the end of segment *i* and that at the start of segment *i*+1, hence Cardinal cubic spline (and therefore Catmull-Rom spline) has built-in *C*<sup>1</sup> continuity

## Cardinal Cubic Spline



 $(\mathbf{p}_3 - \mathbf{p}_1) = \mathbf{f}'(1) \Rightarrow \mathbf{p}_3 = \mathbf{p}_1 + \mathbf{f}'(1) = \mathbf{a}_0 + 1\mathbf{a}_1 + 2\mathbf{a}_2 + 3\mathbf{a}_3$ 

### **Cardinal Cubic Spline**

Cardinal splines have additional control parameters (beyond continuity): tension (*t*) and bias (*b*), allowing better control of the curve between control points



## **Cardinal Cubic Spline**



Akenine-Möller & Haines oz

Shirley

Shirley



## **Cardinal Cubic Spline**

Cardinal cubic spline with bias (b) and tension (t) = 0 is also known as the Catmull-Rom spline

Control points  $(s = \frac{1}{2})$ :  $p_1 = f(0) = a_0$   $p_2 = f(1) = a_0 + a_1 + a_2 + a_3$   $p_2 - p_0 \Rightarrow p_0 = p_2 - 2f'(0) = a_0 - a_1 + a_2 + a_3$   $p_3 - p_1 \Rightarrow p_3 = p_1 + 2f'(1) = a_0 + 2a_1 + 4a_2 + 6a_3$ Constraint and Basis matrices:



## Catmull-Rom Blending Functions



Does the Catmull-Rom spline have the convex hull

Problem with Catmull-Rom: does not interpolate endpoints and no control of derivatives at endpoints

Shirley, Marschner