
Statistical Signal Processing for Gene Microarrays

Alfred O. Hero III

University of Michigan, Ann Arbor, MI

<http://www.eecs.umich.edu/~hero>

Sept 2004

1. Hierarchy of biological questions and gene microarrays
2. Analysis of gene microarray data
3. Gene filtering, ranking and clustering
4. Discovery of gene co-regulation networks
5. Wrap up and References

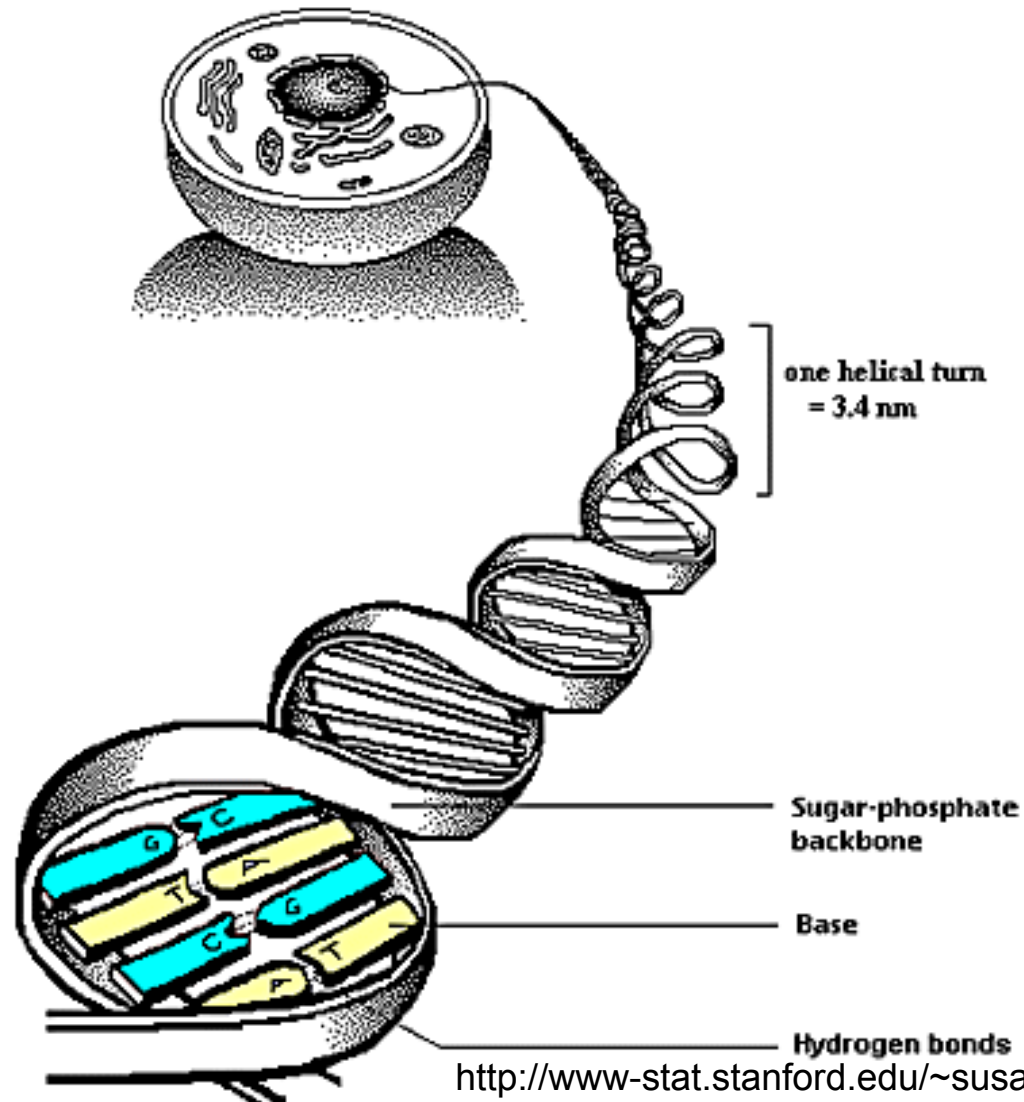


1. Hierarchy of biological questions

- **Gene sequencing**: what is the sequence of base pairs in a DNA segment, gene, or genome?
- **Gene Mapping**: what are positions (loci) of genes on a chromosome?
- **Gene expression profiling**: what is pattern gene activation/inactivation over time, tissue, therapy, etc?
- **Genetic circuits**: how do genes regulate (stimulate/inhibit) each other's expression levels over time?
- **Genetic pathways**: what sequence of gene interactions lead to a specific metabolic/structural (dys)function?

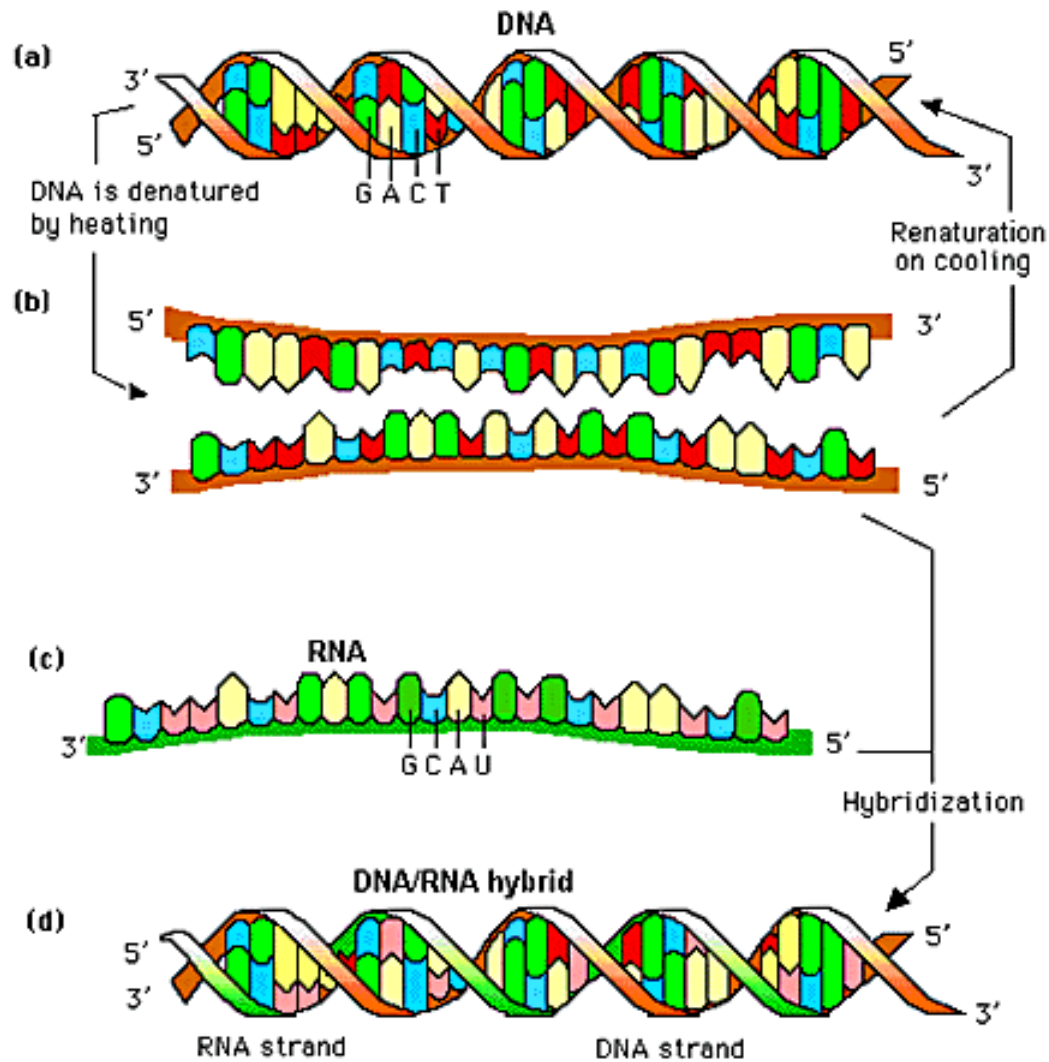


THE STRUCTURE OF DNA



<http://www-stat.stanford.edu/~susan/courses/s166/node2.html>





Nucleic Acid Hybridization

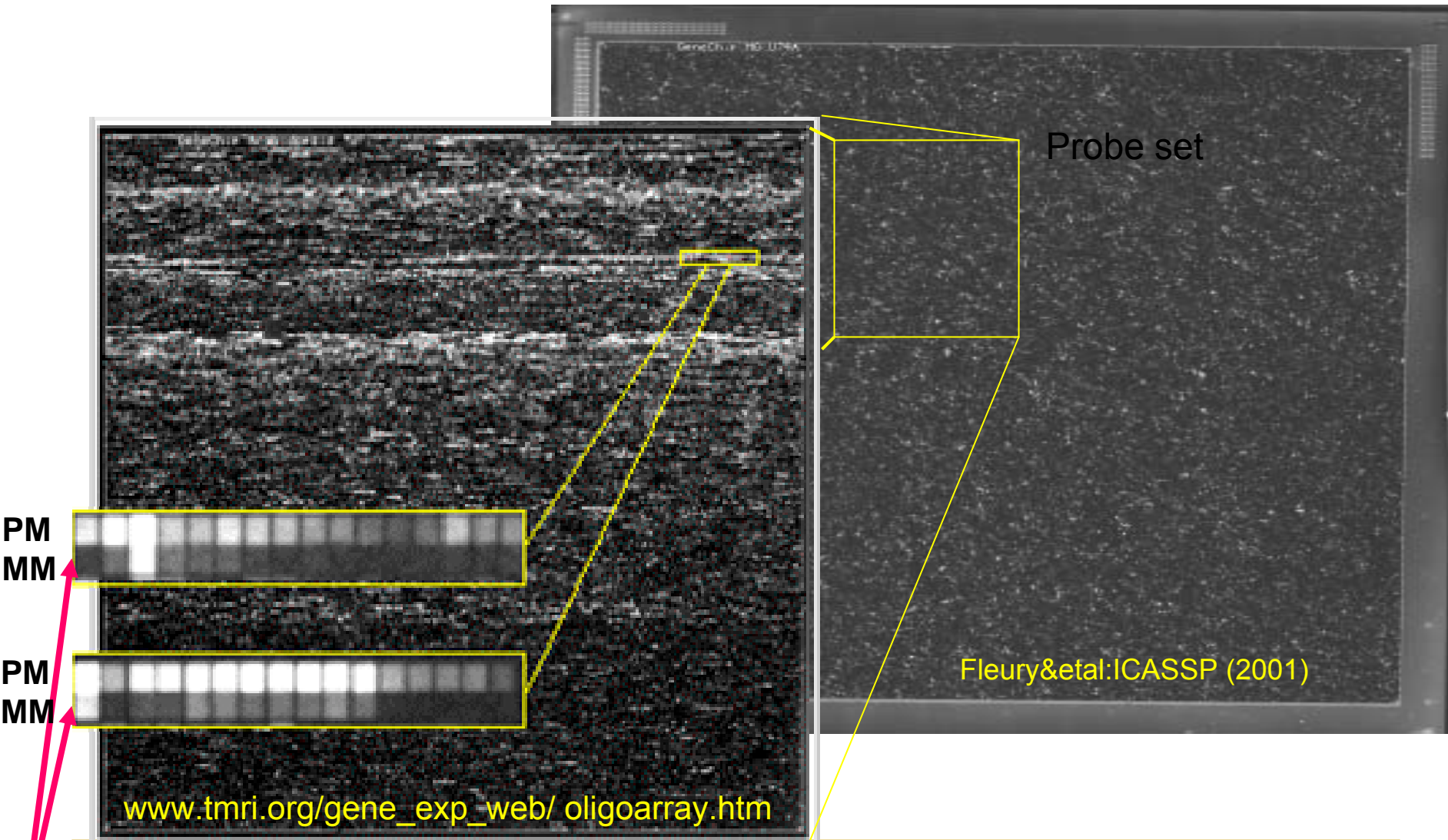


Gene Microarrays

- Two principal gene microarray technologies:
 - Oligonucleotide arrays: (Affymetrix GeneChips)
 - Matched and mismatched oligonucleotide probe sequences photoetched on a chip
 - Dye-labeled RNA from sample is hybridized to chip
 - Abundance of RNA bound to each probe is laser-scanned
 - cDNA spotted arrays: (Brown/Botstein)
 - Specific complementary DNA sequences arrayed on slide
 - Dye-labeled sample mRNA is hybridized to slide
 - Presence of bound mRNA-cDNA pairs is read out by laser scanner
- **10,000-50,000 genes can be probed simultaneously**



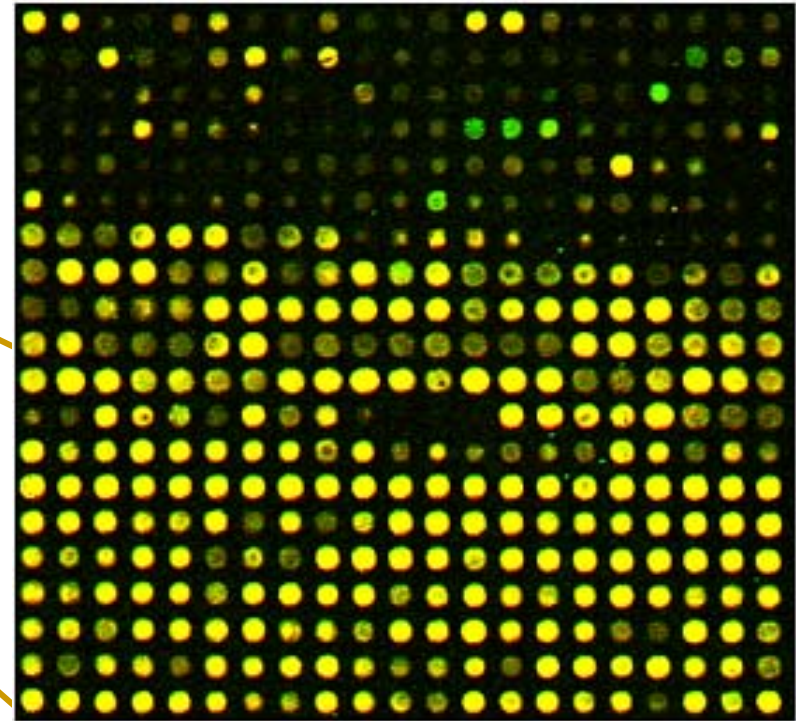
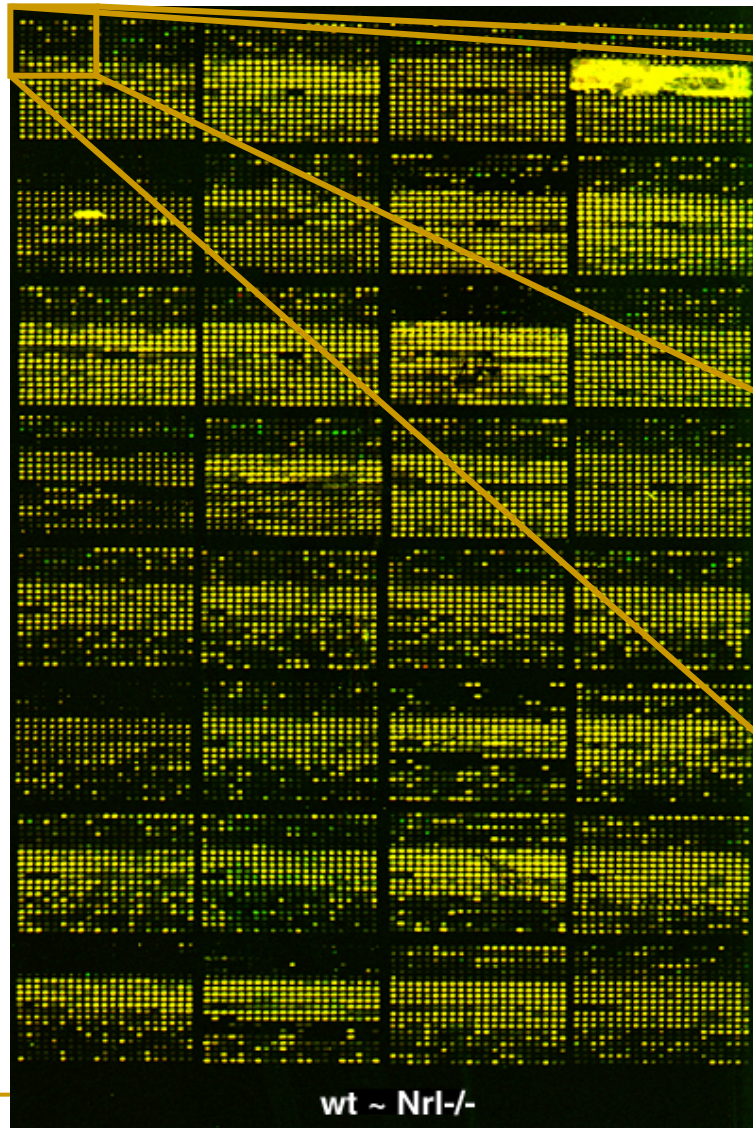
Oligonucleotide GeneChip (Affymetrix)



Two PM/MM Probe sets



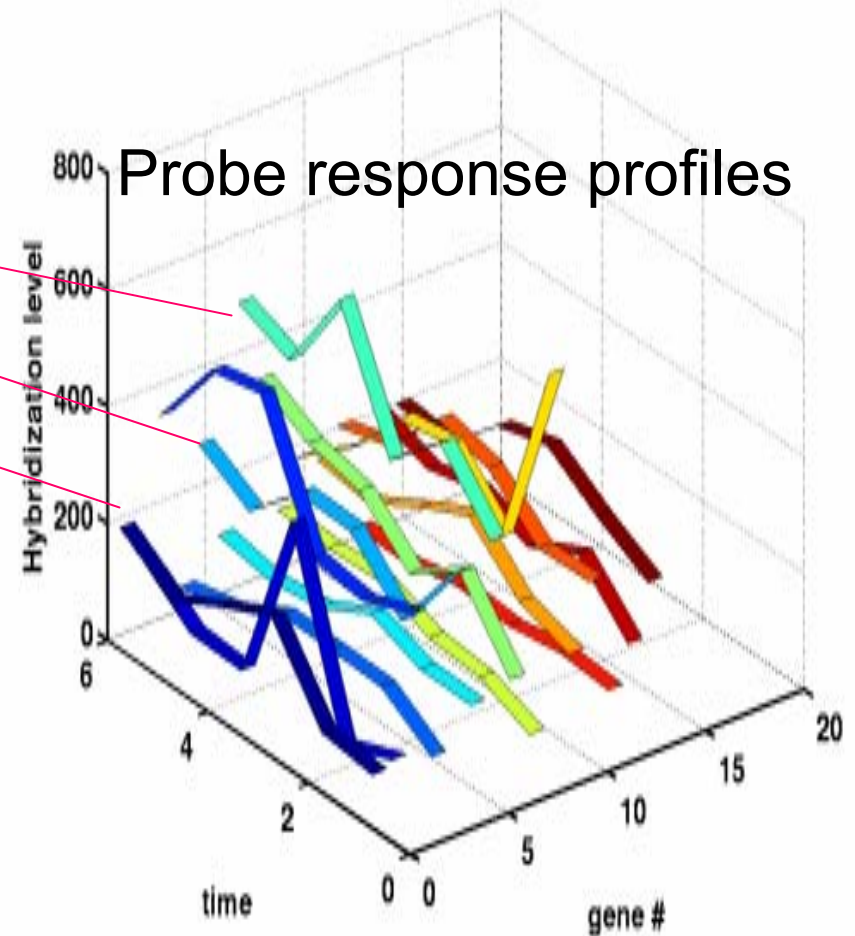
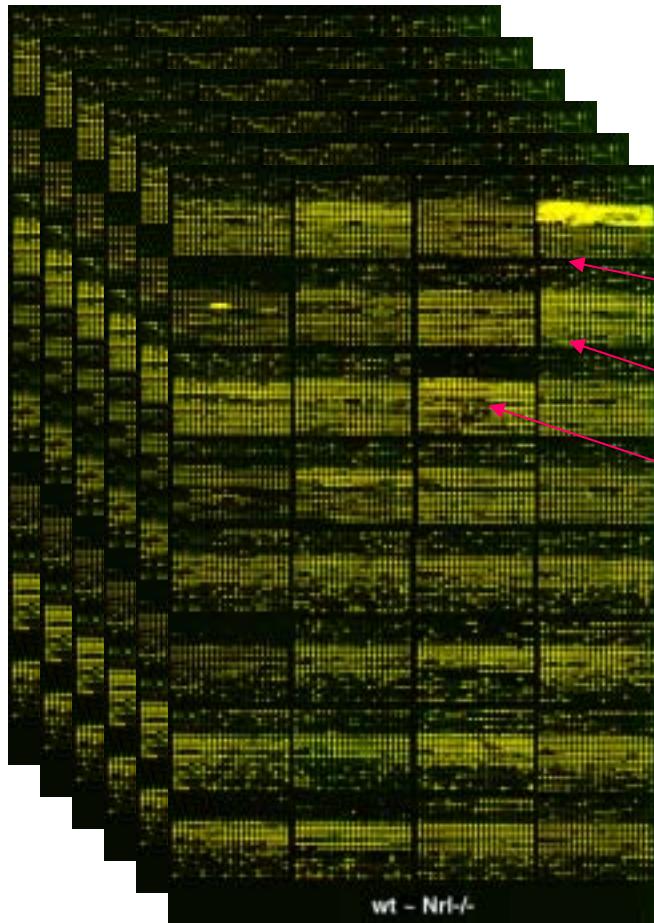
cDNA spotted array



