Trustworthy Medical Device Software

Kevin Fu

Assistant Professor Security & Privacy Research Lab UMass Amherst Computer Science http://www.cs.umass.edu/~kevinfu/

SPQR LABORATORY

UPenn PRECISE Seminar April 20, 2011

UNIVERSITY OF MASSACHUSETTS AMHERST • Department of Computer Science

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Acknowledgments

• William H. Maisel, MD, MPH

-Former Director, Pacemaker and Defibrillator Service, Beth Israel Deaconess Medical Center

Tadayoshi Kohno

-Assistant Professor, CSE, University of Washington

Students

-Shane Clark, Benessa Defend, Tamara Denning, Dan Halperin, Tom Heydt-Benjamin, Andres Molina, Will Morgan, Ben Ransford, Mastooreh Salajegheh, Quinn Stewart



Disclosures

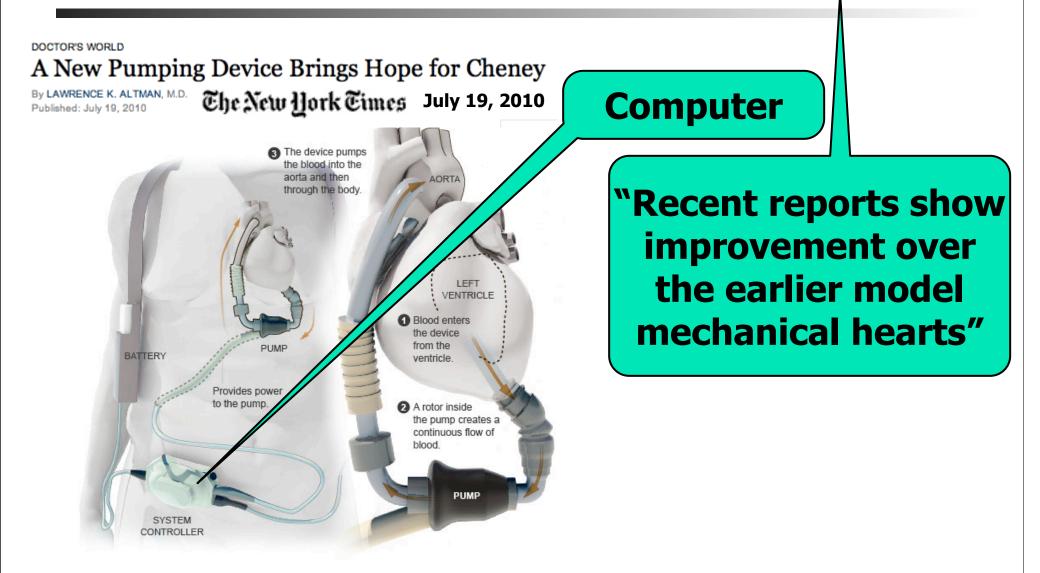
Patent pending technology:

- Methods and systems for low-power storage for flash memory
- Zero-Power Security for Implantable Medical Devices
- Received speaker reimbursements from Symantec
- Received income from Microsoft Research



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Benefits of Medical Device Software





Source: NY Times, Thoratec

Without software, many medical treatments could not exist.



How does software interplay with safety and effectiveness?



How Much SW in Medical Devices?

- **1983-1997**
 - 6% of all recalls attributed to SW
- **1999-2005**
 - Almost doubled: 11.3% of all recalls attributed to SW
 - 49% of all recalled devices relied on software (up from 24%)
- **1991-2000**
 - Doubled: # of pacemakers and ICDs recalled because of SW
- 2006
 - Milestone: Over half of medical devices now involve software
- 2002-2010
 - 537+ recalls of SW-based devices affecting 1,527,311+ devices



How preventable are software risks?



Implementation Errors



A-Z Index

Search

Home | Food | Drugs | Medical Devices | Vaccines, Blood & Biologics | Animal & Veterinary | Cosmetics | Radiation-Emitting Products | Tobacco Product

FDA Home > Medical Devices > Databases

MAUDE Adverse Event Report



510(k) | Registration & Listing | Adverse Events | Recalls | PMA | Classification | Standards CFR Title 21 | Radiation-Emitting Products | X-Ray Assembler | Medsun Reports | CLIA

BAXTER HEALTHCARE PTE. LTD. COLLEAGUE 3 CXE VOLUMETRICINFUSION PUMP 80FRN

Back to Search Results

Catalog Number 2M9163 Event Date 07/30/2007 Event Type Death Patient Outcome Death; Manufacturer Narrative

Evaluation of the device indicates the reported condition of fail code 16:310 was confirmed but could not be duplicated during service. The pump passed power on self-test on ac. The front bezel was opened & a visual inspection of all wires, harness connections, and user interface module printed circuit board was performed. The master and slave software programmable read only memory were found inserted correctly. No visual damage was found. The batteries had 10 charge/discharge cycles & 0 discharges below alarm threshold. The pump passed the keypad test. The device has been returned to baxter technical service for repair. The buffer overflow issue resulting in failure code 16:310 found in the software version utilized in colleague infusion pumps has been found to be repeatable in a specific clinical situation, and has resulted in multiple patient adverse events over a short period of time following initiation of deployment of this software version in the us. The issue is caused by an overflow in the memory buffer that feeds the main processor. The c2006 software version includes several changes that have increase the utilization level of this buffer, resulting in a higher probability of overflow. For the



Implementation Errors

- Infusion pump: Underdosed patient experienced
 - increased intracranial pressure
 - followed by brain death
- Factor: Buffer overflow shut down infusion pump
 - Failure difficult to reproduce during service
 - Software upgrade tickled the coding error
- Caused failure of drug infusion
 - propofol (sedation/anesthetic)
 - levophed (blood pressure)
 - insulin





Many software risks can be mitigated with known technology.



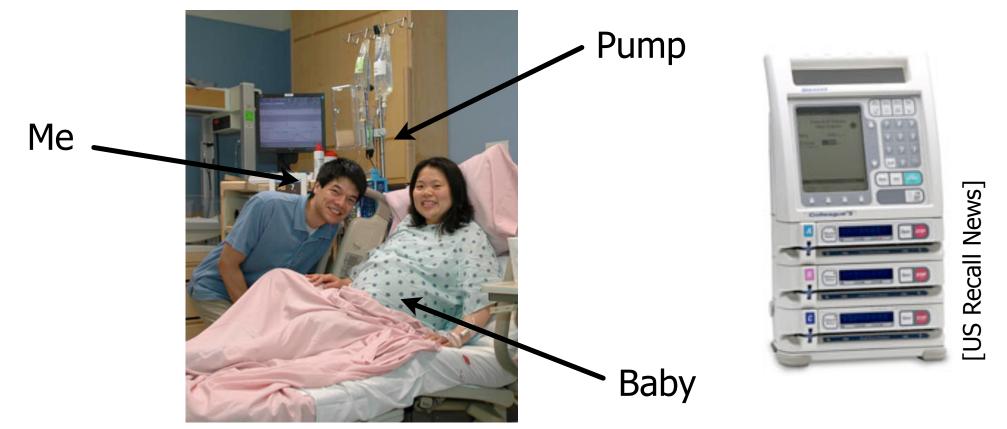
What about human factors and software?



Infusion Pump UI and Software

• Used safely and effectively every day, but...

Linked to 500+ deaths and 56,000 adverse events





Pump+SW Problems=Deadly Cocktail

~... 710 patient deaths linked to problems with the devices ... either because a hospital worker entered incorrect dosage data into a pump or because the device's software malfunctioned."

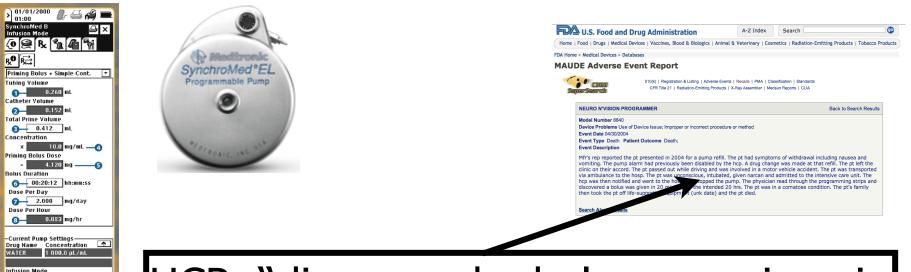
[Barry Meier, NY Times, 4/23/2010]



User Interface: Timing is Everything



User Interface: Timing is Everything



HCP: "discovered a bolus was given in 20 **min** versus the intended 20 **hrs**"

FDA: "...software... did not provide a label for the hours/minutes/seconds fields; the new software has this labeling."



Better analysis of human factors in SW could prevent injury and death.



How does software maintenance affect trustworthiness?



