

# Learning Compositional Sparse Models of Bimodal Percepts

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## Abstract

Various perceptual domains have underlying compositional semantics that are rarely captured in current models. We suspect this is because directly learning the compositional structure has evaded these models. Yet, the compositional structure of a given domain can be grounded in a separate domain thereby simplifying its learning. To that end, we propose a new approach to modeling bimodal percepts that explicitly relates distinct projections across each modality and then jointly learns a bimodal sparse representation. The resulting model enables compositionality across these distinct projections and hence can generalize to unobserved percepts spanned by this compositional basis. For example, our model can be trained on *red triangles* and *blue squares*; yet, implicitly will also have learned *red squares* and *blue triangles*. The structure of the projections and hence the compositional basis is learned automatically for a given language model. To test our model, we have acquired a new bimodal dataset comprising images and spoken utterances of colored shapes in a tabletop setup. Our experiments demonstrate the benefits of explicitly leveraging compositionality in both quantitative and human evaluation studies.

## Introduction

Consider a robot that can manipulate small building-blocks in a tabletop workspace, as in Figure 1. Task this robot with following vocal human utterances that guide the construction of non-trivial building-block structures, such as *place an orange rectangle on top of the blue tower to the right of the green tower*. This experimental setup, although contrived, is non-trivial even for state-of-the-art frameworks. The robot must be able to interpret the spoken language (audio perception); segment individual structures, *orange rectangle*, (visual perception); it must be able to reason about collections of structures, *blue tower*, (physical modeling); it must be able to relate such collections, *to the right of*, (linguistics); and it must be able to execute the action, *place*, (manipulation). These challenging points are underscored by the frequency of table-top manipulation as the experimental paradigm in many recent papers in our community, e.g., (Kyriazis and Argyros 2013; Matuszek et al. 2012).

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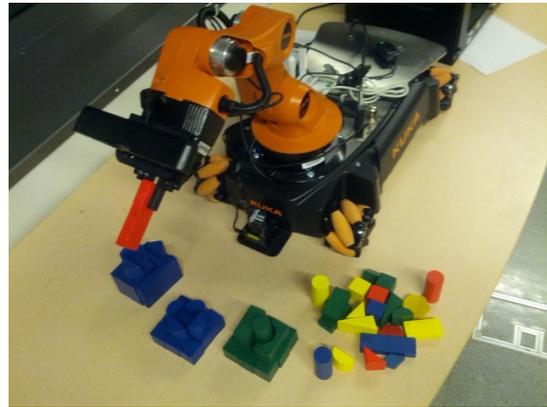


Figure 1: Our overarching goal is to improve human-robot/robot-robot interaction across sensing modalities while aiming at generalization ability. Multi-modal compositional models are important for effective interactions.

To achieve success in this experimental setup, the robot would need to satisfy Jackendoff’s Cognitive Constraint (Jackendoff 1983), or at least a robotic interpretation thereof. Namely, there must exist a certain representation that relates percepts to language and language to percepts because otherwise the robotic system would neither be able to understand its visual-linguistic percepts nor execute its tasks. This and similar cognitive-semantic theories led to symbol-grounding (Vogt 2002) and language-grounding (Roy and Pentland 2002; Chen and Mooney 2011).

Most existing work in symbol- and language-grounding has emphasized tying visual evidence to known language (Mavridis and Roy 2006; Barnard et al. 2003), learning language models in the context of navigation (Chen and Mooney 2011) and manipulation tasks (Knepper et al. 2013; Tellex et al. 2011) for a fixed set of perceptual phenomena, and even language generation from images and video (Das et al. 2013; Barbu et al. 2012; Krishnamoorthy et al. 2013). Considering a pre-existing language or fixed set of percepts limits the generality of these prior works.

