

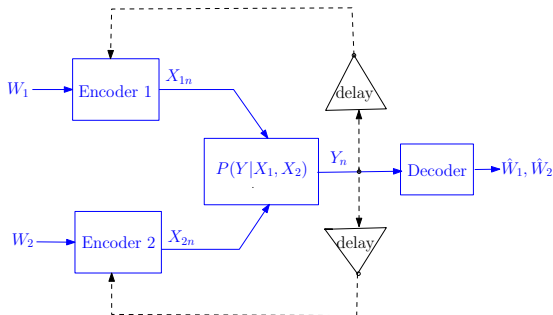
# New Achievable Rates for the Multiple-Access Channel with Feedback

Ramji Venkataramanan   S. Sandeep Pradhan

Stanford University

University of Michigan

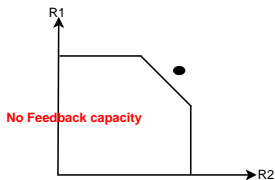
## Multiple-access Channel (MAC)



### Feedback

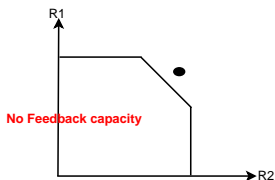
- $X_{1n}$  function of  $(W_1, Y^{n-1})$
- $X_{2n}$  function of  $(W_2, Y^{n-1})$
- Example of [Gaarder-Wolf '75] showed FB can increase capacity

# Cover-Leung Scheme [1981]

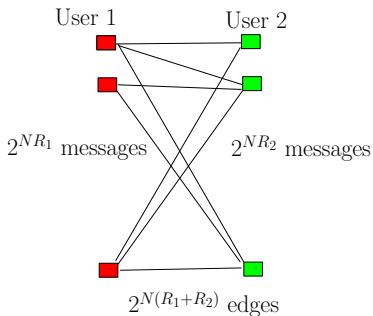


Message graph before transmission

# Cover-Leung Scheme [1981]



Message graph before transmission



## After receiving $\mathbf{Y}$

- LEFT vertices:  $\mathbf{x}_1[w_1]$  j.typ with  $\mathbf{Y}$
- RIGHT vertices:  $\mathbf{x}_2[w_2]$  j.typ with  $\mathbf{Y}$
- Edge:  $\Rightarrow (\mathbf{x}_1[w_1], \mathbf{x}_2[w_2])$  j.typ with  $\mathbf{Y}$  - Possible message pair

# Typicality graph

C-L impose conditions so that



$2^{N(R_1+R_2)} \cdot 2^{-NI(X_1X_2;Y|U)}$  edges

## NEXT STAGE

- $Y$  is common side information
- Point-to-point communication
- Source coding + channel coding
- Can be resolved by  $\mathbf{U} \sim P_U$  if

number of edges  $< 2^{NI(U;Y)}$

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How to achieve this graph?



$$R_1 < I(X_1; Y|X_2 U)$$

$$R_2 < I(X_2; Y|X_1 U)$$

$2^{N(R_1+R_2)} \cdot 2^{-NI(X_1X_2; Y|U)}$  edges

RESOLUTION with  $\mathbf{U}$ :  $R_1 + R_2 - I(X_1X_2; Y|U) < I(U; Y)$



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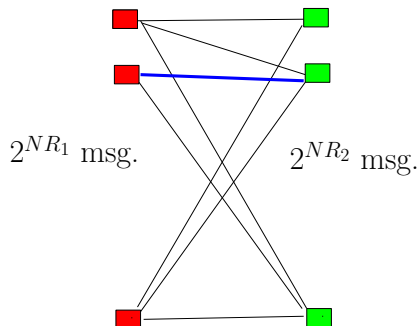
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RESOLUTION with **U**:  $R_1 + R_2 - I(X_1X_2; Y|U) < I(U; Y)$

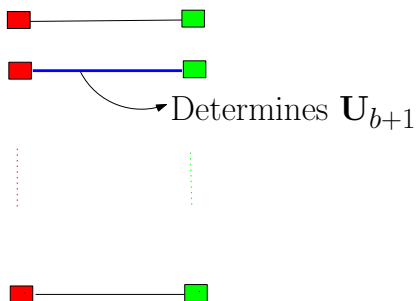
# Block-Markov Superposition

Transmission in blocks  $1, \dots, L$

Message pair for block  $b$



Decoder graph after  $\mathbf{Y}_b$



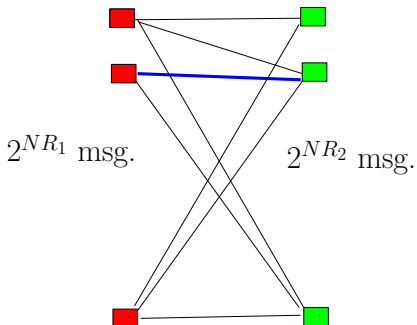
$$P_{\mathbf{U}} \times P_{\mathbf{X}_1|\mathbf{U}} \times P_{\mathbf{X}_2|\mathbf{U}} \times P_{\mathbf{Y}|\mathbf{X}_1\mathbf{X}_2}$$