Dirty Secrets: SW Maintenance

| | | 0 0 | Adobe Acrobat | Update Manager |
|---|-------------------------------------|---|--|---|
| 0 \varTheta | Software Update | | | |
| | | Downloading Update 1 of 1 (Adobe Acrobat 7.0.7 Profession | | |
| Firefox 1.5.0.2 | Ready to Install | | | |
| There's Listone Ready to histan | | File Name: | AcroProUpd7(| 07_all.dmg.aum |
| Firefey has just s | malated downloading an important up | Location: | | ers:kevinft User Data:7.0:Updater |
| Firefox has just completed downloading an important up must now be restarted so that the update can be installed | | | | |
| | | | ning: About 4 minutes (32782 of 47261 kb) d only when my internet connection is idle. | |
| Update: Firefox 1.5.0.2 | | Downloa | d only when my inter | net connection is idle. |
| | | | | Pause Cancel |
| | | | 1 | |
| | | | | |
| | | | 4.11.2.4.0 | T |
| Click Restart Firefox Now to close all Firefox windows and install the | | | 4 11.2.4 Update , 40 MB available | Authenticate |
| update. | | install the | | Office 2004 11.2.4 Update requires that you type your password. |
| | | | | |
| Click Later to continue without restarting. The update will be installed the next time you start Firefox. | | | Microsoft | Name: Kevin Fu |
| ,,, | | | Strain di tatan no carana per la fer di para ticana di tatan no carana per la fer di para ticana caraditati cara antere tata per sensiti tatan no carana per sensiti da para tatan no cara tatan no carana per sensiti da per sensiti antere per sensi. | Password: |
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| | | | Office 2004 11.2.4 Update | Office 2004 11.2.4 ateEN.dmg Update |
| | | | | |

Software Update Woes

- Health Information Technology (HIT) devices globally rendered unavailable
- Cause: Automated software update went haywire
- Numerous hospitals were affected April 21, 2010
 - Rhode Island: a third of the hospitals were forced ``to postpone elective surgeries and stop treating patients without traumas in emergency rooms."
 - Upstate University Hospital in New York: 2,500 of the 6,000 computers were affected.

THE VANCOUVER SUN

Web-security giant McAfee paralyzes computers at hospitals, universities worldwide with update



What software risks are on the horizon?



Viruses on Radiology Equipment?

MAUDE Adverse Event Report



510(k) | Registration & Listing | Adverse Events | Recalls | PMA | Classification | Standards CFR Title 21 | Radiation-Emitting Products | X-Ray Assembler | Medsun Reports | CLIA

FUJIFILM MEDICAL SYSTEM USA, INC. IIP COMPUTED RADIOGRAPHY READER AND WORKSTATION

Back to Search Results

Model Number IIP Event Date 06/13/2009 Event Type Malfunction Event Description

Delay in treatment related to equipment failure on 4 patients. The images were frozen on the list and would not transmit on the fuji reader equipment. The system was rebooted without change. A few hours later the system was again shut down and rebooted and the images then did transfer. Images were repeated on equipment in another department. The next day the same issue occurred with 4 more patients and the system was shut down to await evaluation by the manufacturer. This problem was traced to a computer virus (conficker) which was found to be affecting 6 fuji cr units. The hospital's imaging service engineer applied a microsoft patch (ms08-067) to the 6 fuji units to prevent the virus from re-infecting the systems. Subsequent to this problem one of the fuji units experienced a shutdown, which was repaired by replacement of a defective power supply. This failure is not thought to be related to the virus issue.

"over 122 medical devices have been compromised by malware over the last 14 months"

Statement of The Honorable Roger W. Baker [House Committee on Veterans' Affairs, Subcommittee on Oversight and Investigations, Hearing on Assessing Information Security at the U.S. Department of Veterans Affairs]



Achoo!





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How significant are intentional, malicious malfunctions in software?



The Tylenol Scare of 1982

Fatal tampering case is renewed

FBI searches a condo in Cambridge



FBI agents carrying items seized from an apartment building on Gore Street in Cambridge walked out before a phalanx of television photographers. Five boxes and a computer were removed, but the FBI would not comment on their contents. (JIM DAVIS/GLOBE STAFF)

February 5, 2009

🖂 Email | 🖶 Print | 🖹 Single Page | 🚺 Yahoo! Buzz | 🧲 ShareThis

Text size 🗕 🕇

This story was reported by Jonathan Saltzman, John R. Ellement, Milton J. Valencia, and David Abel of the Globe staff. It was written by Saltzman.



CAMBRIDGE -- FBI agents and State Police investigators searched a Cambridge condominium yesterday that is the longtime home of a leading suspect in the 1982 deaths of

seven people from cyanide-laced Tylenol capsules in the Chicago area, one of the most notorious unsolved crimes in the last generation.



On September 29, 1982, 12-year-old Mary Kellerman of Elk Grove Village, Illinois, woke up at dawn and went into her parents' bedroom. She did not feel well and complained of having a sore throat and a runny nose. To ease her discomfort, her parents gave her one Extra-Strength Tylenol capsule. At 7 a.m. they found Mary on the bathroom floor. She was immediately taken to the hospital where she was later pronounced dead. Doctors initially suspected that Mary died from a stroke, but evidence later pointed to a more sinister diagnosis.



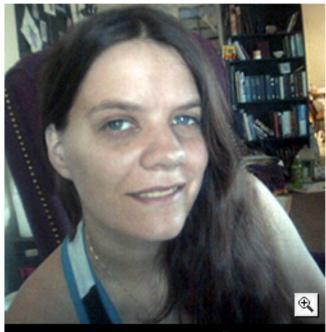
[Source: truTV crime library]

Bad People Do Exist

Hackers Assault Epilepsy Patients via Computer

By Kevin Poulsen 🖂

03.28.08 | 8:00 PM



RyAnne Fultz, 33, says she suffered her worst epileptic attack in a year after she clicked on the wrong post at a forum run by the nonprofit Epilepsy Foundation. *Photo courtesy RyAnne Fultz*

Internet griefers descended on an epilepsy support message board last weekend and used JavaScript code and flashing computer animation to trigger migraine headaches and seizures in some users.

The nonprofit Epilepsy Foundation, which runs the forum, briefly closed the site Sunday to purge the offending messages and to boost security.

"We are seeing people affected," says Ken Lowenberg, senior director of web and print publishing at the Epilepsy Foundation. "It's fortunately only a handful. It's possible that people are just not reporting yet -- people affected by it may not be coming back to the forum so fast."

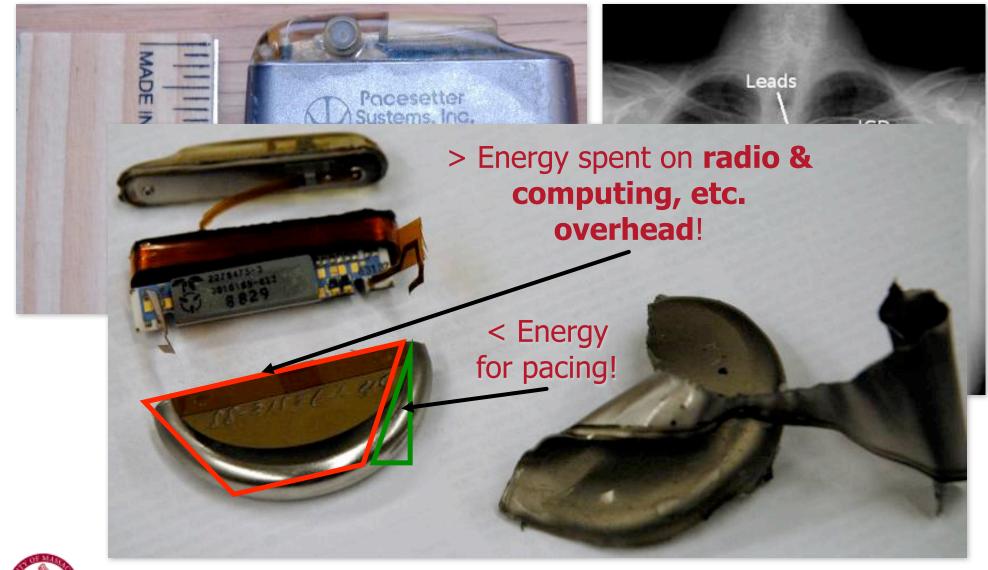
The incident, possibly the first computer attack to inflict physical harm on the victims, began Saturday, March 22, when attackers used a script to post hundreds of messages embedded with flashing animated gifs.

The attackers turned to a more effective tactic on Sunday,



injecting JavaScript into some posts that redirected users' browsers to a page with a more complex image designed to trigger seizures in both photosensitive and pattern-sensitive epileptics.