Indeed, the majority of works in language grounding do not exploit the compositional nature of language despite the potential in doing so (Yu and Siskind 2013). One major lim-

itation of non-compositional representation is the resulting overwhelming learning problem. Take, the example of *orange rectangle* and *green tower* from earlier. The adjectives *orange* and *green* are invariant to the objects *rectangle* and *tower*. Compositional representations exploit this invariance whereas non-compositional ones have combinatorial growth in the size of the learning problem.

Some of the methods have enabled joint perceptual-lingual adaptation to novel input (Matuszek et al. 2012; Knepper et al. 2013) by exploiting the lingual compositionality, but none of them exploits the compositional nature of perceptual-lingual features to introduce generative ability in the joint model.

In this paper, we exploit the compositional nature of language for representing bimodal visual-audial percepts describing tabletop scenes similar to those in our example (Figure 1). However, we do not directly learn the compositional structure of these percepts—attempts at doing so have met with limited success in the literature, given the challenge of the structure inference problem (Fidler and Leonardis 2007; Porway, Yao, and Zhu 2008). Instead, we ground the bimodal representation in a language-based compositional model. We fix a two-part structure wherein groupings of visual features are mapped to audio segments. The specific mapping is not hand-tuned. Instead, it is automatically learned from the data, and all elements of the compositional model are learned jointly. This two-part compositional structure can take the form of adjective-noun, e.g., *orange rectangle*, or even noun-adjective; the method is agnostic to the specific form of the structure. The structure is induced by the data itself (the spoken language).

The specific representation we use is a sparse representation as it allows interpretability because the signal is represented by few bases while minimizing a goodness of fit measure. There is increasing physiological evidence that humans use sparse coding in representation of various sensory inputs (Barlow 1961; Lewicki 2002; Olshausen and Field 1997). The need for sparse coding is supported by the hypothesis of using least energy in neuron’s excitation to represent input sensory data. Furthermore, evidence suggests the multimodal sensory data is projected together on a common basis (Knudsen and Brainard 1995), like we do in our compositional model.

We have implemented our compositional sparse model learning for bimodal percepts in a tabletop experiment setting with real data. We observe a strong ability to learn the model from fully observed examples, i.e., the training set consists of all classes to be tested. More significantly, we observe a similarly strong ability to generalize to unseen but partially observed examples, i.e., the testing set contains classes for whom only partial features are seen in the training set. For example, we train on *blue square* and *red triangle* and we test on *blue triangle* and *red square*. Furthermore, this generalization ability is not observed in the state-of-the-art joint baseline model we compare against.

## Model

We describe our modeling approaches in this section. First, we begin by introducing the basic bimodal paired sparse

model, which learns a sparse basis jointly over specific visual and audial modalities. This paired sparse model is not new (Yang et al. 2010; Wang et al. 2012; Vondrick et al. 2013); it is the fabric of our compositional sparse model, which we describe second. The novel compositional sparse model jointly learns a mapping between certain feature/segment subsets, which then comprise the compositional parts to our model, and the paired sparse model for each of them.

## Paired Sparse Model

Paired sparse modeling is driven by two findings from neurobiology (Barlow 1961; Lewicki 2002; Olshausen and Field 1997; Knudsen and Brainard 1995): i) sparsity in representations and ii) various modality inputs are directly related. We hence use paired dictionary learning in which individual sensory data is represented by a sparse basis and the resulting representation shares coefficients across those bases. We are inspired by the success of paired dictionary learning in visualizing images from features (Vondrick et al. 2013), cross-style image synthesis, image super-resolution (Wang et al. 2012; Yang et al. 2010) and beyond.