Block  $b$ : fresh info  $\mathbf{X}_{1b}, \mathbf{X}_{2b}$  superposed on resolution info  $\mathbf{U}_b$

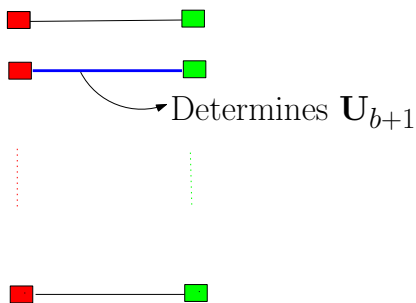
# Block-Markov Superposition

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Decoder graph after  $\mathbf{Y}_b$



- $P_U \cdot P_{X_1|U} \cdot P_{X_2|U} \cdot P_{Y|X_1X_2}$
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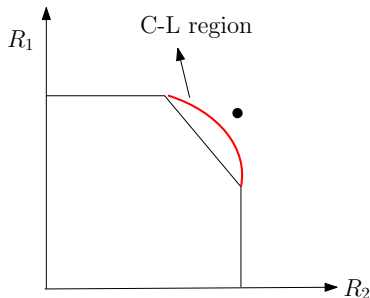
## Optimality

- C-L scheme optimal for channels where

$$X_1 = f(X_2, Y) \text{ or } X_2 = g(X_1, Y)$$

- The channel ensures we always get the graph we want!
- Not optimal in general
  - white Gaussian MAC [Ozarow 84]

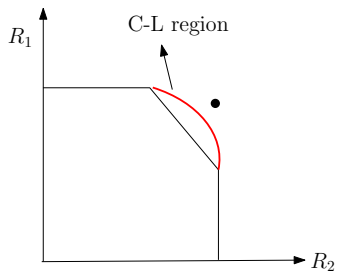
## Outside the C-L region



### Bross-Lapidoth '05

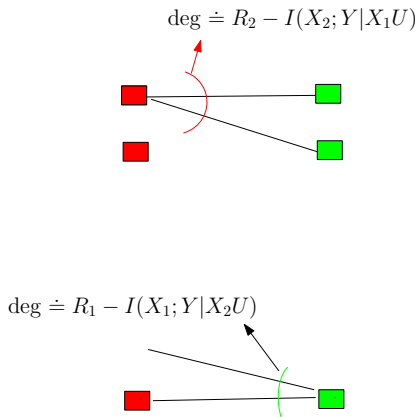
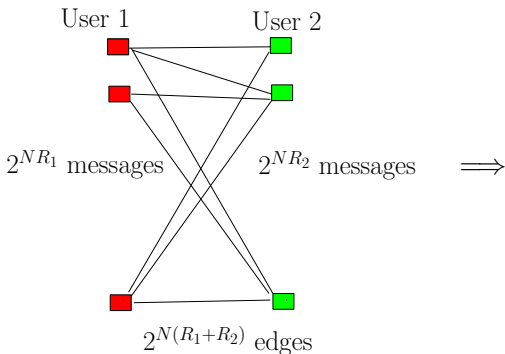
- C-L scheme: block length  $n$ 
  - encoders now cannot decode using FB
- Spend EXTRA time  $\eta \cdot n$  to exchange messages
- New block length  $(1 + \eta)n$
- Can improve rates

## Our approach



In terms of message graph . . .

# Graph at decoder



- Each encoder CANNOT decode message of the other using  $\mathbf{Y}$
- Degree of each vertex  $> 1$
- But messages are *CORRELATED*

# Correlated messages

- Correlated messages on MAC (no FB)
  - [Cover, ElG, Salehi 81], [Pradhan et al 07]
- Can achieve higher rates than independent messages.

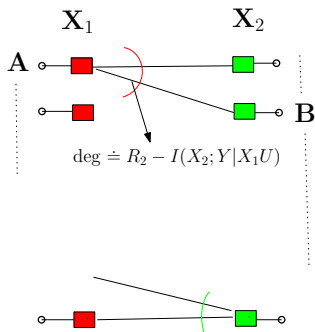
First attempt ...