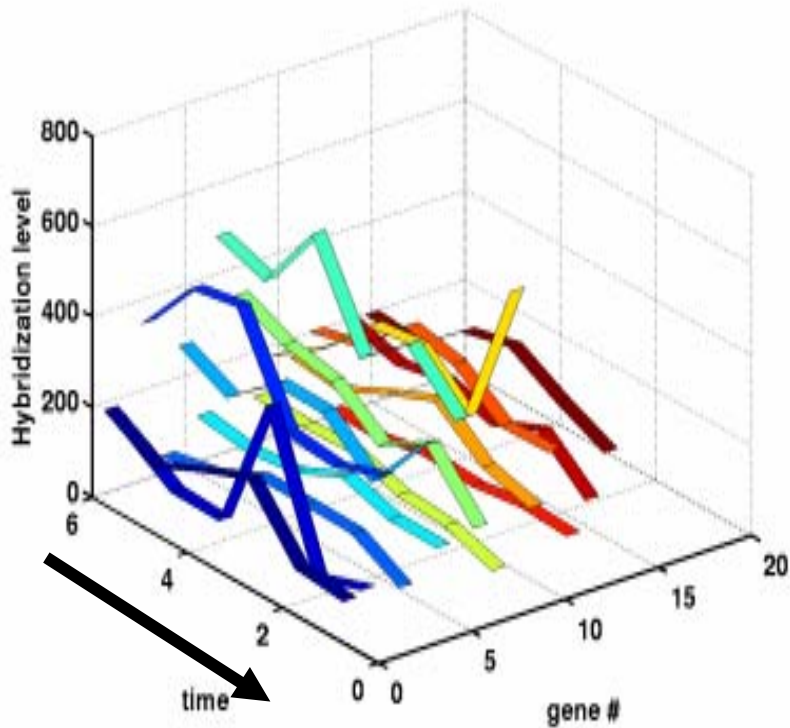
- Treated sample (ko) labeled red (Cy5)
- Control (wt) labeled green (Cy3)



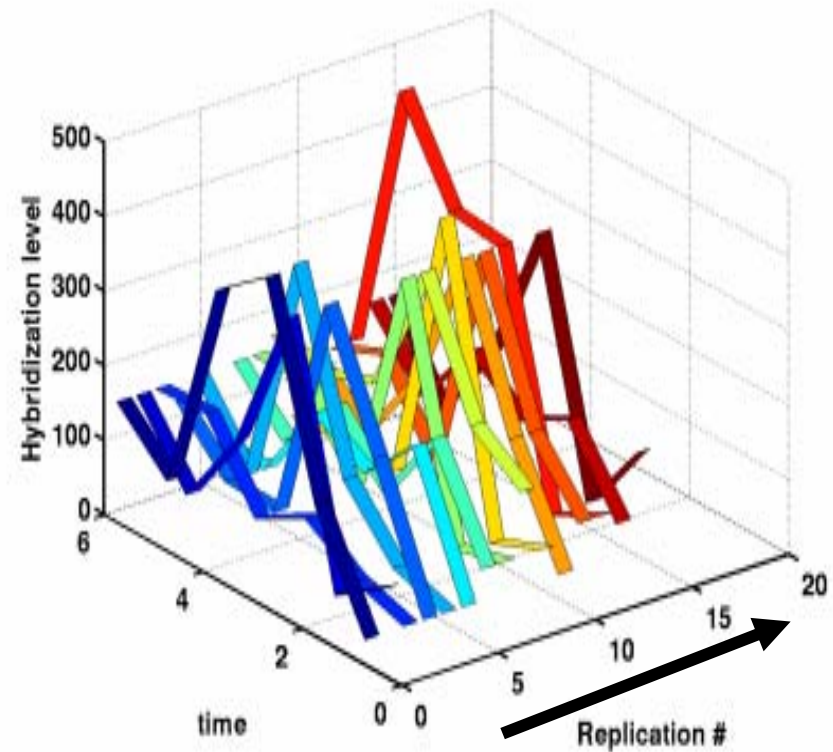
Add Treatment Dimension: Expression Profiles



Problem of Sample Variability



Across-treatment variability



Across-sample variability



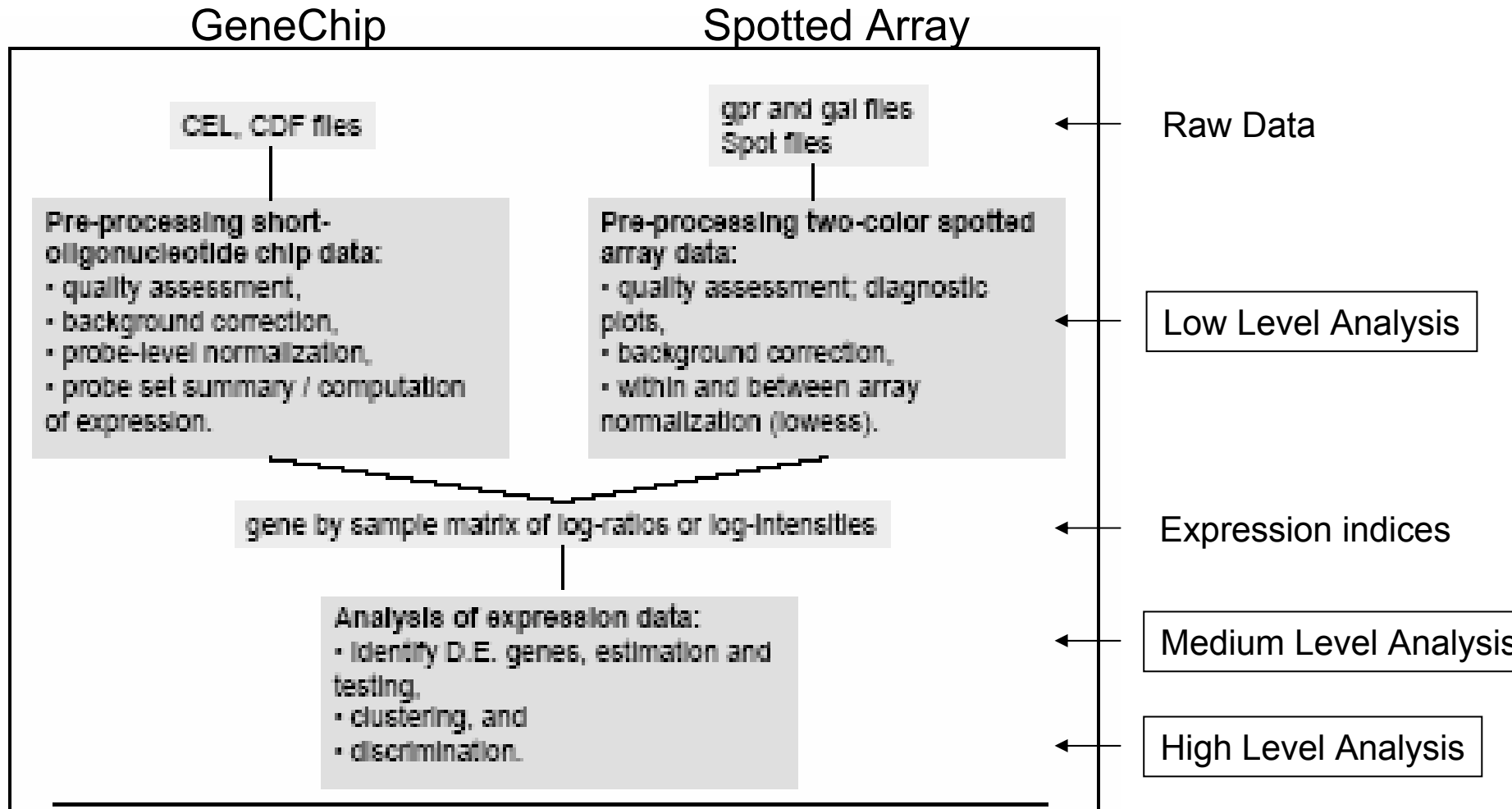
Sources of Experimental Variability

- **Population** – wide genetic diversity
- **Cell lines** - poor sample preparation
- **Slide Manufacture** – slide surface quality, dust deposition
- **Hybridization** – sample concentration, wash conditions
- **Cross hybridization** – similar but different genes bind to same probe
- **Image Formation** – scanner saturation, lens aberrations, gain settings
- **Imaging and Extraction** – misaligned spot grid, segmentation

Microarray data is intrinsically statistical and replication is necessary



2. Analysis of gene microarray data

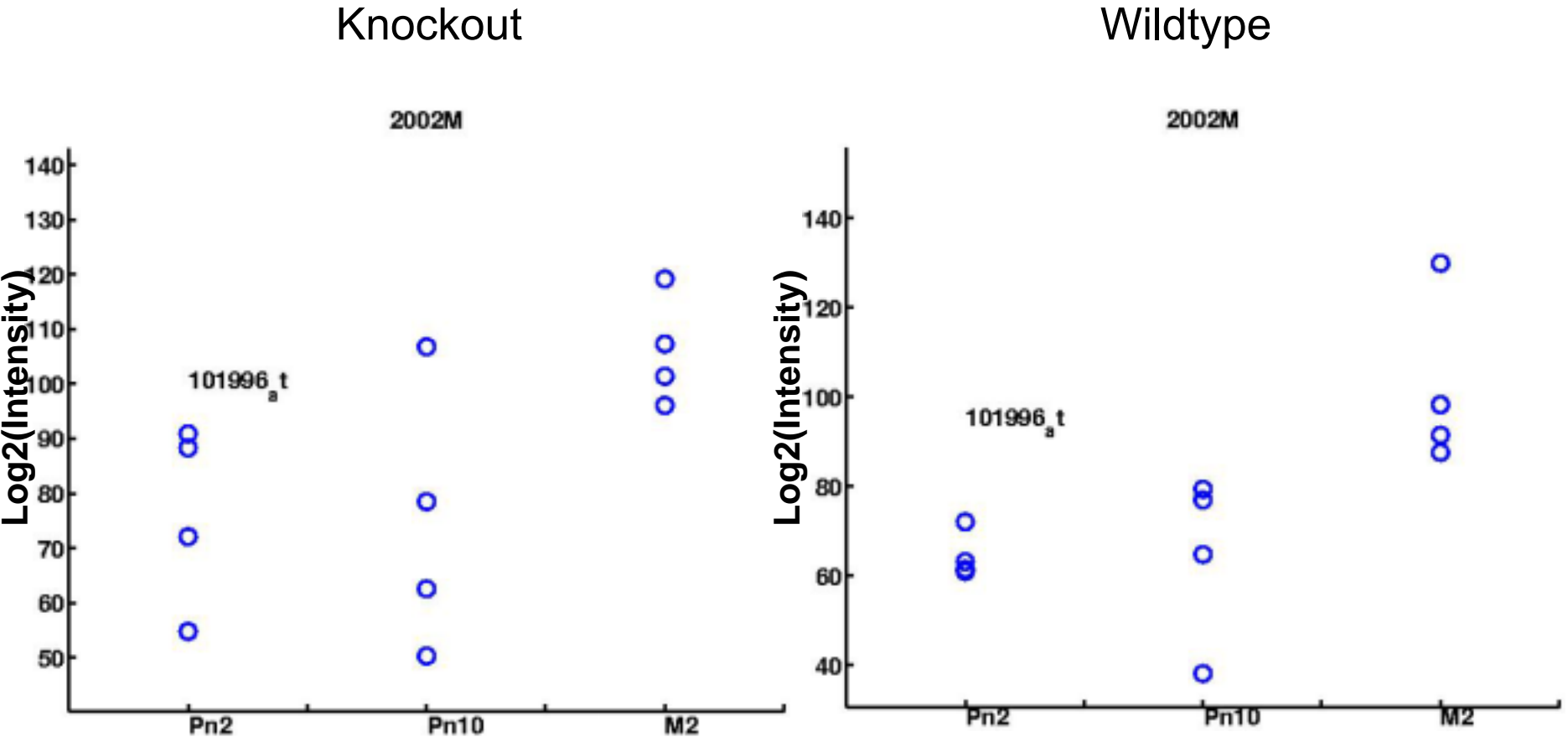


Source: Jean Yee Hwa Yang Statistical issues in design and analysis microarray experiment. (2003)



Knockout vs Wildtype Retina Study

12 knockout/wildtype mice in 3 groups of 4 subjects (24 GeneChips)



Here, $\max_t \{ \bar{K}_t(g) - \bar{W}_t(g) \} > \text{fcmin}$

Biological vs Statistical Significance:

- **Statistical significance** refers to foldchange being different from zero

$$fc(g) \neq 0$$

- **Biological significance** refers to foldchange being sufficiently large to be biologically meaningful or testable, e.g. testable by RT-PCR

$$|fc(g)| > fcmin$$



3. Gene Filtering, Ranking and Clustering

- Let $fc_t(g)$ = foldchange of gene 'g' at time point 't'.
- We wish to simultaneously test the TG sets of hypotheses:

$$H_0(g, t) : |fc_t(g)| \leq |d|$$

$$H_1(g, t) : |fc_t(g)| > |d|$$

- d = minimum acceptable difference (MAD)
- Two stage procedure:
 - **Statistical Significance:** Simultaneous Paired t-test
 - **Biological Significance:** Simultaneous Paired t confidence intervals for $fc(g)$'s



Single-Comparison: Paired t statistic

- PT statistic with 'm' replicates of wt&ko:

$$T_t(g) = \sqrt{m/2} \frac{\overline{W}_t(g) - \overline{K}_t(g)}{s_t(g)}$$

- Level α test: Reject $H_0(g,t)$ unless:

$$-\mathcal{T}_{1-\alpha/2}^{-1} < T_t(g) < \mathcal{T}_{1-\alpha/2}^{-1}$$

- Level $1-\alpha$ confidence interval (CI) on fc:

$$I_g(\alpha) = T_t(g) \pm \sqrt{\frac{2}{m}} \mathcal{T}_{1-\alpha/2}^{-1}$$

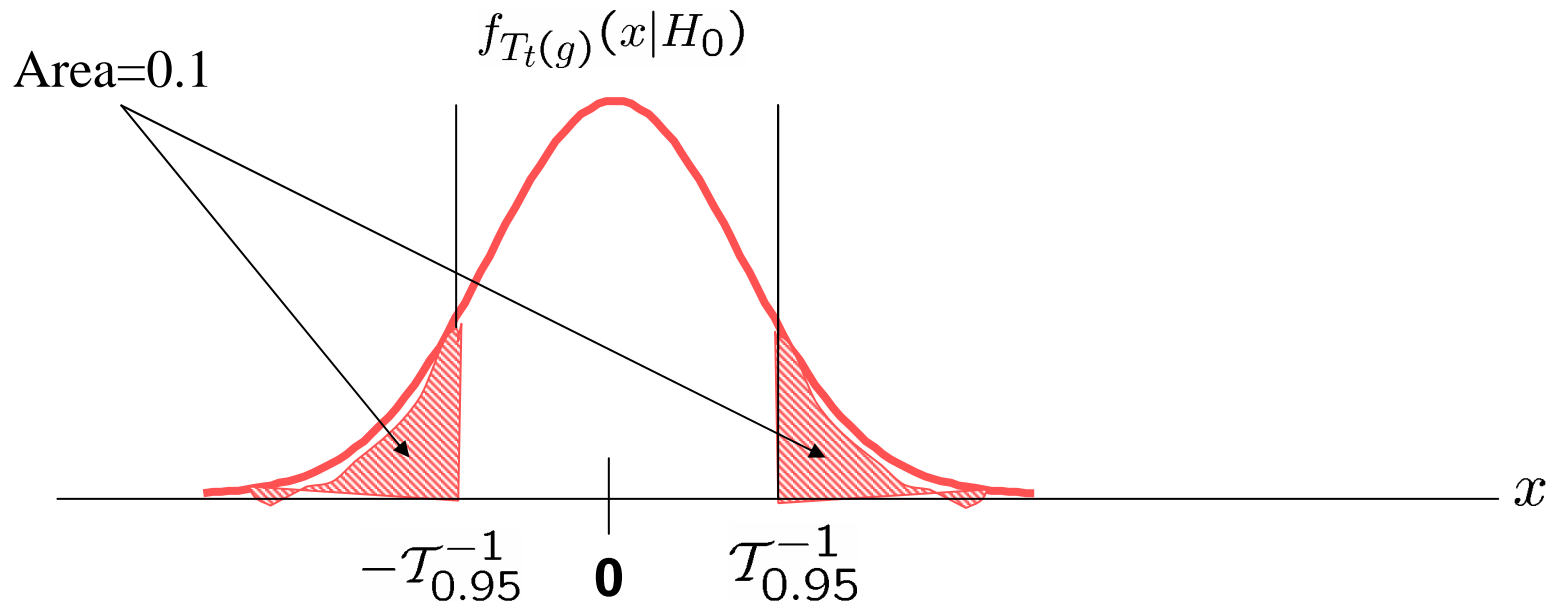
- p-th quantile of student-t with $2(m-1)$ df: \mathcal{T}_p^{-1}