We adapt paired dictionary learning to our problem by learning over-complete dictionaries for sparse bases in both the visual and audial domain while using the same coefficients across domain-bases. Following similar notation to (Vondrick et al. 2013), let  $x_i, y_i$  represent visual and audio features for the  $i$ th sample. These are related by the function mapping,  $x_i = \phi(y_i)$ . We seek to estimate forward ( $\phi$ ) and inverse ( $\phi^{-1}$ ) mappings while representing the audio and visual features with over-complete dictionaries (bases)  $U$  and  $V$ , respectively, coupled by a common sparse coefficient vector  $\alpha$ :

$$x_i = U\alpha_i \quad \text{and} \quad y_i = V\alpha_i . \quad (1)$$

Sparsity in the coefficient vector is enforced by an  $l_1$  metric (Tibshirani 1996) as  $\|\alpha\|_1 \leq \lambda$ . This ensures that only few bases are actively used for representing a particular input. For a given training dataset of size  $N$ , the over-complete dictionaries  $U$  and  $V$ , and the sparse coefficient vectors  $\{\alpha\}_i$  are jointly estimated by minimizing the  $l_2$  norm of the reconstruction error in both bases:

$$\arg \min_{U, V, \alpha} \sum_{i=1}^N (\|x_i - U\alpha_i\|_2 + \|y_i - V\alpha_i\|_2) \\ \text{s.t.} \quad \|\alpha_i\|_1 \leq \lambda \quad \forall i, \|U\|_2 \leq 1, \|V\|_2 \leq 1 . \quad (2)$$

Note that the bases of the over-complete dictionaries are further constrained to belong to a convex set such that individual bases have  $l_2$  norm less than or equal to unity.

The inverse mapping  $\phi^{-1}$  for a novel sample is found by first projecting  $y$  on the learned dictionary  $V$  and then using the obtained coefficients  $\alpha^*$  to compute  $x = U\alpha^*$ . The process of finding these coefficients involves the following optimization problem:

$$\alpha^* = \arg \min_{\alpha} \|V\alpha - y\|_2^2 \quad \text{s.t.} \quad \|\alpha\|_1 \leq \lambda . \quad (3)$$

Similarly one can obtain the forward mapping by first projecting  $x$  on learned dictionary  $U$  to obtain  $\alpha^*$  and then using

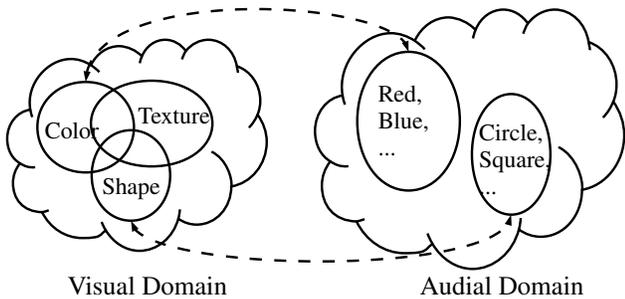


Figure 2: Mapping the physical concepts from visual domain such as color, texture and shape to the spoken language domain

the learned dictionary  $V$  to obtain  $y$ . Thus, the estimation of forward and inverse mapping gives one the ability to go from audial features to visual features and vice versa. We use the open source sparse coding package SPAMS (Mairal et al. 2010) for solving all the sparse optimization problems.

### Compositional Sparse Model

The paired model can link the two domains, but it can not exploit the compositionality inherently present in the language. Consider, again, the utterance *red square*. The part *red* describes the color of the object and the part *square* describes the shape of the object. The two parts are captured by distinctive and co-invariant visual features. We can hence explicitly map individual percepts between domains. Figure 2 illustrates the kind of mappings we expect to obtain between physically grounded concepts from the visual and audial (spoken human language) domain.

Consider  $n$  concepts, e.g., shape, from visual domain  $\mathcal{V}$ , which are linked to  $n$  concepts from the audial domain  $\mathcal{A}$ . This linking can be linearly represented by a matrix  $H$ , such that  $\{\mathcal{V}_i\} = H\{\mathcal{A}_j\}$  for all  $\{i, j\} = \{1, 2, \dots, n\}$ . Here, we assume that one visual concept is linked to one and only one audial concept, implying the following two constraints on the matrix: 1)  $\sum_j H(i, j) = \sum_i H(i, j) = 1$  and 2) each entry of the matrix can only be 1 or 0. Hence  $H$  is a permutation matrix. Most of the counter examples of this one-to-one mapping assumption, like 'apple' (red circle) are too specific to be directly grounded in just visual domain.

