

# Algebraic Structures for Multi-Terminal Communications

Dinesh Krithivasan

University of Michigan

Oral Defense

# Thesis Outline

- 1 Lattice codes and Gaussian sources (Chap. 2)
- 2 Group codes and discrete sources (Chap. 3)

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  - Reconstructing linear function of the sources
  - Existence of “good” nested lattice codes
  - New rate region - better for certain parameters
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# Presentation Outline

- 1 Thesis Overview
- 2 Information Theory: An Introduction
- 3 Random Codes for Distributed Source Coding
- 4 Nested Group Codes
- 5 Distributed Source Coding : An Inner Bound
- 6 Conclusions

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# Coding: Structured vs Unstructured

- Information theory - random unstructured codes ubiquitous
  - Shannon's original proofs based on random codes
  - Good performance. Exponential complexity
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  - Theoretically random codes perform better than structured codes

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- Unified way to use structured codes in many problems
- Nesting of one linear code inside another
  - No loss in performance vs unstructured codes in point-to-point setting
  - Performance gains in multi-terminal settings
- Existence proofs for “good” nested structured codes
- One application of this framework

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# Information Theory: An Introduction

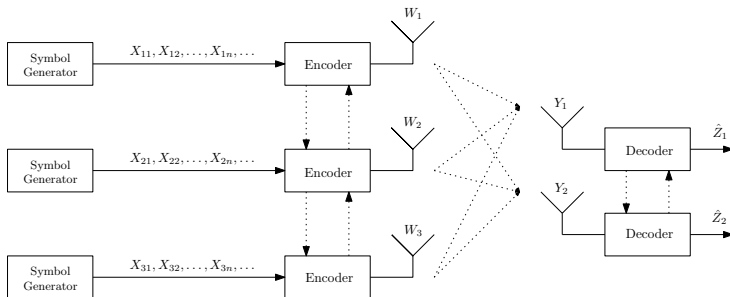
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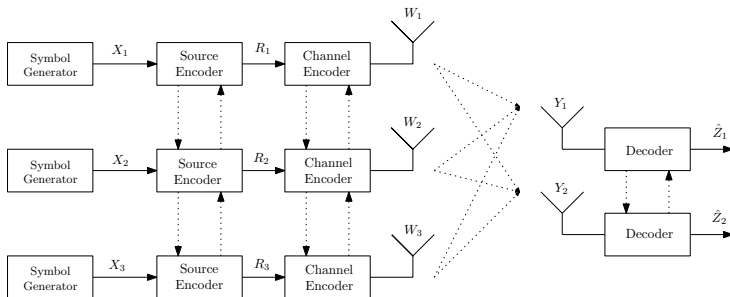
- Mathematical theory of information transmission
- Quantitative measure of information - entropy, mutual information etc.
- Big picture: Transmit stochastic sources over noisy channels





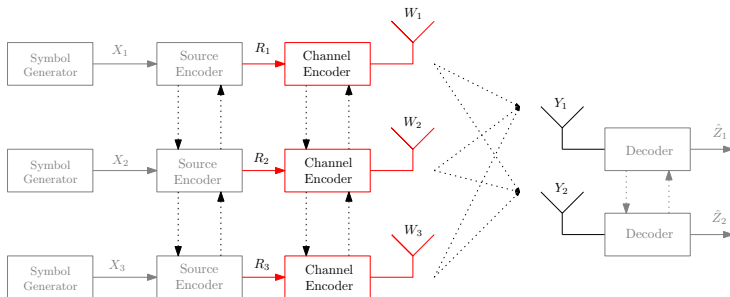
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- Split into modules. Shannon's source channel separation



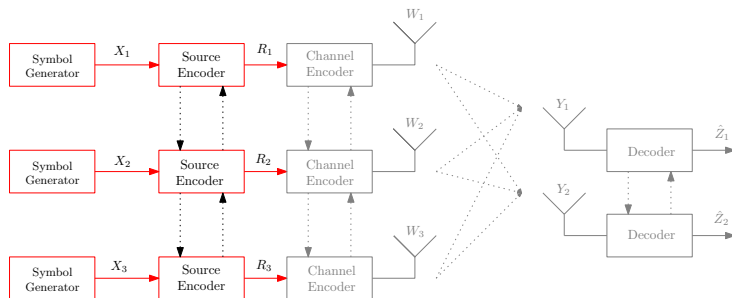
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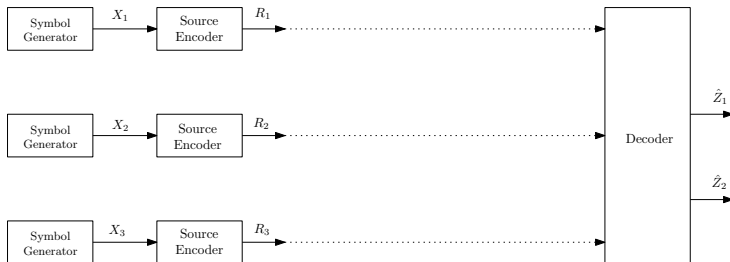
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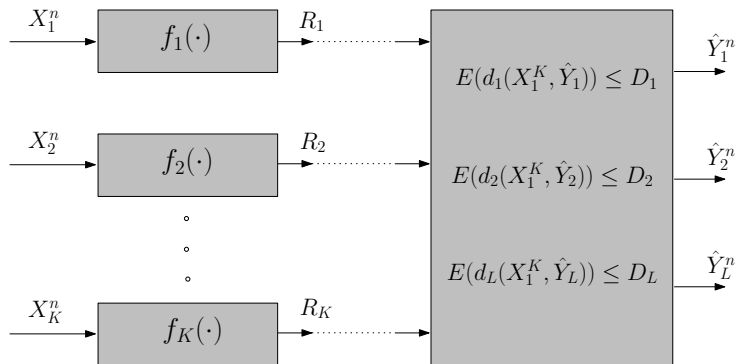


# Information Theory: An Introduction

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- Quantitative measure of information - entropy, mutual information etc.
- Distributed source coding. Sensor networks

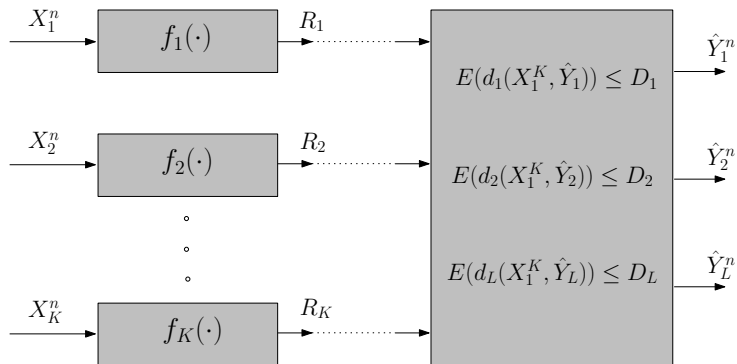


## Distributed Source Coding



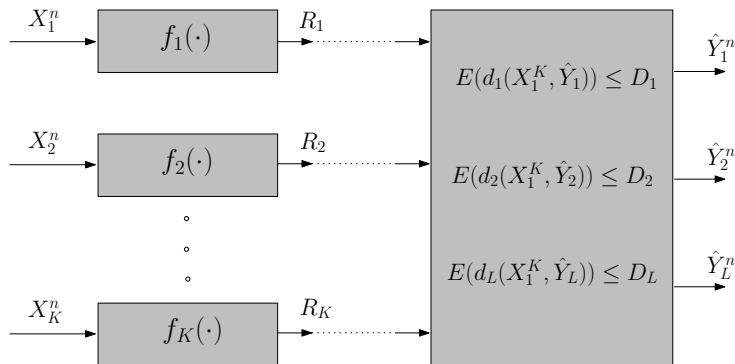
- $X_1, X_2, \dots, X_K$  - Correlated across space, independent across time

## Distributed Source Coding



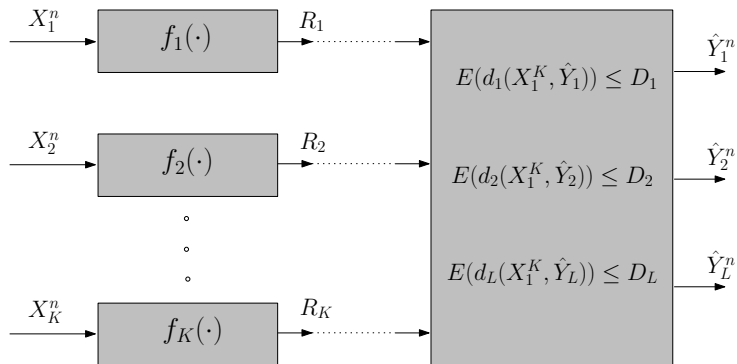
- Encoders  $f_i: \mathcal{X}_i^n \rightarrow \{1, 2, \dots, 2^{nR_i}\}, i = 1, \dots, K$

## Distributed Source Coding



- Rate distortion region  $\mathcal{RD}$  : set of achievable  $(R_1, \dots, R_K, D_1, \dots, D_L)$

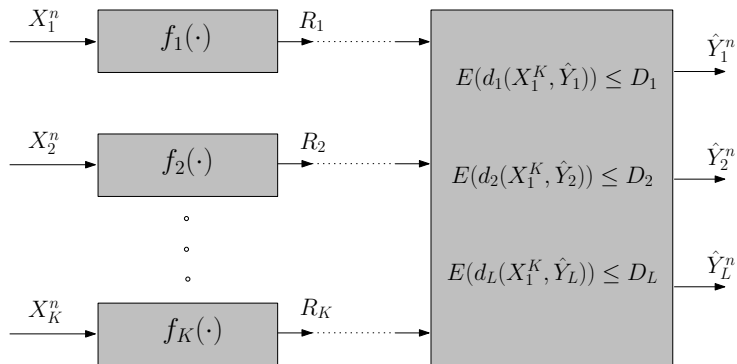
## Distributed Source Coding



- Goal: Characterize  $\mathcal{RD}$  using single-letter information quantities

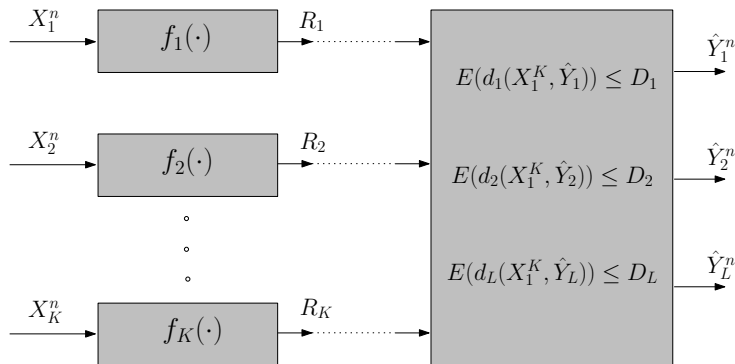


## Distributed Source Coding



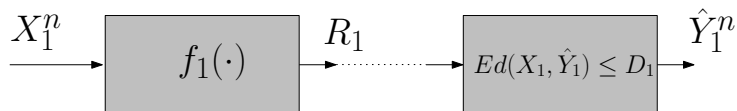
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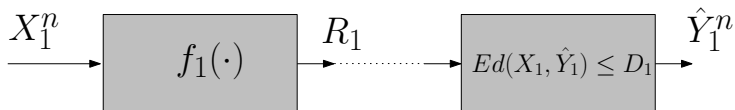


- Goal: Characterize  $\mathcal{RD}$  using single-letter information quantities
  - Very hard to solve completely
  - Provide computable inner bounds