Stage 1: paired T test of level $\alpha=0.1$

$$H_0 : f_{C_t}(g) = 0$$

$$H_1 : f_{C_t}(g) \neq 0$$



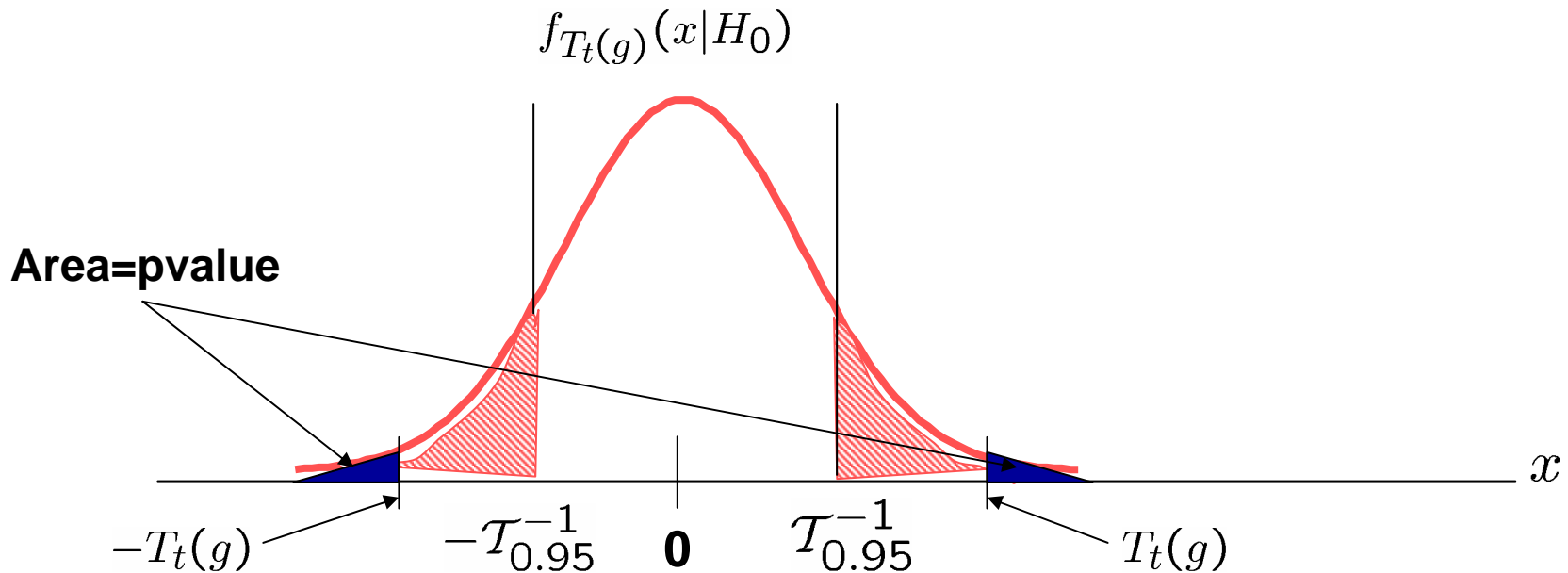
For single comparison: a false positive occurs with probability $\alpha=0.1$



Stage 1: paired T test of level $\alpha=0.1$

$$H_0 : f_{C_t}(g) = 0$$

$$H_1 : f_{C_t}(g) \neq 0$$

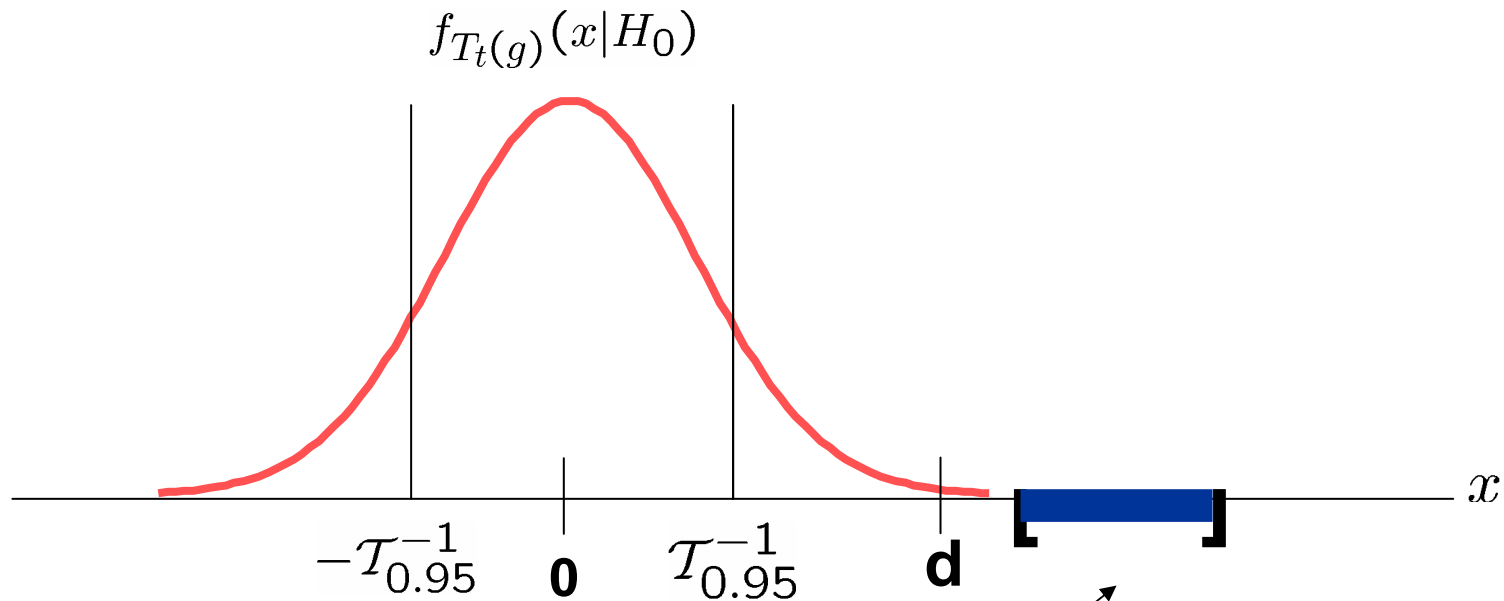


For single comparison: a false positive occurs with probability $\alpha=0.1$



Stage 2: Confidence Intervals

- Biologically & statistically **significant** differential response

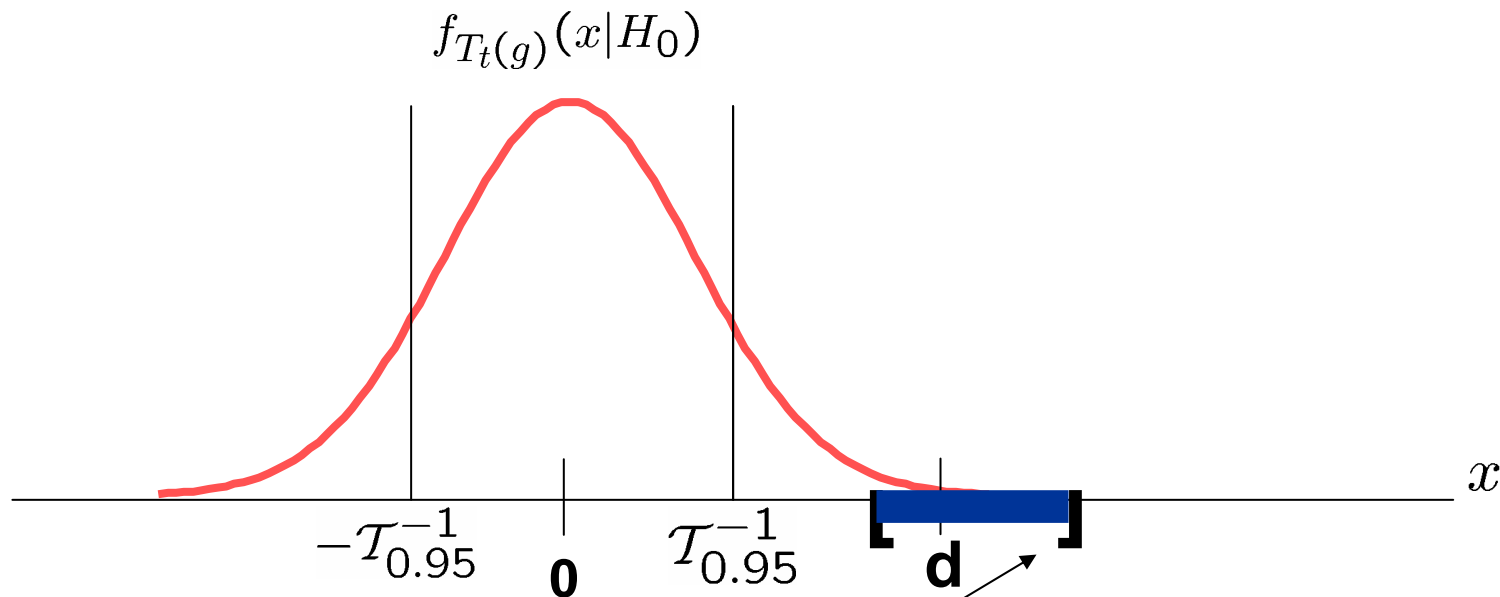


Conf. Interval on $f_{C_t(g)}$ of level $1-\alpha$



Stage 2: Confidence Intervals

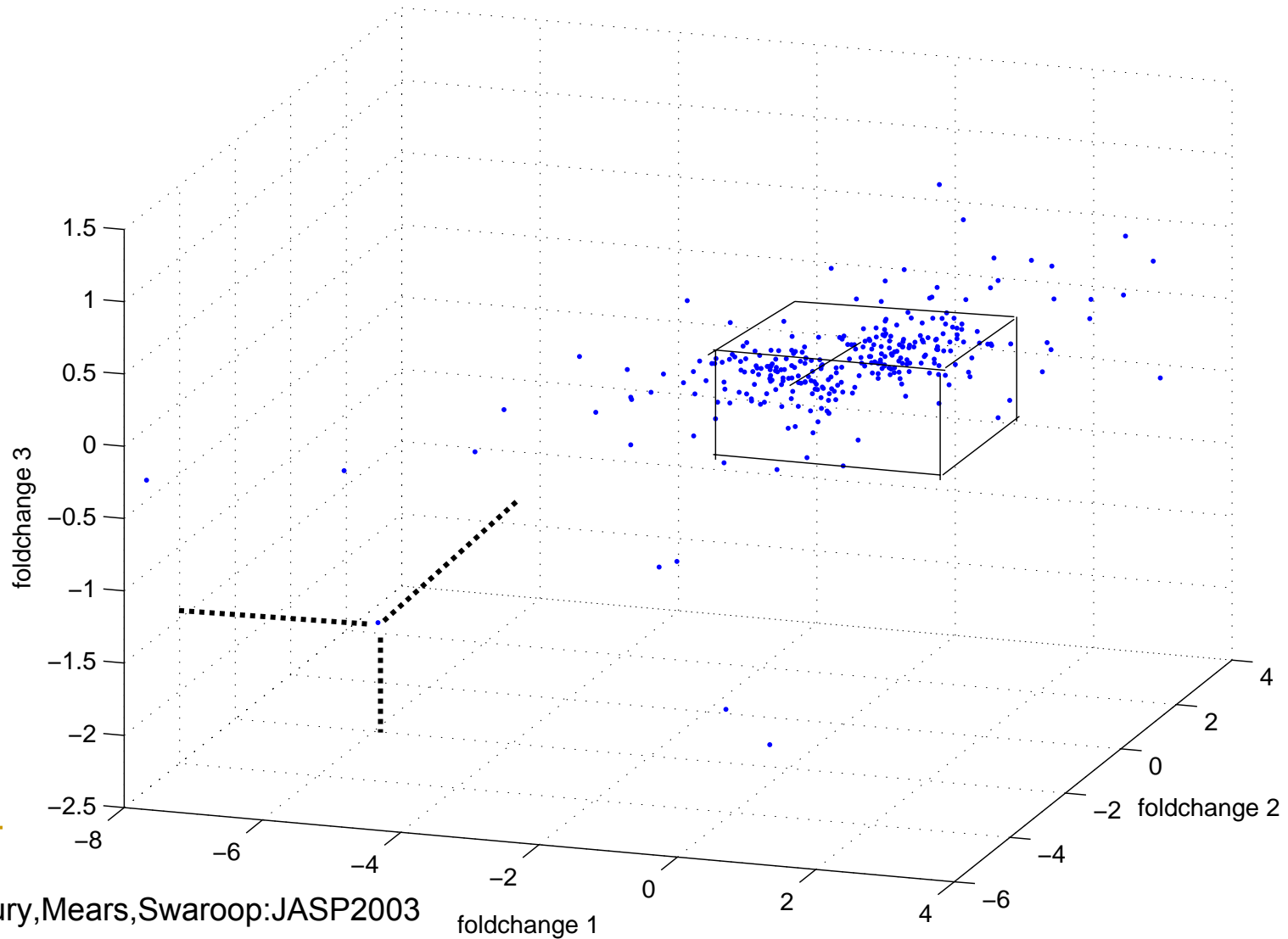
- Biologically & statistically **insignificant** differential response



Conf. Interval on $f_{C_t(g)}$ of level 1-alpha



Minimum fc cube for single gene profile

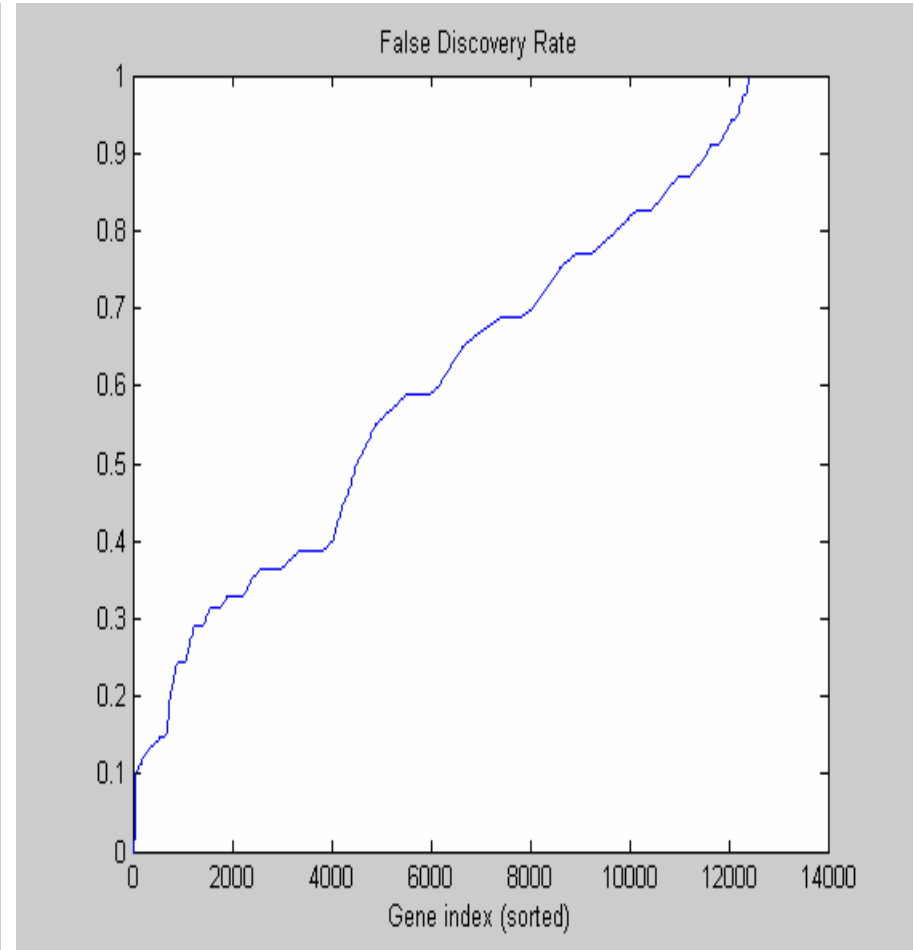
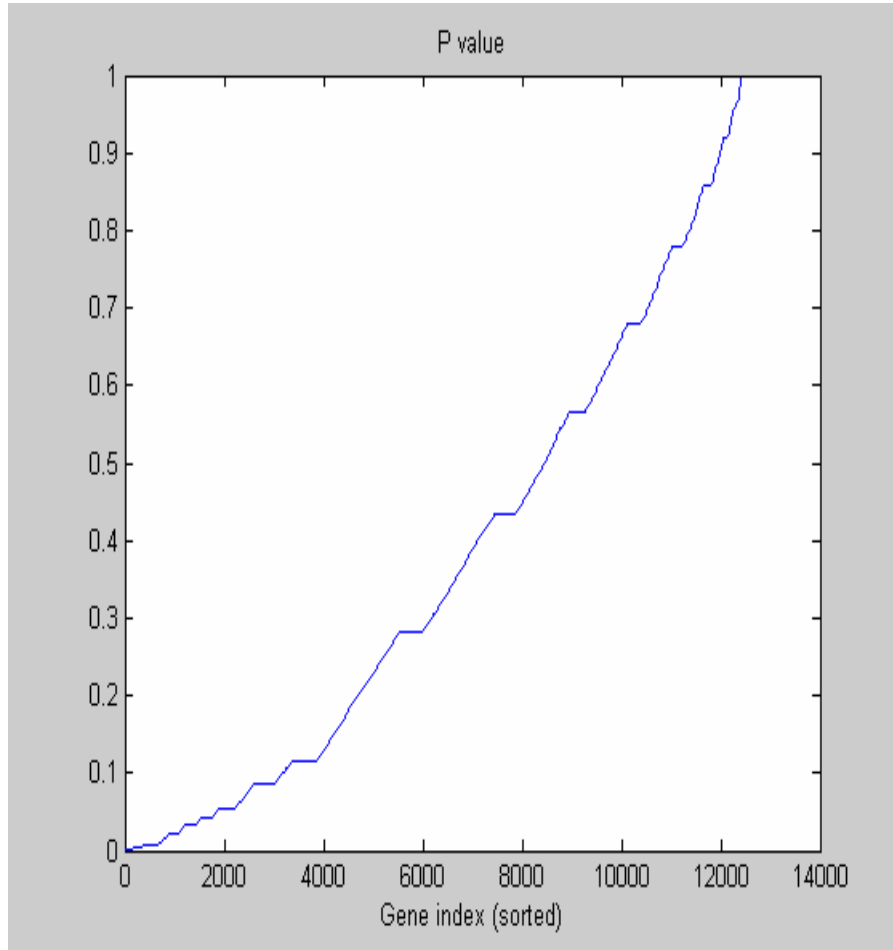