The linking matrix  $H$  can be time-varying due to nature of spoken language: *red rectangle* and *rectangle red* mean the same thing for a human but meaning different things for representation  $H$ . In this paper, we assume the audial domain has lingual structure, i.e, it has the same ordering of concepts in spoken language. The visual feature has a natural consistency induced by the ordering of the visual concepts.

The mapping between the  $i$ th audial and visual example is represented by

$$\mathbf{x}_i = \Phi \star H \mathbf{y}_i, \quad (4)$$

where  $\mathbf{x}_i = [x_i^1, x_i^2, \dots, x_i^n]$ ,  $\mathbf{y}_i = [y_i^1, y_i^2, \dots, y_i^n]$  with superscript denoting the feature representation from a particular visual or audial concept,  $\star$  denotes the element-wise product

operator.  $\Phi$  is tensor of operators  $\{\mathcal{L}_{p,q}: 1 \leq \{p, q\} \leq n\}$  that maps audial features ( $x_i^q$ ) from  $q^{th}$  audial concept to visual features ( $y_i^p$ ) of  $p^{th}$  visual concept with paired dictionary learning, as described in the previous section.

We jointly solve the following optimization problem:

$$\arg \min_{U^k, V^k, \alpha^k} \sum_{i=1}^N \sum_{k=1}^n (\|x_i^k - U^k \alpha_i^k\|_2 + \|y_i^k - V^k \alpha_i^k\|_2) \quad (5)$$

$$\text{s.t. } \|\alpha_i^k\|_1 \leq \lambda^k \quad \forall \{i, k\}, \|U^k\|_2 \leq 1, \|V^k\|_2 \leq 1.$$

The inverse mapping  $\mathbf{y} \mapsto \mathbf{x}$  is obtained by first projecting  $\mathbf{y}$  on the learned basis

$$\alpha^* = \arg \min_{\alpha^k} \sum_{k=1}^n |V^k \alpha^k - y^k|_2^2 \quad \text{s.t. } \|\alpha^k\|_1 \leq \lambda \quad (6)$$

and then using the linking matrix  $H(\cdot)$ . Unlike with a single paired dictionary, there is an additional optimization problem required for forward and inverse mapping to estimate  $H$  after estimating the  $\Phi$  tensor from the learned bases,

$$\arg \min_{H \in \mathcal{H}} \sum_{i=1}^N \|x_i - \Phi \star H y_i\|_2 \quad (7)$$

$$\text{s.t. } \mathcal{H} : H(i, j) = \{0, 1\}, \sum_j H(i, j) = \sum_i H(i, j) = 1,$$

where  $\mathcal{H}$  is the space of all permutation matrix.

Observe that the optimization problems in Eqs. 5 and 6 become complex as  $\Phi$  and  $H$  are to be simultaneously estimated involving  $n^2$  sparse mappings and  $n$  parameters of the permutation matrix. However, the constraints imposed on the linking matrix  $H$  ensure that only  $n$  mappings are used. Hence, we proceed in a sequential manner, first estimating the matrix  $H$  and then only learning the  $n$  sparse mappings that are required. Notice also that when  $n = 1$ , compositional sparse learning reduces to paired sparse learning.

We estimate the matrix  $H$  based on the intuition that distance in visual and in audial feature representations of the same physically-grounded concept should co-vary. Correlation coefficients can not be directly estimated because the visual and audio features belong to different vector spaces. Instead, we estimate  $H$  based on clustering separately in each domain and then linking clusters across domains using the Hungarian algorithm (Kuhn 1955) and V-measure (Rosenberg and Hirschberg 2007) for cluster similarity.

### Features

In this paper, we restrict our study to the concepts of color and shape, without loss of generality. We extract color and shape features from the visual domain and segment the audio into two parts (ideally, words) representing individual concepts.

