

# **Gene filtering and data mining for gene microarray experiments**

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## **Outline**

1. Gene filtering problem
2. Multi-objective analysis
3. Applications

## Kellogg Sensory Gene Microarray Node: Objectives

Establish genetic basis for development, aging, and disease in the retina

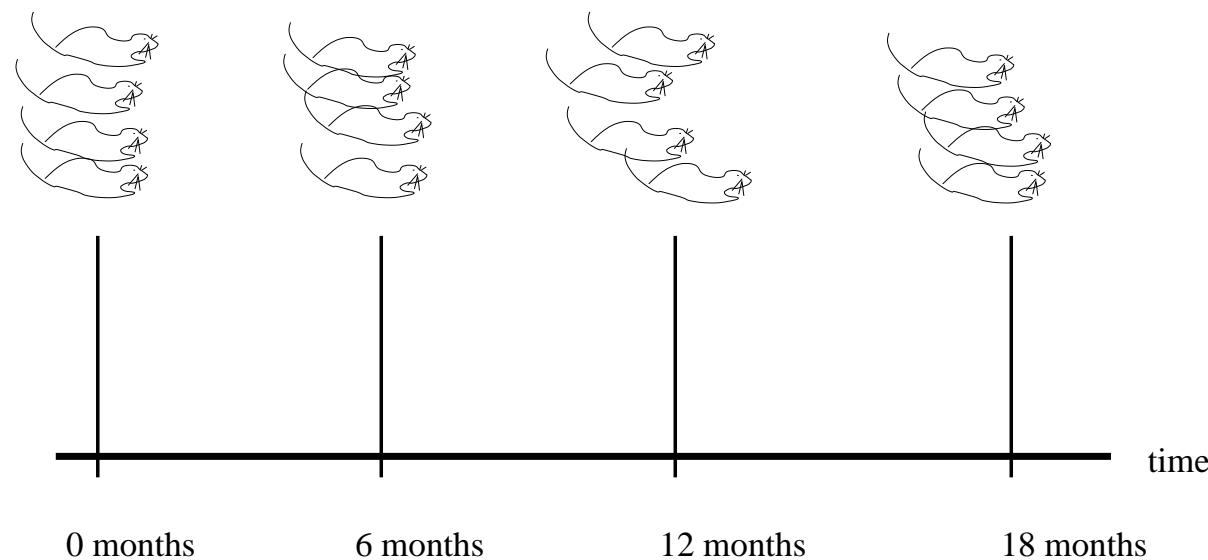


Figure 1: *Sample gene trajectories over time.*

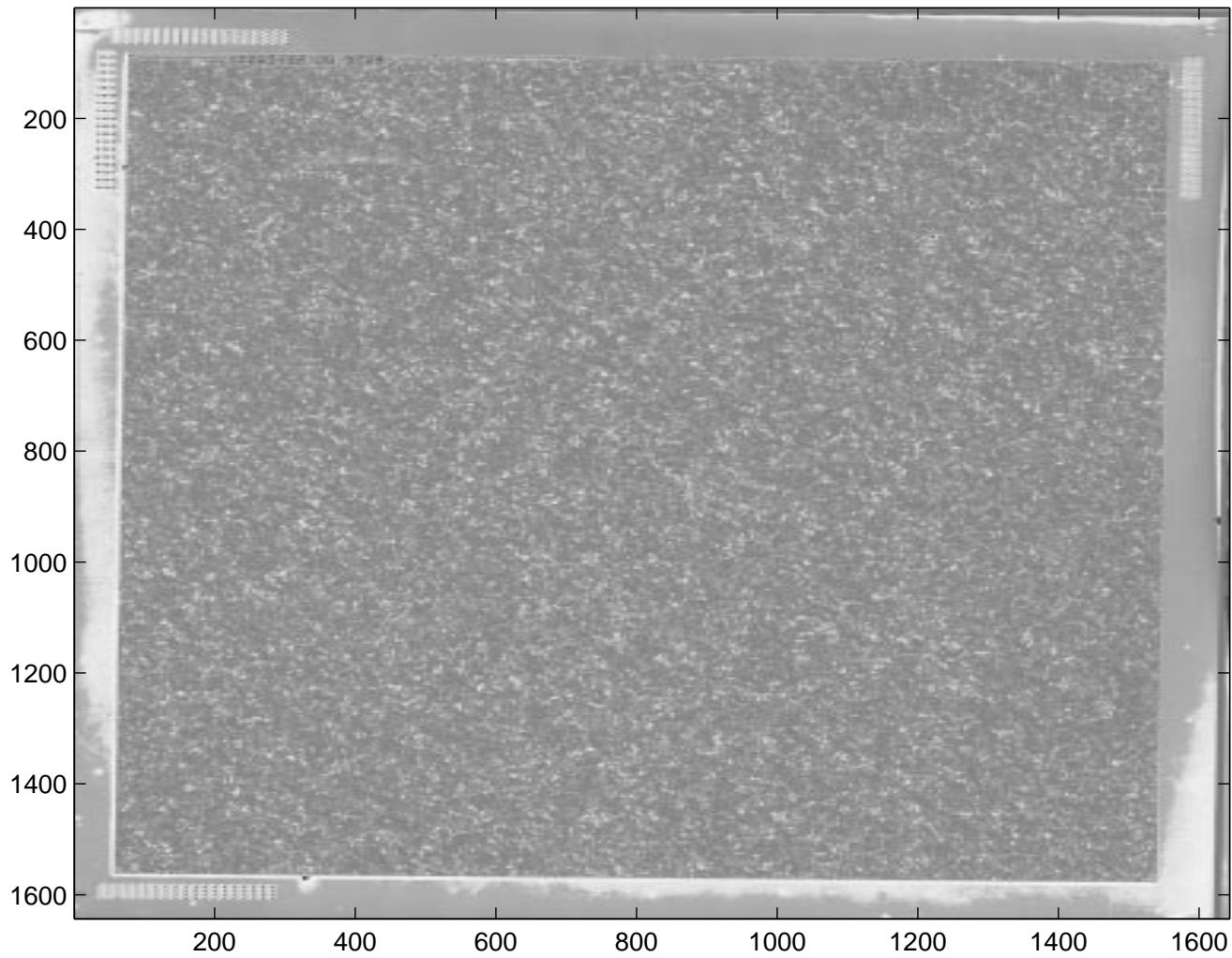


Figure 2: *Affymetrix GeneChip microarray*.