Multiple Comparisons: FWER, FDR

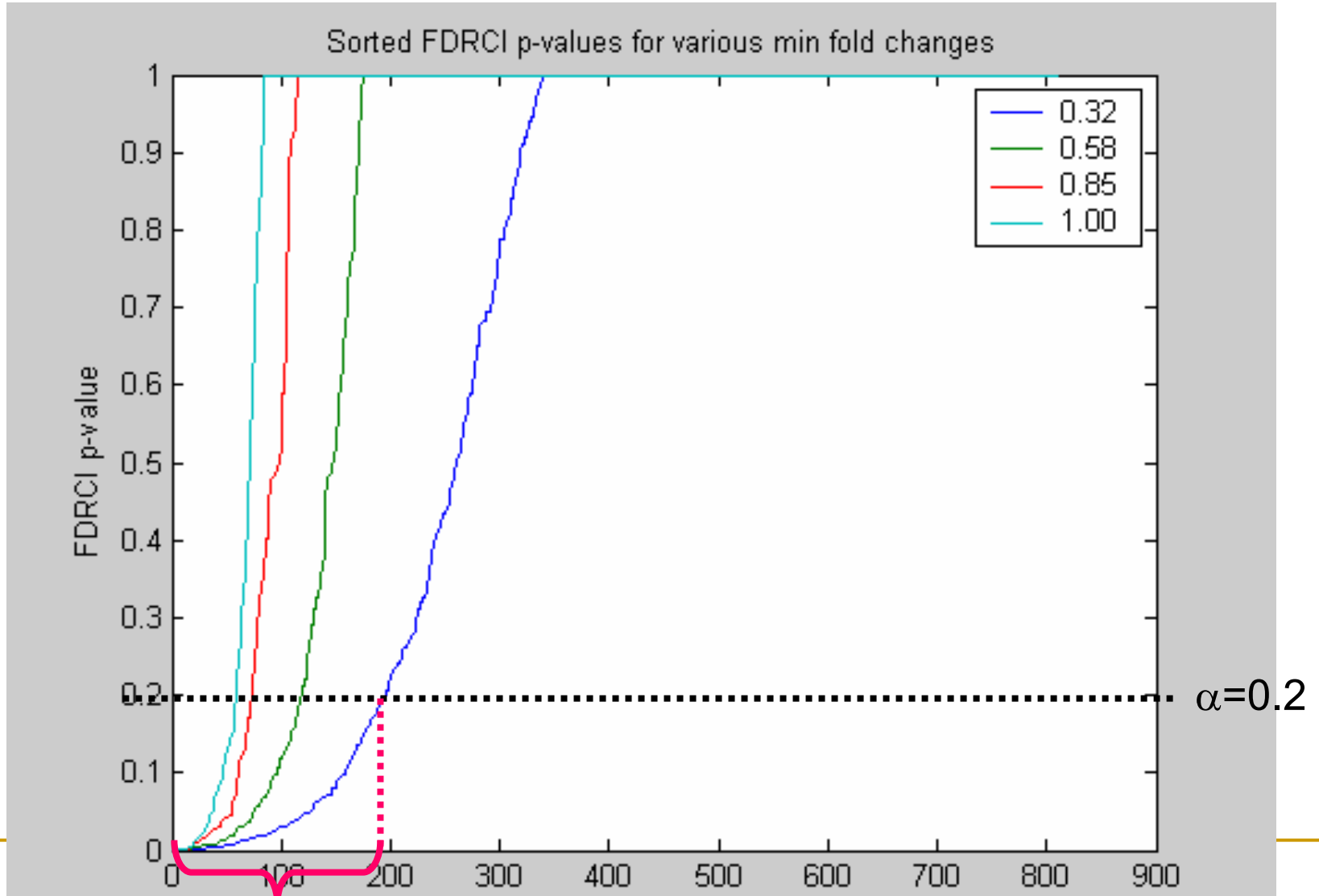
- **Pvalue, CI** apply to single comparison: **T(g)** dependence.
- **FWER, FDR** and **FDRCI** depend on $\{T(g), g=1, \dots, G\}$.
 - FWER: familywise error rate (Miller:1976)
 - Avg number of experiments yielding at least one false positive
 - FDR: false discovery rate (Benjamini&Hochburg:1996)
 - Avg number of false positives in a given experiment
 - FDRCI: $(1-\alpha)$ CI on discovered f_c (Benjamini&Yekutieli:2002)
 - Avg. number of intervals that cover true f_c in a given experiment



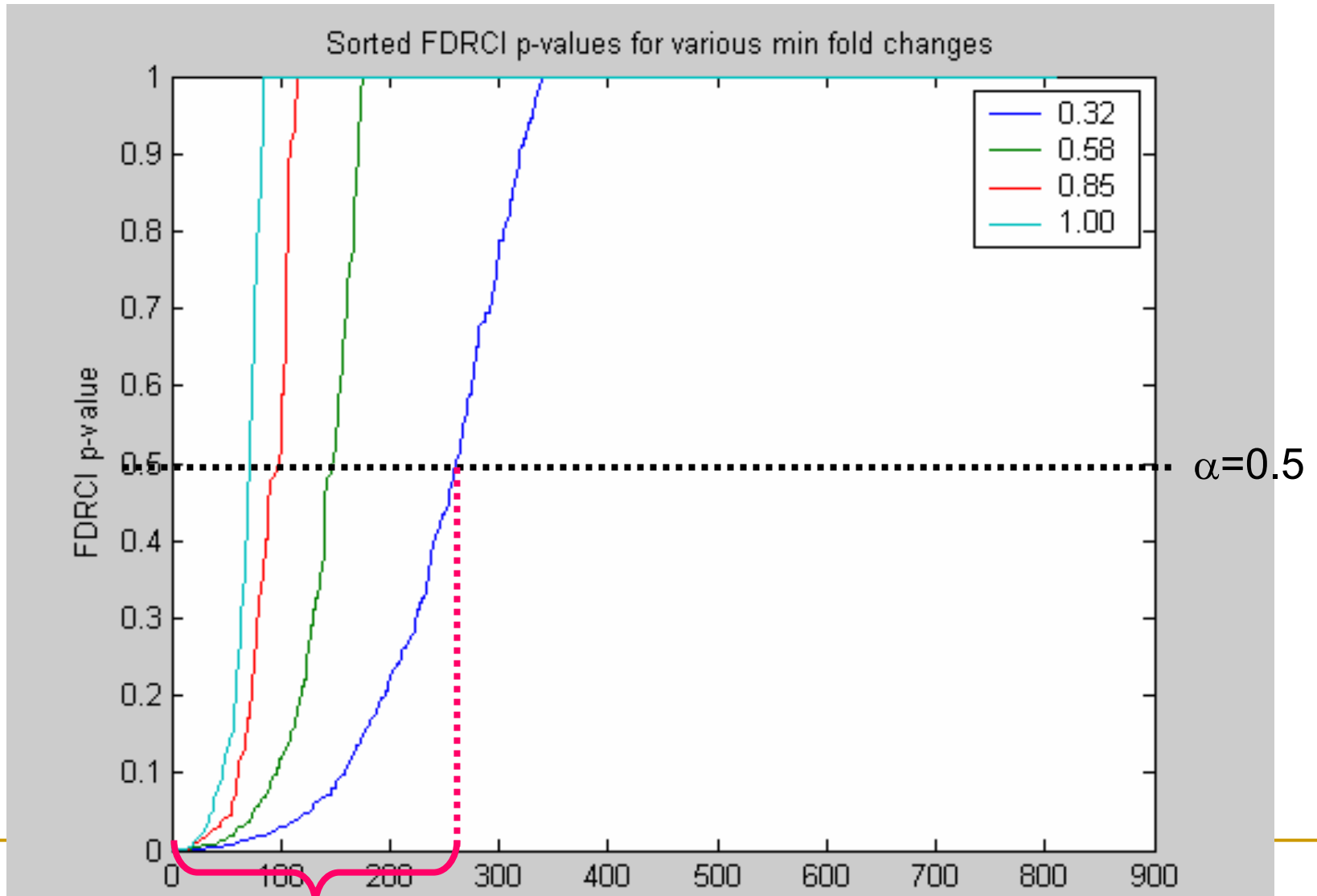
P-value vs FDR Comparison for wt/ko



Sorted FDRCI pvalues for ko/wt study

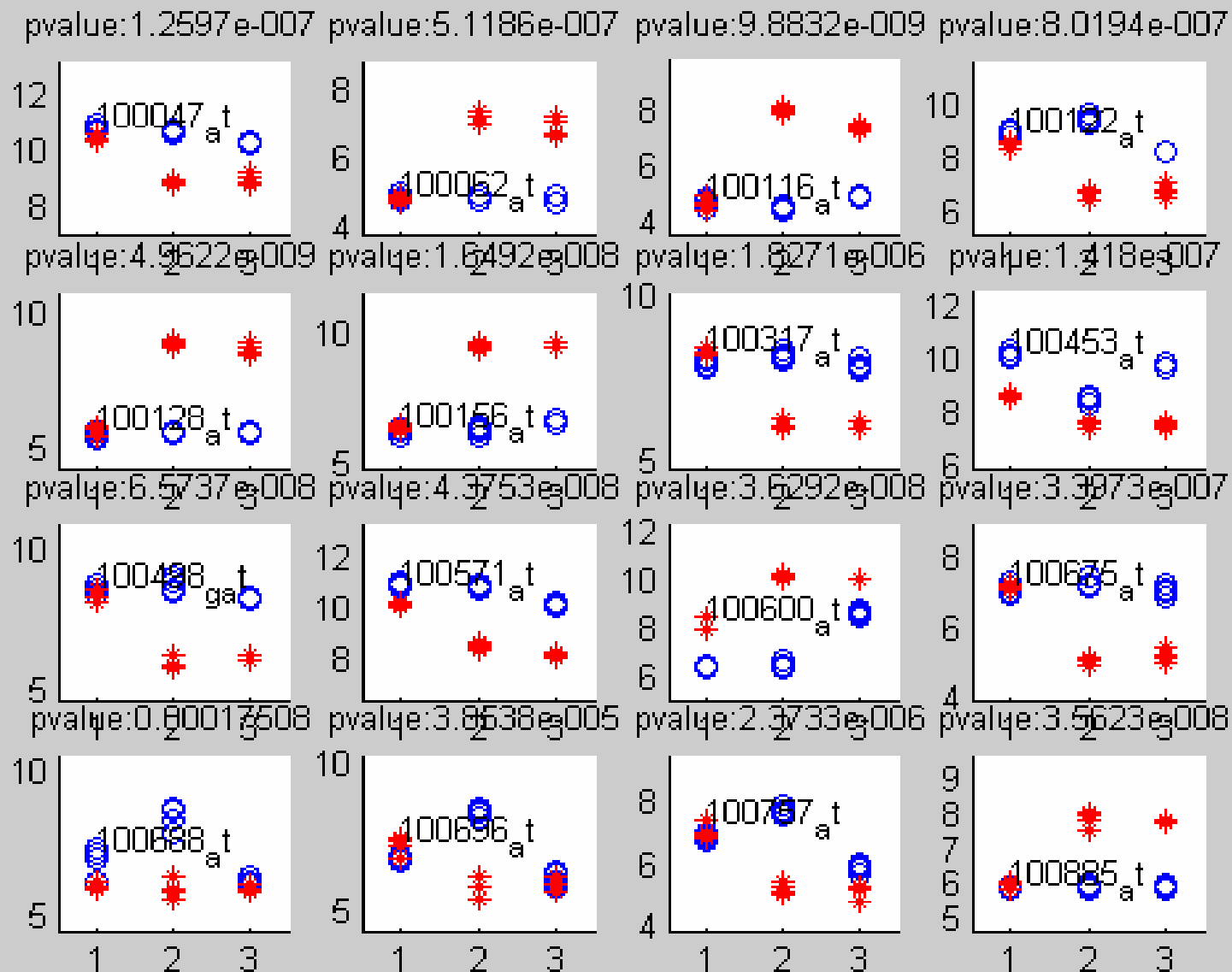


Sorted FDRCI pvalues for ko/wt study



Filtered genes at level (FDR=0.5,fc=0.32)

FDRCI Results for ko/wt Data



FDR = 0.1

Ranking differential gene profiles

- Objective: find the 250-300 genes having the most significant **foldchanges** wrt multiple criteria

$$\xi_1(g), \dots, \xi_P(g)$$

- Examples of increasing criteria:

$$\xi_1(g) = \overline{fc}_1(g) \text{ Ko-Wt foldchange}$$

$$\xi_2(g) = \overline{fc}_2(g) \text{ Ko-Wt foldchange}$$

$$\xi_3(g) = \overline{fc}_3(g) \text{ Ko-Wt foldchange}$$

- Examples of mixed increasing and decreasing

$$\xi_1(g) = s_K(g) = \text{Ko sample dispersion}$$

$$\xi_2(g) = s_W^2(g) = \text{Wt sample dispersion}$$

$$\xi_3(g) = |\overline{K}(g) - \overline{W}(g)| = \text{Kp-Wt mean disp}$$



Pareto Front Analysis (PFA)

- Rarely does a linear order exist with respect to more than one ranking criterion, as in

$$|fc_1(g_1)| > |fc_1(g_2)| > \dots > |fc_1(g_p)|$$

- However, a partial order is usually possible

$$\{fc_1(g), fc_2(g), fc_3(g)\}_{g \in \mathcal{G}_1} > \dots > \{fc_1(g), fc_2(g), fc_3(g)\}_{g \in \mathcal{G}_q}$$



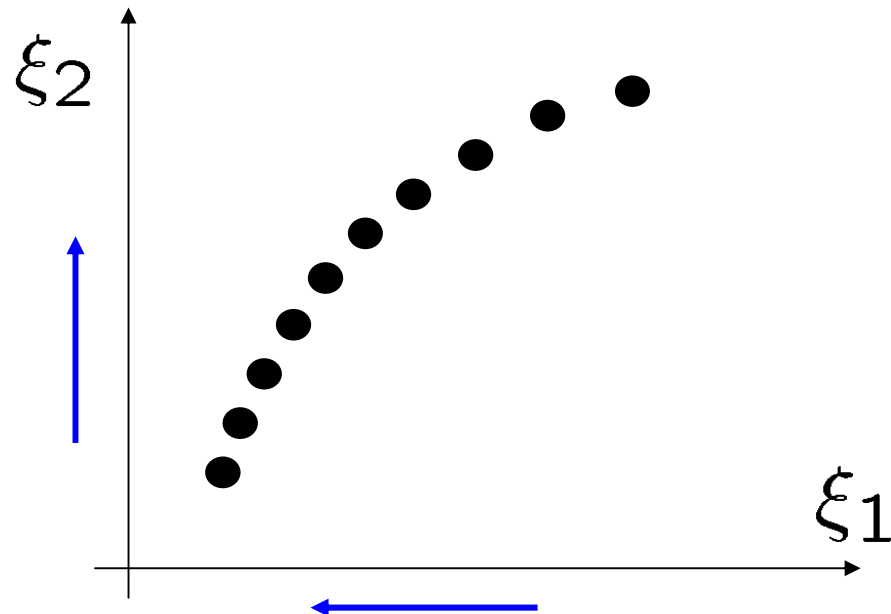
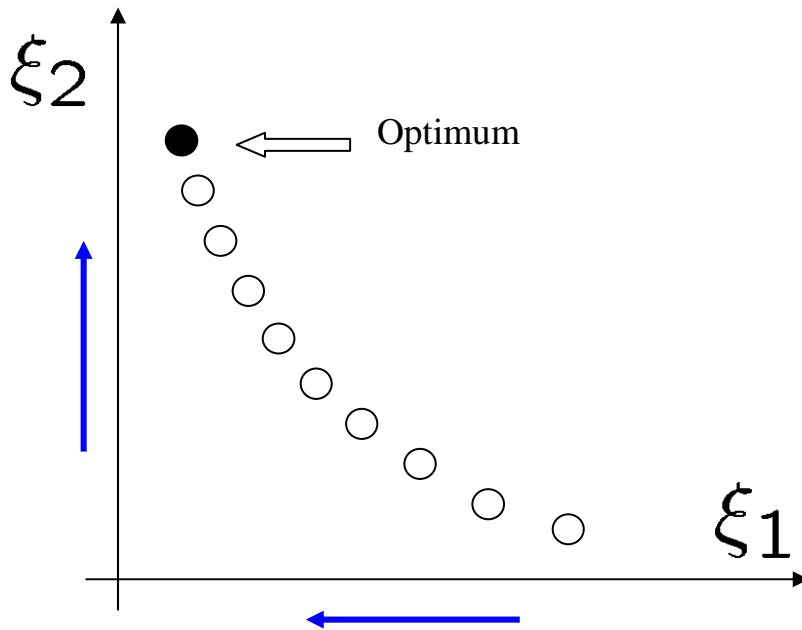
Illustration of two extreme cases

$\xi_1 = \sqrt{(s_K^2 + s_W^2)/2}$ = pooled sample dispersion

$\xi_2 = |\bar{K} - \bar{W}|$ = mean treatment dispersion

■ A linear ordering exists

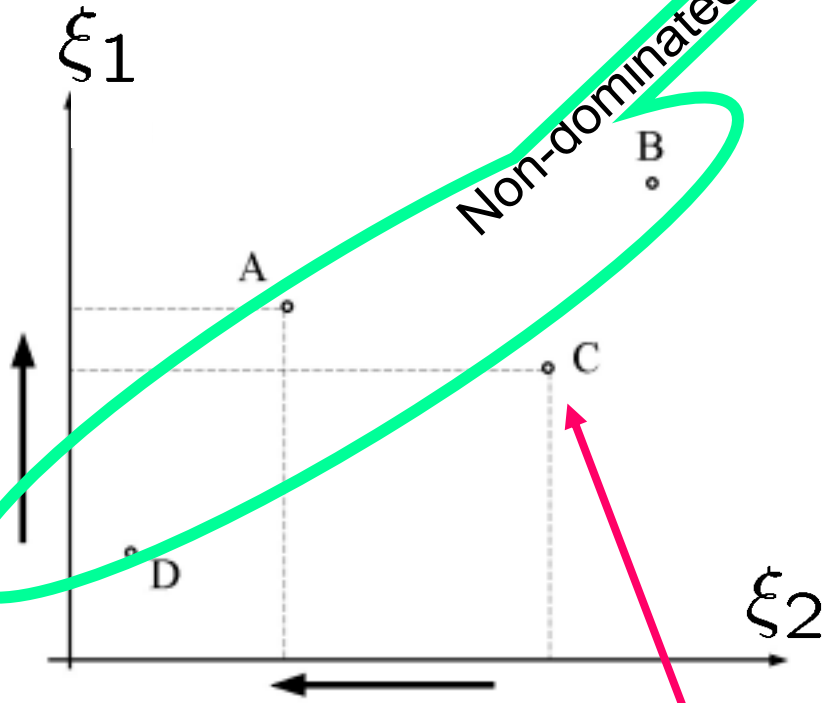
■ No partial ordering exists



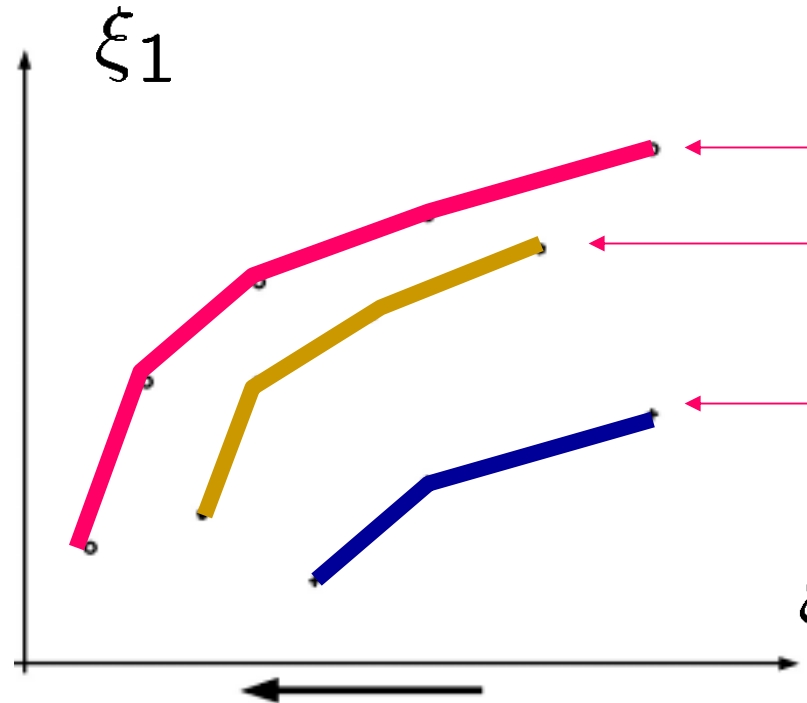
Multicriteria Gene Ranking

- Increasing ξ_1
- Decreasing ξ_2

A, B, D are Pareto optimal



Dominated gene

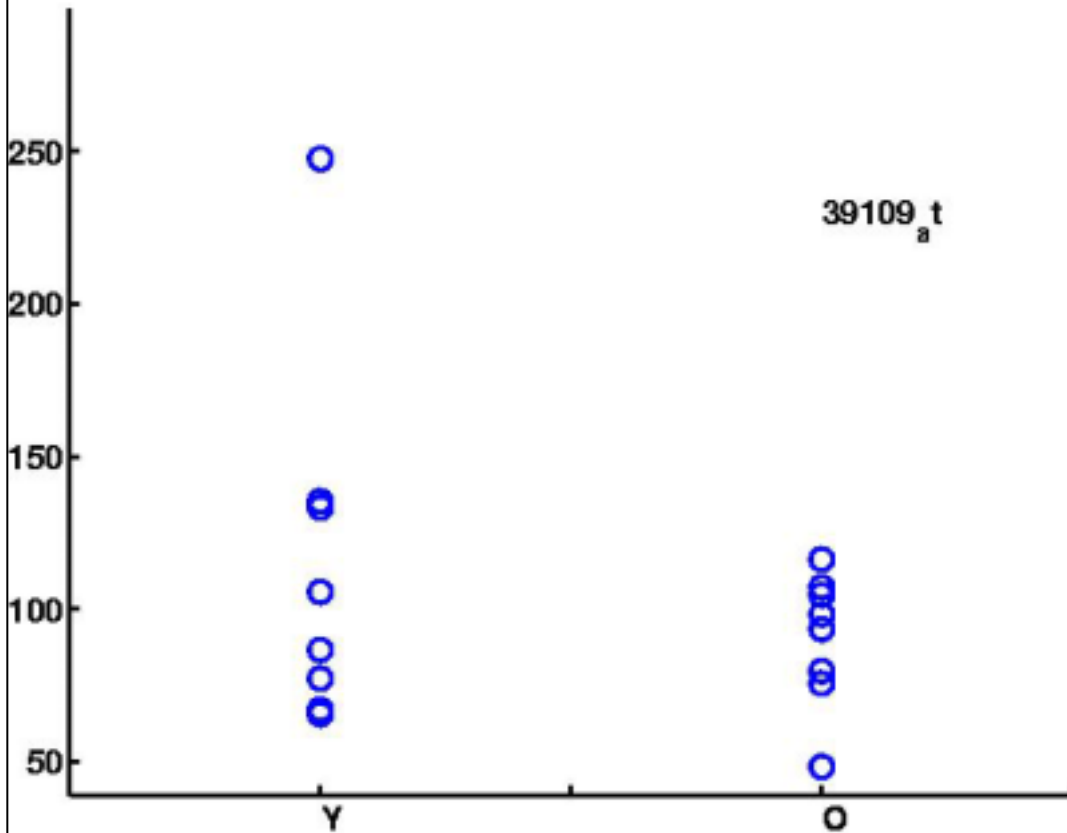


Pareto Fronts=partial order



Ranking Based on End-to-End Foldchange

2001H Retina Gene Study (Yosida&etal:2002)