**Visual** Similar to (Matuszek et al. 2012), we first segment the image (in HSV space). Since the shapes used in current

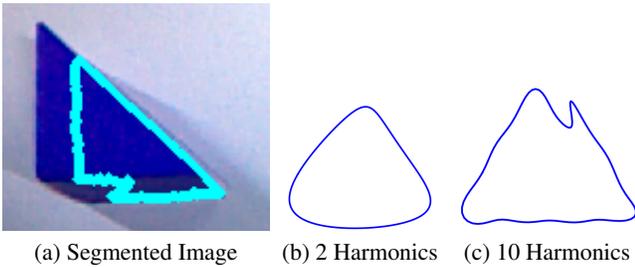


Figure 3: Fourier Representation of a triangular shape with 2 and 10 fourier harmonics

work consist of basic colors, they are relatively easily segmented from the background using saturation. To represent color, we describe each segment by its mean RGB values. To represent the shape of each segment we opt for a global shape descriptor based on Fourier analysis of closed contours (Kuhl and Giardina 1982).

Fourier features represent a closed contour by decomposing the contours over spectral frequency. Lower frequencies capture the mean of shape while higher frequencies account for subtle variations in the closed contours. The visual system of humans is found to have capabilities to form two- and three-dimensional percepts using only one-dimensional contour information (Elder and Zucker 1993).

We extract contours of the segmented/foreground object and use chain codes (Freeman 1974) to simplify analytical extraction of elliptic Fourier features (Kuhl and Giardina 1982). After removing the constant Fourier component, we introduce rotational invariance by rotating of Fourier elliptical loci with respect to the major axes of the first harmonic (Kuhl and Giardina 1982). Figure 3 shows the Fourier feature representation of a contour of a segmented triangular shape. It can be seen that the shape is represented as a triangle even with 2 harmonics given the imperfect segmentation. Note, the representation is invariant to position, rotation and scale and hence the figure shows the triangle in a standardized coordinate frame.

**Audio** We use Mel Frequency Cepstral Coefficients (MFCC) (Logan 2000) which are widely used in audio literature to represent audio signals. MFCC features are obtained by dividing the audio signal into small temporal frames and extracting cepstral features for each frame. This feature models important human perception characteristics by ignoring phase information and modeling frequency on a ‘‘Mel’’ scale (Logan 2000). Since the audio files are of different time lengths, we extract the top 20 frames with maximum spectral energy.

## Experiments and Results

We perform rigorous qualitative and quantitative evaluation to test generalization and reproduction abilities of the paired sparse and compositional sparse models. Quantitative performance is estimated to assess reproduction ability of the algorithm by performing 3-fold cross-validation. Qualitative

	$a_1$	$a_2$
$v_1$	0.1	1
$v_2$	0.4	0.1

Table 1: V-measure distance matrix between the feature representation.  $v_1$  and  $v_2$  represent RGB and Fourier descriptor features, respectively,  $a_1$  and  $a_2$  represent the feature extracted from first and second audio segment.

Shape\Color	Blue	Green	Red	Yellow	Total
Circle	6	6	2	6	20
HalfCircle	6	4	4	4	18
Rectangle	6	6	6	2	20
Rhombus	10	0	0	0	10
Square	10	10	10	10	40
Triangle	8	6	8	6	28
Trapezium	0	0	10	0	10
Hexagon	0	0	0	10	10
Total	46	32	40	38	

Table 2: Shape and Color Exemplars in the dataset

performance is evaluated to infer the generalization capabilities of the proposed compositional sparse model and compare its performance with non-compositional paired sparse model. For the purpose of presenting results, we only consider mapping from audio to visual in order to depict results in the paper. However, with the model both audio to visual and visual to audio representations can be derived.

We extract 260 dimensional audio features from selected 20 audio frames, 20 fourier harmonics, 3 dimensional color feature and fix  $\lambda = 0.15$  for all of the experiments.