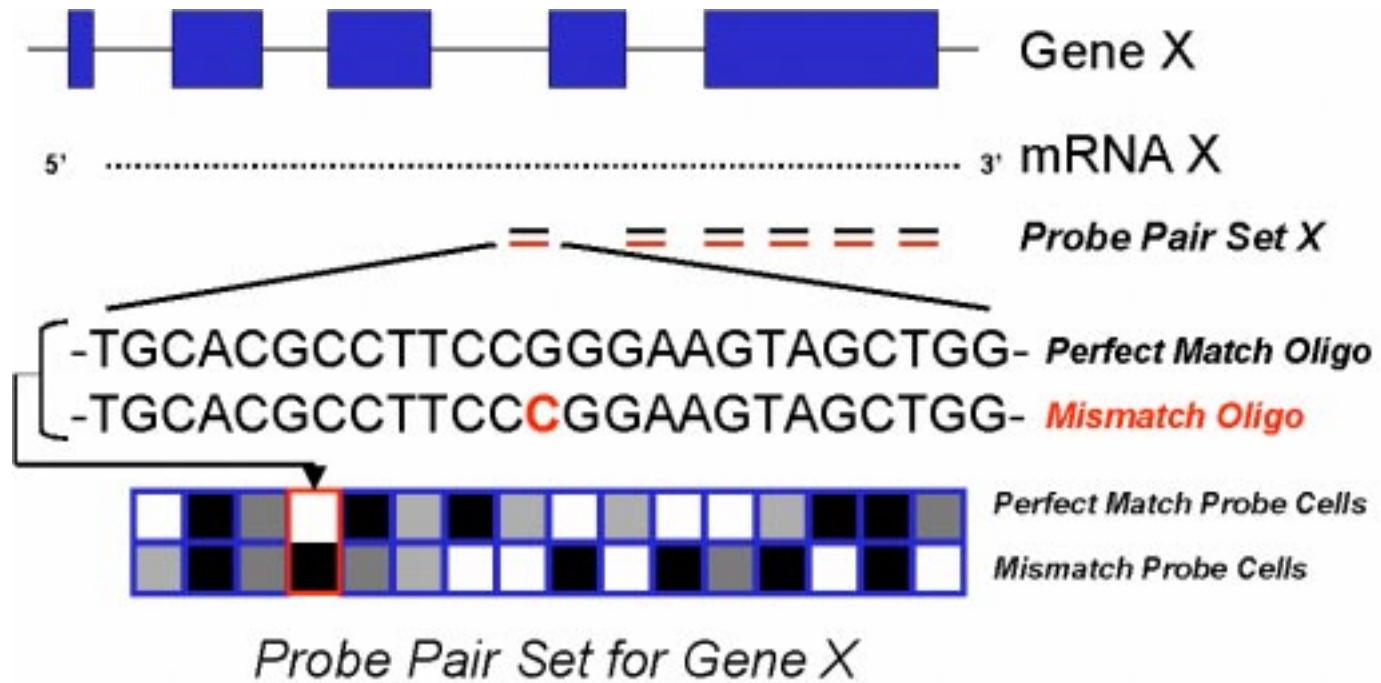


Figure 3: *Oligonucleotide PM/MM layout* ([pathbox.wustl.edu](http://pathbox.wustl.edu)).

## Reference Datasets

1 (2001H) Affy human retinal aging study (Yosida, Swaroop)

- Y group: 8 individuals in age range 16-19 yrs
- O group: 8 individuals in age range 72-80 yrs



Figure 4: Responses for a gene in human retinal aging study.

## 2 (2001FW) Fred Wright's human fibroblast mixing experiment

(<http://thinker.med.ohio-state.edu/projects/fbss/index.html>)

- 18 individuals in 3 groups of 6 subjects

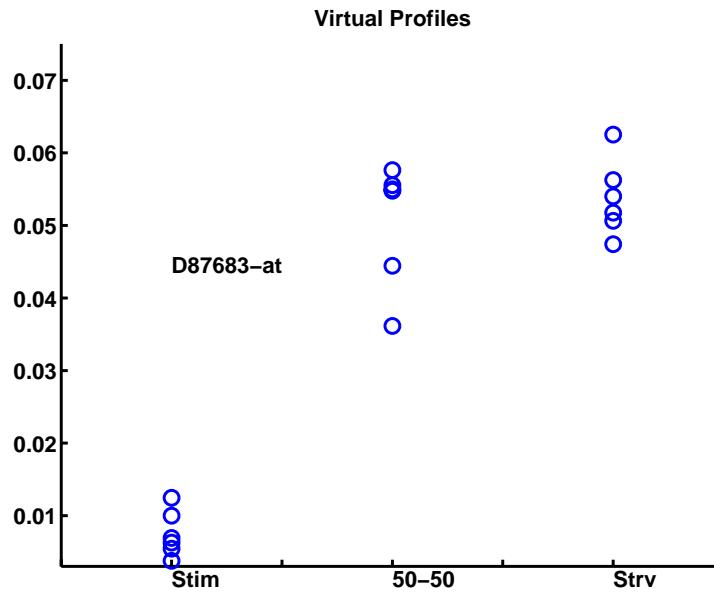


Figure 5: Responses for a gene in FW human fibroblast mixture study.

3 (2001M) Affy mouse retinal aging study (Yosida, Barlow, Lockhart, Swaroop)

- 24 mice in 6 groups of 4 subjects

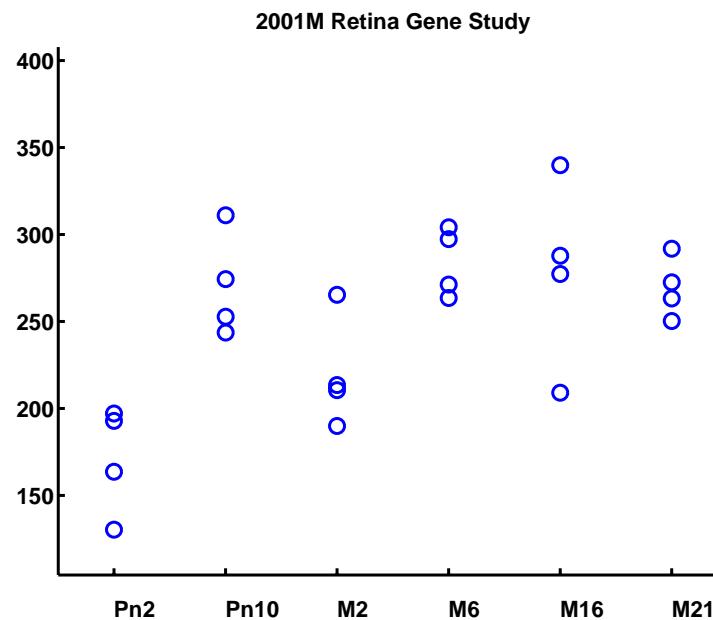


Figure 6: Responses for a gene in mouse aging study.

#### 4 (2002M) Affy mouse differential study (Yosida, Swaroop)

- 12 knockout mice in 3 groups of 4 subjects
- 12 wildtype mice in 3 groups of 4 subjects

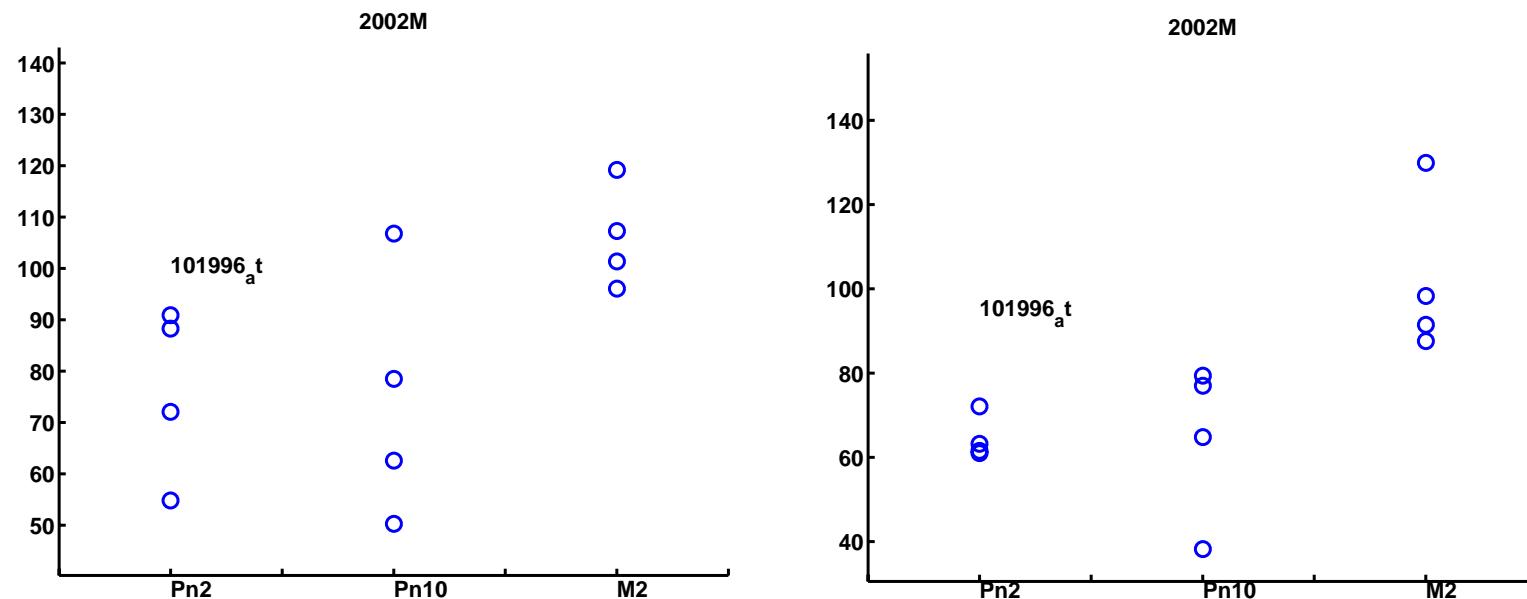


Figure 7: Differential responses for a gene in mouse *k* (left) vs *w* (right) study.