## Single user source coding



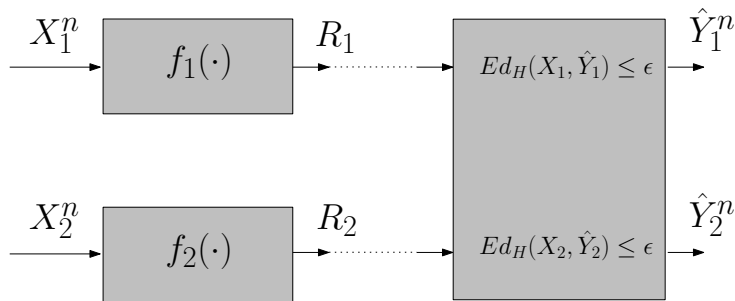
## Single user source coding



- Solved completely by Shannon

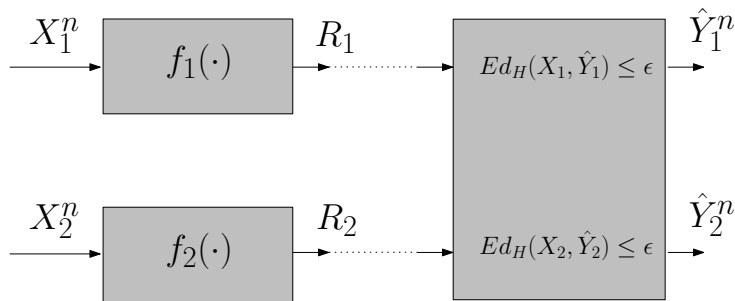
$$R_1 \geq \min_{p_{\hat{Y}_1|X_1}: \mathbb{E}d(X_1, \hat{Y}_1) \leq D_1} I(X_1; \hat{Y}_1)$$

## Slepian-Wolf problem



- Lossless reconstruction of both sources

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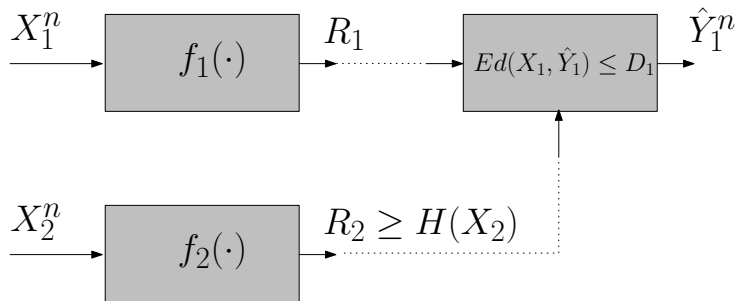


- Lossless reconstruction of both sources

$$R_1 \geq H(X|Y), R_2 \geq H(Y|X)$$

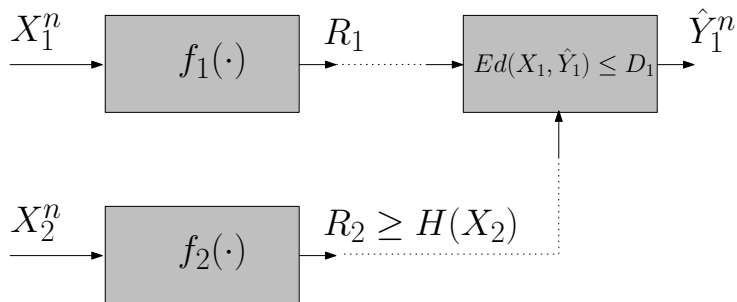
$$R_1 + R_2 \geq H(X, Y)$$

## Wyner-Ziv problem



- Lossy reconstruction with decoder side information

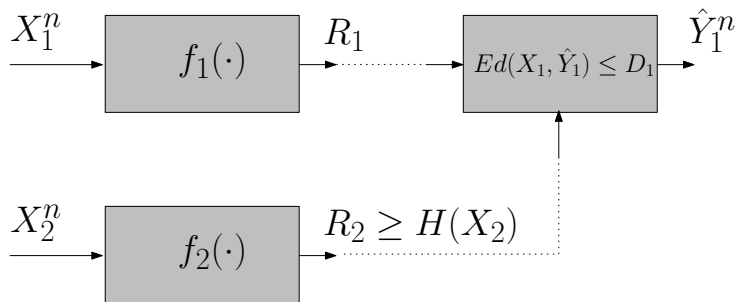
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- Lossy reconstruction with decoder side information
- Auxiliary random variable  $U$  with Markov chain  $U - X_1 - X_2$



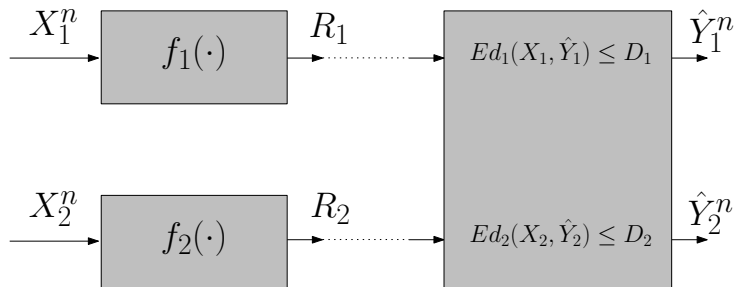
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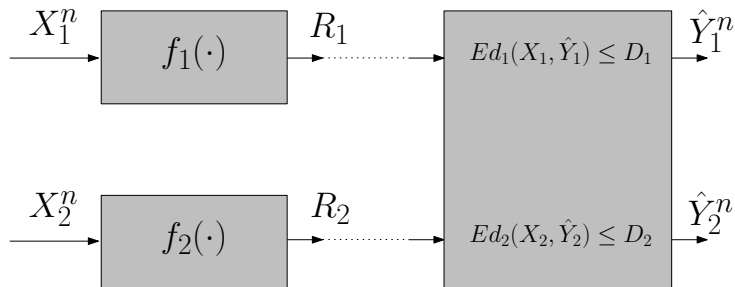
$$R_1 \geq I(X_1; U | X_2) = I(X_1; U) - I(X_2; U)$$

## Berger-Tung problem



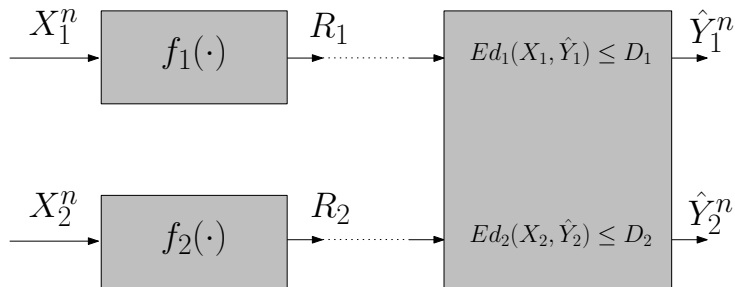
- Independent distortion criteria

## Berger-Tung problem



- Auxiliary random variables  $U, V$  with  $U - X_1 - X_2 - V$

## Berger-Tung problem



- Inner bound (tightness not known in general):

$$R_1 \geq I(X_1; U | X_2), \quad R_2 \geq I(X_2; V | X_1)$$

$$R_1 + R_2 \geq I(X_1 X_2; UV)$$

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# Typical proof techniques

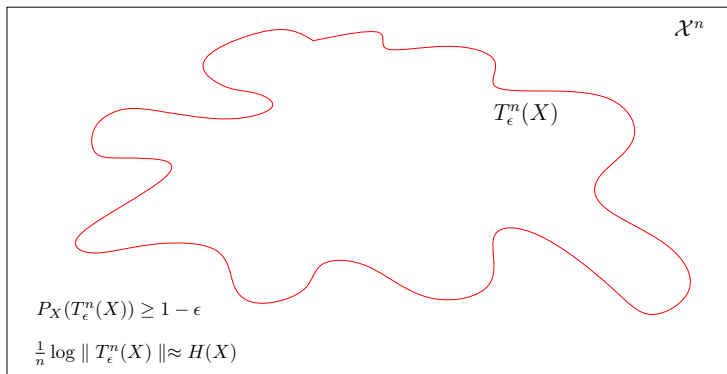
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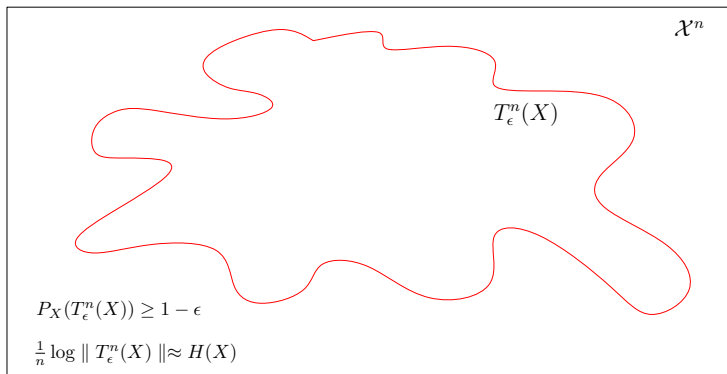
- Two parts to all problems - achievability and converse
- Achievability proofs: Operations on the typical set
- Typical set: Set of probabilistically significant sequences





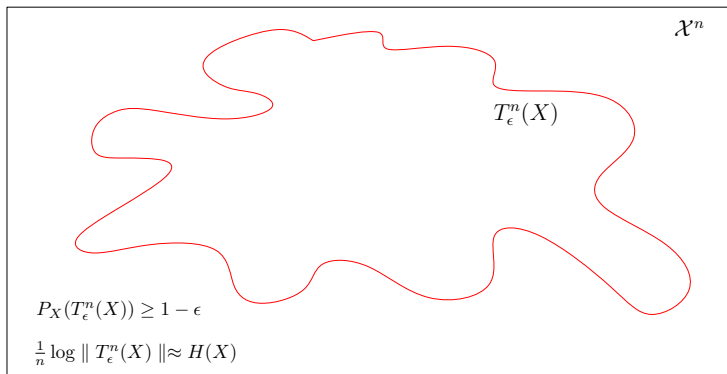
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- Two parts to all problems - achievability and converse
- Achievability proofs: Operations on the typical set
- Very complex. No low-dimensional characterization

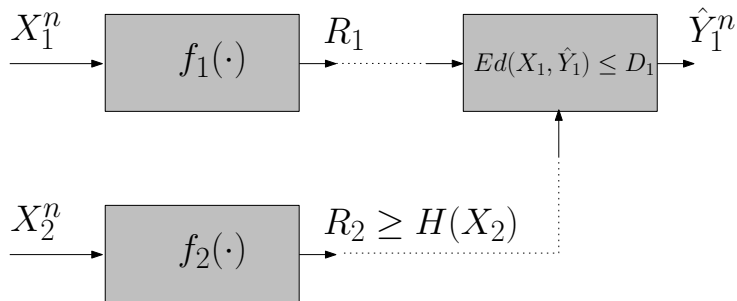


# Typical proof techniques

- Two parts to all problems - achievability and converse
- Achievability proofs: Operations on the typical set
- Quantization (source coding) and binning (channel coding)

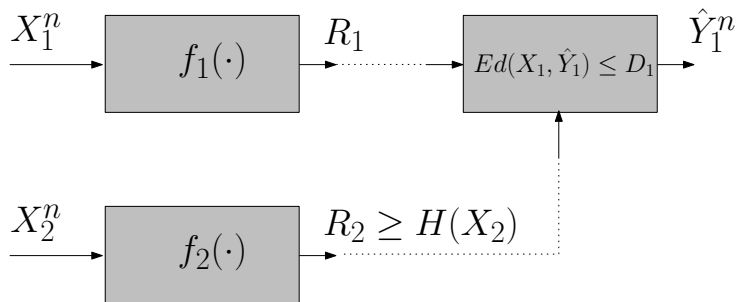


## Wyner-Ziv problem - Revisited



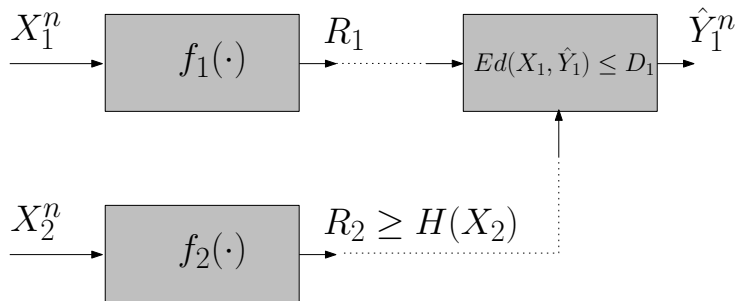
- First to use auxiliary random variable