Table 1 shows the evaluation of linking matrix  $H$  based on the ground-truth data. RGB features and shape features are denoted by  $v_1$  and  $v_2$  respectively. Audio feature  $a_1$  represent features from utterance of shape and  $a_2$  represents features from utterance of color. This matrix gives a very simple alignment of  $v_1 \mapsto a_2$  and  $v_2 \mapsto a_1$  which will be used in compositional model.

**Dataset** We acquired a new dataset of shapes and colors with 156 different examples (Table 2) of images showing a shape captured from a camera in various rotation and translation on the tabletop. We generated machine audio that describes the color and shape of the capture image (e.g., *red rectangle*) with random speeds. We also produced segmented audio by generating machine audio separately for color and shape of the referred image to be used with the compositional model.

**Visualization** To generate a visualization (audial-to-visual generation), we use inverse mapping  $\phi^{-1}$  and  $(H \star \Phi)^{-1}$  to generate visual features from audial features. The generated visual feature consists of Fourier features and mean RGB intensity values. Since Fourier features are rotation and translation invariant, a close representation of original image can not be generated. For visualizing results, we reconstruct the

contour using Fourier features and fill the contour with predicted RGB values.

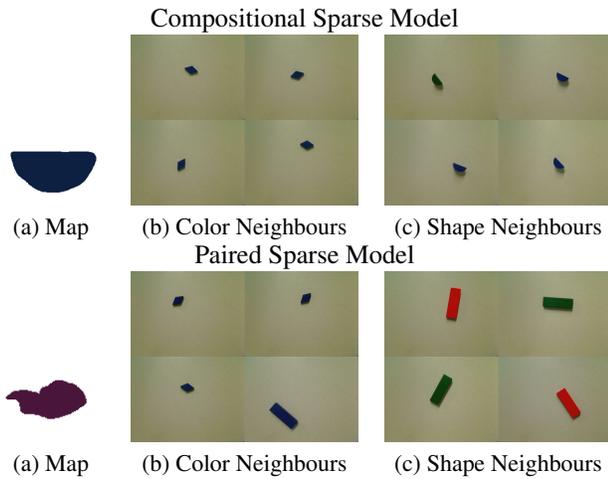


Figure 4: For the audial utterance *blue halfcircle*, (a) generated image by mapping from audial to visual domain. (b), (c) retrieval of color and shape neighbors by both models.

## Reproduction Evaluation

For reproduction, we seek to evaluate the performance of a robot for a theoretical command, *pick a 'red rectangle' from a box full of all the shapes*, which is a subset of the broader picture described in the Introduction. We perform a 3-fold cross-validation study to assess this retrieval performance by dividing the dataset into 3 parts, using 2 parts for training and remaining part for testing (and then permuting the sets). We test retrieval performance for different concepts (color and shape) separately for paired sparse learning and compositional sparse learning. A color or shape is determined to be correctly understood by the robot if the said color or shape is present in top  $k$  retrieved examples. Retrieval is performed by first extracting the audial feature from the audial stream, using the trained linking matrix to extract visual features and then picking the closest object from all the training examples.

The closeness of a visual object to generated visual feature is measured by a distance metric in the visual feature space. We compare the feature vectors to extract  $k$  nearest neighbors using an  $l_1$  distance, in the appropriate concept feature subspace. For evaluation, we set the parameter  $k$  to be 5, which means that if there is a match in the top 5 nearest neighbors, the trial is deemed to be successful. Figure 4 shows the reproduction performance for an audial utterance *blue halfcircle*. It is observed that while the compositional model gets both the color and shape correct, the paired model fails in reproducing the correct shape.

Figure 5 compares the quantitative retrieval performance for the compositional and paired models. It is observed that the paired model forms a good baseline for evaluating the compositional model, which always achieves equivalent or better performance. The reason for good performance of the

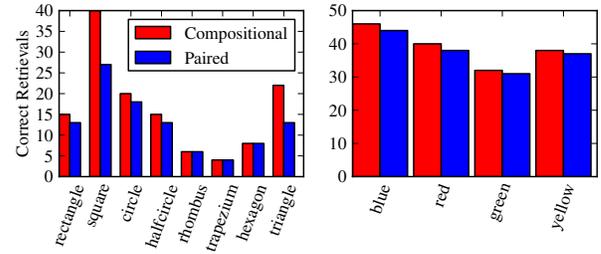


Figure 5: Comparison of correct retrievals by two different algorithms compositional and non-compositional. Left image shows the retrieval of shape features, while right shows that of color.

paired model can be attributed to the presence of similar examples in the training data.