## Pareto Gene Filtering (Fleury&etal:ICASSP02,Hero&etal:02)

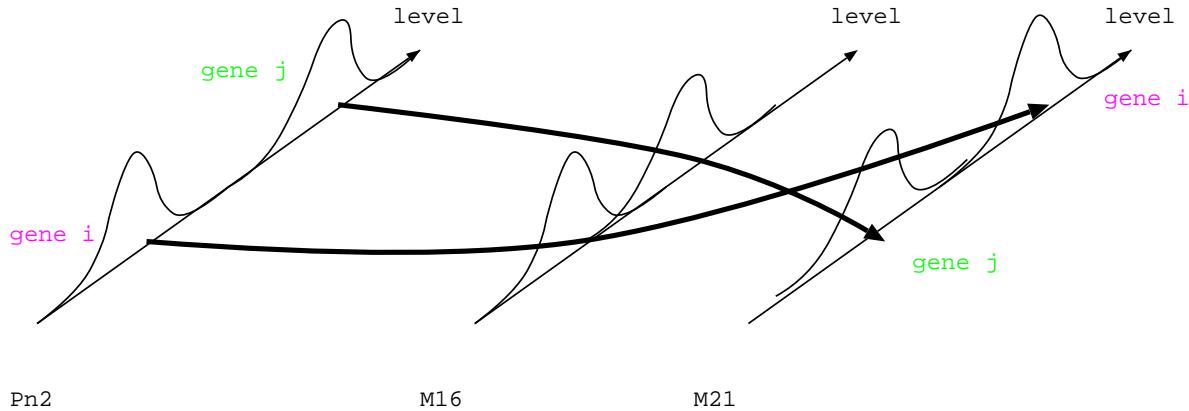


Figure 8: *Gene i is old dominant while gene j is young dominant*

Objective: extract gene trajectories ( $n$ ) from sequence of repeated ( $m$ ) microarray experiments over time samples ( $t$ )

$$y_{tm}(n), \quad n = 1, \dots, N, \quad t = 1, \dots, T, \quad m = 1, \dots, M.$$

## Gene Filtering via Multiobjective Optimization

Gene selection criteria: for  $n$ -th gene  $\xi_1(Y(n)), \dots, \xi_P(Y(n))$

Possible  $\xi_p(Y(n))$ 's for finding uncommon genes

- Squared mean change from  $t = 1$  to  $t = T$ :

$$\xi_1(Y(n)) = |\bar{y}_{T*}(n) - \bar{y}_{1*}(n)|^2$$

- Standard deviation at  $t = 1$ :

$$\xi_2(Y(n)) = \overline{(y_{1m}(n) - \bar{y}_{1*}(n))^2}$$

- Standard deviation at  $t = T$ :

$$\xi_3(Y(n)) = \overline{(y_{Tm}(n) - \bar{y}_{T*}(n))^2}$$

## Some possible scalar functions:

- $t$ -test statistic (Goss et al 2000):  $T(n) = \frac{\xi_1(Y(n))}{\frac{1}{2}\xi_2(Y(n)) + \frac{1}{2}\xi_3(Y(n))}$
- $R^2$  statistic (Hastie et al 2000):  $R^2(n) = \frac{T_n}{1+T_n}$
- $H$  statistic (Sinha et al 1998):  $H(n) = \frac{\xi_1(Y(n))}{\sqrt{\xi_2(Y(n))\xi_3(Y(n))}}$

**Objective:** find genes which maximize or minimize the selection criteria

## Aggregated Criteria

Let  $\{W_p\}_{p=1}^P$  be experimenter's cost "preference pattern"

$$\sum_{p=1}^P W_p = 1, \quad W_i \geq 0$$

Find optimal gene via:

$$\max_n \sum_{p=1}^P W_p \xi_p(Y(n)), \quad or \quad \max_n \prod_{p=1}^P (\xi_p(Y(n)))^{W_p}$$

Q. What are the set of optimal genes for all preference patterns?

A. These are *non-dominated* genes (Pareto optimal)

**Defn:** Gene  $i$  is dominated if there is a  $j \neq i$  s.t.

$$\xi_p(Y(i)) \leq \xi_p(Y(j)), \quad p = 1, \dots, P$$

## Pareto Optimality: increasing criteria

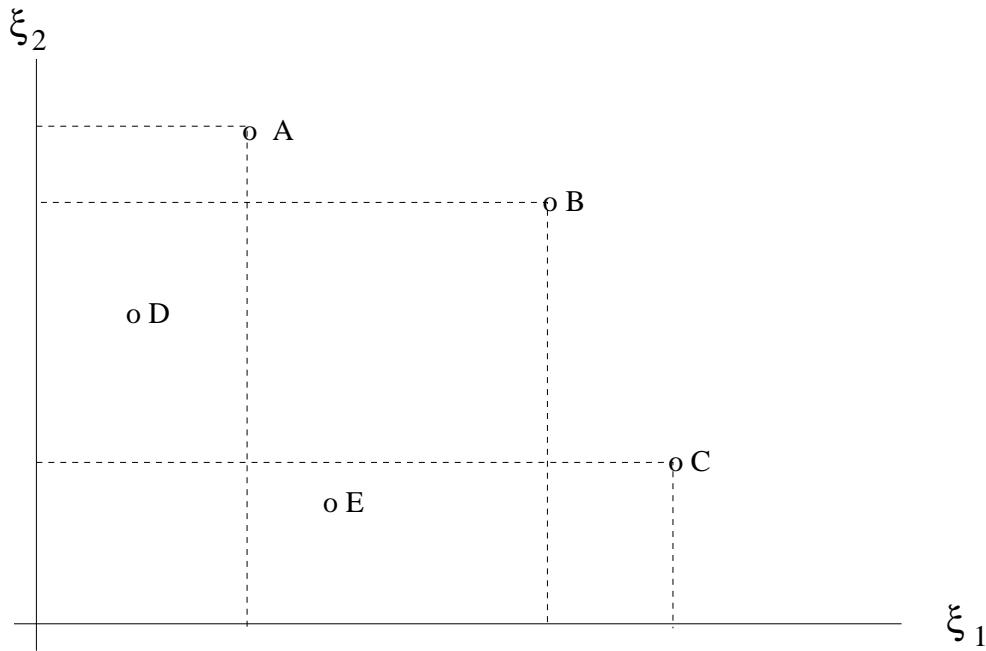


Figure 9: For increasing criteria  $A, B, C$  are non-dominated genes and form the (first) Pareto front. A second Pareto front is formed by genes  $D, E$ .