Pacemakers: Regulate heartbeat





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Implantation Scenario

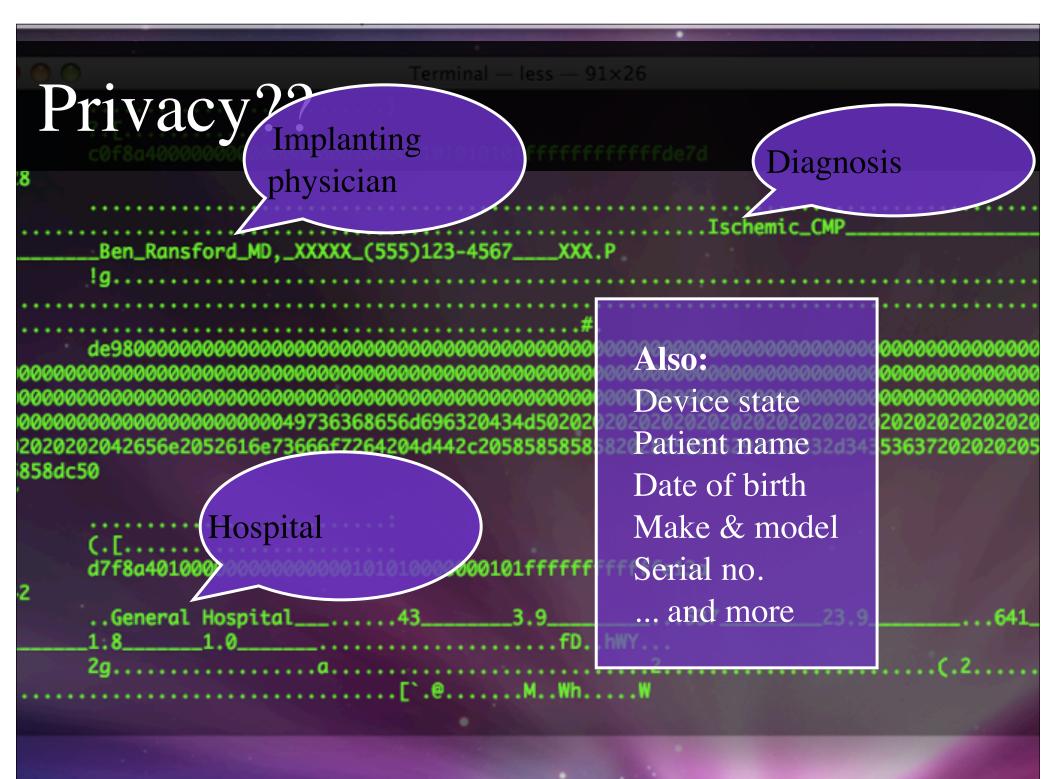
- 1. Doctor sets patient info
- 2. Surgically implants
- 3. Tests defibrillation
- 4. Ongoing monitoring





Photos: Medtronic; Video: or-live.com

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Wirelessly Induce Fatal Heart Rhythm



ICD software allows wireless induction of ventricular fibrillation

[Halperin et al., IEEE Symposium on Security & Privacy 2008]



HIT + Wireless + Internet + Interoperability + Mobility = Security & Privacy Risks



So now what?

Experimental platforms Post-market analysis

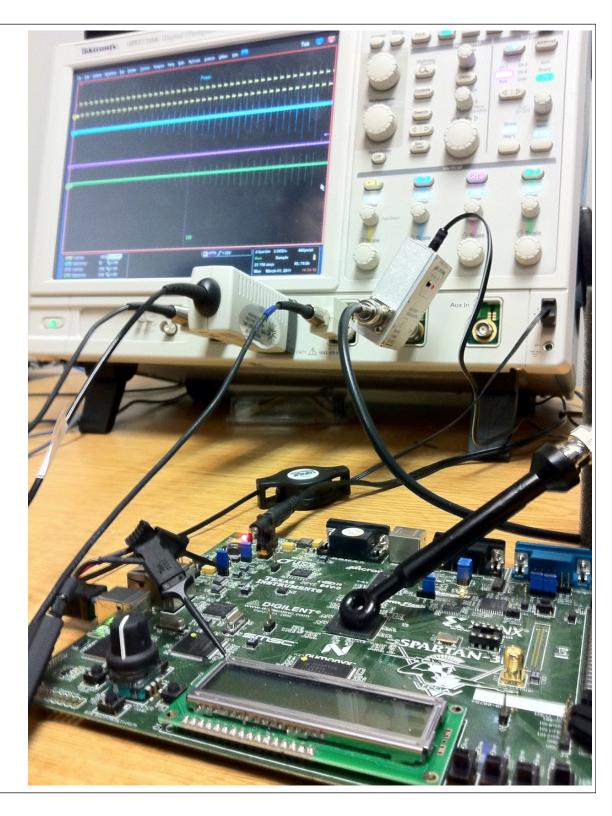


Open Medical Device Research Library

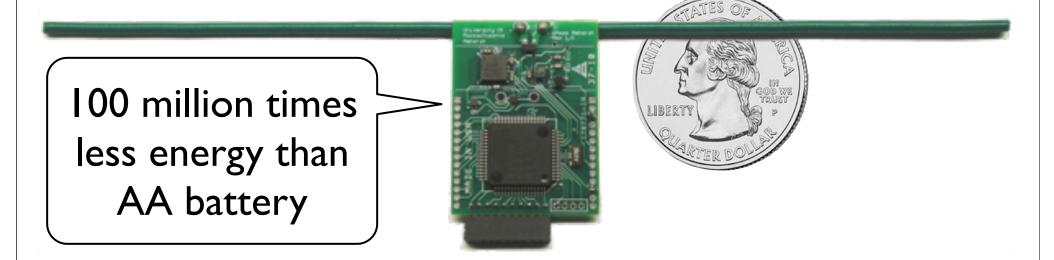




EM and Power Analysis

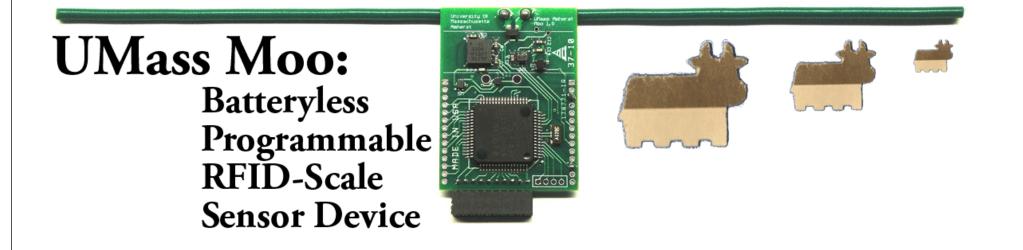


RFID-Scale Computing Platforms



http://spqr.cs.umass.edu/moo/

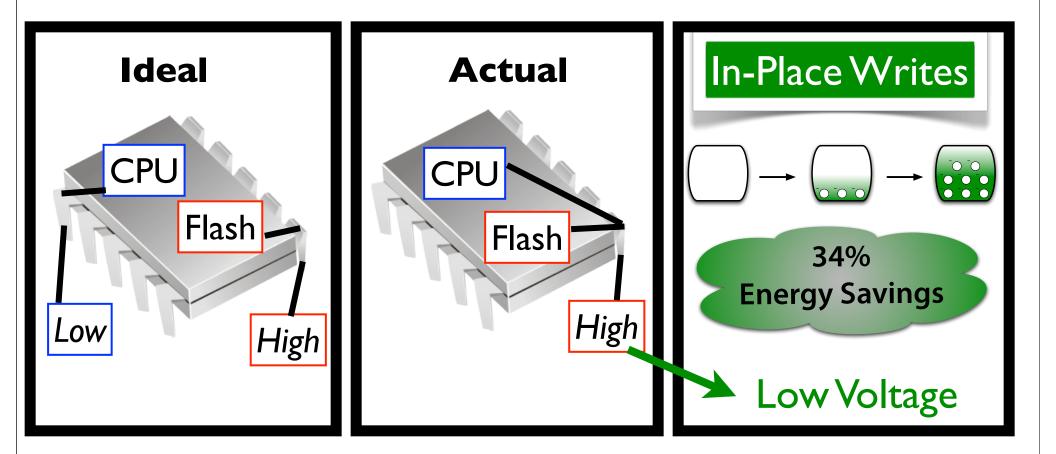




http://spqr.cs.umass.edu/moo/

Get your herd of Moos!