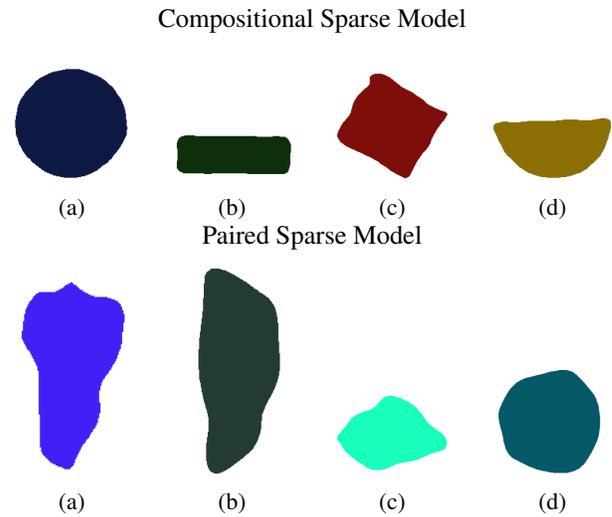


Figure 6: Generalization performance result depiction for audial utterances (a) *blue circle* (b) *green rectangle* (c) *red square* (d) *yellow halfcircle*

## Generalization Evaluation

We test compositional sparse and paired sparse models with respect to their generalization capabilities on novel samples. Here, we test generalization across color and shape. Generalization is evaluated by generating images of a particular color and shape whose training examples have been removed from the dataset. For a good generalization performance, the model must generate implicit meaning of utterances such as *green* and *triangle*.

Figure 6 shows the pictorial results from various audio utterances from compositional sparse and paired sparse models. For the audio utterance *blue circle*, both models get the right color but compositional model achieves better shape generation which is the case for utterance *green rectangle* as well. For the audial utterance *red square* compositional model achieves both shape and color while the paired model is not able to represent color. From these examples, it is