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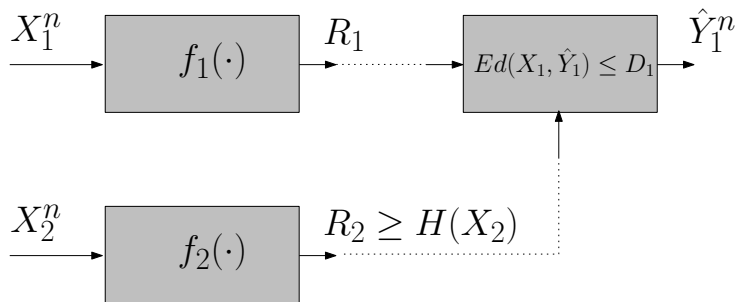
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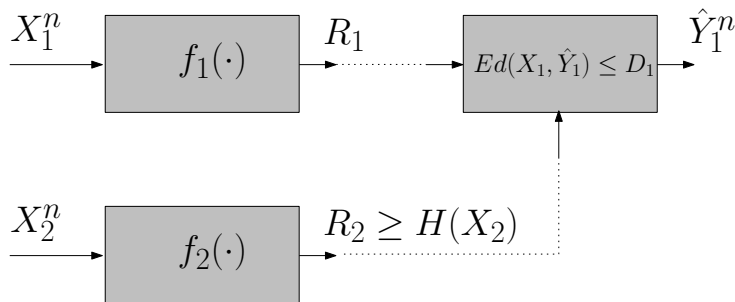
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- Combines aspects of both source and channel coding
  - Source coding: Quantize  $X_1$  to  $U$
  - Channel coding: Decode  $U$  at decoder using  $X_2$

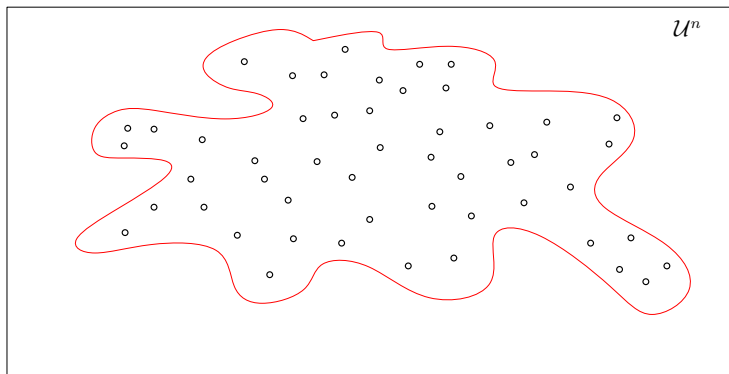
# Quantization - Good source codes

- Quantize  $X_1$  to  $U$  for a fixed  $P_{U|X_1}$



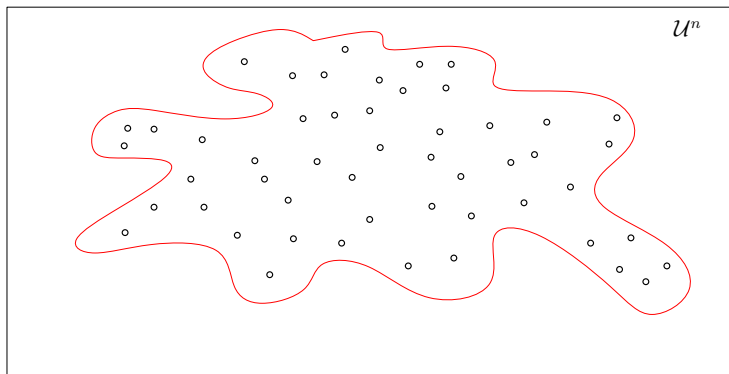
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- Quantize  $X_1$  to  $U$  for a fixed  $P_{U|X_1}$
- Codebook  $\mathcal{C}$  built from typical set of  $U$



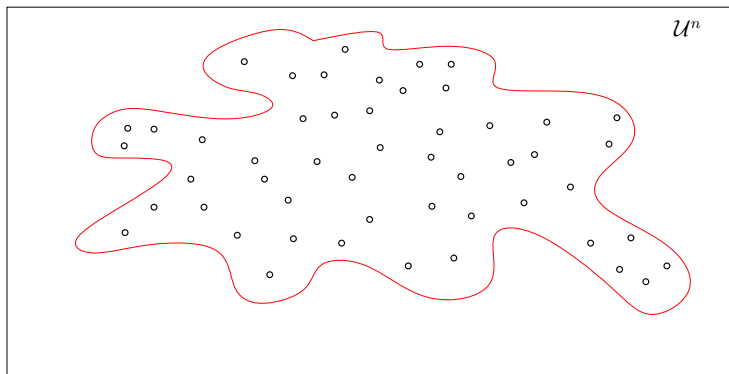
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- Code must “cover” typical set of  $X_1$  well



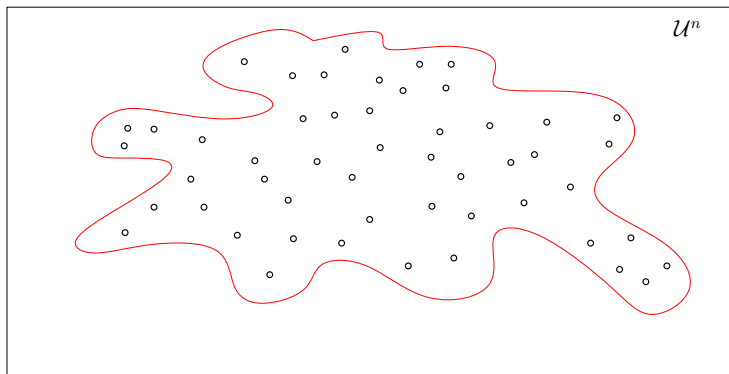
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- Size of good code book:  $I(X_1; U)$



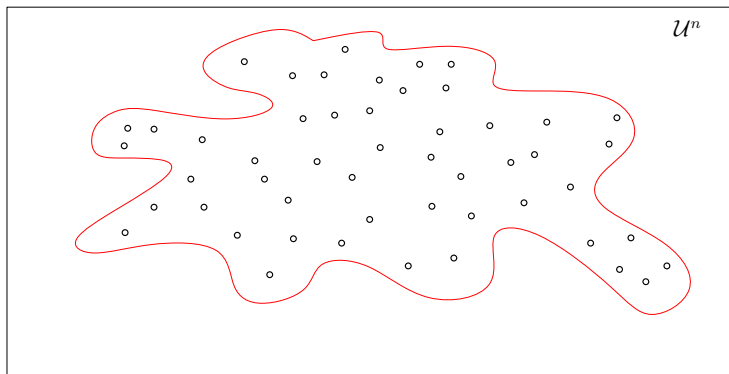
## Quantization - Good source codes

- Quantize  $X_1$  to  $U$  for a fixed  $P_{U|X_1}$
- Codewords chosen at random. No structure.



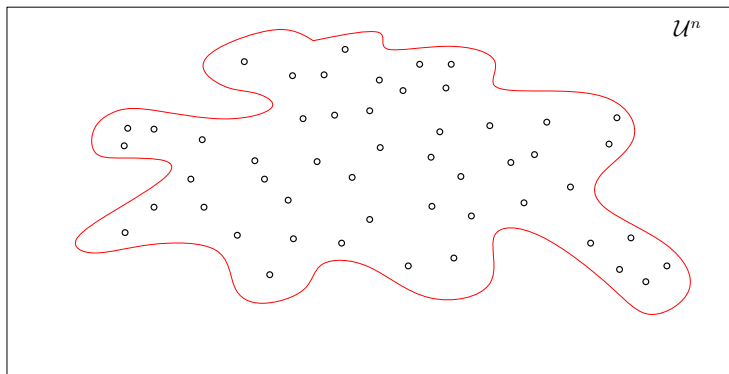
## Binning - Good channel codes

- Suppose  $X_1$  already quantized to  $U$



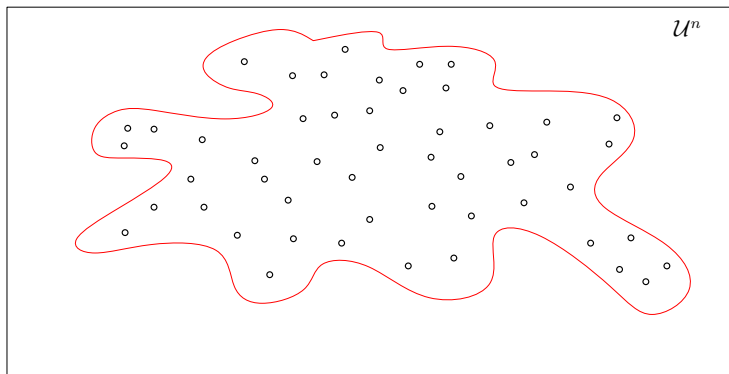
# Binning - Good channel codes

- Decoder knows  $X_2$  correlated to  $U$



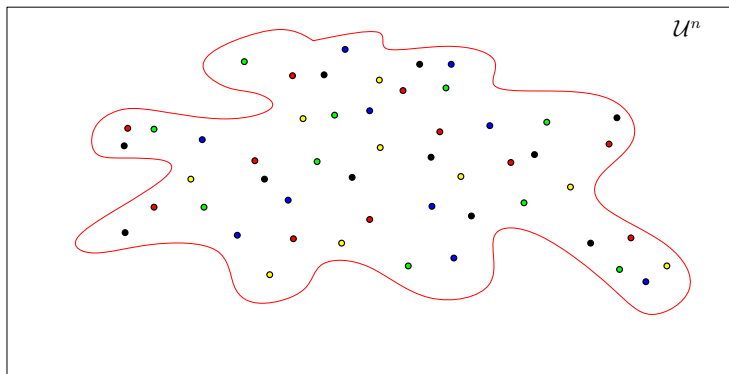
## Binning - Good channel codes

- Can this side information be exploited?