## Pareto Optimality: inc/dec criteria

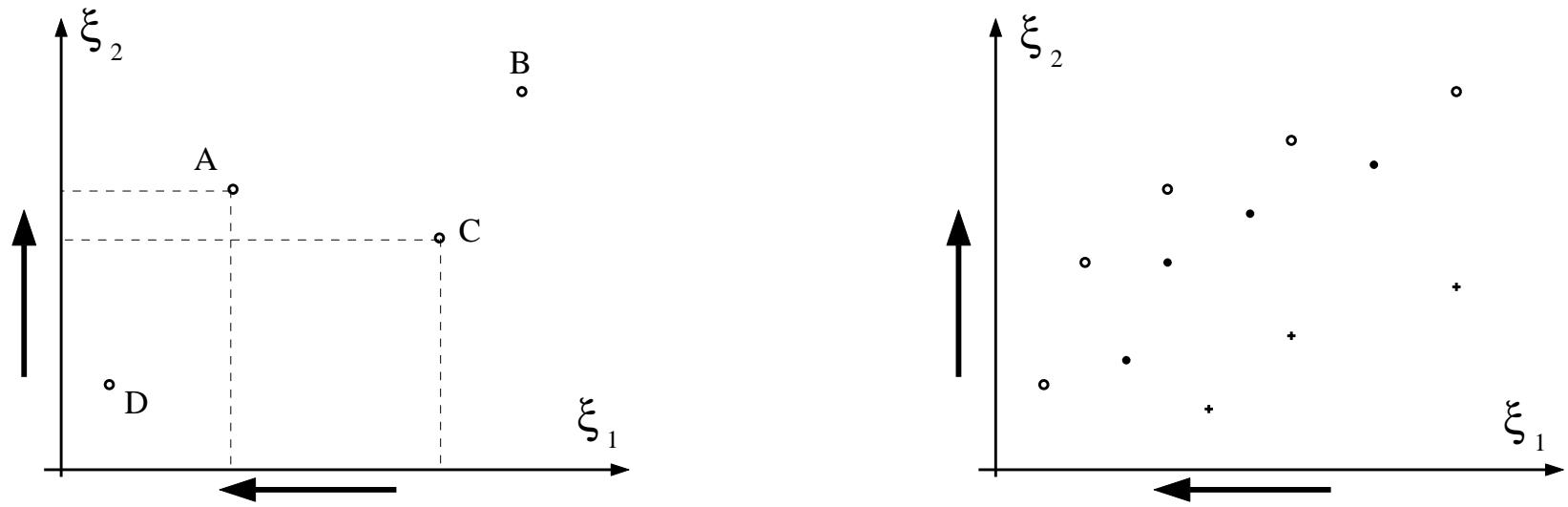


Figure 10: a). Non-dominated property, and b). Pareto optimal fronts, in dual criteria plane.

## Pareto Gene Filtering vs. Paired T-test

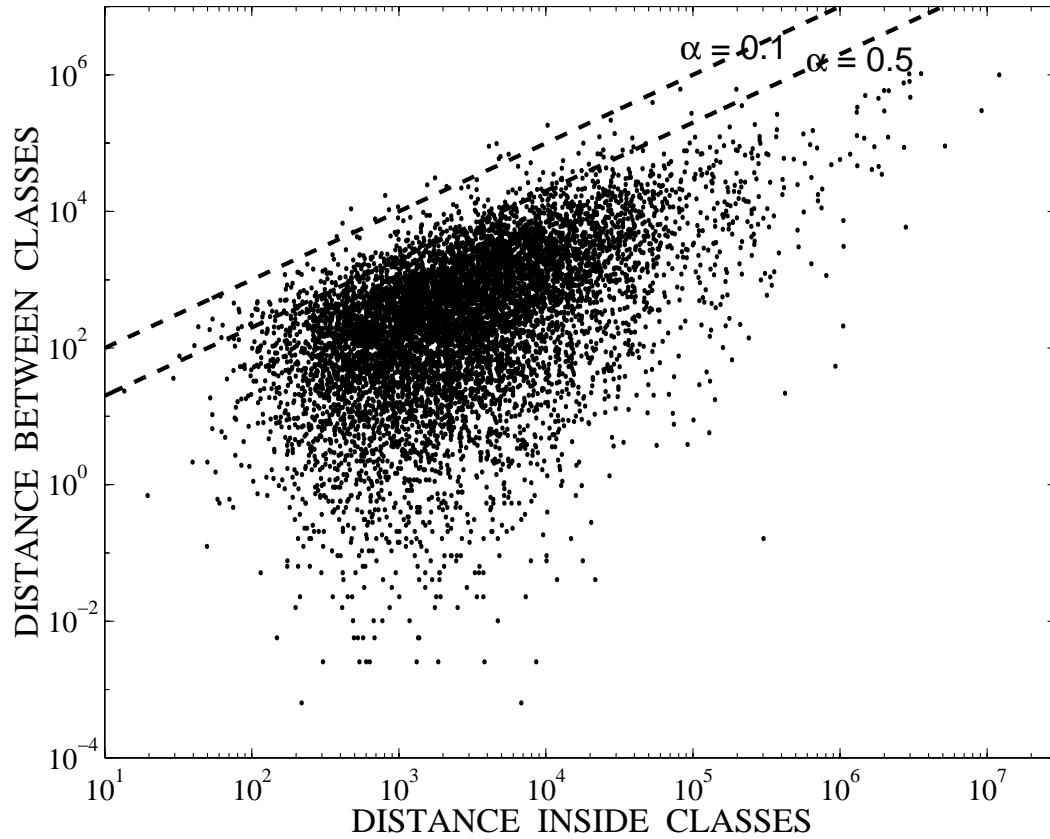


Figure 11:  $\xi_1 = \text{mean change}$  vs  $\xi_2 = \text{pooled standard deviation}$  for 8826 human retina genes (2001H). Superimposed are T-test boundaries

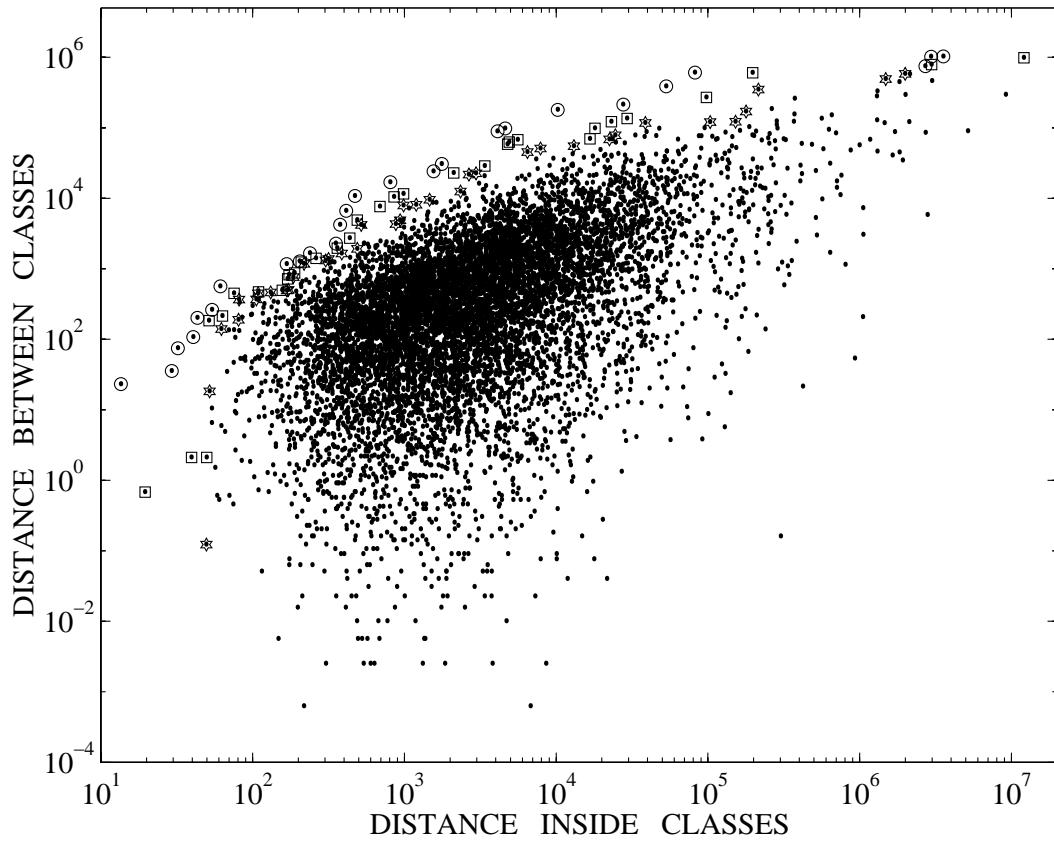


Figure 12: First (circle) second (square) and third (hexagon) Pareto optimal fronts on (2001H) data.