# Sending correlated messages

Common output two-way channel

Typicality graph given  $\mathbf{Y}$



For each  $\mathbf{X}_1$ , generate one  $\mathbf{A} \sim P_{A|X_1 Y}$

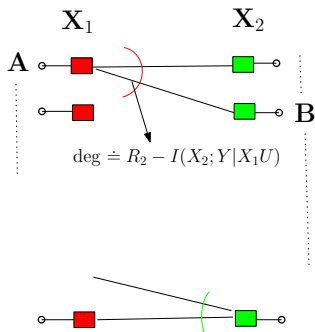
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- Enc. 1 sends  $\mathbf{A}$  corresp. to LEFT vertex
- Enc. 2 sends  $\mathbf{B}$  corresp. to RIGHT vertex
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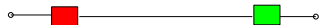
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- Enc. 1 decodes **B**, enc. 2 decodes **A**
- Decoder cannot yet decode **A, B**

Decoder's typicality graph:

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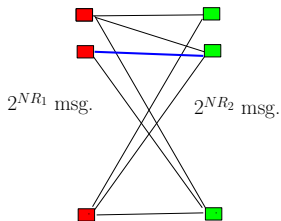


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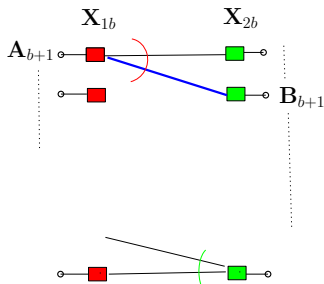
## Block Markov Superposition

Message pair for block  $b$



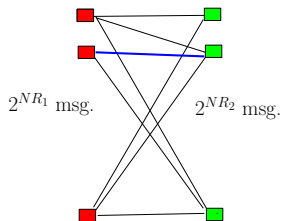
After receiving  $\mathbf{Y}_{b+1}$ :

After receiving  $\mathbf{Y}_b$



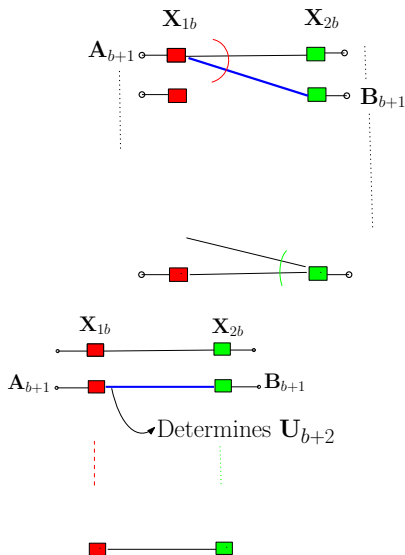
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## Issues

- $L$  blocks of transmission
- For block  $b$ ,  $1 \leq b \leq L$ , if we generate

$$\mathbf{A}_b \leftrightarrow (\mathbf{Y}_{b-1}, \mathbf{X}_{1(b-1)}), \quad \mathbf{B}_b \leftrightarrow (\mathbf{Y}_{b-1}, \mathbf{X}_{2(b-1)})$$

- Dependence ripples across blocks!

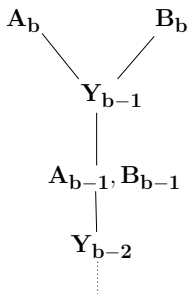
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Fix

## WANT

Seqs. in each block  $\sim P_U \cdot P_{WAB} \cdot P_{X_1|UA} \cdot P_{X_2|UB} \cdot P_{Y|X_1X_2}$

- Define fn.  $f : \mathcal{U} \times \mathcal{A} \times \mathcal{B} \times \mathcal{Y} \rightarrow \mathcal{W}$

$$\mathbf{W}_b = f((\mathbf{U}, \mathbf{A}, \mathbf{B}, \mathbf{Y})_{b-1})$$

- $W$  summarizes info common to both encoders at end of prev. block  
- e.g.  $\mathbf{W}_b = \mathbf{Y}_{b-1}$

## CONSISTENCY COND. 1

GOAL: Ensure  $\mathbf{W}_b \sim P_W$  in each block:

$$P_W(w) = \sum P(u, a, b, y) \mathbf{1}(f(u, a, b, y) = w)$$

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## CONSISTENCY COND. 2

- $\mathbf{A}_b$  gen. from  $(\mathbf{U}, \mathbf{A}, \mathbf{B}, \mathbf{Y}, \mathbf{X}_1)_{b-1} \sim Q^1$
- $\mathbf{B}_b$  gen. from  $(\mathbf{U}, \mathbf{A}, \mathbf{B}, \mathbf{Y}, \mathbf{X}_2)_{b-1} \sim Q^2$

Given  $\mathbf{W}_b$ , ensure  $\mathbf{A}_b, \mathbf{B}_b \sim P_{AB|W}$  in each block

$\forall (u, a, b, y)$ , need:

$$\begin{aligned} \sum_{x_1, x_2} Q^1(a_b|u, a, b, y, x_1) \cdot Q^1(b_b|u, a, b, y, x_2) \cdot P(x_1, x_2|u, a, b, y) \\ = P_{AB|W}(a_b, b_b|f(u, a, b, y)) \end{aligned}$$