Smarter Storage for Low-Power Devices



Exploiting Half-Wits: Smarter Storage for Low-Power Devices [Salajegheh et al. USENIX FAST 2011]

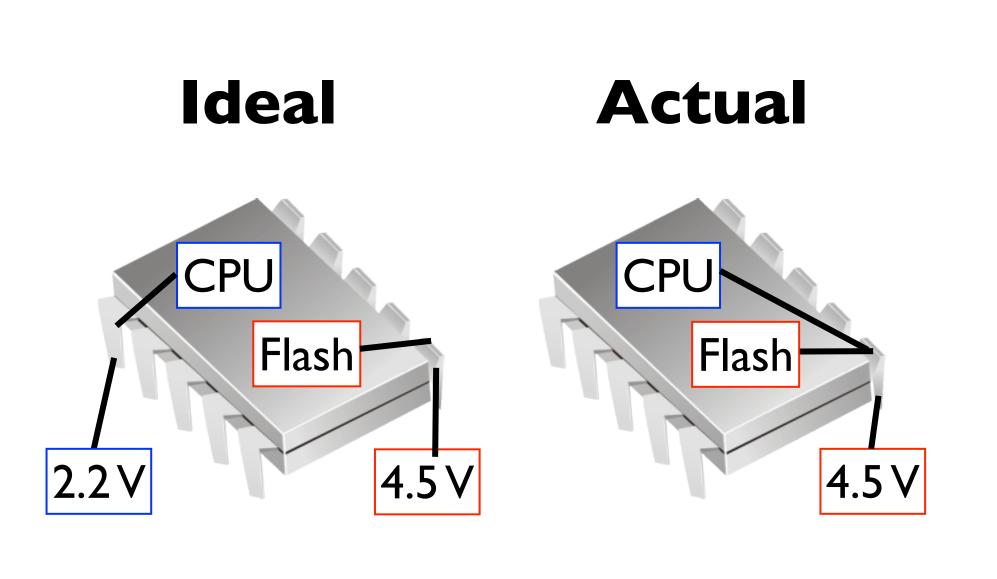
On-chip Flash

2.2 V vs. 4.5 V

Microcontroller with 8KB Embedded Flash Memory



Mastooreh Salajegheh, USENIX FAST 'I I



Energy ∝Workload

Energy \propto Worst case

Our Approach

Savings: Low-voltage

Write to flash memory at low voltage.



Cost: Errors

How hard is it to correct the errors?

Write once bits (Wits) [Rivest:82]

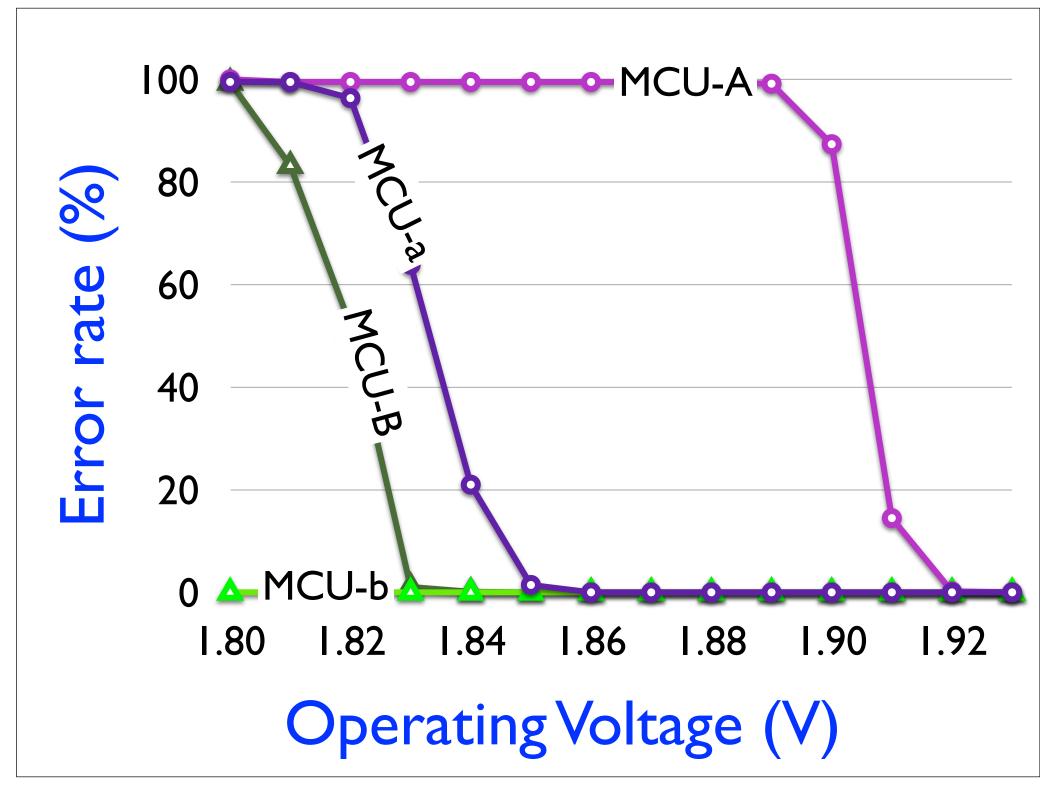
figure: http://arcweb.archives.gov

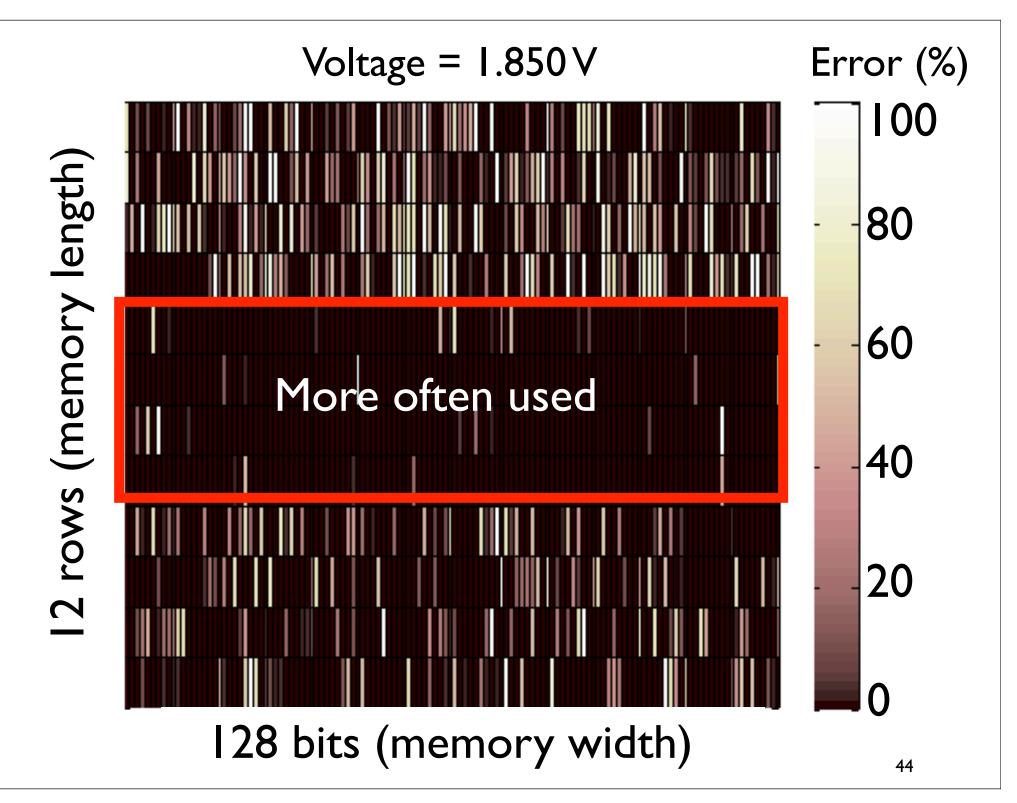
Partial Failure at Low Voltage

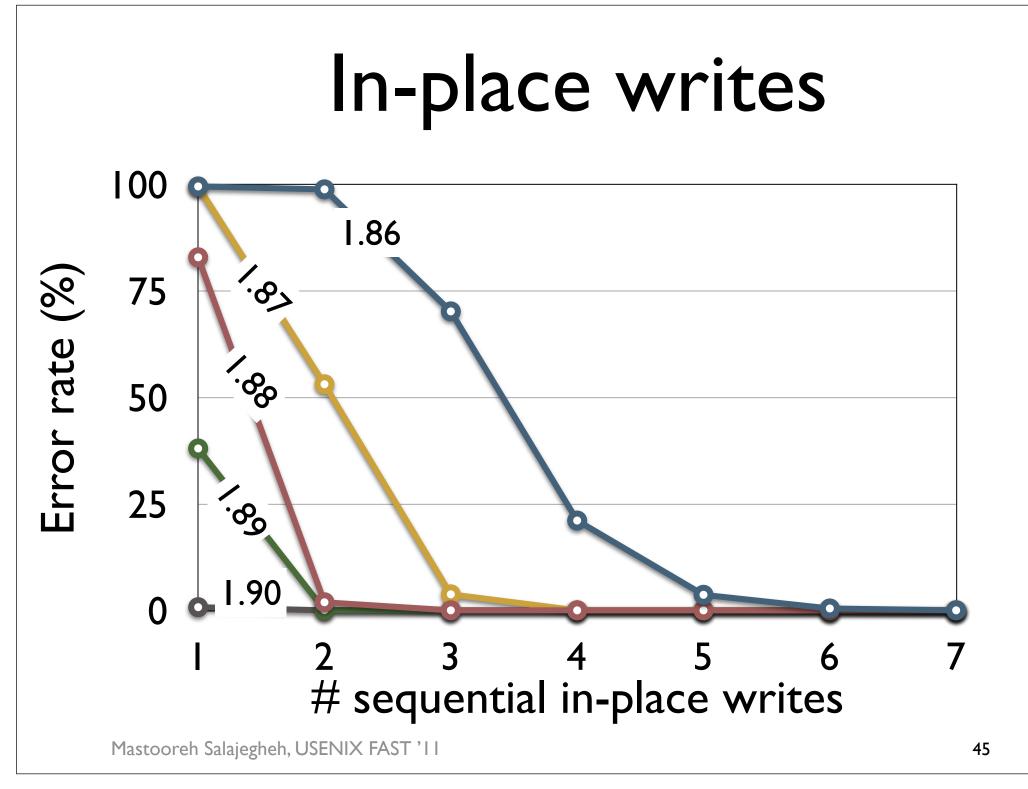
- Example:
- Initialized:
- Input: Result:

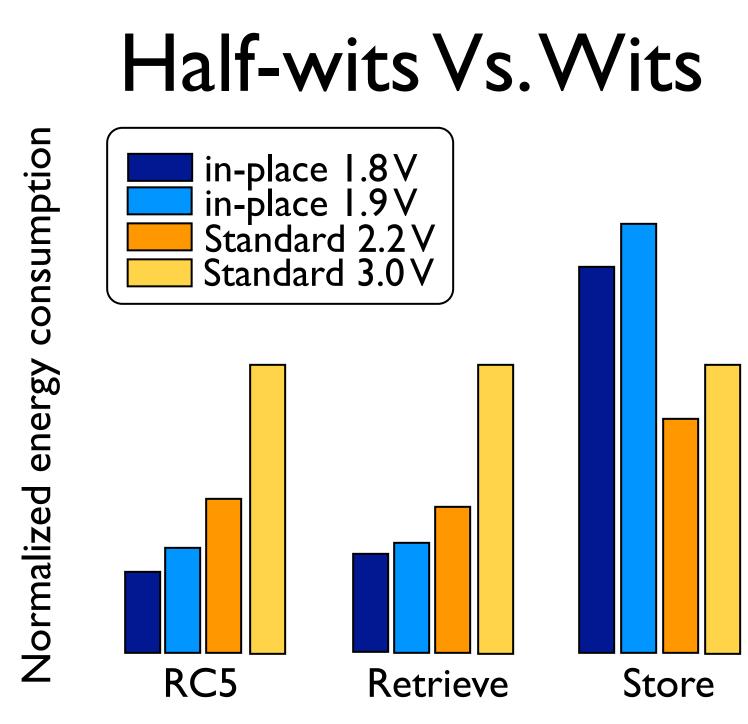
Mastooreh Salajegheh, USENIX FAST 'I I

Error









Mastooreh Salajegheh, USENIX FAST 'I I

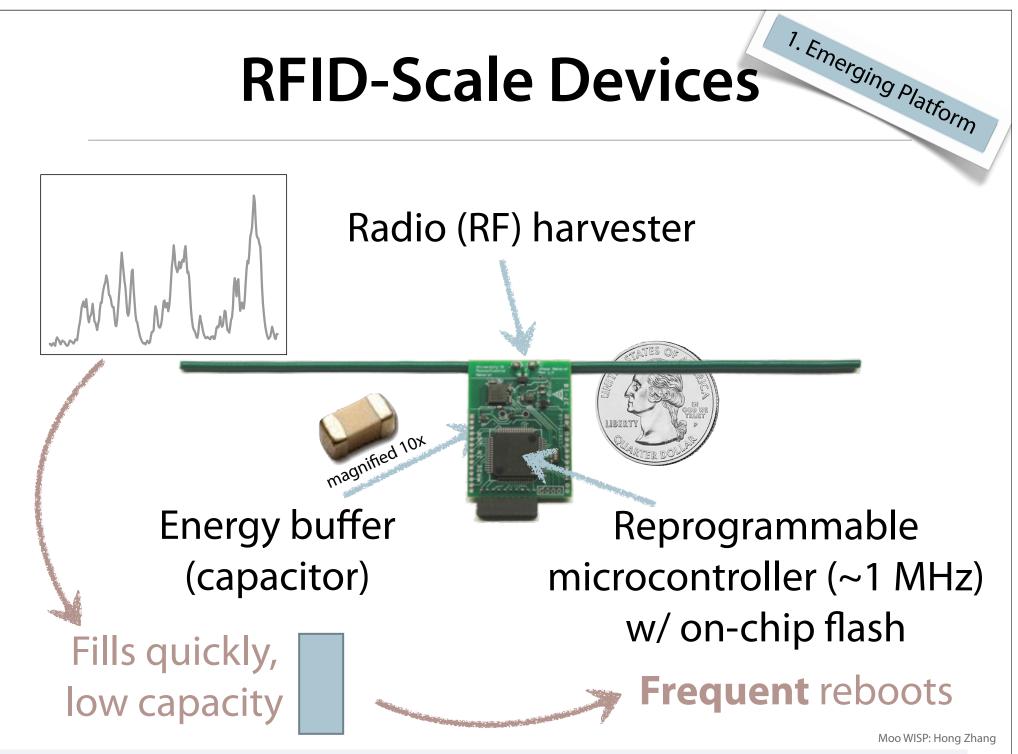
Accumulative Behavior

figure: steynian.wordpress.com

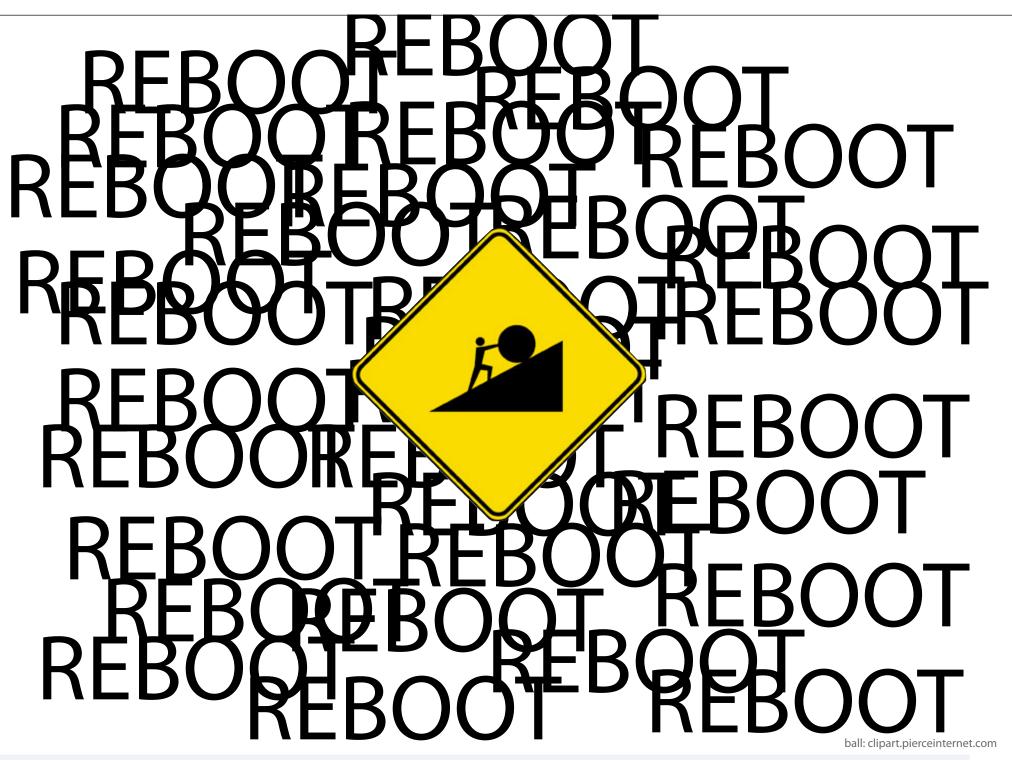
Summary of Half Wits:

- In-place writes on half-wits is an effective way to reduce wasted energy.
- Microcontrollers can work at a lower voltage and get more work done with the same amount of energy.
- The digital abstractions pay a higher price than necessary to provide reliability.