## Profile Selection Criteria

### 1. Profile contrasts for trajectory $\{y_{mt}(n)\}_t$

$$\begin{bmatrix} \xi_1(n) \\ \vdots \\ \xi_P(n) \end{bmatrix} = \begin{bmatrix} a_{11} & \cdots & a_{1T} \\ \vdots & \ddots & \vdots \\ a_{P1} & \cdots & a_{PT} \end{bmatrix} \begin{bmatrix} \bar{y}_{1*}(n) \\ \vdots \\ \bar{y}_{T*}(n) \end{bmatrix}$$

$$A_2 = \begin{bmatrix} -1 & 1 \\ 1 & 1 \end{bmatrix}, \quad A_2' = \begin{bmatrix} 1 & -1 \\ 1 & 1 \end{bmatrix},$$

$$A_3 = \begin{bmatrix} -1 & 0 & 1 \\ 1 & -2 & 1 \\ 1 & 1 & 1 \end{bmatrix}, \quad A_3' = \begin{bmatrix} -1 & 1 & 0 \\ -1 & -1 & 2 \\ 1 & 1 & 1 \end{bmatrix},$$

**2. Profile monotonicity for trajectory  $\{y_{mt}(n)\}_t$**

$$\xi_2(n) = \prod_{t=2}^T I(\bar{y}_{*t}(n) - \bar{y}_{*(t-1)}(n))$$

**3. Profile divergence of trajectories  $\{w_{mt}(n)\}_t, \{k_{mt}(n)\}_t$**

$$\xi_1(n) = \sum_{t=1}^T \bar{k}_{*t}(n) \log \frac{\bar{k}_{*t}(n)}{\bar{w}_{*t}(n)}$$

**4. Combinations of above**

## Accounting for Sampling Errors: Posterior Pareto Analysis

Given prior on mean expression levels  $\bar{\xi}_p(n) = E[\xi_p(Y(n))]$  find

$$\begin{aligned} p(i|Y) &= P\left(\cap_{j \neq i} \left\{\underline{\xi}(i) \leq \underline{\xi}(j)\right\}^c | Y\right) \\ &= \int dP(\underline{\xi}(i)|Y) \prod_{j \neq i} P\left(\left\{\underline{\xi}(i) \leq \underline{\xi}(j)\right\}^c | Y, \underline{\xi}(i)\right) \end{aligned}$$

Case of two criteria ( $P = 2$ )

$$\begin{aligned} p(i|Y) &= \int \int du_1 du_2 f_{\xi_1(i), \xi_2(i)|Y}(u_1, u_2) \\ &\quad \prod_{j \neq i} [F_{\xi_1(j)|Y}(u_1) + F_{\xi_2(j)|Y}(u_2) - F_{\xi_1(j), \xi_2(j)|Y}(u_1, u_2)] \end{aligned}$$

## Application to Fred Wright's Mixture Study

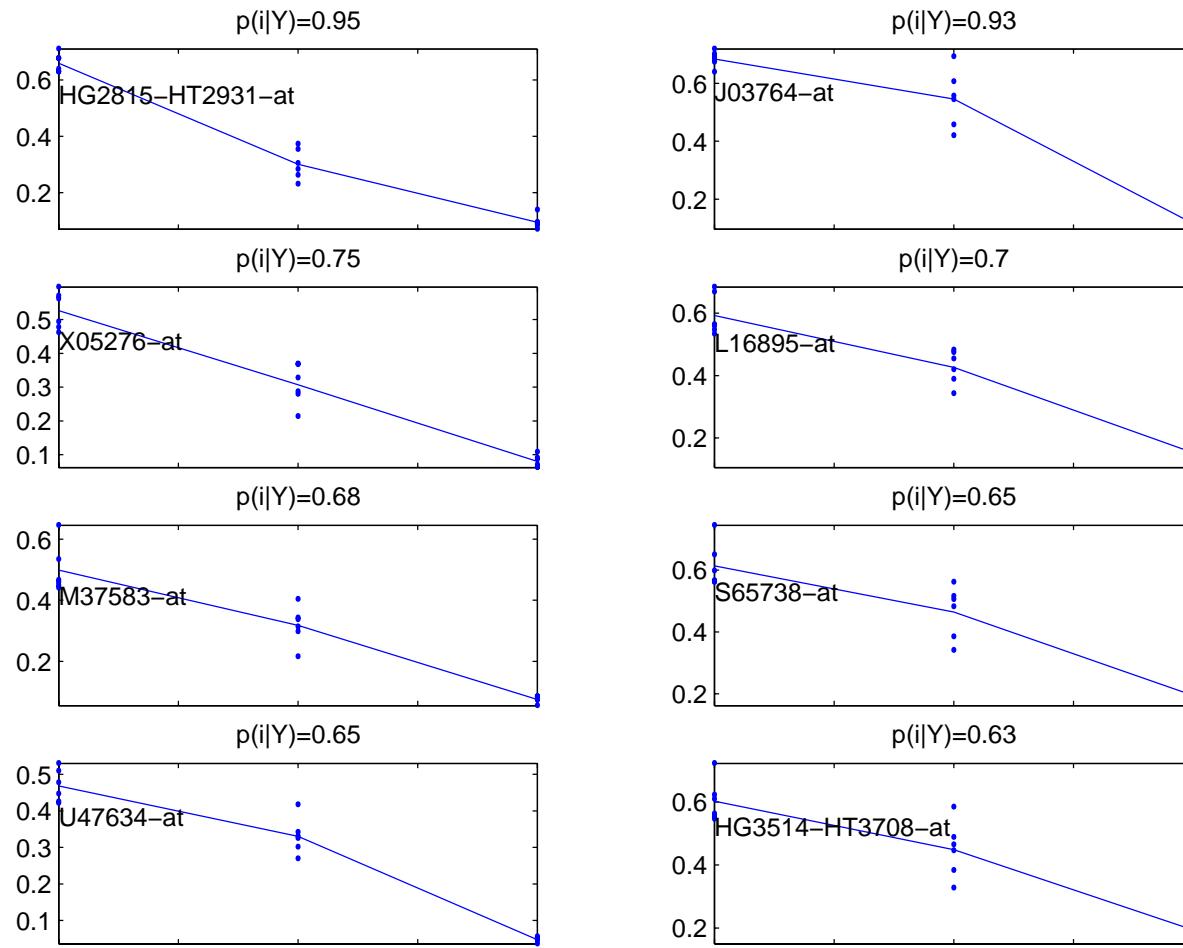


Figure 13: 8 ranked monotone decreasing gene profiles.