Y/O Human Retina Aging Data

- 16 human retinas
- 8 young subjects
- 8 old subjects
- 8226 probesets

$$\xi_1(g) = \sqrt{(\sigma_O^2(g) + \sigma_Y^2(g))/2}$$

$$\xi_2(g) = |\bar{O}(g) - \bar{Y}(g)|$$

Multicriteria Y/O Gene Ranking

- Paired t-test at level of significance alpha:

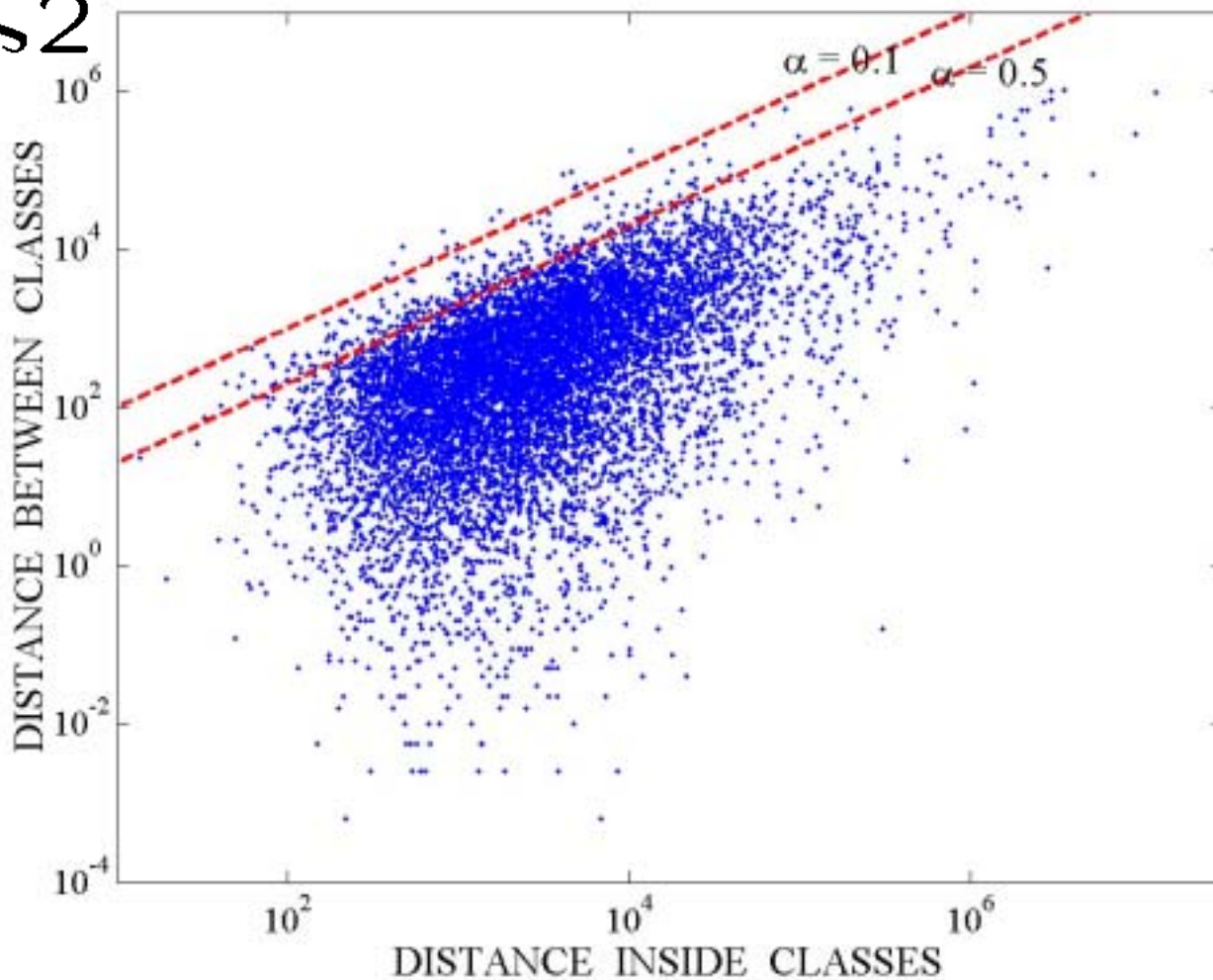
$$T(g) = \frac{\xi_2(g)}{\xi_1(g)} > \sqrt{2/m} \mathcal{T}_{1-\alpha/2}^{-1}$$
$$T(g) = \frac{\xi_2(g)}{\xi_1(g)} < \sqrt{2/m} \mathcal{T}_{1-\alpha/2}^{-1}$$

- For Y/O Human study:

$$T(g) = \frac{|\bar{O}(g) - \bar{Y}(g)|}{\sqrt{(\sigma_O^2(g) + \sigma_Y^2(g))/2}}$$

Multicriterion Scattergram: Paired t-test

§2

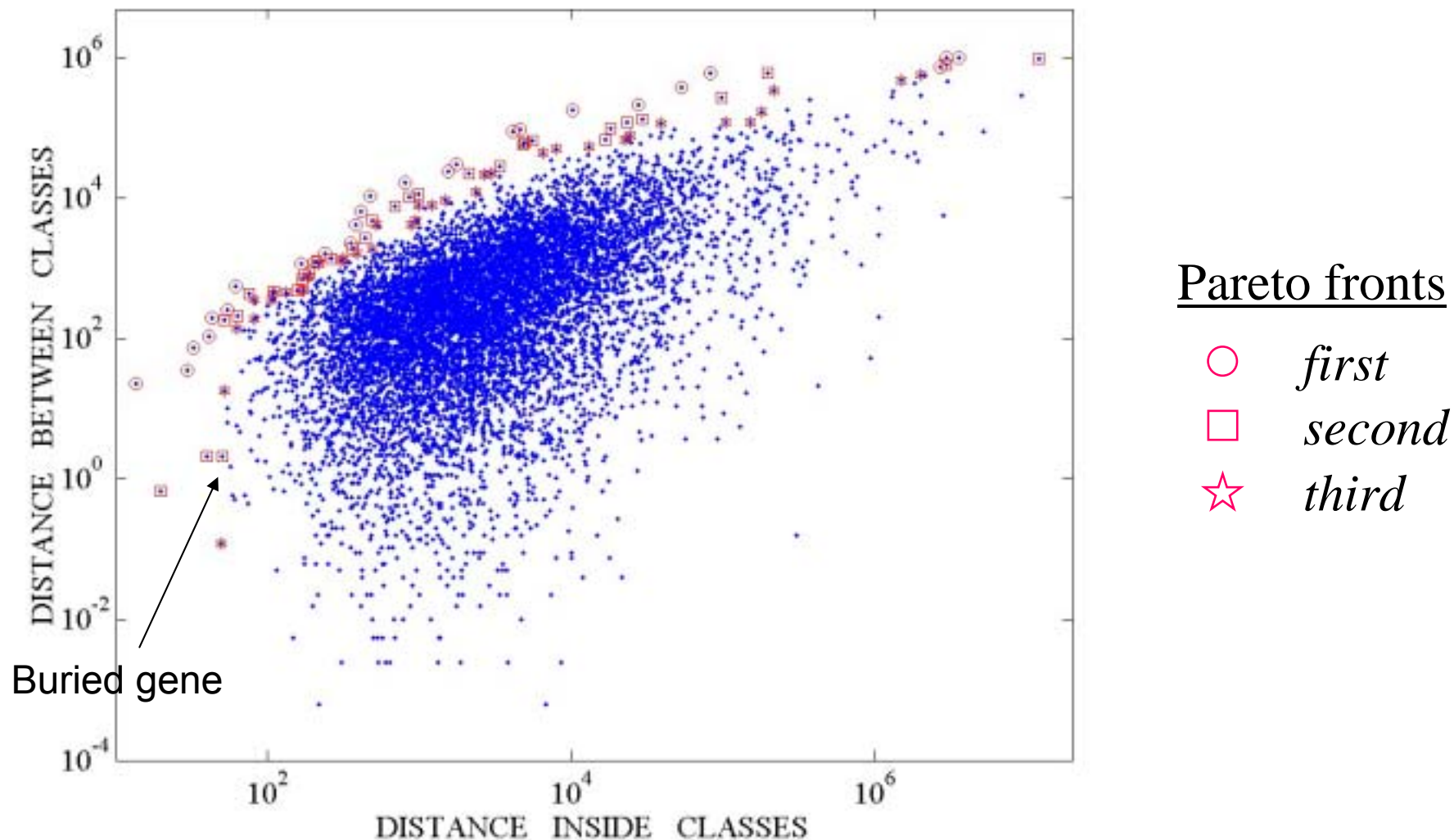


8226 Y/O mean
foldchanges
plotted in
multicriteria plane

§1



Multicriterion scattergram: Pareto Fronts



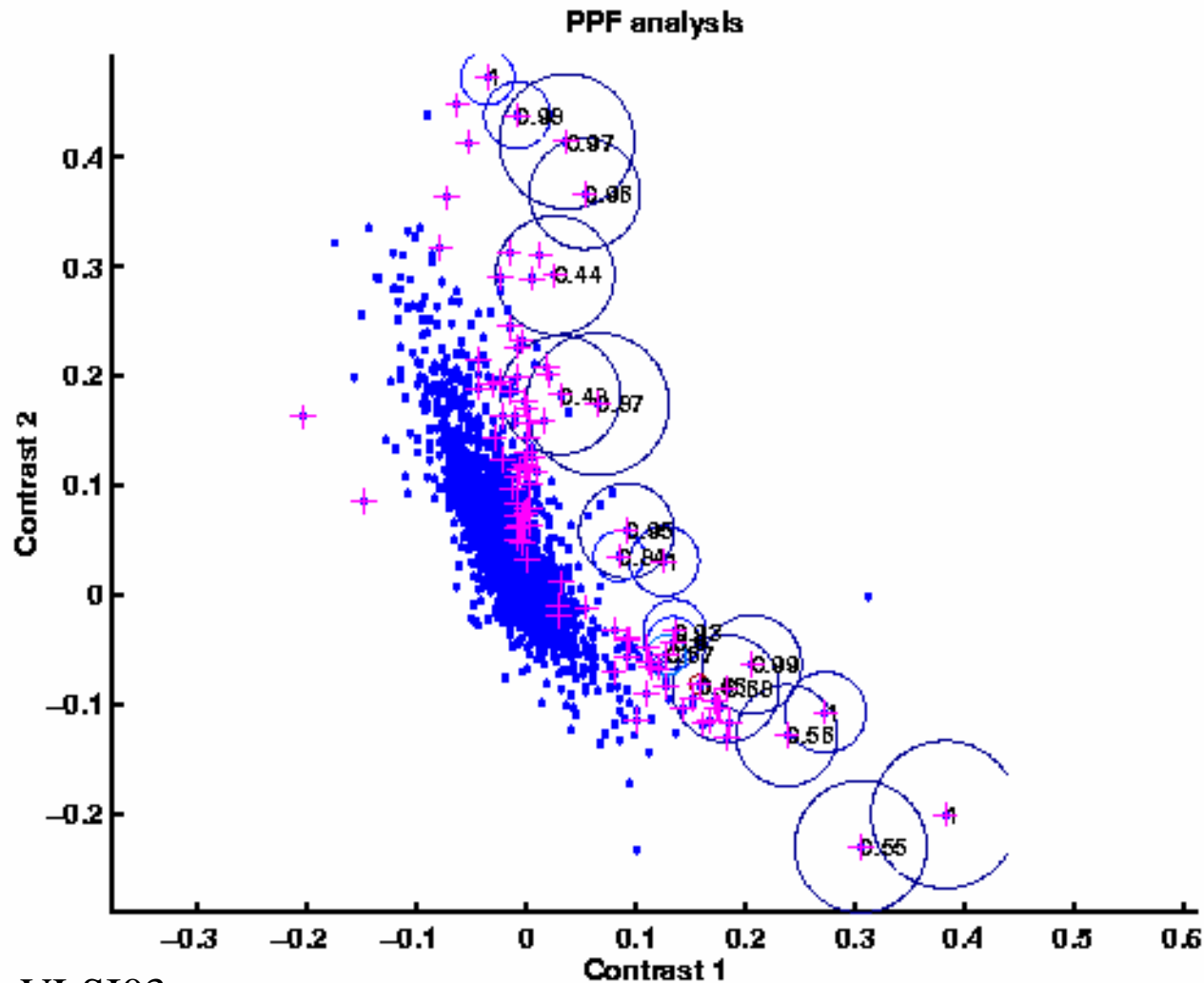
Accounting for Sampling Errors in PFA

- Key Concepts:
 - Pareto Depth Posterior Distribution: Hero&Fleury:VLSI04
 - Pareto Depth Sampling Distribution: Fleury&etal:ISBI04, Fleury&etal:JFI03
- Bayesian perspective: Pareto Depth Posterior Distn
 - Introduce priors into multicriterion scattergram
 - Compute posterior probability that gene lies on a Pareto front
 - Rank order genes by PDPD posterior probabilities
- Frequentist perspective: Pareto Depth Sampling Distn
 - Generate subsamples of replicates by resampling
 - Compute relative frequency that subsamples of a gene remain on a Pareto front
 - Rank order genes by PDSD relative frequencies



Scattergram for Dilution Experiment

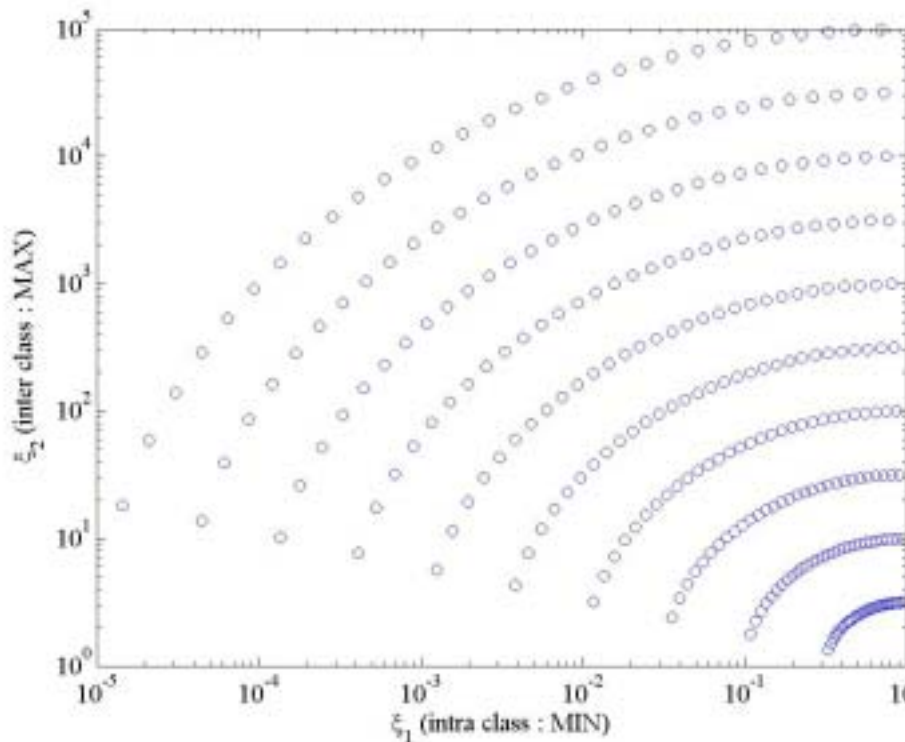
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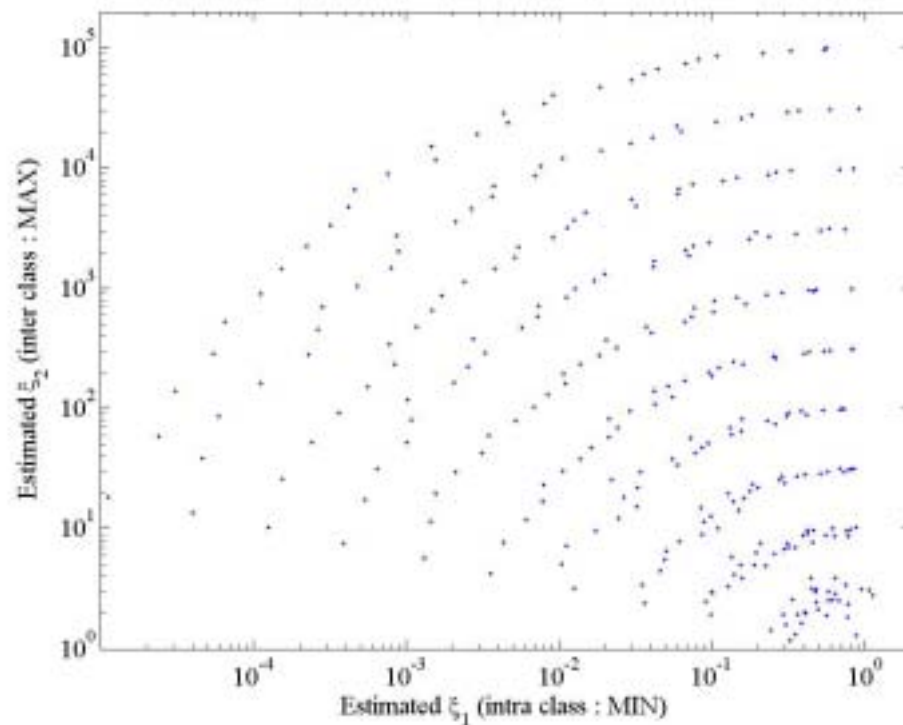
§1