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## CONSISTENCY COND. 2

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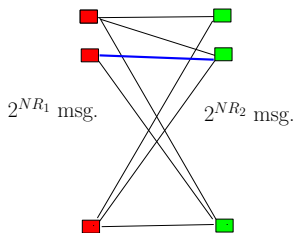
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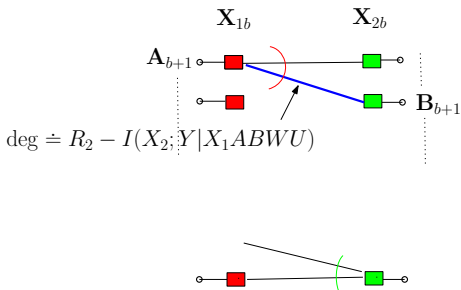
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Assume conditions satisfied  $P_U \cdot P_{WAB} \cdot P_{X_1|UA} \cdot P_{X_2|UB} \cdot P_{Y|X_1X_2}$

Message pair for block  $b$



After receiving  $\mathbf{Y}_b$ :



Encoder 1 needs to decode  $\mathbf{B}_{b+1}$  from  $\mathbf{Y}_{b+1}$ :

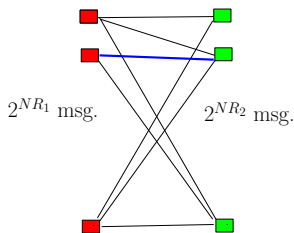
$$R_2 - I(X_2; Y|X_1ABWU) < I(B; Y|X_1AWU)$$

$$\text{or } R_2 < I(X_2; Y|X_1AWU)$$

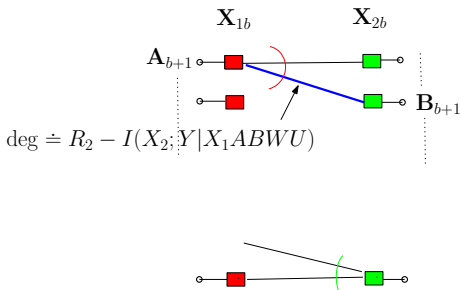
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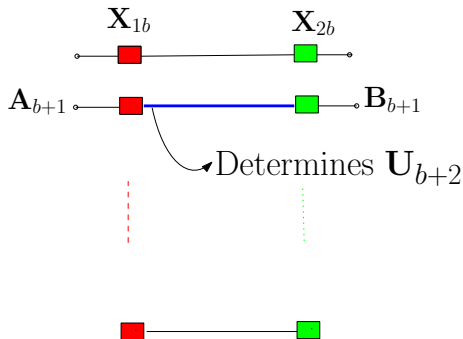


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Upon receiving  $\mathbf{Y}_{b+1}$ , decoder's graph:



For  $\mathbf{U}_{b+2}$  to resolve this:

$$\text{Number of edges} < I(\mathbf{U}; \mathbf{Y})$$

## Result

### Theorem

*For the MAC  $P_{Y|X_1X_2}$ , let  $P_U \cdot P_{WAB} \cdot P_{X_1|UA} \cdot P_{X_2|UB} \cdot P_{Y|X_1X_2}$  be any joint distribution that satisfies the consistency conditions. Then the following rate pairs  $(R_1, R_2)$  are achievable.*

$$R_1 < I(X_1; Y|X_2BWU) - [I(A; X_2|YBWU) - I(U; Y)]^+$$

$$R_2 < I(X_2; Y|X_1AWU) - [I(B; X_1|YAWU) - I(U; Y)]^+$$

$$R_1 + R_2 < I(X_1X_2; Y|UW) + I(U; Y)$$

$W = A = B = \phi$  recovers Cover-Leung region



## Binary MAC [Bross-Lapidoth '05]:

$$P_{Y|X_1X_2}(1|01) = P_{Y|X_1X_2}(1|10) = q$$

$$P_{Y|X_1X_2}(1|11) = 2q$$

$$P_{Y|X_1X_2}(1|00) = 0$$

- Capacity  $\rightarrow 0$  as  $q \rightarrow 0$
- $\frac{\text{Max. sum rate}}{q}$  as  $q \rightarrow 0$

C-L region: 0.499

Bross-Lapidoth: 0.553

Our region: 0.651

## Summary

- Exploiting feedback  $\Rightarrow$  thinning of graph of typical messages
  - Then cooperate to help the decoder
- C-L scheme thins graph in 1 block
  - we achieved gains by thinning over 2 blocks
- Potential gains by gradually thinning over 3, 4,  $\dots$  blocks
  - More auxiliary random variables