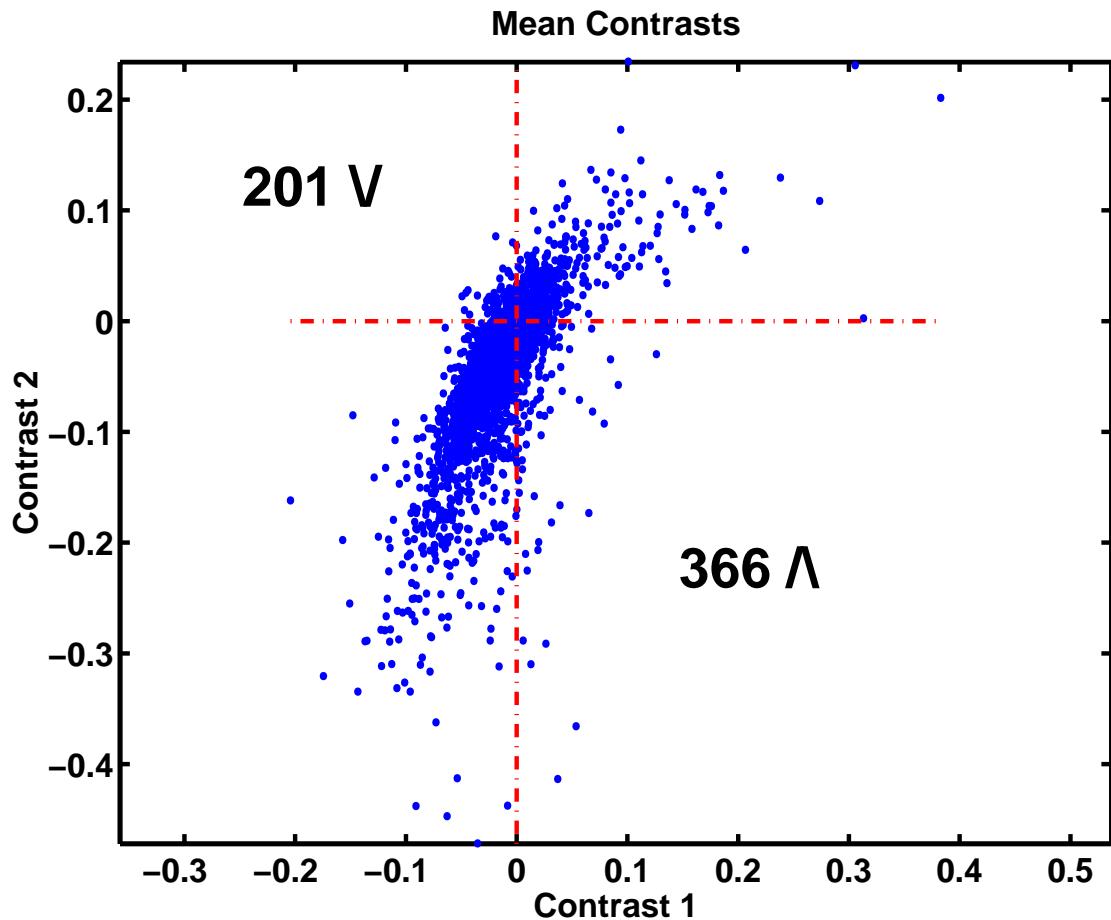


Figure 14: Multicriterion scattergram for first two rows of  $A'_3$ .

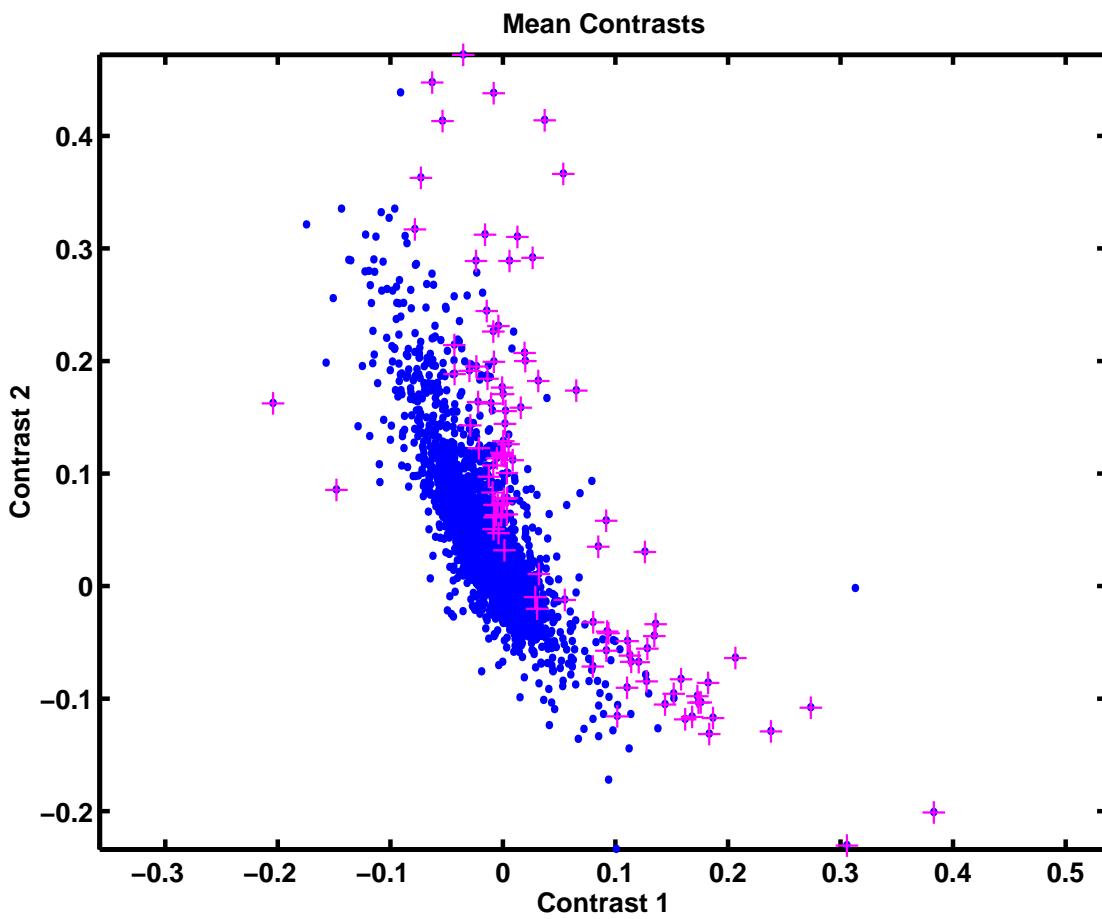


Figure 15: *Multicriterion scattergram for  $A = [-1, 1, 0; -1, -1, 2]$ . 98 genes are non-linear profiles (p-value of 0.1).*

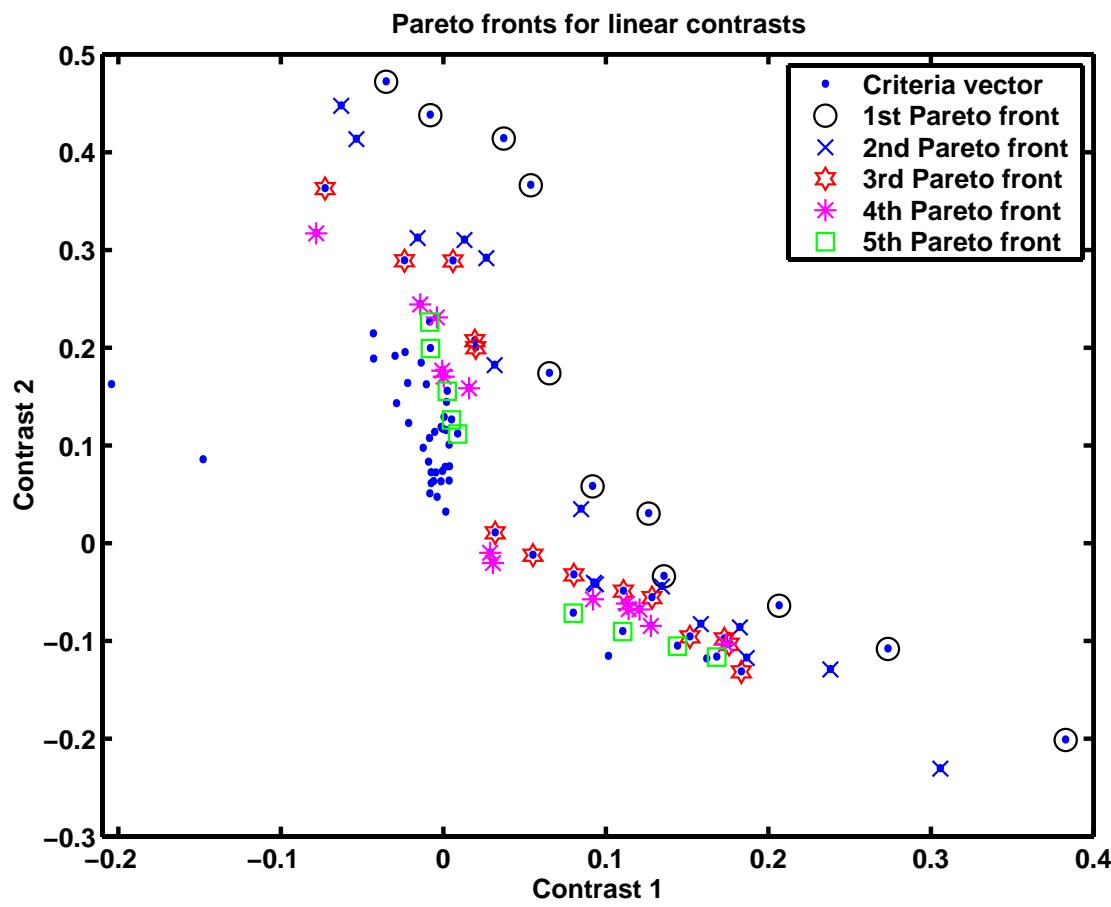


Figure 16: *The first five Pareto fronts for the genes with non-linear profiles shown in Fig. 15.*