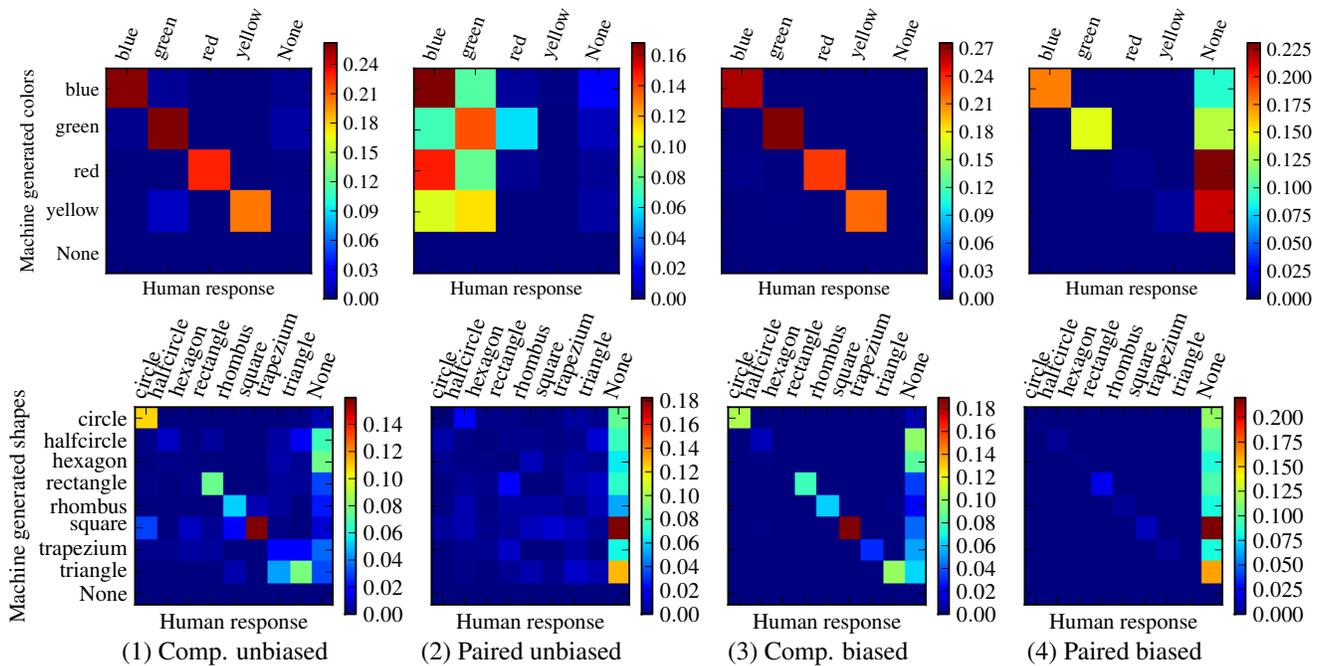


Figure 7: Confusion matrices for generalization experiments evaluated by human subjects. Rows are for different features: colors and shapes. Columns from left to right are four different experiments (1) Images generated by compositional model are evaluated by humans with *unbiased* questions like “Describe the color and shape of this image” from fixed set of choices (2) Paired model with *unbiased* questions. (3) Compositional model with biased questions like “Is the shape of generated image same as the given example image?” 4) Paired model with biased questions.

clear that compositional model can handle generalization both across shape and color much better compared to the paired model. The paired sparse model—as reflected in these results—is incompetent for this task because it does not distinguish between individual percepts.

For qualitative evaluation of generalization capabilities, we use evaluation by human subjects. For this, we generate two sets of images, one from the compositional model and one from the paired model. Each set of these images is then presented to human subjects through a web-based user interface, and the humans are asked to “Describe the color and shape of the above image” while being presented with the color and shape options along with “None of these” from the training data. Note that in this experiment the human subject is not shown any samples from the training data. Hence, we call these experiment *compositional unbiased* and *non-compositional unbiased* depending on the generating model.

In another set of experiments we *bias* the human subject by showing them an example image of the color and shape for which the image has been generated. The subject is expected to answer in “Yes” or “No” to the question: “Is the color (shape) of the above image same as the example image?” Whenever the subject says “Yes”, we take the response as the expected color/shape; for “No” we assume “None of these” option.

Figure 7 shows the human qualitative performance metrics for this test. It is observed that color generalizes almost perfectly using our proposed compositional sparse model

while the paired sparse model gives poor performance in both biased and unbiased human evaluation. On the generalization of shape, the compositional model again achieves much better performance over the baseline paired model in both biased and unbiased experiments. Using the internal semantics of humans, it is observed that *halfcircle* is frequently represented as *rectangle* or *trapezium* for the compositional model. It is likely because of the shape feature with invariance whose closed contour representation is not enough to distinguish perceptually similar shapes. Furthermore, *triangle* is often mistaken as *trapezium* which can be explained by a similar starting sound. It is seen that biased results give better performance denoting improved assessment after recalibration of human semantics to current experimental shapes.

## Conclusion

We propose a novel model representing bimodal percepts that exploits the compositional structure of language. Our compositional sparse learning approach jointly learns the over-complete dictionaries, sparse bases, and cross-modal linking matrix. In contrast to prior work in bimodal modeling which is primarily discriminative in nature, e.g., (Roy and Pentland 2002; Roller and Schulte im Walde 2013), our compositional sparse learning approach is generative and hence transparent. We demonstrate the effectiveness of sparsity and compositionality by both qualitative and quantitative evaluations.

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