Mementos — Ransford — ASPLOS XVI



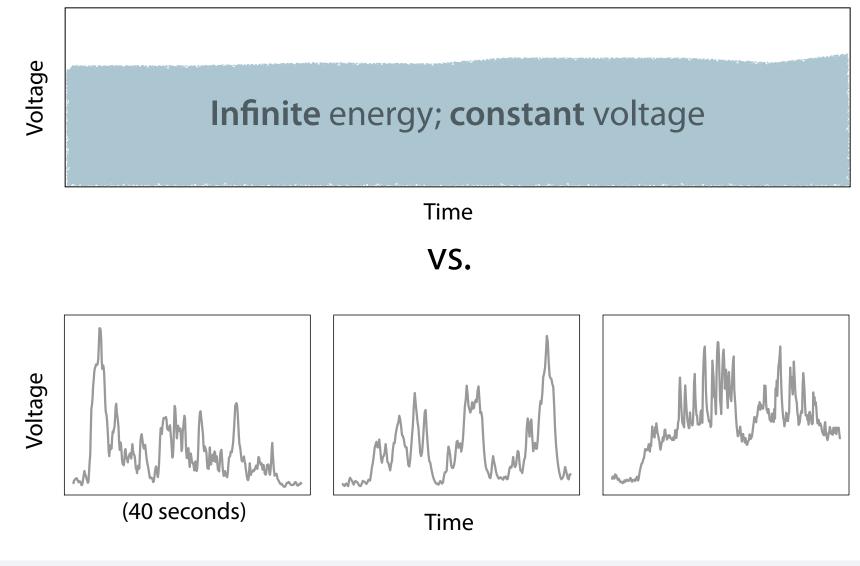
Robustness Under RF Harvesting



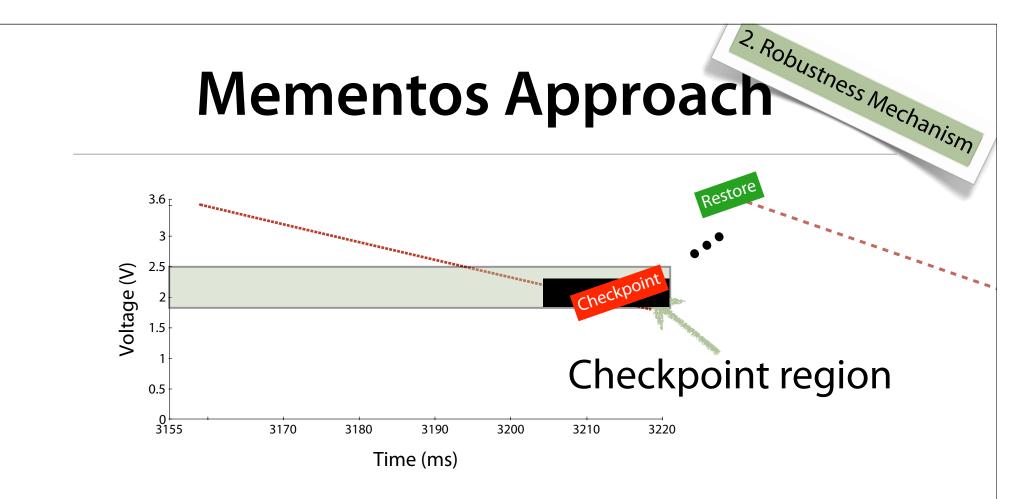
300 ms

- Typical approach: constrain the problem
- Mementos: relax constraints to make general-purpose computation feasible