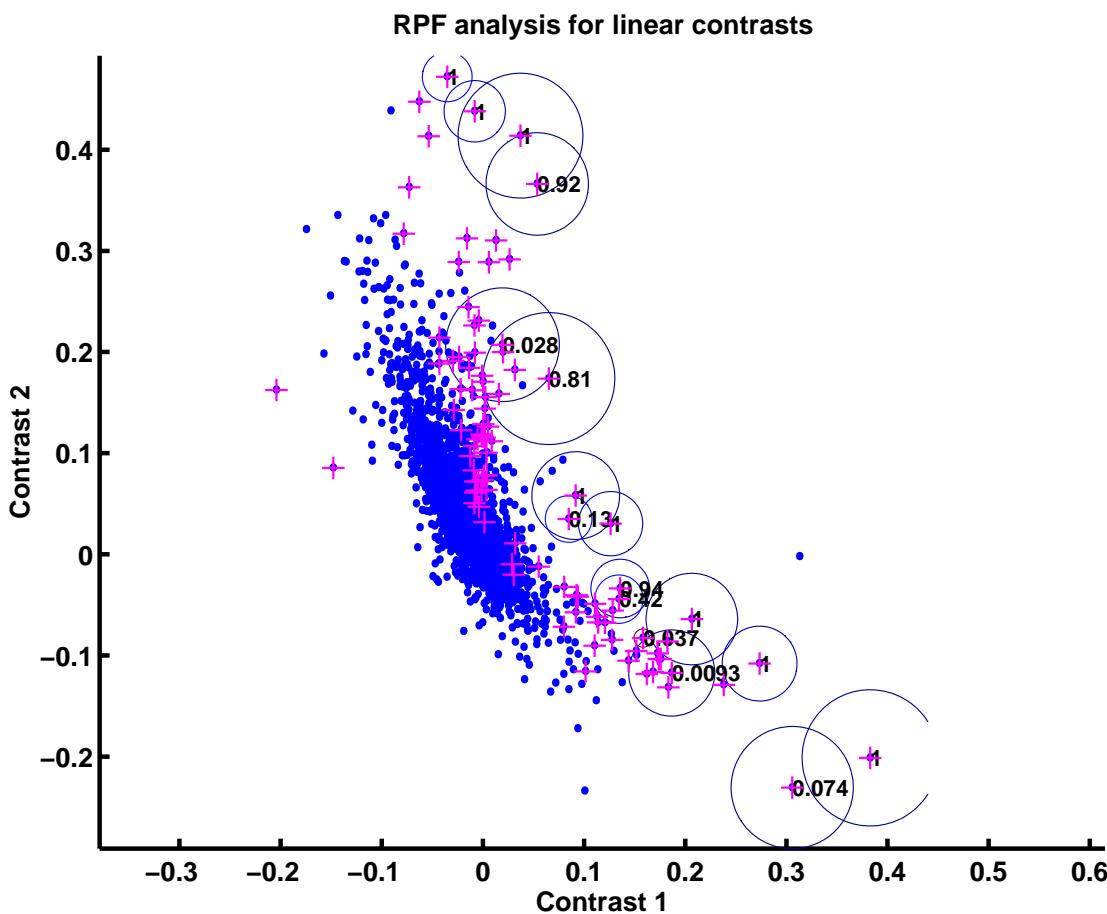


Figure 17: 17 genes in first Pareto front with non-zero probability by cross-validation.

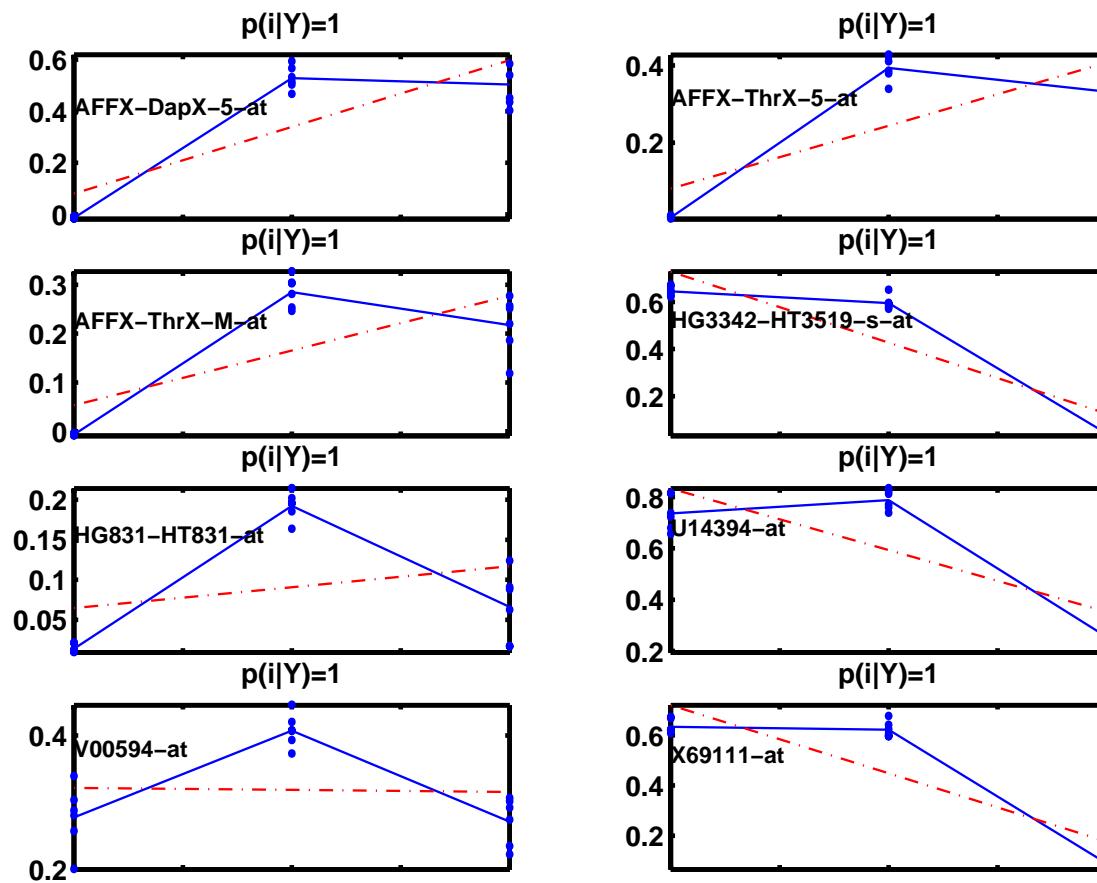


Figure 18: *The 8 top cross-validation ranked gene profiles remaining on the first Pareto front.*