Simulation Comparison: PT vs PDSD

Hypothetical dual criterion planes

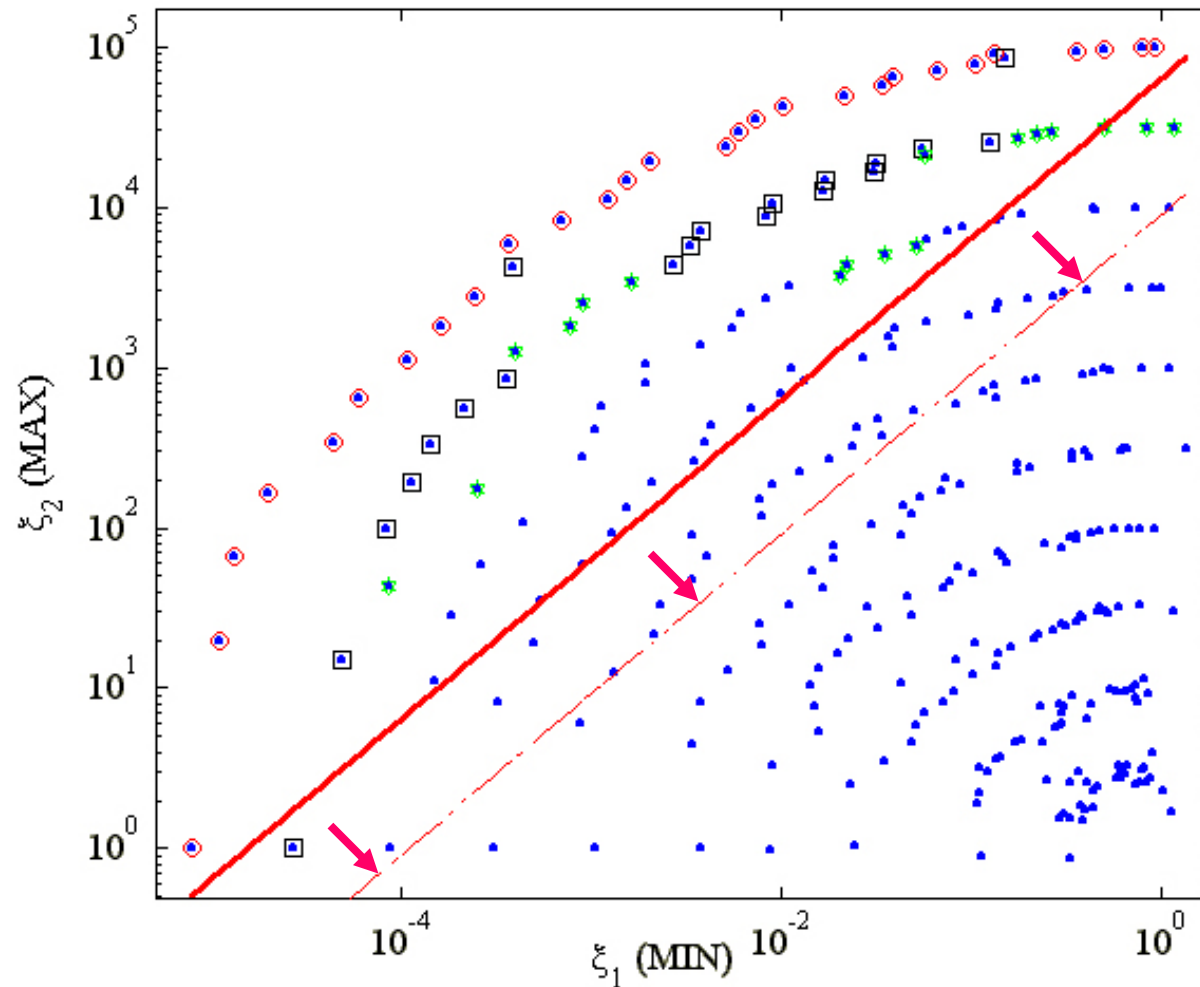


**Ensemble mean scattergram
(Ground truth)**

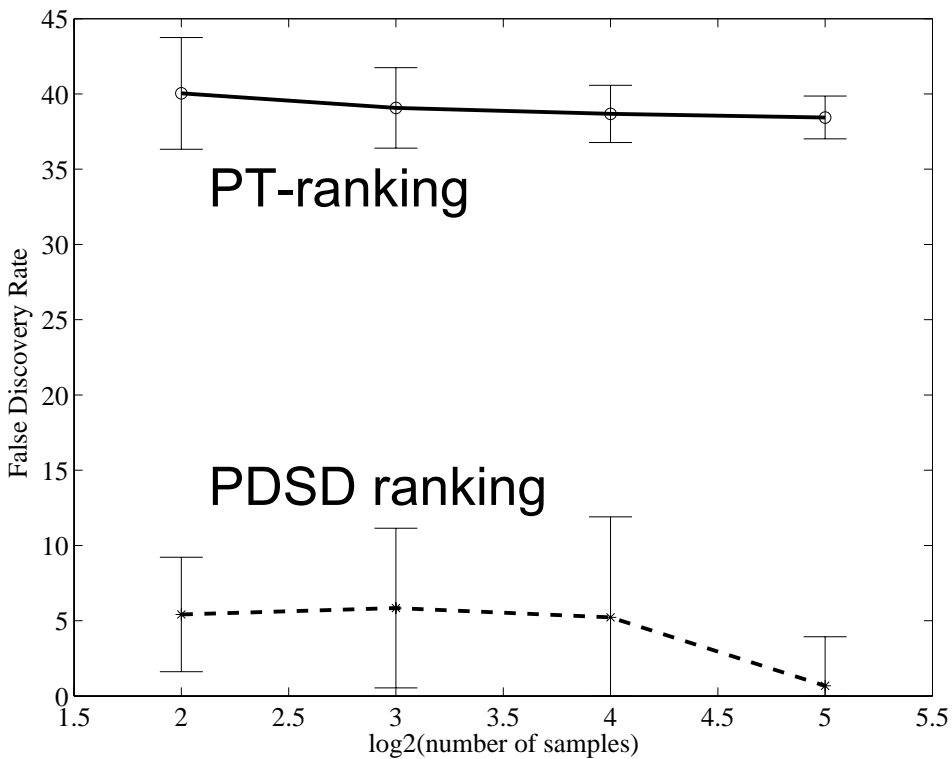


**Sample mean scattergram
(Measured)**

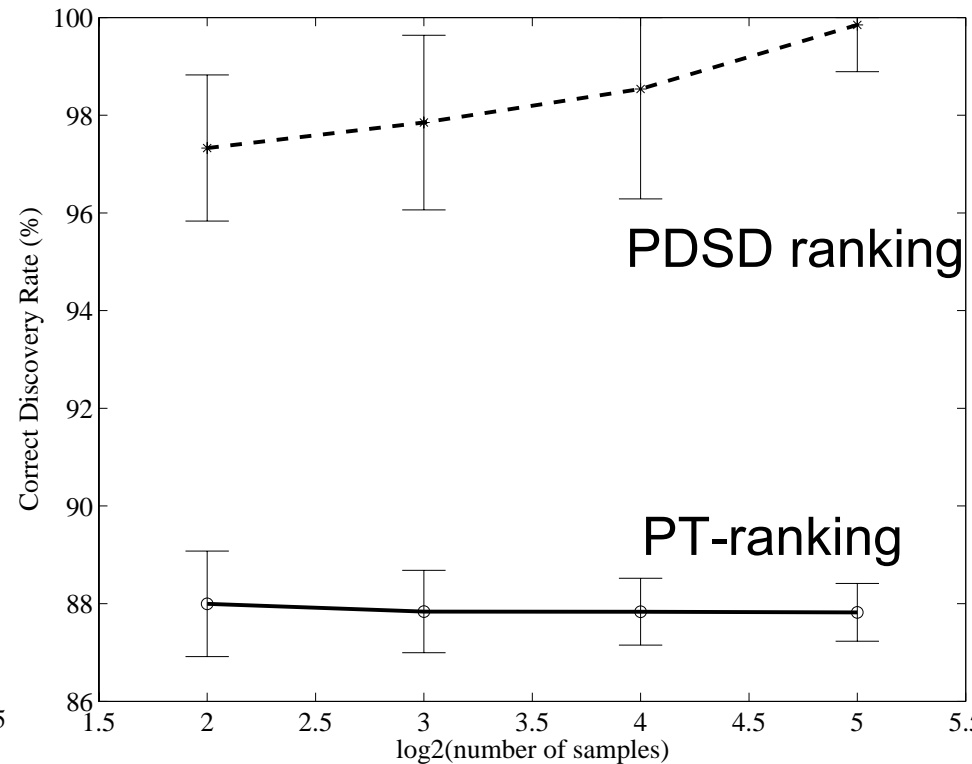
Pareto Front vs. Paired T Test ranking



False Discovery Rate Comparisons



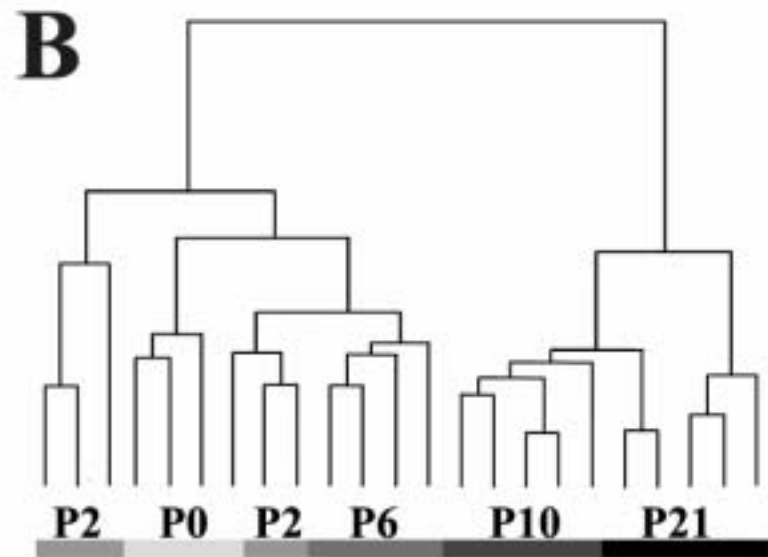
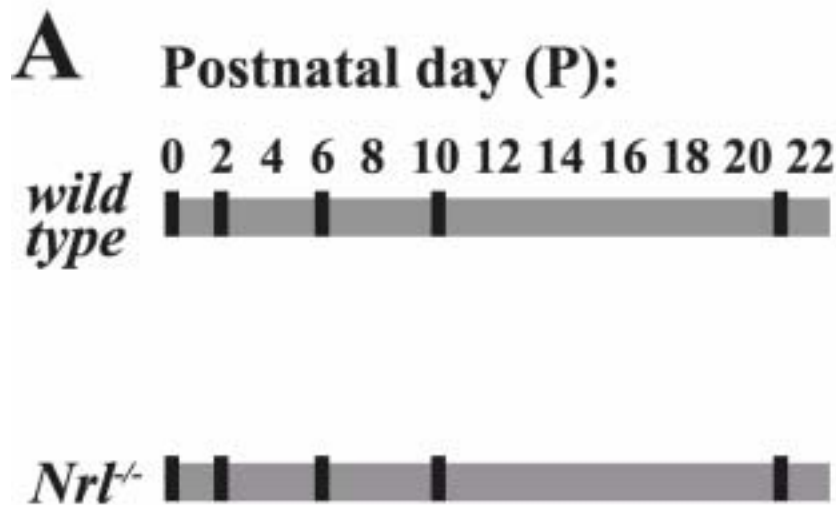
False Discovery Rate



Correct Discovery Rate

Clustering differential gene profiles

- Clustering Case Study: cDNA Microarray
 - Two treatments: Wildtype mice vs Nrl Knockout mice
 - 6 time points for each treatment
 - 4-5 replicates for each time point
 - Gene filtering via FDR produced 923 differentially expressed gene trajectories for cluster analysis



Wt/ko Clustering Approach

- Objective: To find clusters of wt/ko profile differences
- Step 1: Encode each gene into a feature vector

$$X(g)=[wt0,wt2,wt6,wt10,wt21,ko0,ko2,ko6,ko10,ko21]$$

- Step 2: Cluster the rows of the 923x12 matrix

$$\mathbf{X} = [X'(1), \dots, X'(923)]'$$

- Three clustering techniques:
 - hierarchical,
 - k-means,
 - unsupervised clustering by learning mixtures



Clustering via PML Learning of Mixtures

- Hidden data model for class membership $Z_g(c) \in \{0, 1\}$

$$X_g = \sum_{c=1}^C Z_g(c) S_g(c)$$

- Penalized maximum likelihood (PML) function

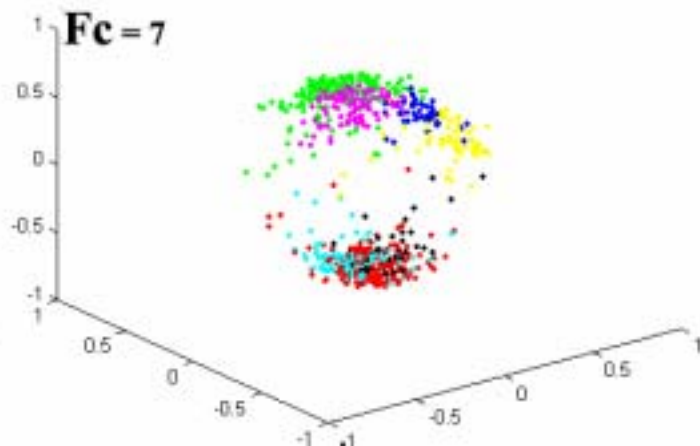
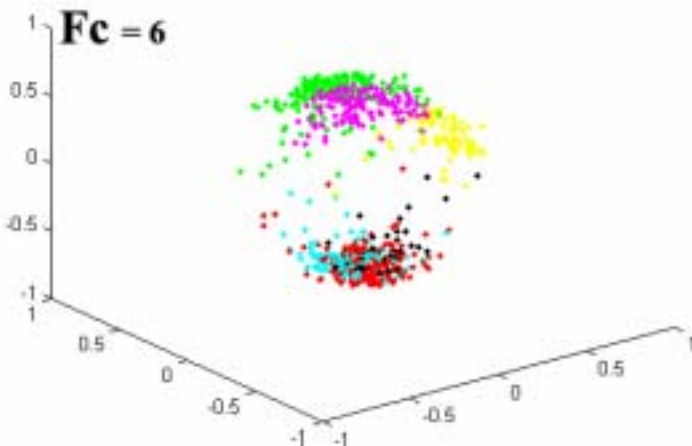
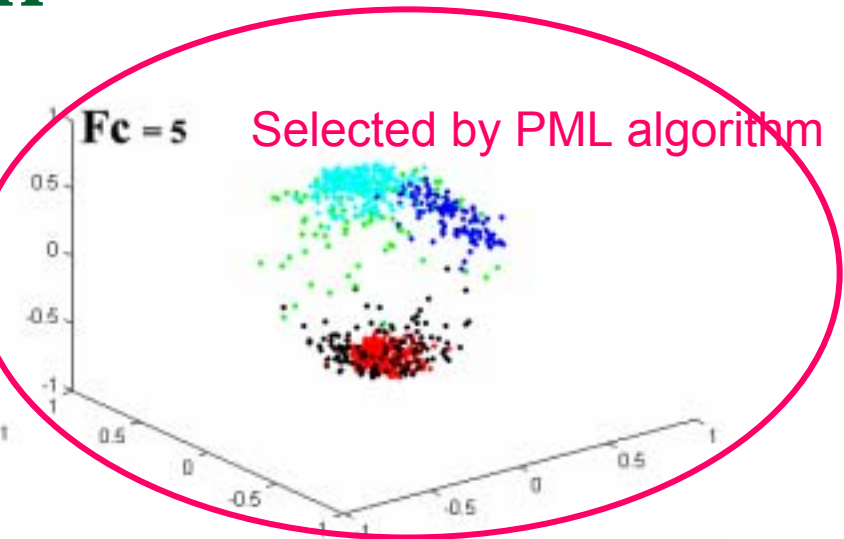
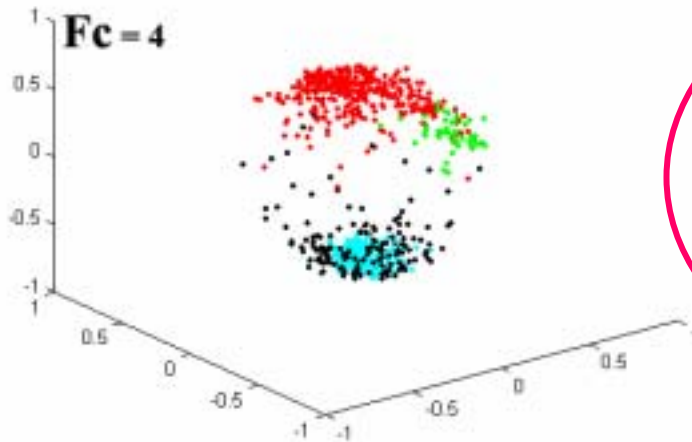
$$L(\theta, \alpha, C) = \sum_{g=1}^G \sum_{c=1}^C \alpha(c) \phi_c(X_g; \theta_c) + Q(C)$$

- Maximization of PML via EM algorithm produces
 - An estimated number C of clusters
 - A “Soft” classification to class c of each gene g

$$P(Z_g(c) = 1 | X)$$



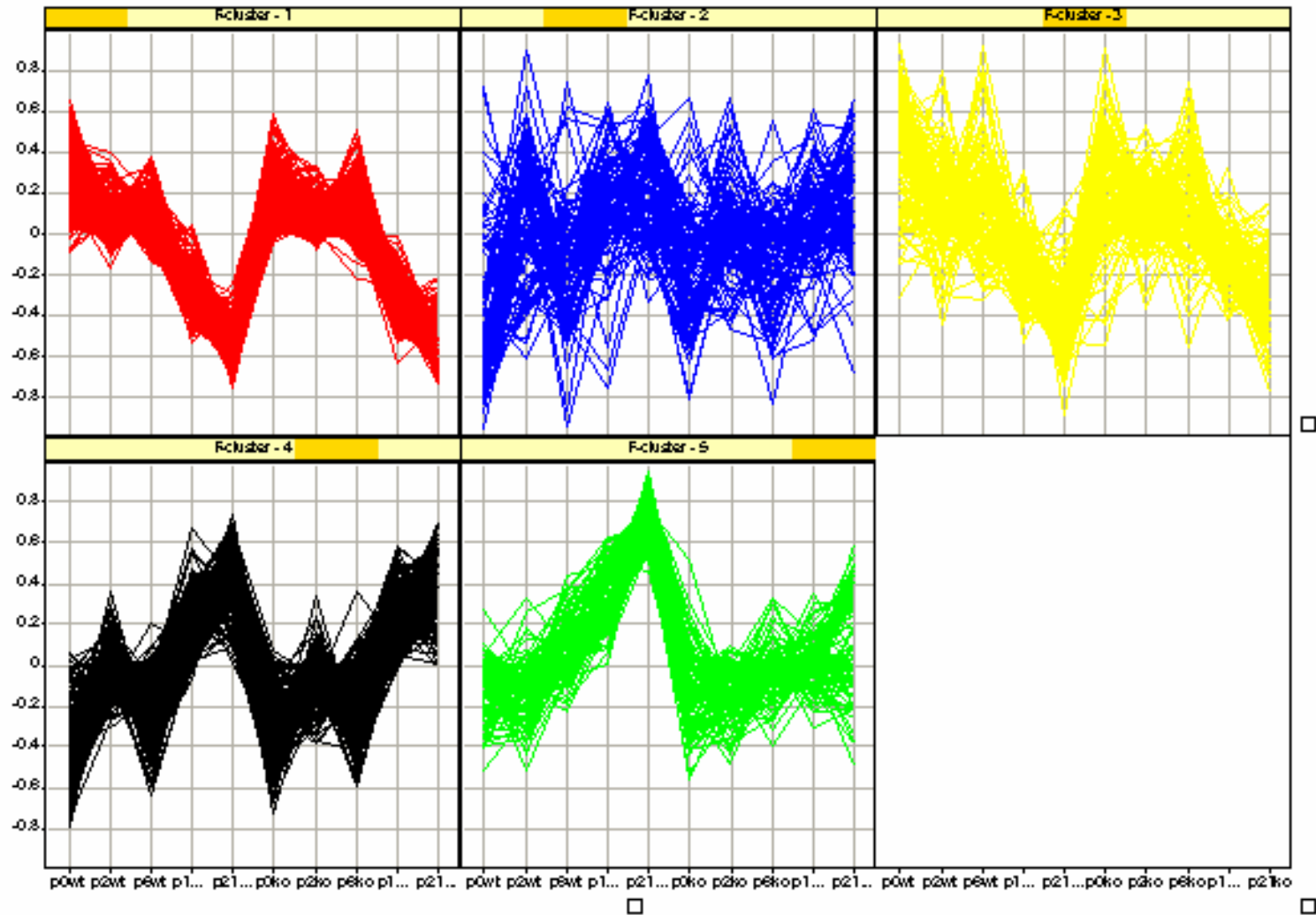
Cluster Visualization



Result of PML mixture clustering of 800 genes (MDS projections onto 3D)