# Binning - Good channel codes

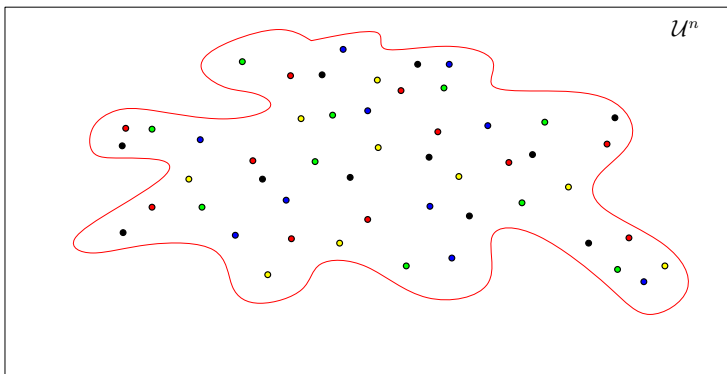
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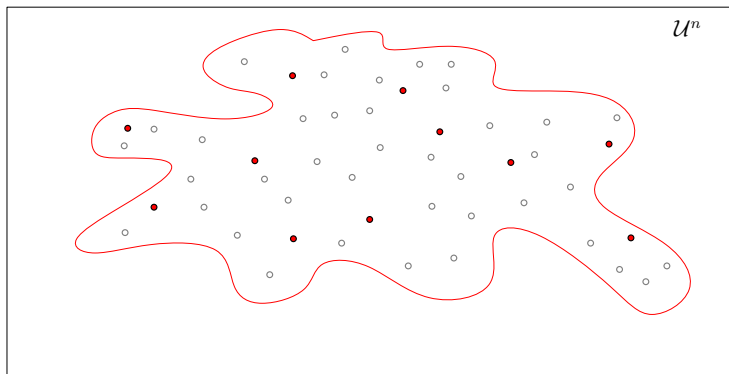
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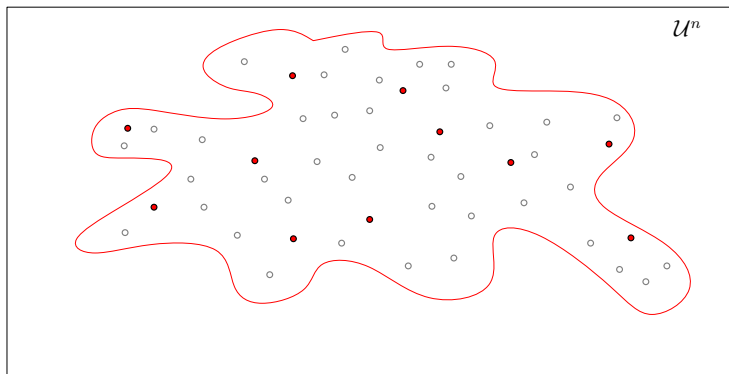
# Binning - Good channel codes

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- Each bin: Channel code for channel  $P_{X_2|U}$  with input  $U$ , output  $X_2$



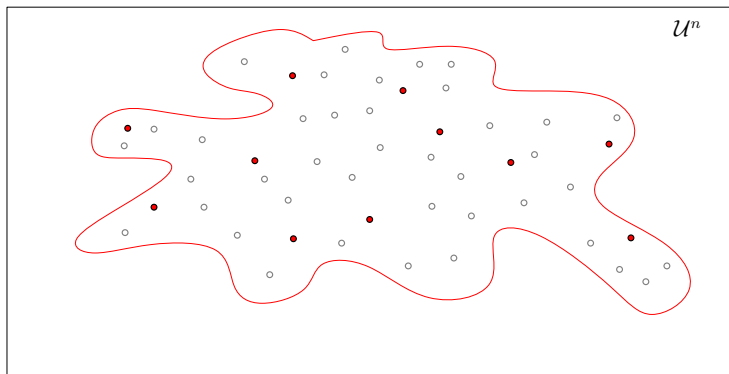
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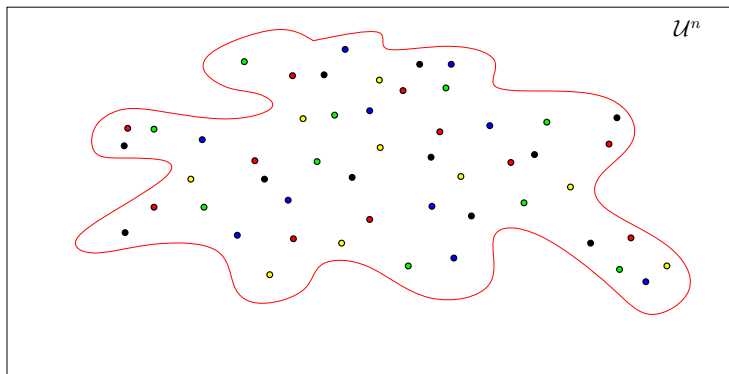
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- Size of each bin  $I(U; X_2)$ . Binning done randomly



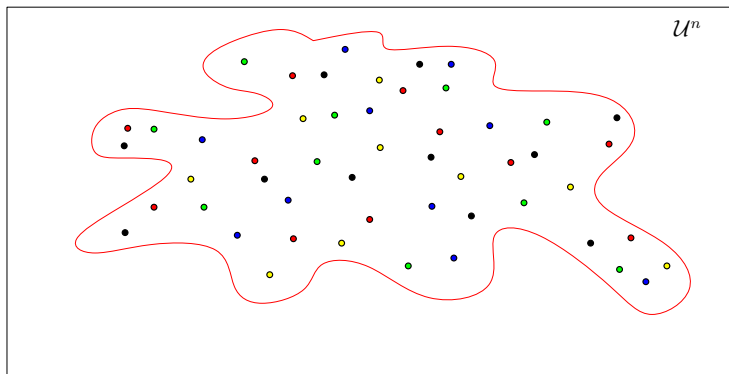
# Binning - Good channel codes

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- Overall transmission rate  $R = I(X_1; U) - I(U; X_2)$



# Binning - Good channel codes

- Bin the codewords - Transmit only bin index
- Nesting of a “good” channel code in a “good” source code



# Random coding: Some observations

- Random coding for distributed source coding

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- Rate gains possible?

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# A Distributed source coding example

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- She makes at most one error while copying
- Charlie wants to know **only** the location of the error (if any)
- Alice and Bob talk to Charlie but not to each other
- What is the minimum amount of information (bits) Charlie needs from them?

# Distributed source coding example contd.

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- A better scheme: Alice sends her 3 bits with no compression
- Bob bins his sequence as

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- Can we do even better?

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- What if Alice also does the same binning?

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- In both cases, error in first location
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# The coding strategy explained

- Two 3 bit sources  $X = X_1 X_2 X_3, Y = Y_1 Y_2 Y_3$ . Correlation  $w_H(X, Y) \leq 1$

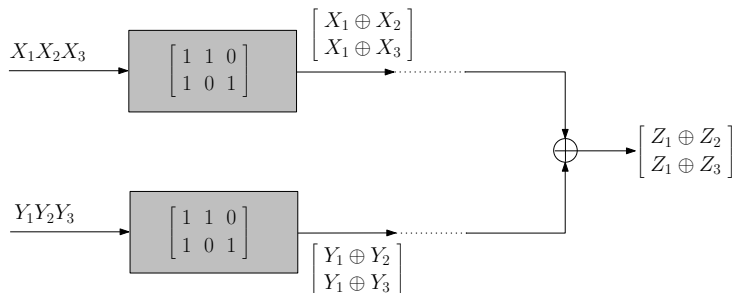


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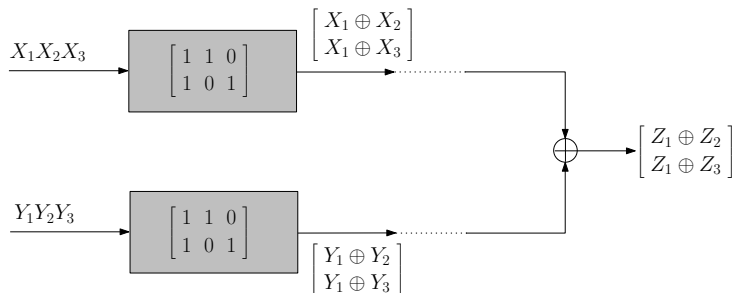
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- Significant feature: Identical linear binning

# Korner-Marton Coding Scheme

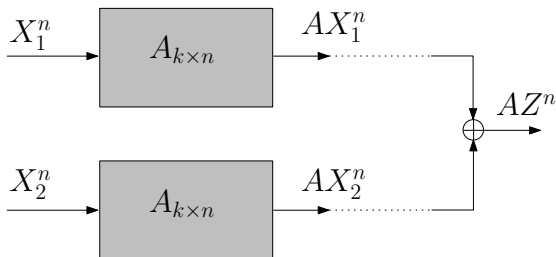
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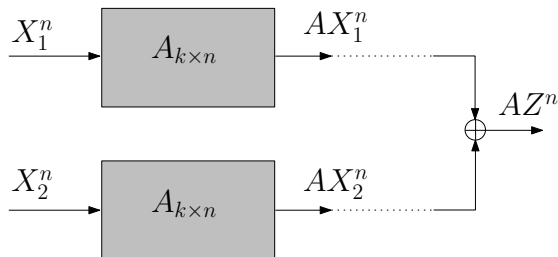
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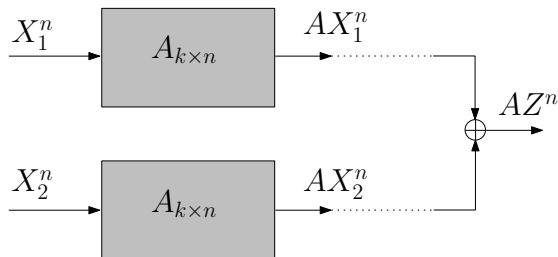
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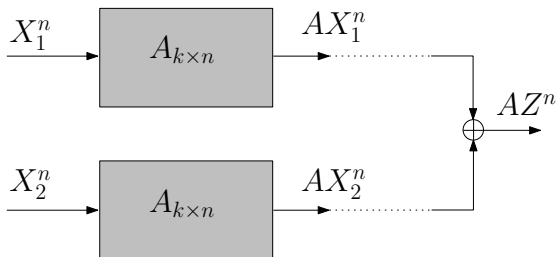


- Matrix  $A$ : puts different typical  $z^n$  in different bins.  $\frac{k}{n} \approx H(Z)$
- Associated code: Good channel code for additive noise  $Z$



# Korner-Marton Coding Scheme

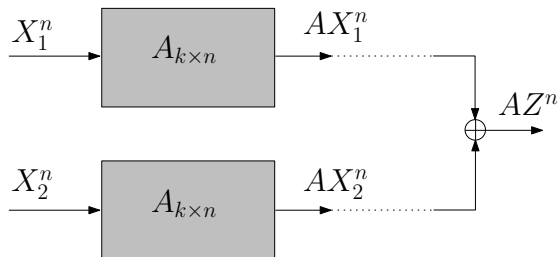
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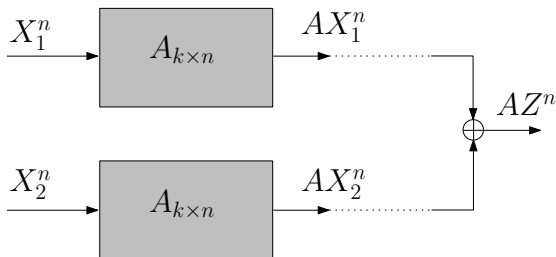
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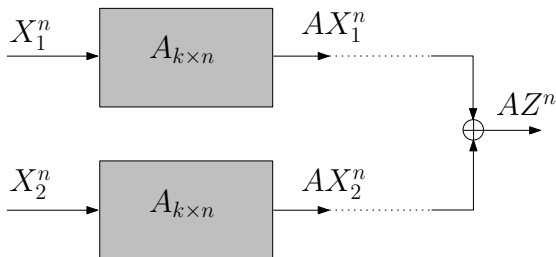
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  - Transmit  $f(z^n)$  to decoder. Decoder recovers  $z^n$

# Korner-Marton Coding Scheme

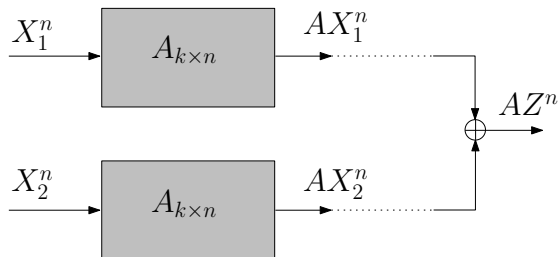
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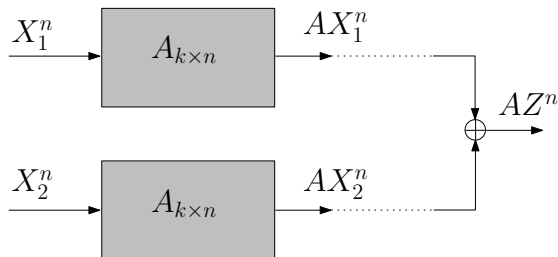
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  - Compress  $x_1^n$  and  $x_2^n$  and transmit