Unpredictable Energy Morass



Mementos — Ransford — ASPLOS XVI

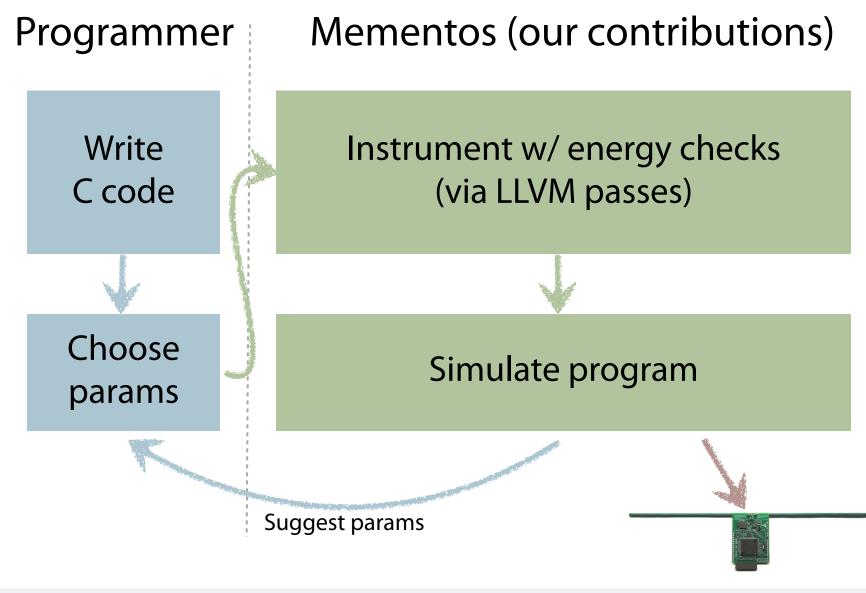


- Checkpoint when failure appears imminent
- Spread computation across reboots

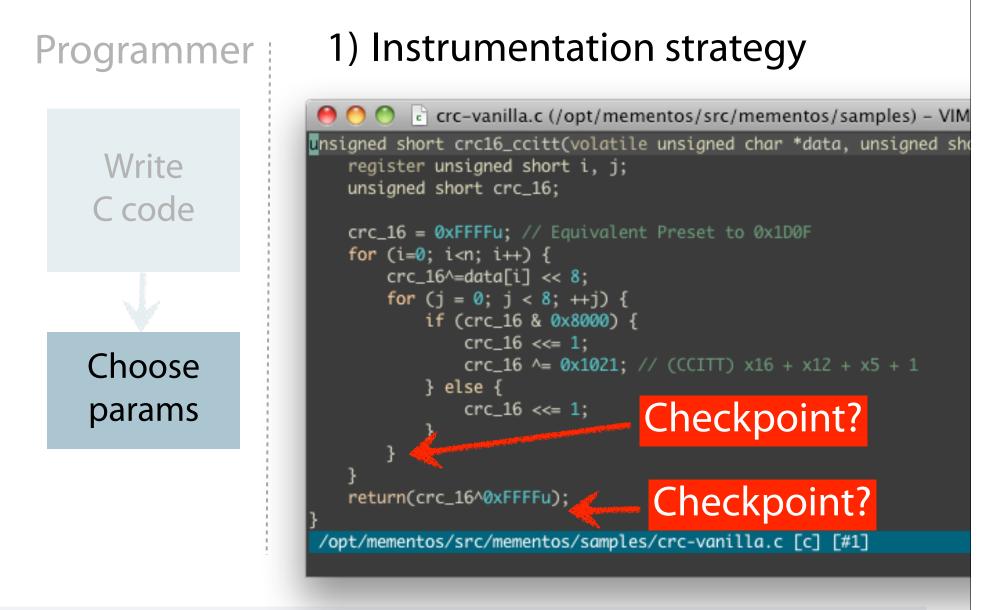


Movie poster: publispain.com

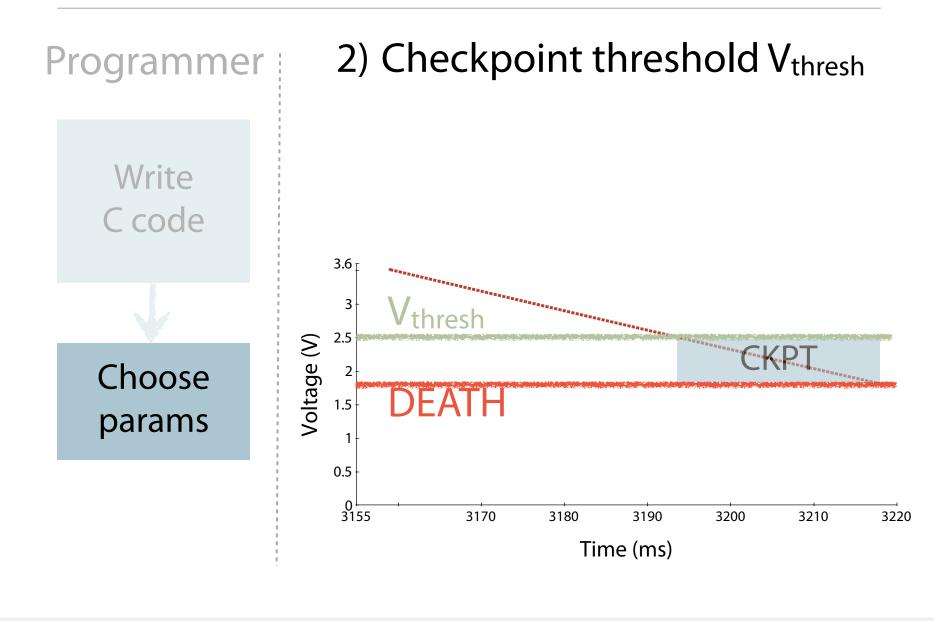
How to Use Mementos



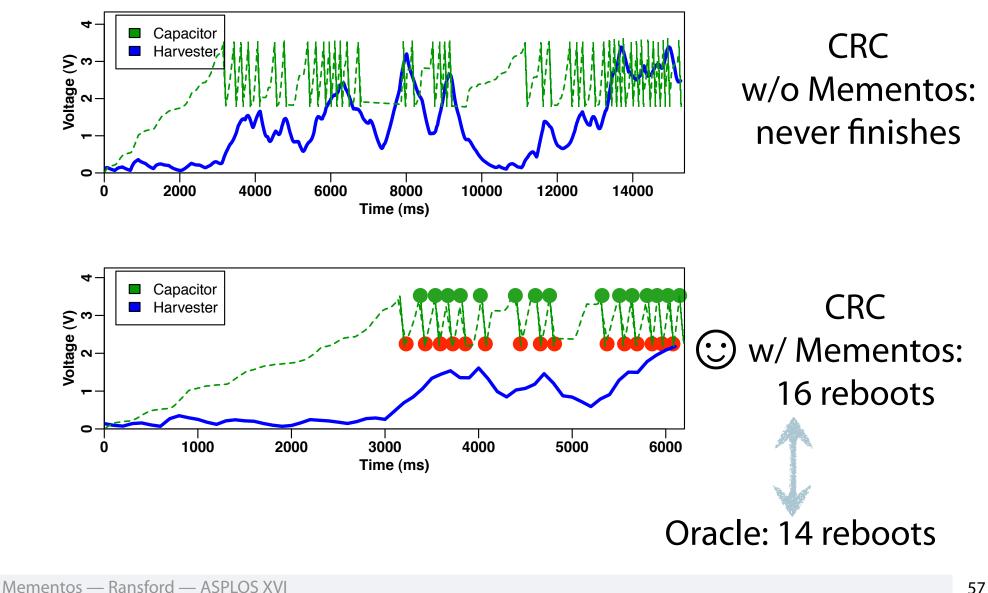
Choosing Parameters (1/2)



Choosing Parameters (2/2)



With and Without Mementos



Wireless + Internet Can Improve Healthcare

But not without fully understanding trustworthy software



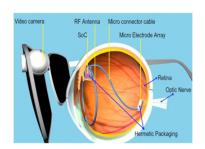
Insulin pump



Artificial pancreas



Neurostimulators



Artificial vision



Obesity control





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Trustworthy Medical Device SW

- In summary, software:
 - breeds overconfidence,
 - is not thoroughly testable, but
 - is flooding into medical devices
- Many risks could be mitigated with known technology
- Mitigate the risks by incentivizing manufacturers to
 - Adopt modern software engineering & systems engineering tech.
 - Create more meaningful specification of requirements
 - Better analyze human factors
 - Develop safety net for security and privacy

Need: Outcomes, statistics, open research, responsibility

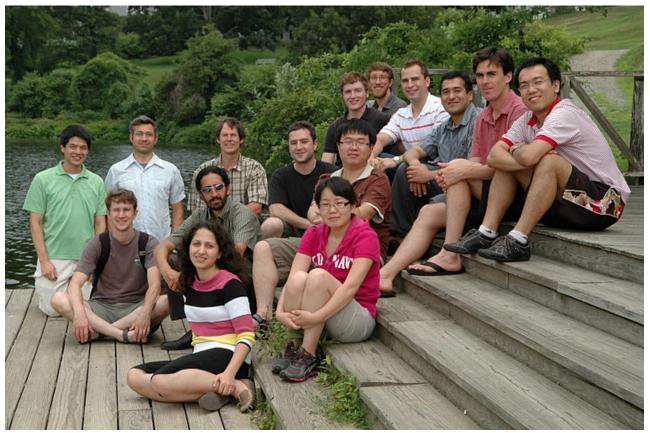
"Trustworthy medical device software"

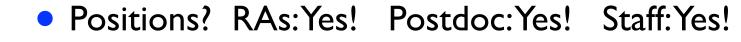
Kevin Fu. In Public Health Effectiveness of the FDA 510(k) Clearance Process: Measuring Postmarket Performance and Other Select Topics: Workshop Report, Washington, DC, 2011. IOM (Institute of Medicine), National Academies Press.

The S·P·Q·R Lab









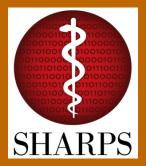






Strategic Healthcare Advanced Research Projects **(SHARP)** is sponsored by the Office of the National Coordinator of the United States Department of Health and Human services.

Began in April 2010 and lasts 4 years



Strategic Healthcare Advanced Research Projects for Security

SHARPS Rationale

- Cyber security and privacy (S&P) risks are a significant barrier to the deployment and meaningful use of health information technology.
- Many key challenges in these areas can be addressed with emerging and new technologies in S&P.
- SHARPS teams computer scientists who specialize in S&P with healthcare specialists interested in S&P for HIT. The aim is to produce new levels of communication and tech transfer.

SHARPS Environments

- EHR Electronic Health Records, managing patient records within an enterprise
- HIE Health Information Exchange, sharing records between enterprises or between an enterprise and a patient in the form of a Personal Health Record
- TEL Telemedicine, monitoring remotely, communicating with multimedia, and controlling implanted medical devices

SHARP research areas:

- Security and Privacy (SHARPS)
- Patient-Centered Cognitive Support
- ^DHealth Applications and Networking Platforms
- Secondary Use of Health Records

http://HealthIT.HHS.gov/sharp

SHARPS Participating Institutions

- University of Illinois at Urbana-Champaign
- Carnegie Mellon University
- Dartmouth College
- Harvard University and Beth Israel Deaconess Medical Center
- Johns Hopkins University and Children's Medical And Surgical Center
- New York University
- Northwestern University and Memorial Hospital
- Stanford University
- University of California, Berkeley
- University of Massachusetts Amherst
- University of Washington
- Vanderbilt University





Amherst & Northampton, Massachusetts, USA

http://rfid-cusp.org/rfidsec/

The 7th Workshop on RFID Security (RFIDsec) June 26–28, 2011 UMass Amherst - USA

RFIDsec is the premier workshop devoted to security and privacy in Radio Frequency Identification (RFID) with participants throughout the world. RFIDsec aims to bridge the gap between cryptographic researchers and RFID developers through invited talks and contributed presentations. About two thirds of the past workshop attendees hail from academia, and one third from industry and government. The workshop focuses on approaches to solve security and data-protection issues in advanced contactless technologies.

Submission: March 5, 2011

Notification: April 22, 2011

Final version: June 4, 2011

- Cryptographic protocols for RFID
 Authentication protocols
 Key update mechanisms
 Scalability issues
- Integration of secure RFID
 RFID security hardware
 Middleware and sec
 (Public-key) Infrastructures
- ▶ Resource-efficient implementation of cryptography
 - Small-footprint hardware Low-power architectures Low-power architectures Catel Sp. Org/rfidsec/

es proceedings Springer's Lt attion of series.

For submission information, please visit the RFIDSec web page. All submissions will be peer-reviewed. Accepted papers will be published in proceedings of Springer's LNCS series

http://rfid



Kevin Fu (General Chair), UMass Amherst, USA Ari Juels (PC Co-Chair), RSA Laboratories, USA Christof Paar (PC Co-Chair), Ruhr University Bochum, Germany/UMass Amherst, USA

Attack implementations, PUFs, Trojans



Your Homework

http://spqr.cs.umass.edu/ http://rfid-cusp.org/rfidsec/ http://www.cs.umass.edu/~kevinfu/ http://sharps.org/

Mementos: Ransford et al. [ASPLOS 2011] Half Wits: Salajegheh et al. [USENIX FAST 2011] CCCP: Salajegheh et al. [USENIX Security 2009]



Trustworthy Medical Device Software • Prof. Kevin Fu, UMass Amherst Computer Science

Extra Material



Trustworthy Medical Device Software • Prof. Kevin Fu, UMass Amherst Computer Science

Thalidomide Drug in 1961

- Had been on the market for years in Europe.
- FDA refused to approve for sale in USA
 - Cited lack of sufficient safety data
- Industry unhappy
 - Bullied FDA to approve the drug for marketing
 - Cited unnecessary delays
- Later...
 - More than 10,000 children in forty-six countries were born with mangled or nonexistent limbs as a result of exposure in utero.
 - Company withdrew application



Moore, K. L.: Manit. Med. Rev. 43:306, 1963.



Anti-virus Updates for Mammography?

HOLOGIC

The Women's Health Company

Dimensions Antivirus Software Installation

1. Introduction

1.1. Purpose

To install antivirus software on Dimensions product.

1.2. Scope

This document applies to all Dimensions products with version 1.x software.

1.3. Estimated Time

Installation of antivirus products takes approximately 30 minutes to complete including configuration.

1.4. Reference List

This document provides instructions for the following products.

- Symantec AntiVirus Corporate Edition version 10.x
- Symantec Endpoint Protection Client 11.x
- McAfee Enterprise VirusScan version 8.7.x

Note: These products must be provided by the customer. Load only the client program. Only one antivirus program is to be loaded per system. Please refer to the appropriate section for installation guide.

1.5. Definitions

 LiveUpdate – A feature that allows servers and clients to retrieve updates from an internal server or Symantec's official LiveUpdate server.







Harmless Choice of EHR/PHR Entry Style?