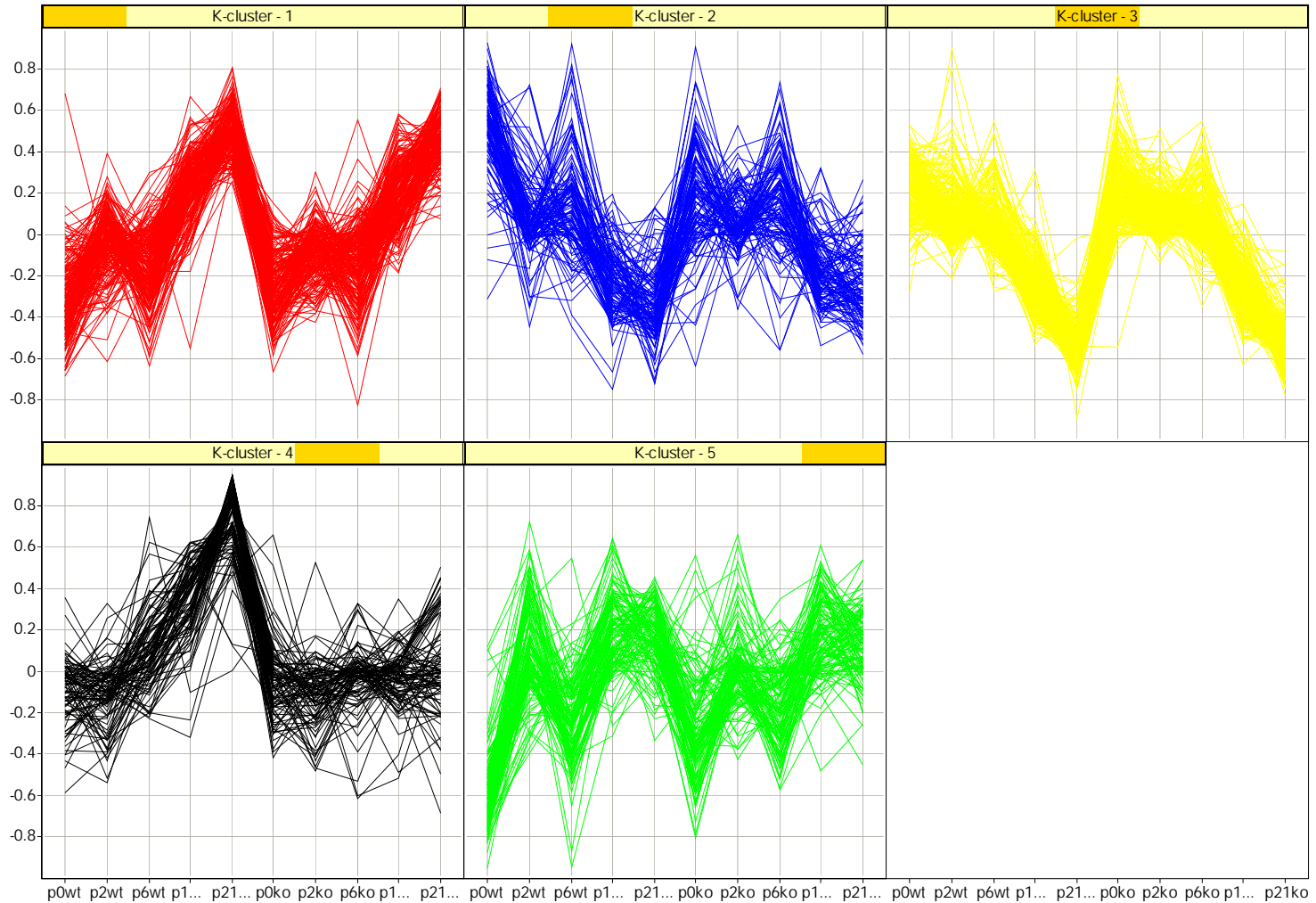


Clustered Trajectories: PML Mixture



Clustered Trajectories: k-Means

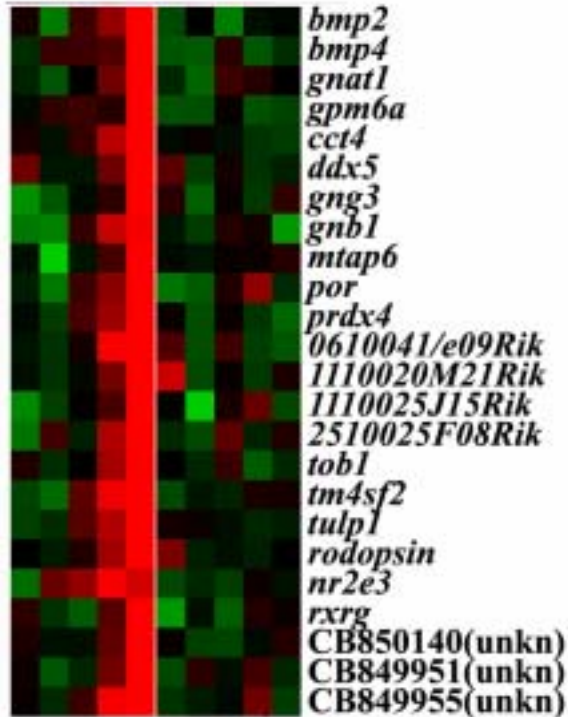
K-means clustering



Post-Clustering Time Course Analysis

A Cluster 6, subgroup I

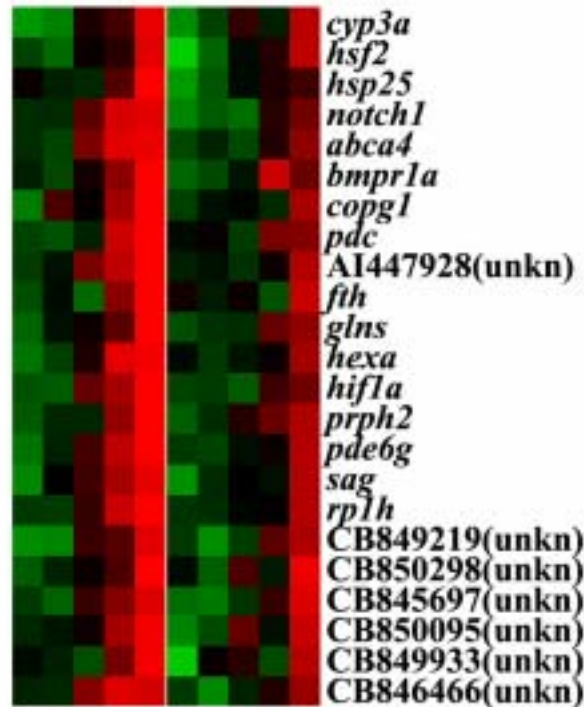
Retina-late genes not expressed in $Nrl^{-/-}$



wild-type $Nrl^{-/-}$

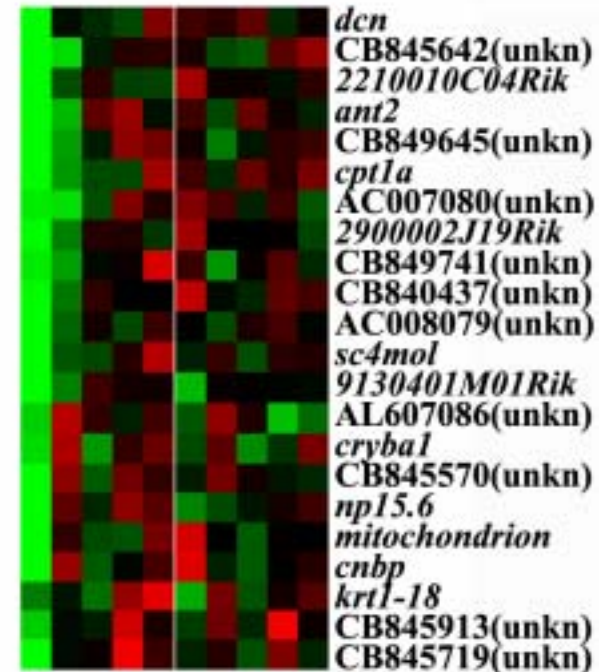
B Cluster 6, subgroup II

Retina-late genes delayed in $Nrl^{-/-}$

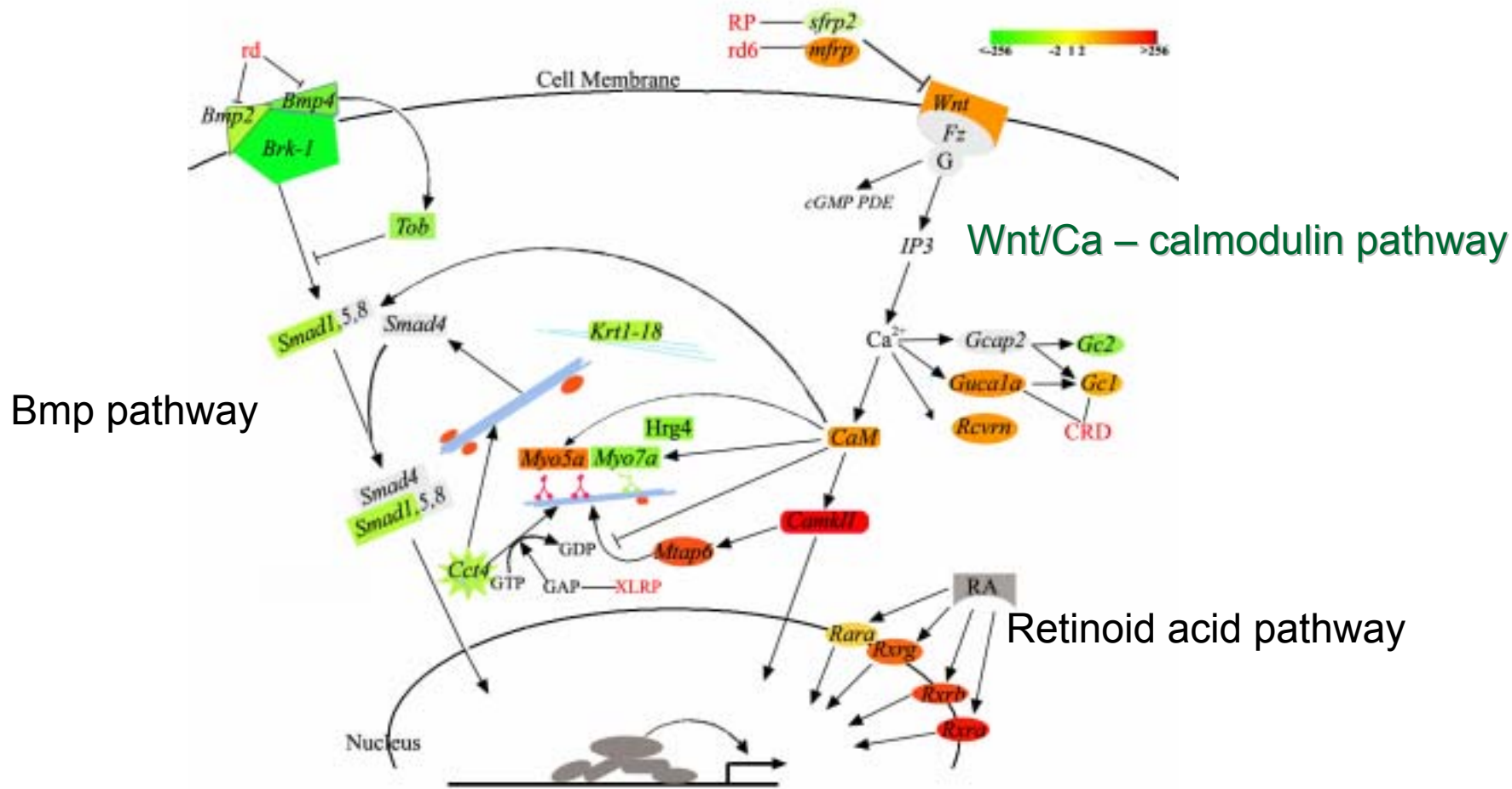


C Cluster 2

Retina-late genes turned on earlier in $Nrl^{-/-}$



4. Discovering gene regulation networks



Draft Pathways for Photoreceptor Function



EUSIPCO, Vienna 2004

Source: J. Yu, UM BioMedEng Thesis Proposal (2002)

Basic co-Expression Search Tools (BEST)

- Correlation measures
 - Pearson's correlation coefficient (linear similarity)
 - Kendall's rank correlation (non-linear similarity)
 - α -Mutual information (non-linear similarity)
- Types of correlation estimators
 - Sample covariance matrix
 - Sample partial correlation matrix
 - Resampling methods: Jackknife, Bootstrap, SIR
- Objective: Find gene dependency network from pairwise correlations between profiles
 - Relevancy network: partial ordering of correlations: $\rho(g_i, g_j)$
 - Graphical Gaussian Model: partial ordering of pairwise partial correlations $\rho(g_i, g_j | G_{-i, -j})$



Two-stage pairwise correlation screening algorithm

- Statistical hypothesis for each co-expression candidate:

$$H_o : |r_o| \leq \text{cormin}$$

$$H_\alpha : |r_o| > \text{cormin}$$

- Two-stage screen algorithm (Hero&etal:JASP 2004)
 - Stage I, controls only FDR
 - Stage II, controls both FDR and Minimum Acceptable Strength (MAS)
- Algorithm controls significance at a FDR level α and at a MAS level *cormin*



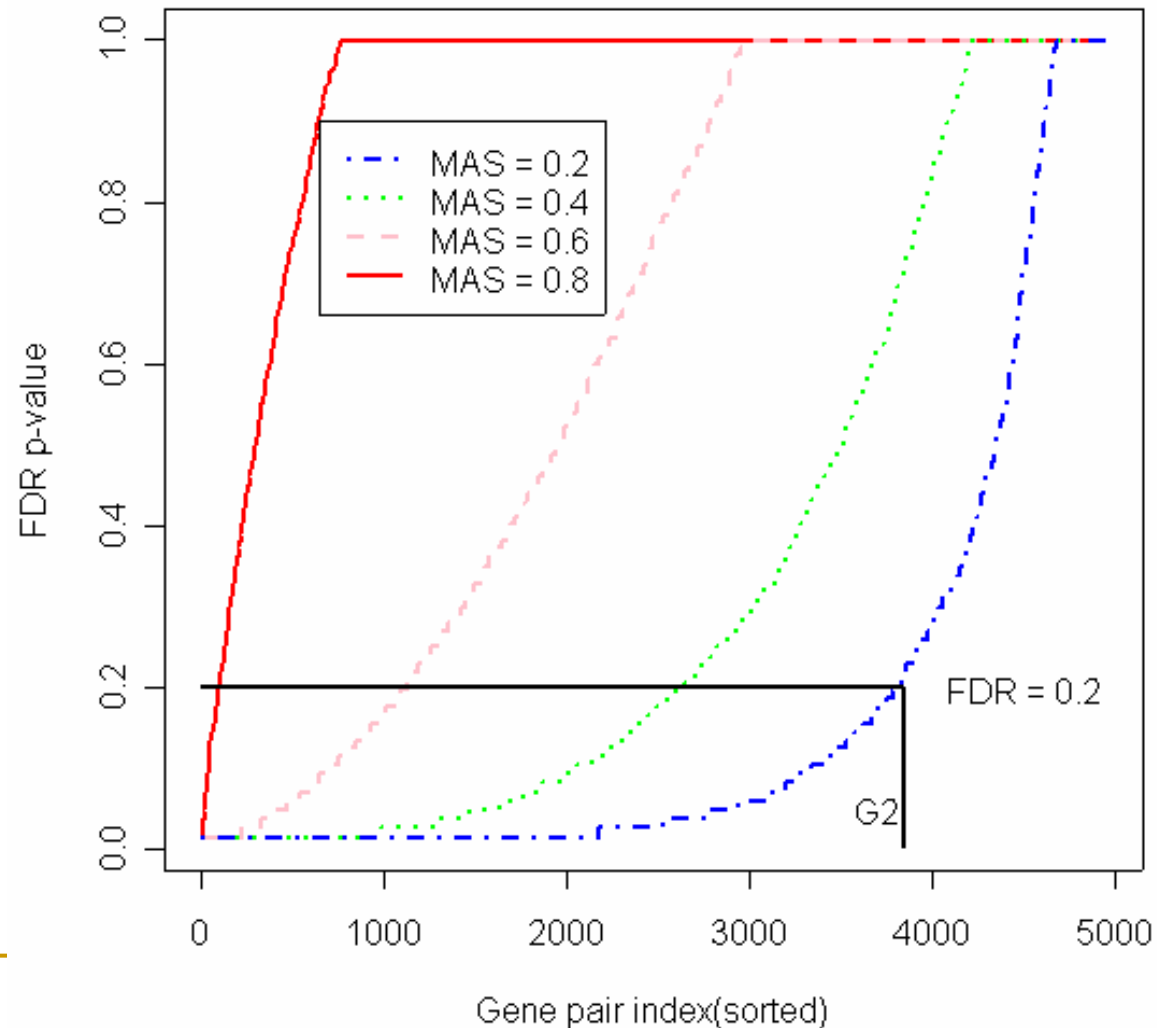
Galactose metabolism experiment

- Global gene expression profiles in 10 different yeast strains (9 gene knock-outs and 1 wild type) incubated in either GAL-inducing or non-inducing media (Ideker et al. 2001).
- 9 gene knock-outs are GAL1, GAL2, GAL3, GAL4, GAL5, GAL6, GAL7, GAL10, GAL80.
- Galactose metabolic pathway, “all-or-nothing”.
- Two-channel cDNA array, 5935 gene expression profiles are measured. Reference channel is dilution “wild-type + galactose”
- Missing data imputation: k-nearest neighbor (k = 12, Troyanskaya et al, 2001)
- Gene filtering eliminates expression profiles whose minimal foldchange variation < 2