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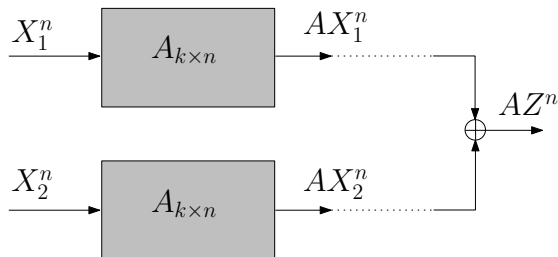
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  - Decoder estimates  $z^n$  from  $f_1(x_1^n), f_2(x_2^n)$

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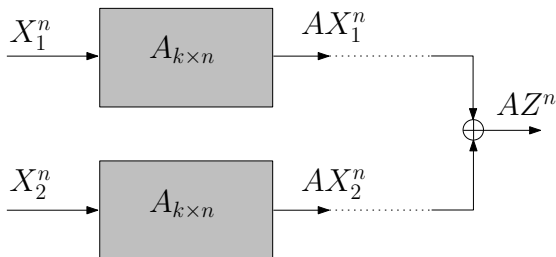
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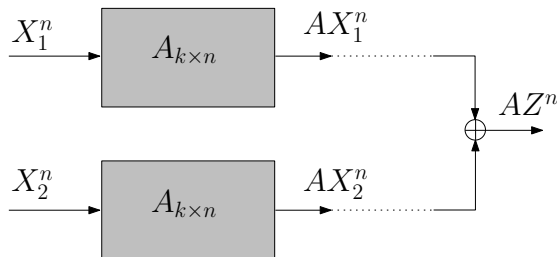


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- Identical linear binning:
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  - Correlated binning better than independent binning

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- Possible extensions:
  - Lossy coding
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    - Nesting to be done while maintaining linearity of channel code
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  - do not achieve Shannon rate-distortion function
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- Injection of some non-linearity seems necessary for optimality



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# Group codes: Codes over primary cyclic groups

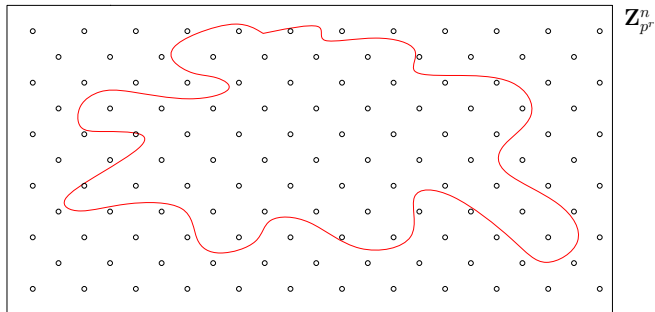
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- Group code  $\mathcal{C}$  over  $\mathbb{Z}_{p^r}$ :  $\mathcal{C} = \ker(\phi)$  for homomorphism  $\phi: \mathbb{Z}_{p^r}^n \rightarrow \mathbb{Z}_{p^r}^k$

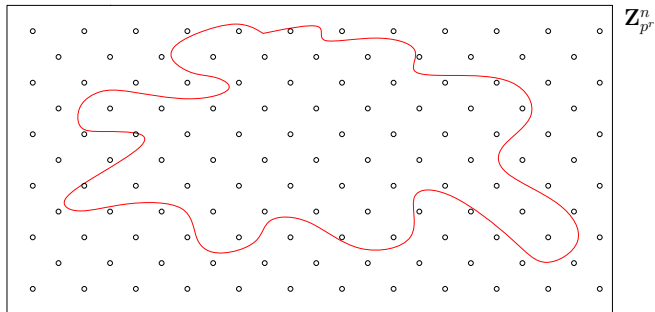
# Good Group Source Codes

- Good group source code  $\mathcal{C}_1$  for the triple  $(\mathcal{X}, \mathcal{U}, P_{XU})$



# Good Group Source Codes

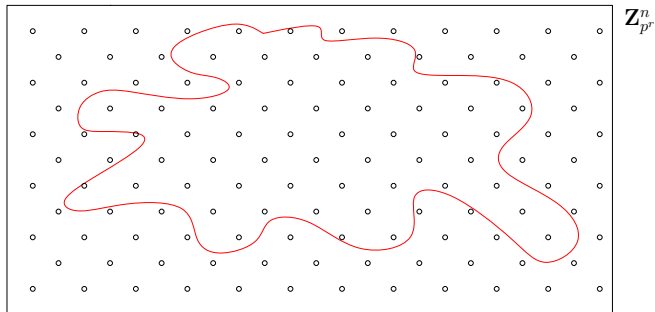
- Assume  $\mathcal{U} = \mathbb{Z}_{p^r}$  for some prime  $p$  and exponent  $r > 0$





# Good Group Source Codes

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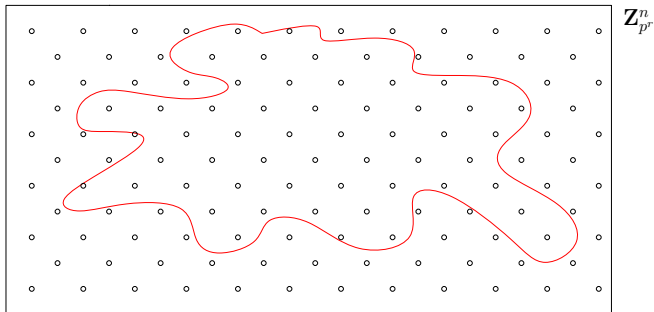


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- Good: Can find  $u^n \in \mathcal{C}_1$  jointly typical with  $x^n$
- We showed:

## Good group source codes

Exist for large  $n$  if  $\frac{1}{n} \log |\mathcal{C}_1| \geq \log p^r - r|H(U|X) - \log p^{r-1}|^+$

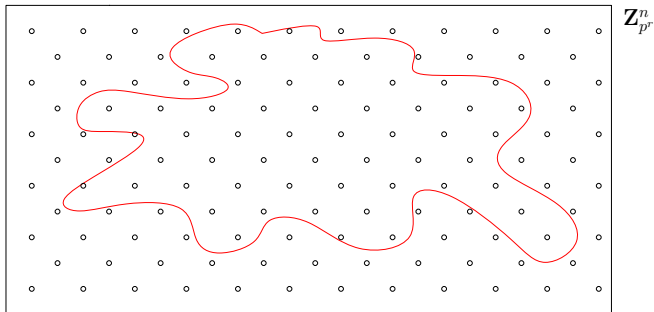


# Good Group Source Codes

- Good: Can find  $u^n \in \mathcal{C}_1$  jointly typical with  $x^n$
- No good source code in ensemble if  $H(U|X) < \log p^{r-1}$

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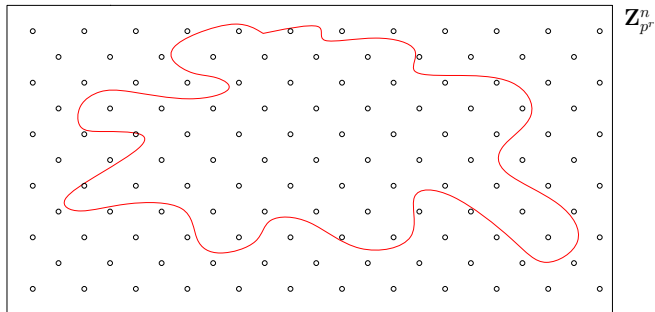


# Good Group Source Codes

- Good: Can find  $u^n \in \mathcal{C}_1$  jointly typical with  $x^n$
- Else: Bad performance

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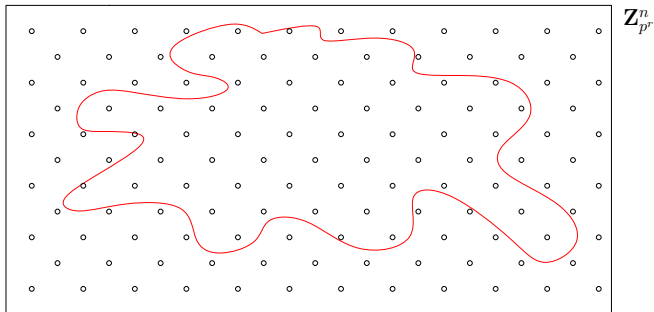


# Good Group Source Codes

- Good: Can find  $u^n \in \mathcal{C}_1$  jointly typical with  $x^n$
- Linear code ( $r = 1$ ) : Still not very good

## Good linear source codes

Exist for large  $n$  if  $\frac{1}{n} \log |\mathcal{C}_1| \geq (\log p - H(U|X))$

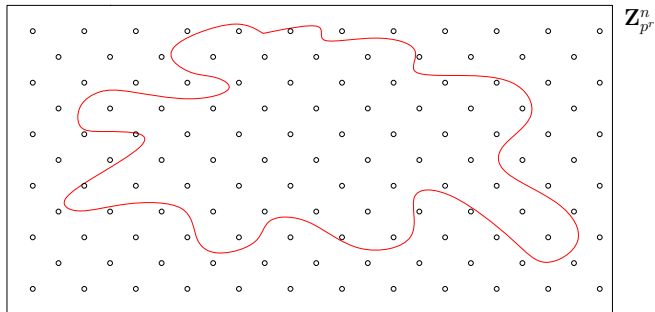


# Good Group Source Codes

- Good: Can find  $u^n \in \mathcal{C}_1$  jointly typical with  $x^n$
- Larger than optimal code size:  $H(U) - H(U|X)$

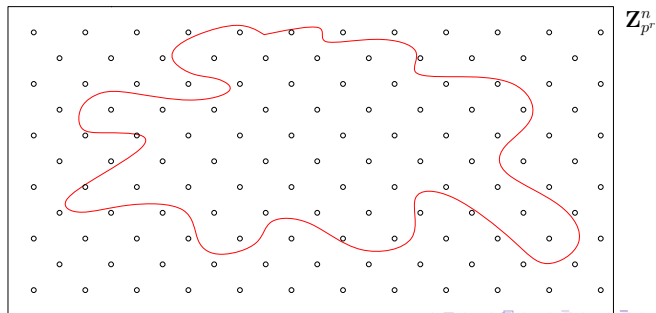
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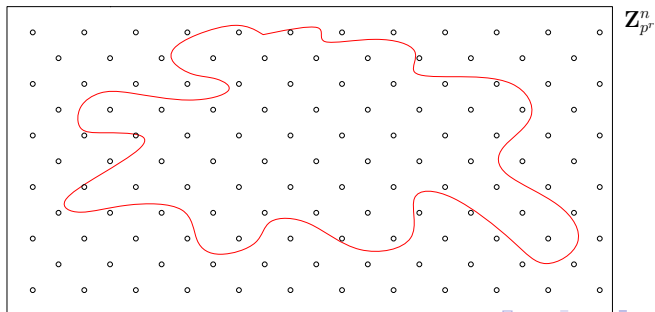
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## Good Group Source Codes contd.

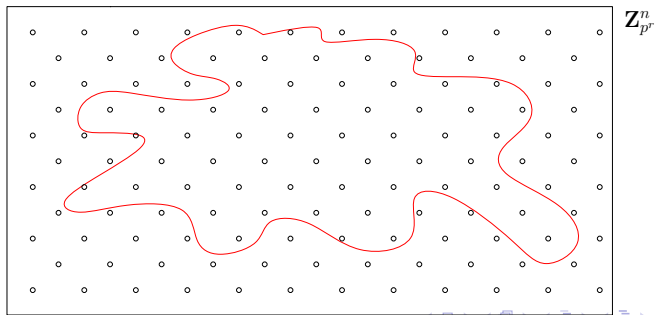
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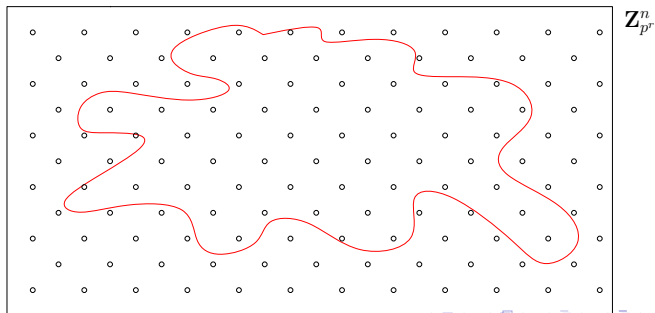
## Good Group Source Codes contd.