Trustworthy Medical Device Software • Prof. Kevin Fu, UMass Amherst Computer Science

JAMA Journal of the American Medical Informatics Association

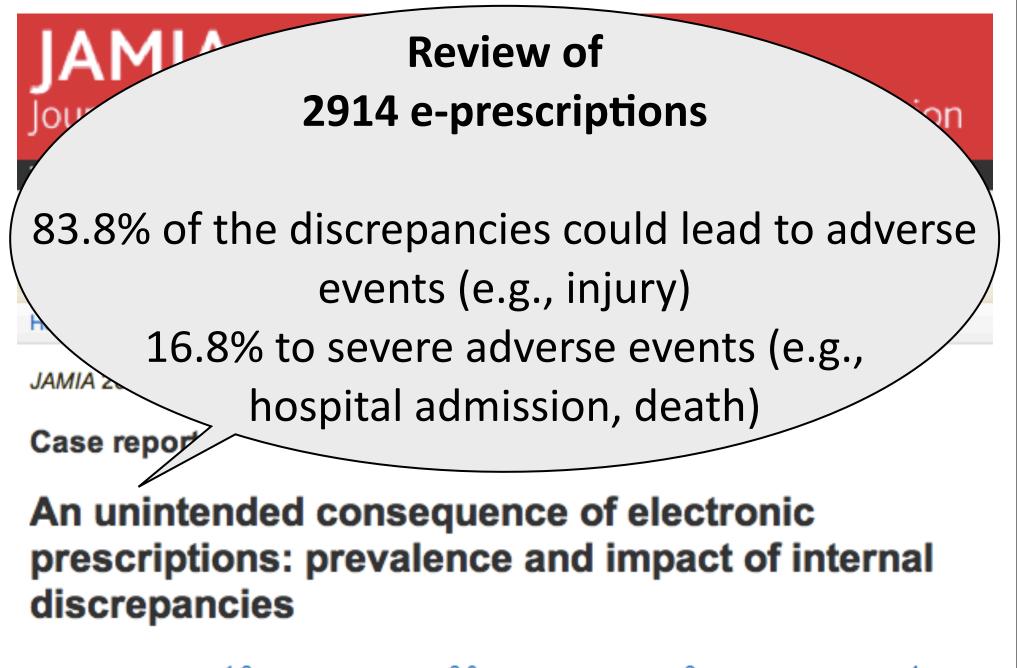
| The Journal of the American Medical Informatics Association | | | | | | | |
|---|-----|---------------|---------|----------|-----|-------------------|---|
| Online First | | Current issue | | Archive | | About the journal | S |
| Online First | Cur | rent issue | Archive | eLetters | RSS | Browse by section | |
| Home > Volume 17, Issue 4 > Article | | | | | | | |

JAMIA 2010;17:472-476 doi:10.1136/jamia.2010.003335

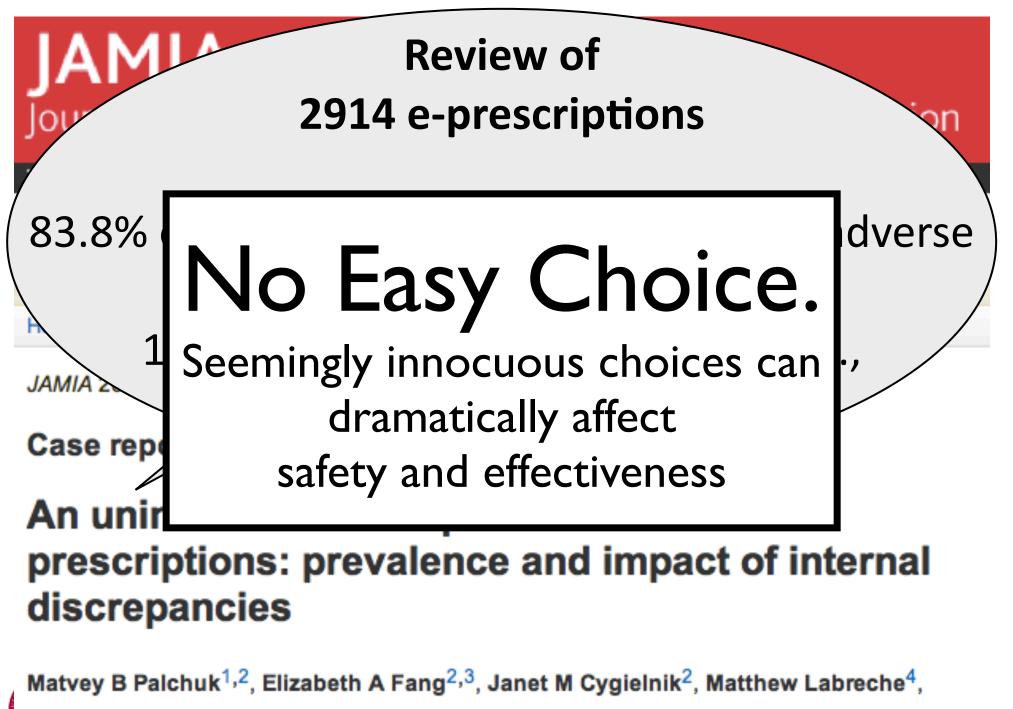
Case report

An unintended consequence of electronic prescriptions: prevalence and impact of internal discrepancies

Matvey B Palchuk^{1,2}, Elizabeth A Fang^{2,3}, Janet M Cygielnik², Matthew Labreche⁴, Maria Shubina², Harley Z Ramelson^{1,2}, Claus Hamann^{1,2}, Carol Broverman²,

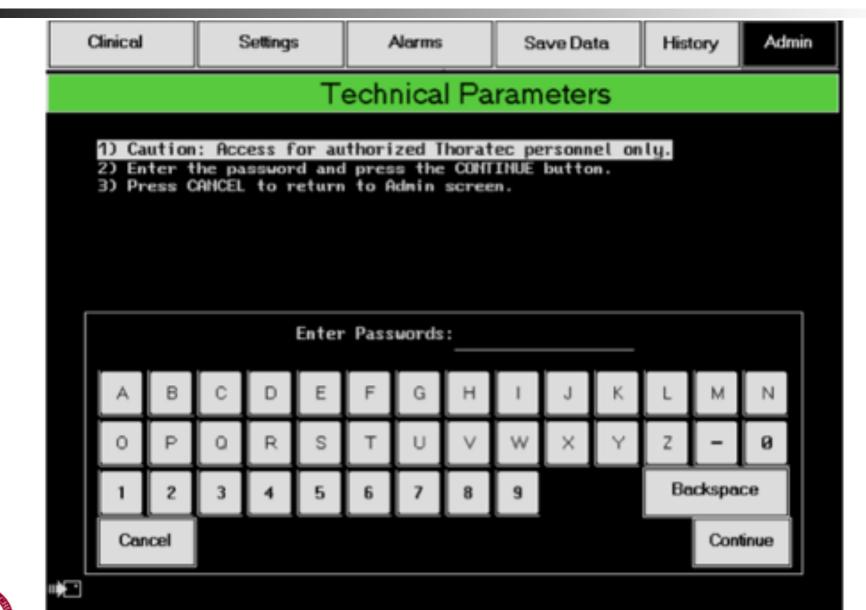


Matvey B Palchuk^{1,2}, Elizabeth A Fang^{2,3}, Janet M Cygielnik², Matthew Labreche⁴, Maria Shubina², Harley Z Ramelson^{1,2}, Claus Hamann^{1,2}, Carol Broverman²,



Maria Shubina², Harley Z Ramelson^{1,2}, Claus Hamann^{1,2}, Carol Broverman²,

Of LVADs & Trustworthy Software





U.S. Department of Health & Human Services » www.hhs.gov **FD** U.S. Food and Drug Administration go A-Z Index Search Home | Food | Drugs | Medical Devices | Vaccines, Blood & Biologics | Animal & Veterinary | Cosmetics | Radiation-Emitting Products | Tobacco Products Medical Devices Email this Page 🛱 Print this page 🕀 🗖 Change Font Size - Share 🖂 Home > Medical Devices > Medical Device Safety > Alerts and Notices (Medical Devices) Reminder from FDA: Cybersecurity for Networked Medical Medical Device Safety Devices is a Shared Responsibility Alerts and Notices (Medical Devices) Issued November 4, 2009 Information About Heparin For Luer Misconnections Medical device manufacturers, hospitals, medical device user facilities, healthcare IT and Safety Communications procurement staff, medical device users, biomedical engineers Public Health Notifications Issue (Medical Devices) FDA wants to remind you that cybersecurity for medical devices and their associated communication networks is a shared responsibility between medical device manufacturers and Tips and Articles on Device medical device user facilities. The proper maintenance of cybersecurity for medical devices and Safety hospital networks is vitally important to public health because it ensures the integrity of the Patient Alerts (Medical Devices) computer networks that support medical devices.

FDA is aware of misinterpretation of the regulations for the cybersecurity of medical devices that are connected to computer networks. FDA's interpretation of the regulations can be found in the 2005 guidance for industry and its accompanying information for healthcare organizations.