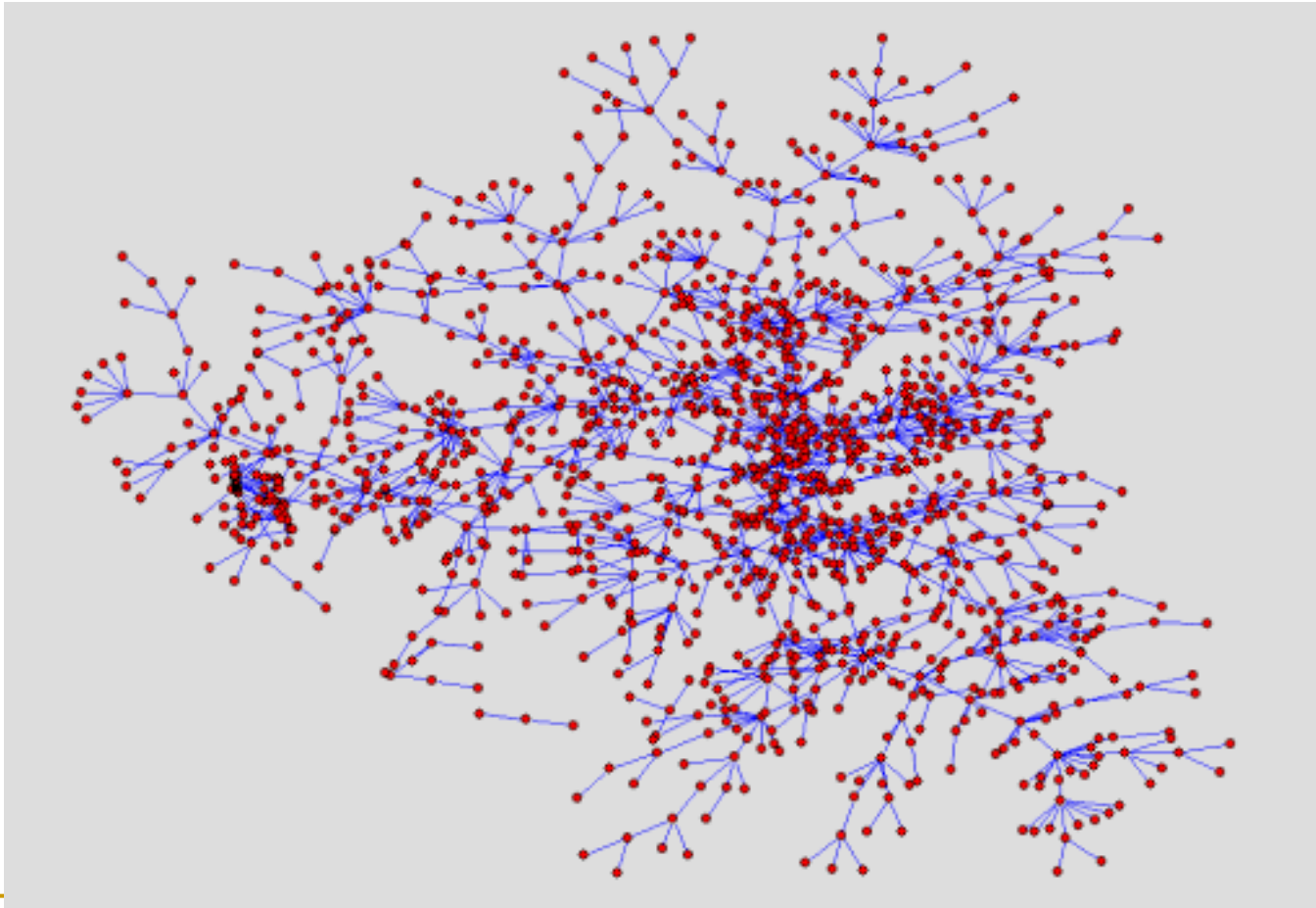
Result of two-stage screening

Sorted FDR p-values for various min correlation coefficient



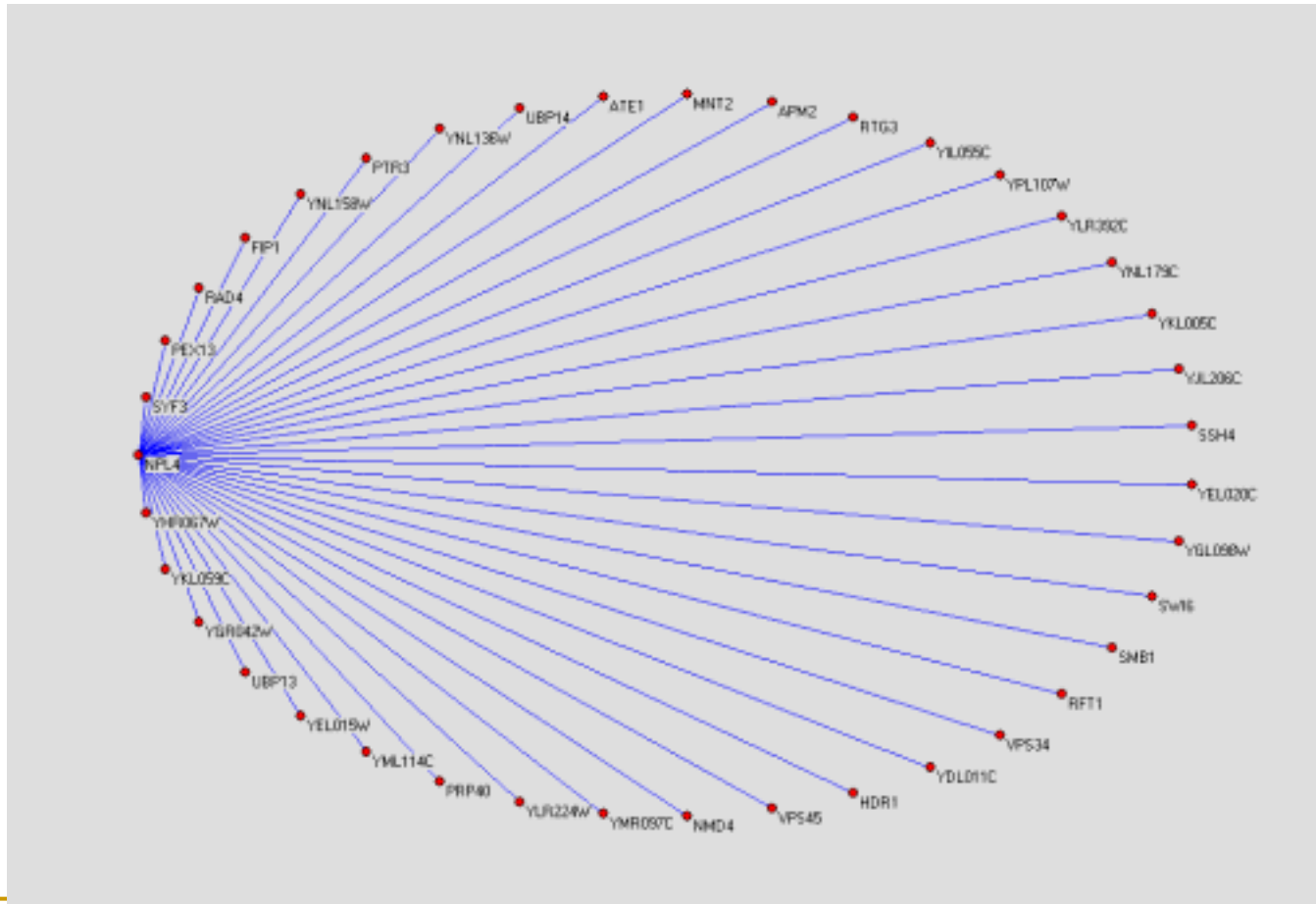
Relevance network visualization

(FDR ≤ 0.05 , MAS = 0.7)

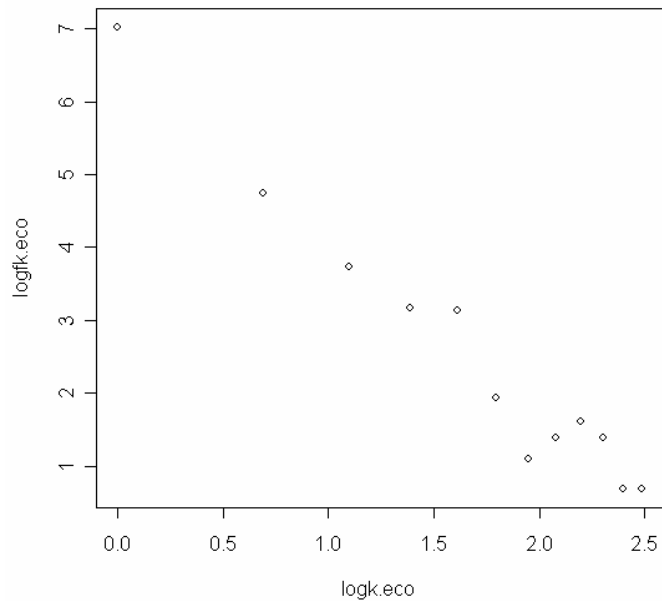


Hub Gene “NPL4”

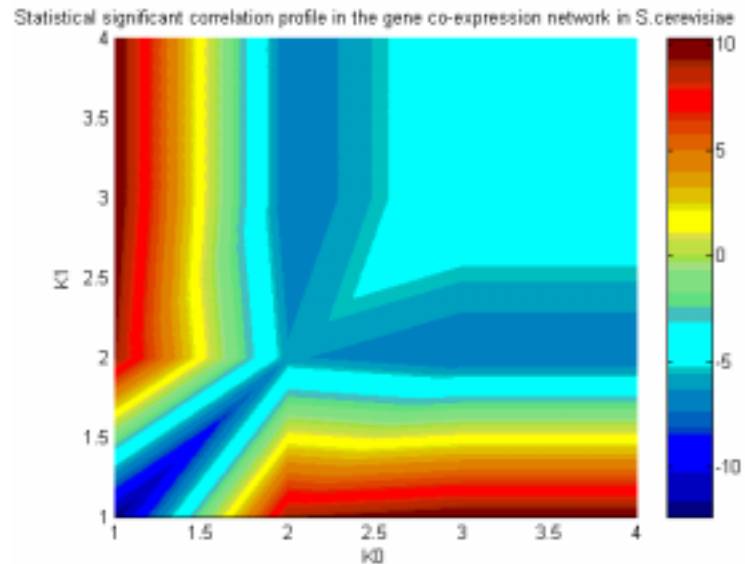
(FDR ≤ 0.05 , MAS = 0.7)



Degree distribution of relevance network



Log-transformed marginal
degree distribution



Bivariate joint degree distribution



Top ten “Hub Genes”

Rank	Name	Degree	Function
1	NPL4	24	Endoplasmic reticulum and nuclear membrane protein, forms a complex with Cdc48p and Ufd1p that recognizes ubiquitinated proteins in the endoplasmic reticulum and delivers them to the proteasome for degradation
2	YPL107W	21	Hypothetical ORF
3	CDC16	20	Subunit of the anaphase-promoting complex/cyclosome (APC/C), which is a ubiquitin-protein ligase required for degradation of anaphase inhibitors, including mitotic cyclins, during the metaphase/anaphase transition; required for sporulation
4	YEL020C	19	Hypothetical ORF
5	CDC50	19	Endosomal protein that regulates cell polarity; similar to Ynr048wp and Lem3p
6	SSH4	18	Suppressor of SHR3; confers leflunomide resistance when overexpressed
7	YML114C	17	Hypothetical ORF
8	NBP2	17	interacts with Nap1, which is involved in histone assembly
9	MTR2	17	mRNA transport regulator
10	FIP1	15	Subunit of cleavage polyadenylation factor (CPF), interacts directly with poly(A) polymerase (Pap1p) to regulate its activity



Comparison of co-expressed gene pairs

gene1	gene2	cor.list	p.list	q.list	lower	higher
YDL151C	YKL174C	1	0.00E+00	0.00E+00	1	1
ASP3A	ASP3B	0.996169	0.00E+00	0.00E+00	0.985272	0.999008
HXT7	HXT6	0.993415	0.00E+00	0.00E+00	0.974783	0.998292
HXT4	HXT1	0.989525	2.22E-16	8.79E-11	0.960107	0.99728
HXT6	HXT3	0.983352	8.88E-15	2.81E-09	0.937145	0.995667
ENA5	ENA1	0.977309	1.39E-13	3.68E-08	0.915046	0.99408
FIP1	PEX13	0.97497	3.35E-13	7.57E-08	0.90659	0.993464
HXT7	HXT3	0.974013	4.67E-13	9.25E-08	0.90315	0.993212
YJL206C	ECM37	0.97042	1.48E-12	2.43E-07	0.890301	0.992263
ENA2	ENA1	0.970299	1.53E-12	2.43E-07	0.889872	0.992231
CDC16	SNT309	0.969866	1.74E-12	2.51E-07	0.888331	0.992117
TFC1	PRP6	0.96944	1.98E-12	2.61E-07	0.886821	0.992004
HXT8	HXT9	0.968077	2.91E-12	3.55E-07	0.881995	0.991643
NPL4	SYF3	0.966725	4.21E-12	4.56E-07	0.877224	0.991285
ENA5	ENA2	0.966628	4.32E-12	4.56E-07	0.876881	0.991259
UBC8	YFR008W	0.964975	6.63E-12	6.28E-07	0.871075	0.99082
YML114C	CDC16	0.964818	6.90E-12	6.28E-07	0.870525	0.990779
HXT4	HXT2	0.964687	7.13E-12	6.28E-07	0.870066	0.990744
CDC16	TOF2	0.964176	8.10E-12	6.75E-07	0.868278	0.990608

gene1	gene2	pcor.list	p.list	q.list	lower	higher
YDL151C	YKL174C	1	0.00E+00	0.00E+00	1	1
ASP3A	ASP3B	0.997145	1.75E-29	1.38E-23	0.978571	0.999623
HXT7	HXT6	0.989055	3.41E-19	1.80E-13	0.919956	0.998549
HXT4	HXT1	0.972052	2.36E-13	9.36E-08	0.806073	0.996266
HXT8	HXT9	0.958786	3.01E-11	9.53E-06	0.725004	0.994461
ENA2	ENA1	0.948841	3.72E-10	9.82E-05	0.668204	0.993094
NIP100	SGS1	0.941201	1.75E-09	3.97E-04	0.626685	0.992036
YDL151C	MAL31	0.931384	9.22E-09	1.62E-03	0.575832	0.990666
MAL31	YKL174C	0.931384	9.22E-09	1.62E-03	0.575832	0.990666
YBR230C	UTR4	0.929853	1.16E-08	1.72E-03	0.568141	0.990451
YBR259W	VAM6	0.929354	1.25E-08	1.72E-03	0.565646	0.990381
VMA1	YJR151C	0.929062	1.31E-08	1.72E-03	0.564189	0.99034
YDL222C	YDL085W	0.928473	1.42E-08	1.73E-03	0.561261	0.990257
ENA5	ENA1	0.927319	1.68E-08	1.90E-03	0.555549	0.990095
YGR102C	GPI12	0.925035	2.32E-08	2.44E-03	0.544345	0.989773
GAC1	CSR2	0.922695	3.17E-08	3.14E-03	0.533003	0.989443
PHO89	YMR218C	0.919618	4.72E-08	4.39E-03	0.518303	0.989007
MRP20	YPR093C	0.916996	6.52E-08	5.73E-03	0.505956	0.988635
YGL261C	YGR294W	0.912754	1.07E-07	8.75E-03	0.486339	0.988032

Simple correlation
(Relevance Network)

Partial correlation
(Graphic Gaussian Model)

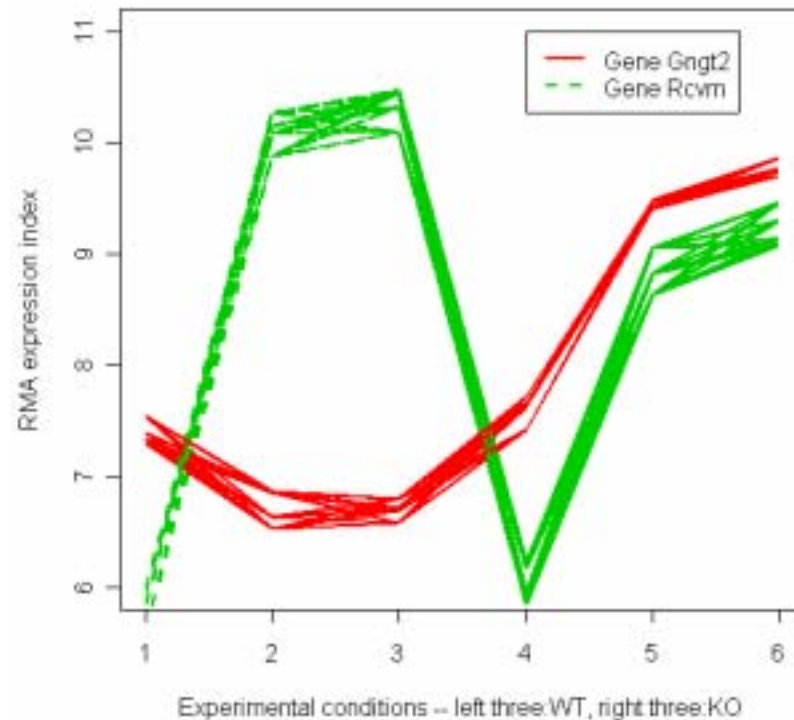


α -Mutual Information (Non-linearly co-expressed genes)

(Red is up-regulated and green is down-regulated by Nrl)

Gene1	Gnen2	corlist	a-MI
160893_at	160893_at	1	1
160893_at	100453_at	0.771879	0.81483
160893_at	160693_at	-0.42367	0.81088
160893_at	102340_at	0.738077	0.8036
160893_at	160204_at	0.12689	0.79348
160893_at	94256_at	0.242293	0.78675
160893_at	93071_at	0.049194	0.78327
160893_at	97925_at	0.02524	0.78173
160893_at	96490_at	-0.53337	0.77259
160893_at	101344_at	0.82691	0.77083
160893_at	98569_at	-0.28032	0.76988
160893_at	98532_at	0.093833	0.76495
160893_at	160131_at	-0.28248	0.75963
160893_at	98427_s_a	0.931593	0.75921
160893_at	102682_at	0.399634	0.75797
160893_at	160242_at	0.005767	0.75782
160893_at	96951_at	0.449107	0.75412
160893_at	95356_at	0.611431	0.75395
160893_at	97125_f_at	0.445086	0.75371
160893_at	97540_f_at	0.48131	0.75358
160893_at	99160_s_a	0.301906	0.75236
160893_at	98560_at	0.978286	0.7493
160893_at	93412_at	0.743385	0.74621
160893_at	102354_at	-0.09397	0.74365
160893_at	93390_g_a	-0.04565	0.74253
160893_at	93120_f_at	0.480893	0.74087
160893_at	104104_at	0.705927	0.74051
160893_at	96072_at	-0.10414	0.73879
160893_at	104643_at	0.981046	0.73793

Expression profiles of Gngt2 and Rcvrn



MI: 0.71915 Corrcoef: -0.01989



5. Wrap Up and References

- Gene filtering: accounting for biological and statistical significance
- Gene ranking: can involve optimization over multiple criteria
- Gene clustering: group response profiles under single or multiple treatments
- Gene co-regulation networks: discover co-dependent gene profiles
- Increasing importance of statistical signal and image processing approaches
- References to UM work and software presented here: <http://www.eecs.umich.edu/~hero/bioinfo.html>



Gene Microarray Software Resources

- Affymetrix software
 - <http://www.affymetrix.com/products/software/index.affx>
- 3rd party Affymetrix analysis software
 - http://www.affymetrix.com/support/developer/tools/genechip_compatible_software.affx
- Bioconductor, RMA, SMA software
 - <http://stat-www.berkeley.edu/users/terry/Group/software.html>
- R software
 - <http://www.r-project.org/>
- Matlab – see bioinformatics toolbox
 - <http://www.mathworks.com/>
- S-Plus software
 - <http://www.insightful.com/products/default.asp>
- dChip
 - <http://www.dchip.gov>



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