- Linear code not Shannon-good for source coding
- But **contains** a Shannon-good source code
- Larger codebook due to binning entire space



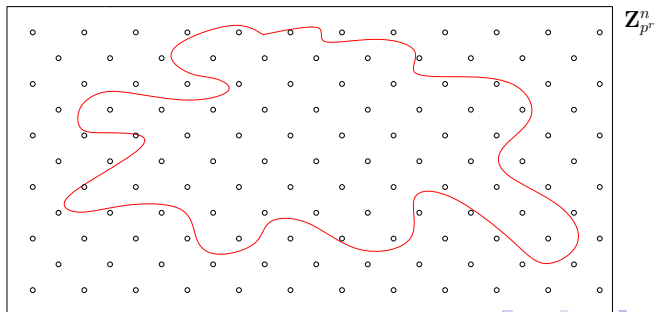
## Good Group Source Codes contd.

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- Penalty for imposing structure



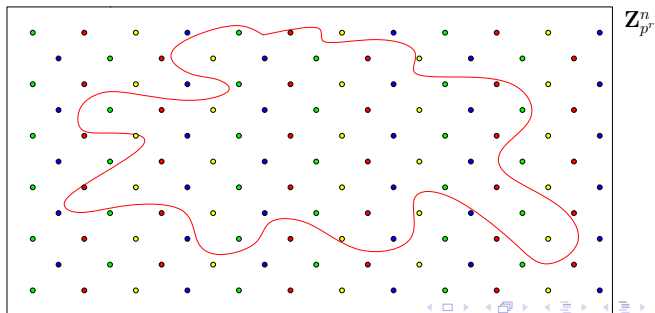
## Good Group Source Codes contd.

- Linear code not Shannon-good for source coding
- But **contains** a Shannon-good source code
- Group codes ( $r > 1$ ) : more penalties for subgroups



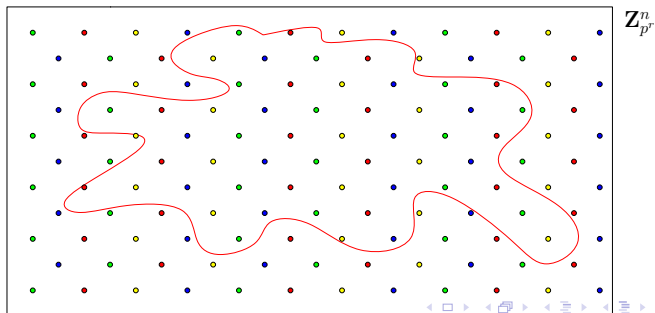
# Good Group Channel Codes

- Good group channel code  $\mathcal{C}_2$  for the triple  $(\mathcal{I}, \mathcal{S}, P_{ZS})$



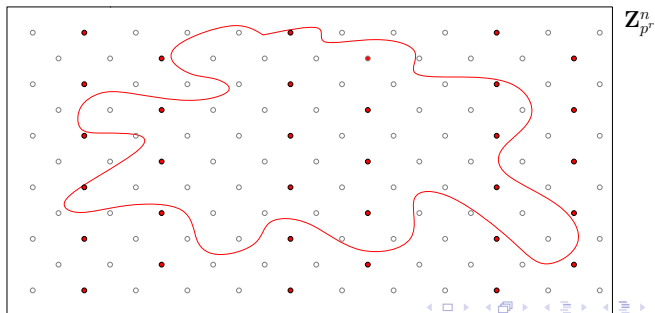
# Good Group Channel Codes

- Assume  $\mathcal{X} = \mathbb{Z}_{p^r}$  for some prime  $p$  and exponent  $r > 0$



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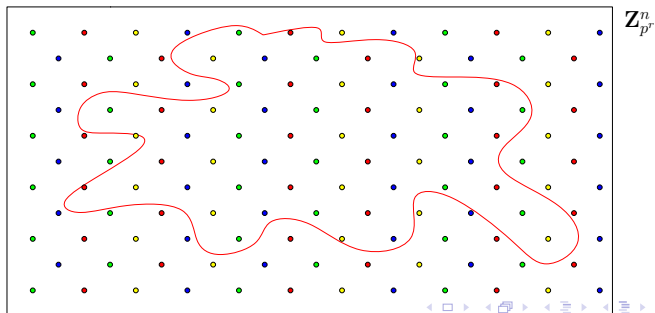


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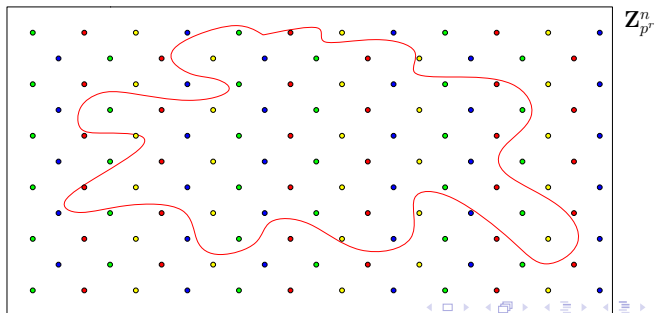
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Exist for large  $n$  if  $\frac{1}{n} \log |\mathcal{C}_2| \leq \log p^r - \max_{0 \leq i < r} \left( \frac{r}{r-i} \right) (H(Z|S) - H([Z]_i|S))$



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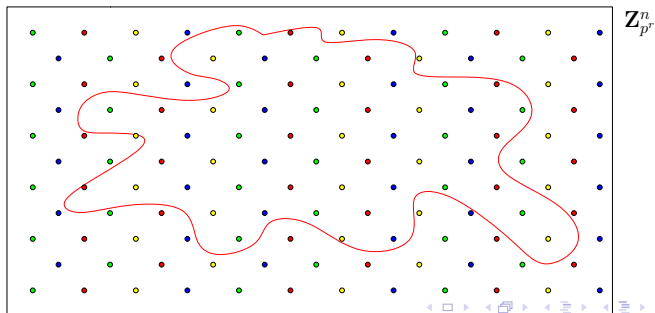
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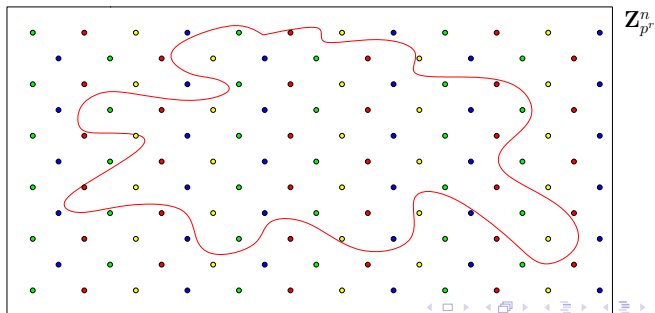
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- Each subgroup of  $\mathbb{Z}_{p^r}$  : one term in maximization

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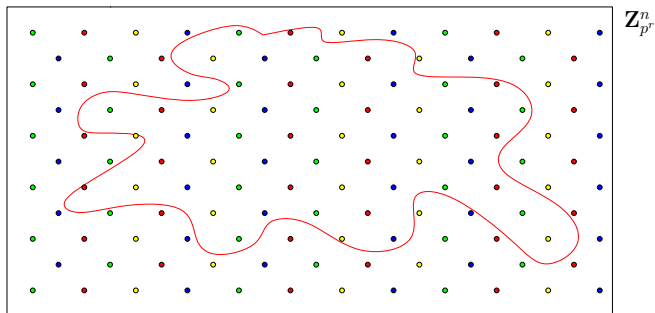
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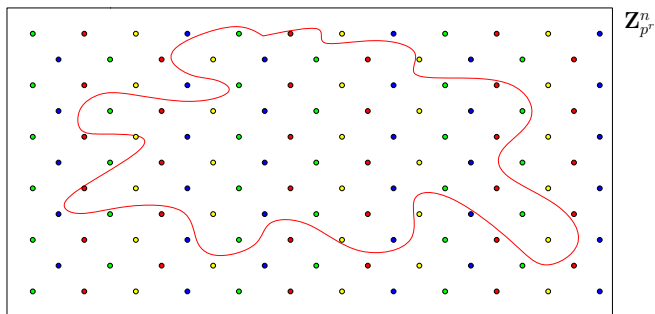
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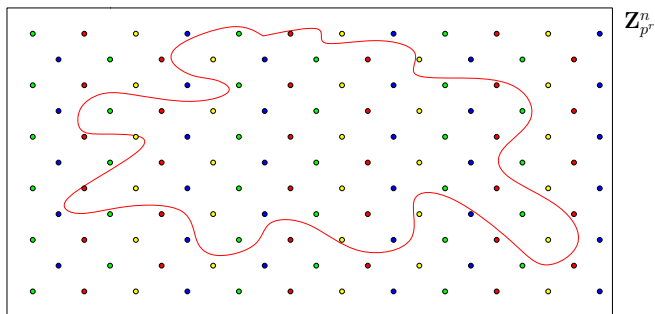
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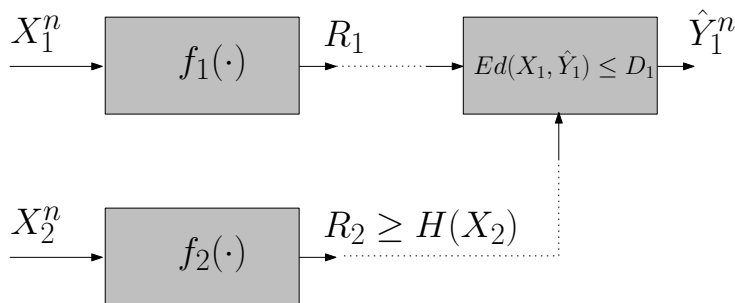
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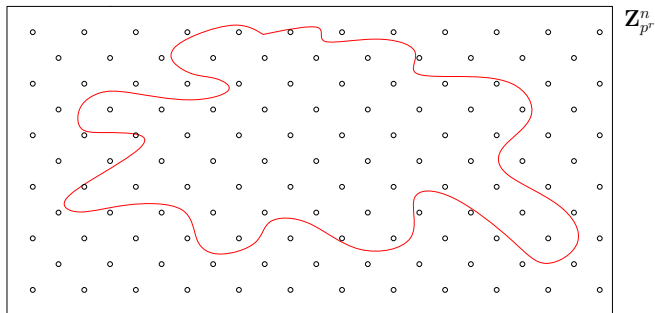
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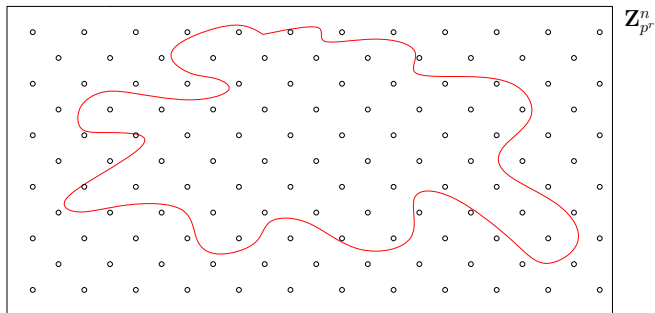
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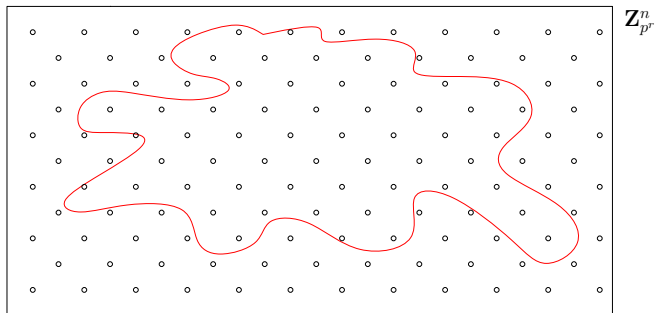
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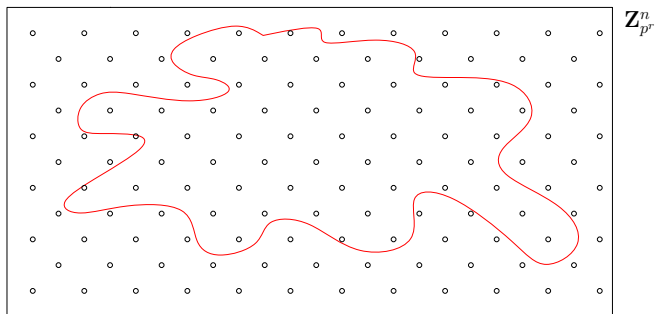
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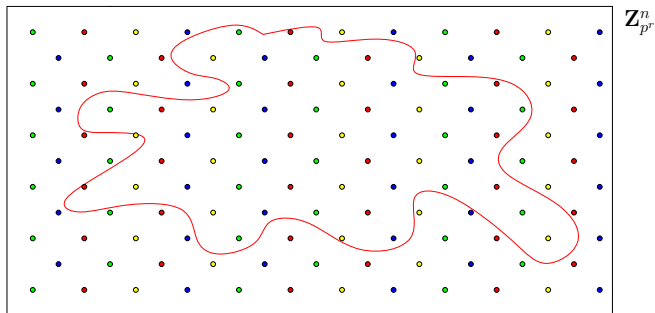
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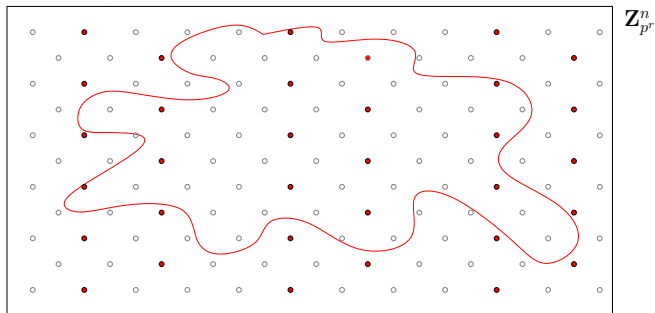
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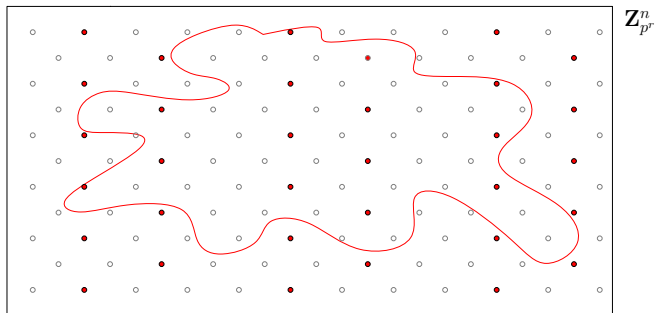
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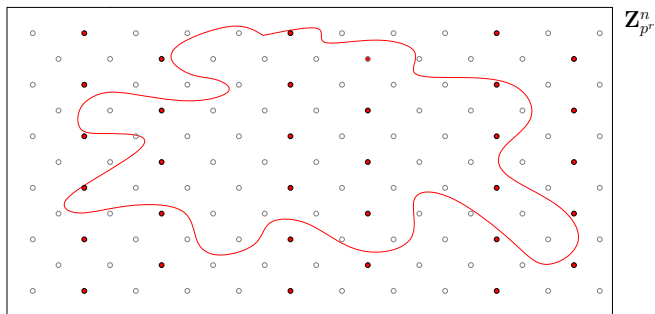
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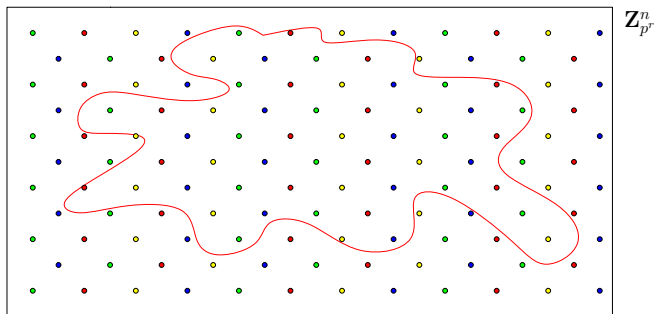
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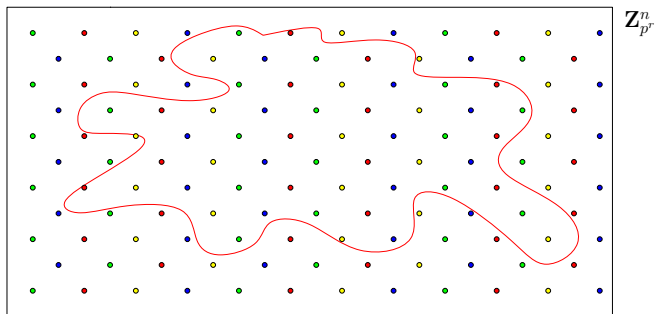
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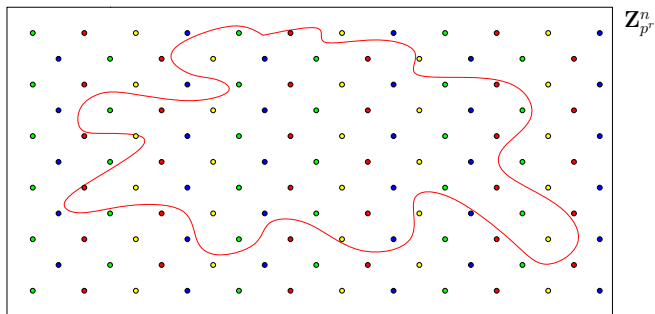
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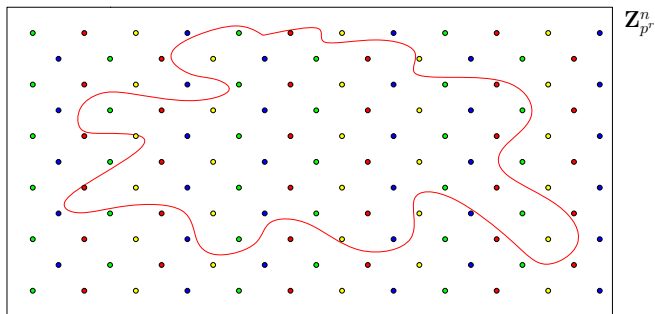
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# Outline

- 1 Thesis Overview
- 2 Information Theory: An Introduction
- 3 Random Codes for Distributed Source Coding
- 4 Nested Group Codes
- 5 Distributed Source Coding : An Inner Bound**
- 6 Conclusions

# Overview of the coding scheme

- Fix test channels  $P_{X_1 X_2 U V} = P_{X_1 X_2} P_{U|X_1} P_{V|X_2}$
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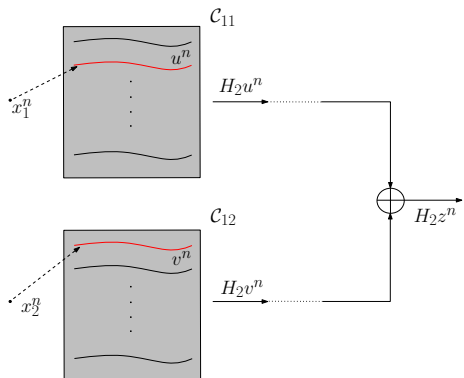
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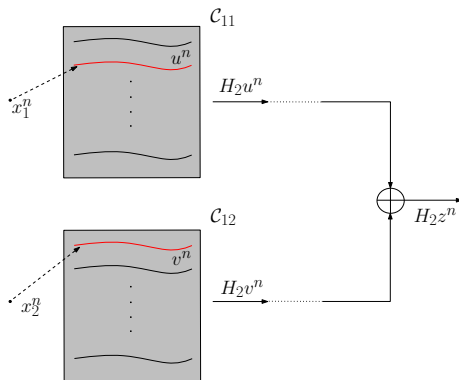
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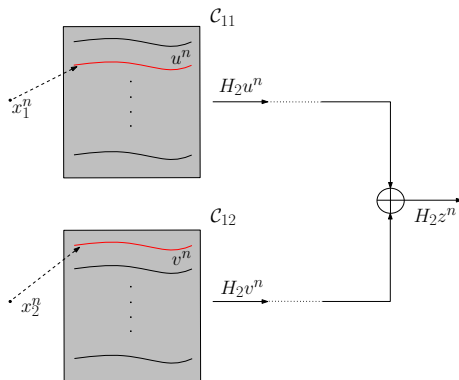
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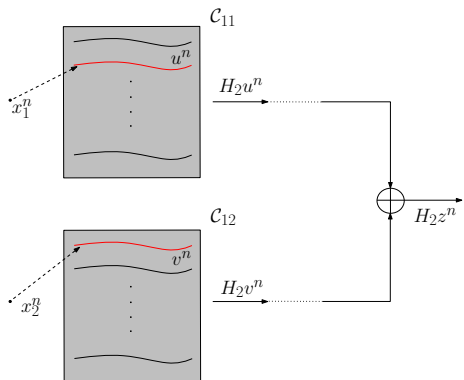
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- Nested linear codes - Shannon rate-distortion bound for arbitrary sources and additive distortion measures
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# Special cases

- Lossless compression using group codes - achievable rates
- Lossy compression for arbitrary sources and distortion measures using group codes
- Nested linear codes - Shannon rate-distortion bound for arbitrary sources and additive distortion measures
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  - For every group :  $P_X, P_Z$  such that that group gives best embedding

$P_X$	$P_Z$	$R_{Z_4}$	$R_{Z_7}$	$R_{Z_2 \oplus Z_2 \oplus Z_2}$	$R_{Z_4 \oplus Z_4}$
$[\frac{1}{4} \frac{1}{4} \frac{1}{4} \frac{1}{4}]$	$[\frac{1}{2} 0 \frac{1}{4} \frac{1}{4}]$	3	3.9056	3.1887	3.5
$[\frac{3}{10} \frac{6}{10} \frac{1}{10} 0]$	$[0 \frac{4}{5} \frac{1}{20} \frac{3}{20}]$	2.3911	2.0797	2.4529	2.1796
$[\frac{1}{3} \frac{1}{10} \frac{1}{2} \frac{1}{15}]$	$[\frac{3}{7} \frac{1}{7} \frac{1}{7} \frac{2}{7}]$	3.6847	4.5925	3.3495	3.4633
$[\frac{9}{10} \frac{1}{30} \frac{1}{30} \frac{1}{30}]$	$[\frac{3}{20} \frac{3}{4} \frac{1}{20} \frac{1}{20}]$	2.308	2.7065	1.9395	1.7815

**Table:** Example distributions for which embedding in a given group gives the lowest sum rate.



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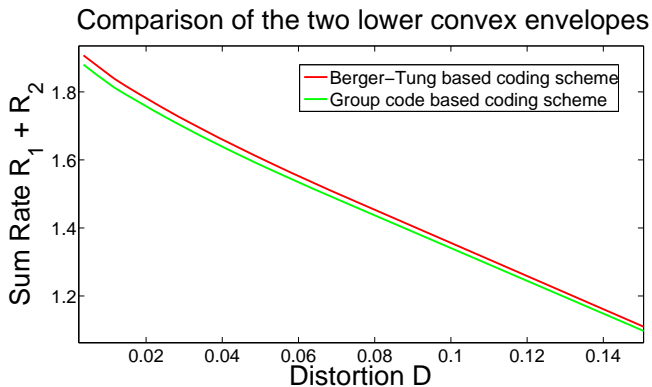
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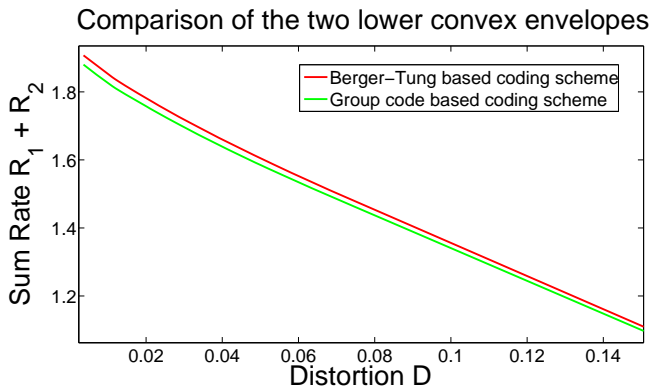
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## Lossy Example contd.



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- Implies Berger-Tung inner bound not tight for three-user case

# Outline

- 1 Thesis Overview
- 2 Information Theory: An Introduction
- 3 Random Codes for Distributed Source Coding
- 4 Nested Group Codes
- 5 Distributed Source Coding : An Inner Bound
- 6 Conclusions**

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# Thank You

## Questions?

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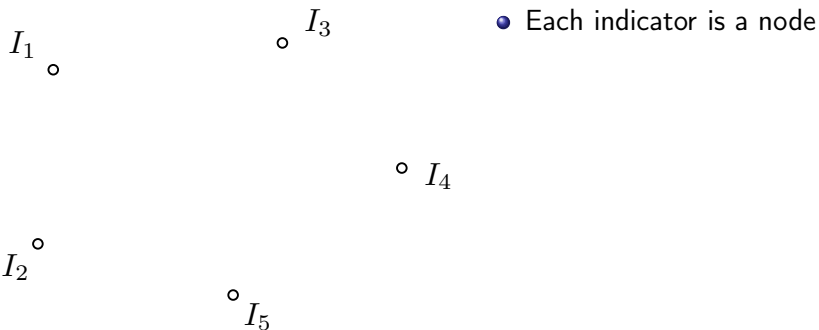
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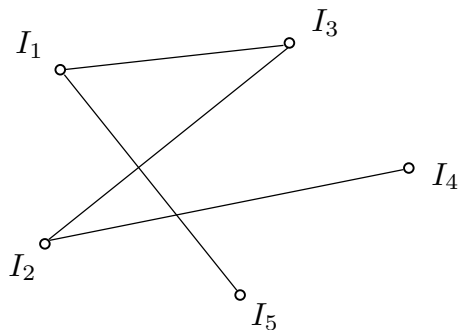
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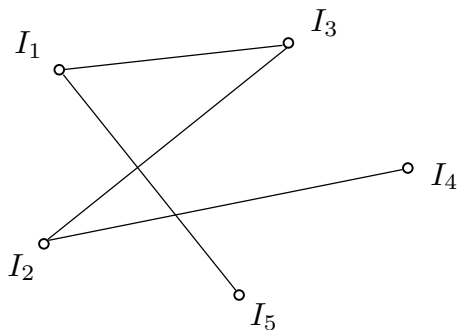
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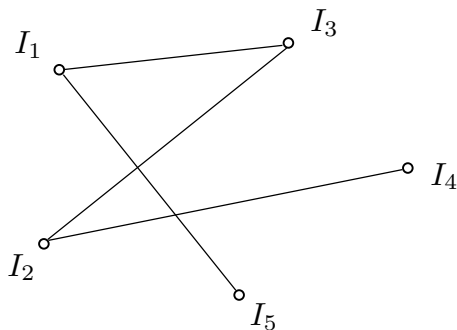
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## Proof Techniques - Group Source Codes contd.

- Need to evaluate  $P(u^n \in \mathcal{C})$  and  $P(u_1^n, u_2^n \in \mathcal{C})$
- $P(u^n \in \mathcal{C})$  easy to evaluate
- $P(u_1^n, u_2^n \in \mathcal{C})$ 
  - Depends on number of solutions in  $(\alpha, \beta)$  to  $\alpha u_1^n + \beta u_2^n = 0$

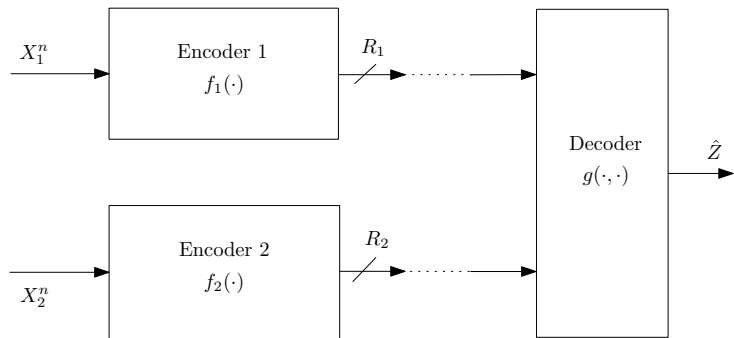
## Proof Techniques - Group Source Codes contd.

- Need to evaluate  $P(u^n \in \mathcal{C})$  and  $P(u_1^n, u_2^n \in \mathcal{C})$
- $P(u^n \in \mathcal{C})$  easy to evaluate
- $P(u_1^n, u_2^n \in \mathcal{C})$ 
  - Depends on number of solutions in  $(\alpha, \beta)$  to  $\alpha u_1^n + \beta u_2^n = 0$
  - $P(u_1^n, u_2^n \in \mathcal{C}) = \frac{\text{Number of solution pairs}_{(\alpha, \beta)}}{p^{2r}}$

## Proof Techniques - Group Source Codes contd.

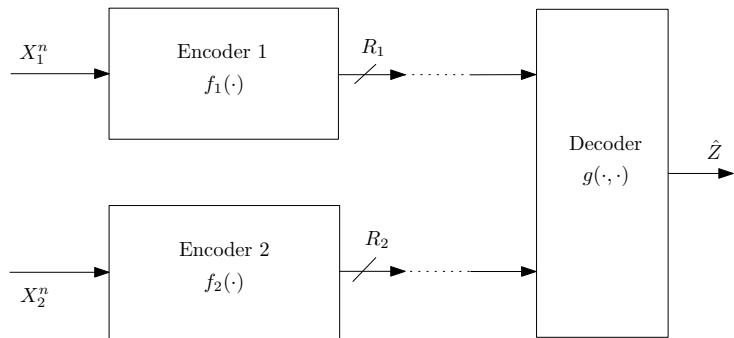
- Need to evaluate  $P(u^n \in \mathcal{C})$  and  $P(u_1^n, u_2^n \in \mathcal{C})$
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- $P(u_1^n, u_2^n \in \mathcal{C})$ 
  - Depends on number of solutions in  $(\alpha, \beta)$  to  $\alpha u_1^n + \beta u_2^n = 0$
  - $P(u_1^n, u_2^n \in \mathcal{C}) = \frac{\text{Number of solution pairs}_{(\alpha, \beta)}}{p^{2r}}$
  - Have to estimate the degree of each vertex in the dependency graph

## Highlights of the work



- $X_1, X_2 \sim \mathcal{N}(0, 1), \mathbb{E}(X_1 X_2) = \rho > 0$

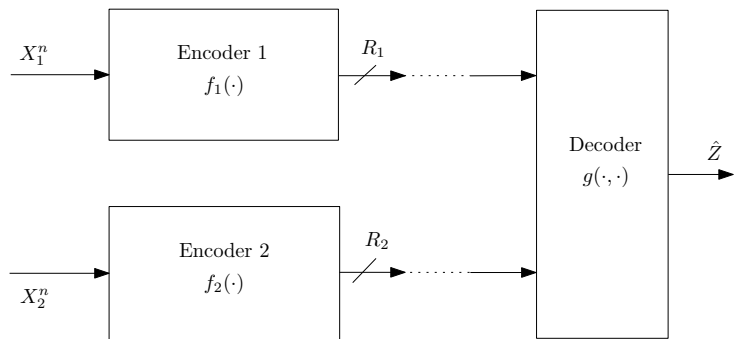
## Highlights of the work



- $\hat{Z} = X_1 - cX_2, c > 0$

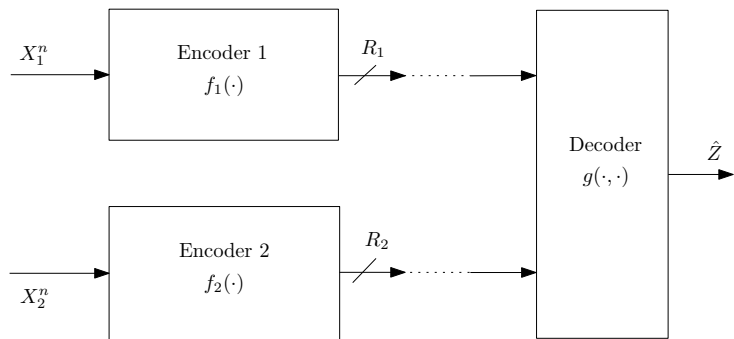


## Highlights of the work



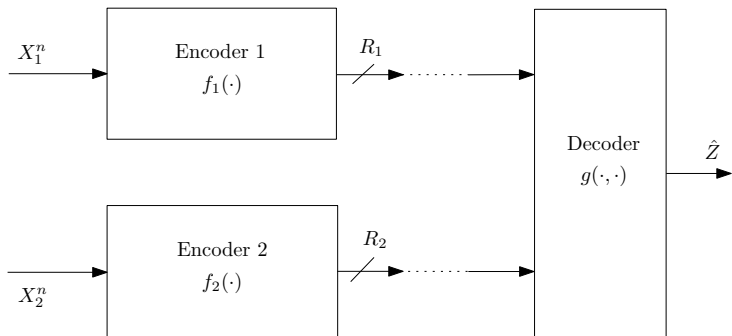
- $\mathbb{E}d(X_1, X_2, \hat{Z}) = \mathbb{E}(X_1 - cX_2 - \hat{Z})^2$

## Highlights of the work



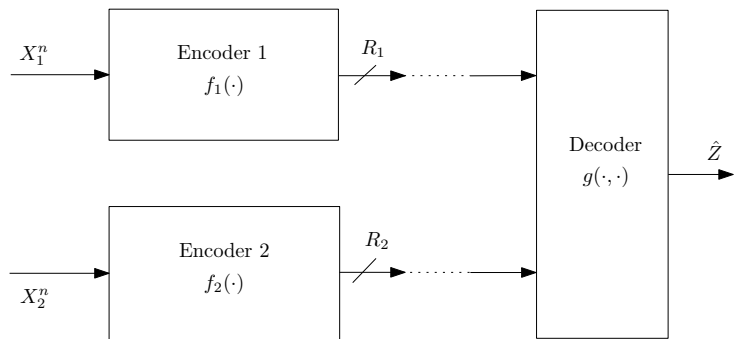
- Objective: Achievable rates  $(R_1, R_2)$  at distortion  $D$

## Highlights of the work



- Achievable rate region using nested lattice codes

## Highlights of the work



- Showed achievability of  $(R_1, R_2, D)$  when

$$2^{-2R_1} + 2^{-2R_2} \leq \left( \frac{\sigma_Z^2}{D} \right)^{-1}$$