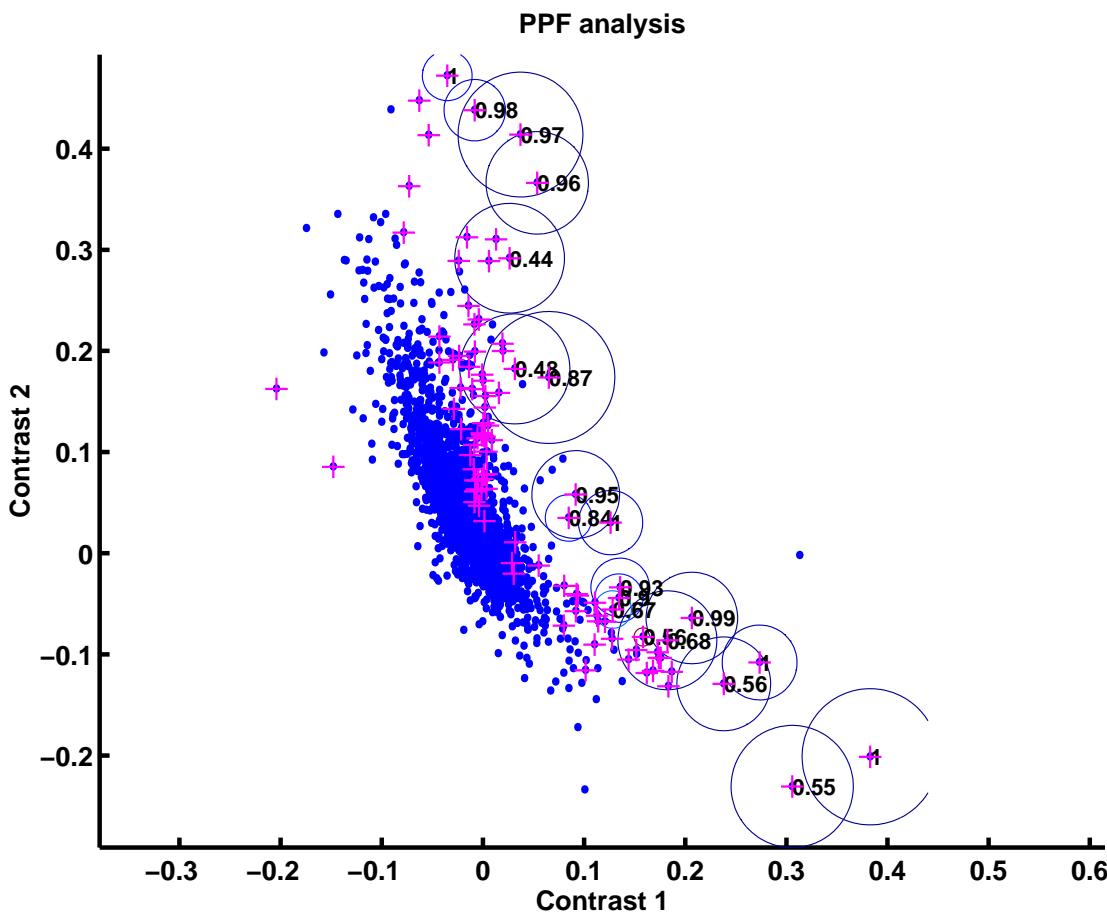


Figure 19: *PPF and posterior probabilities of belonging to the first Pareto front.*

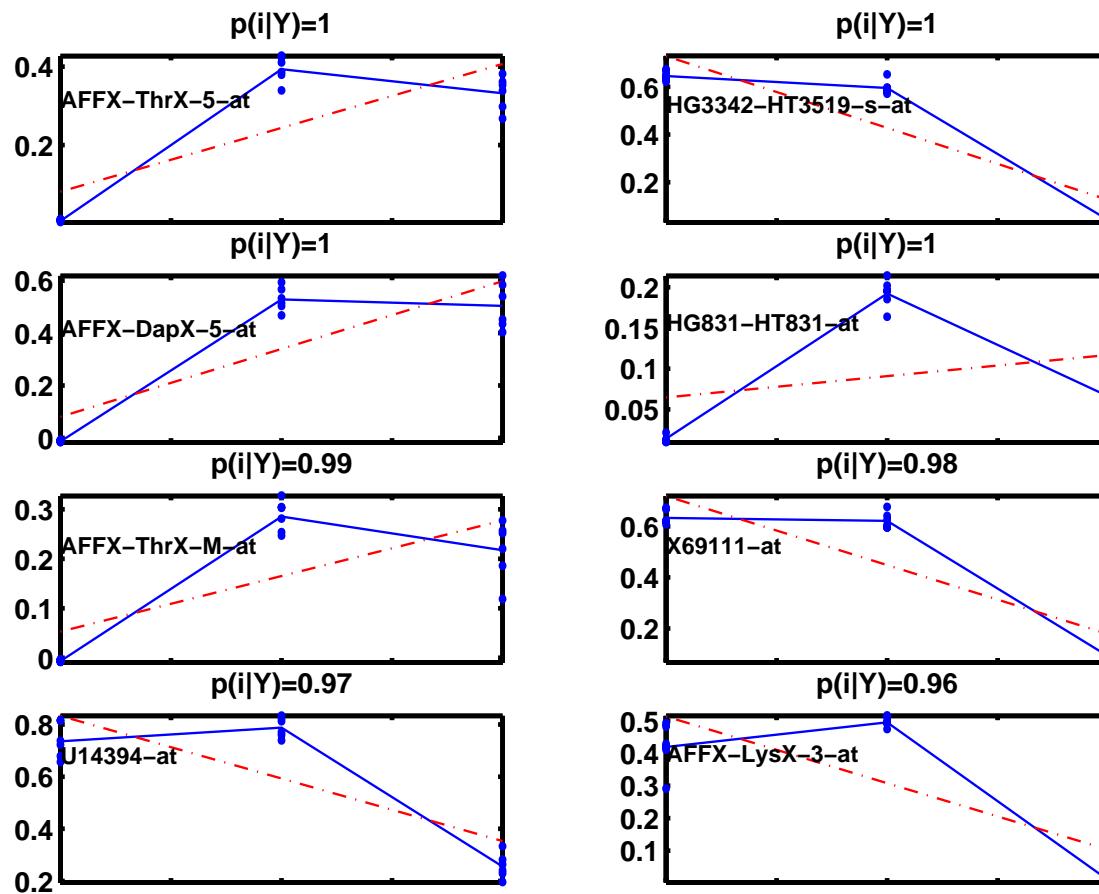


Figure 20: *The 8 top posterior ranked gene profiles remaining on the first Pareto front.*

## **Non-parametric Pareto filter criterion: Virtual Profiles**

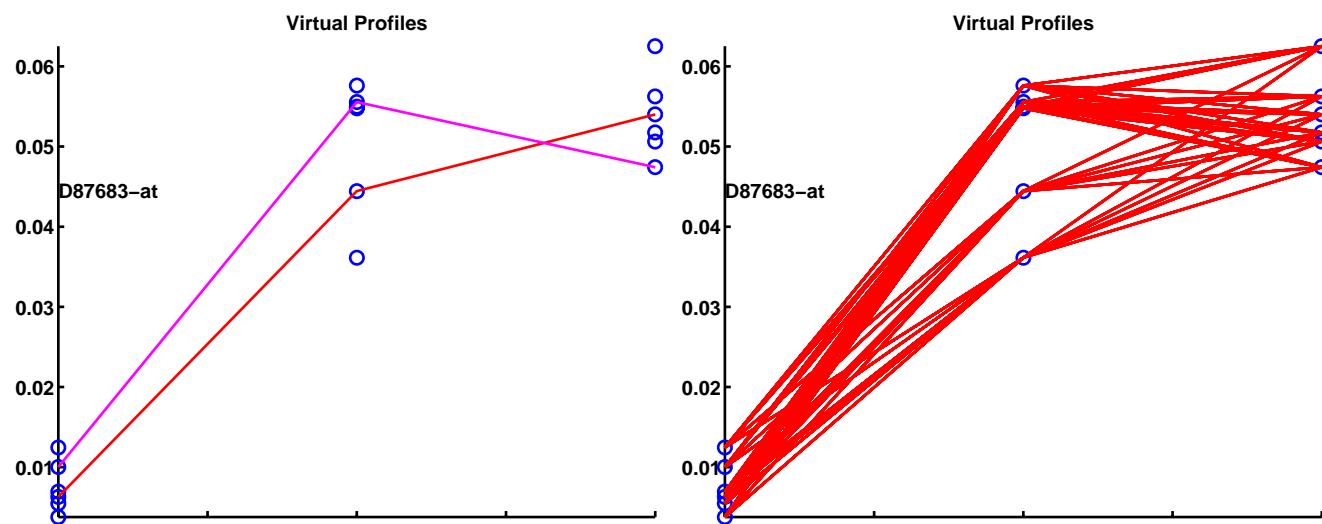


Figure 21: *Left: two virtual profiles in the data set. Right: the set of all  $3^6 = 729$  virtual profiles for a gene in Fred Wright's dataset.*

## Pareto Filtering using Virtual Sign-Profiles

Define *trend vector*:  $\psi(n) = [b_1, \dots, b_{T-1}]$ ,  $b_i \in \{0, 1\}$

- Old dominant filtering criteria:
  - Maximum end-to-end increase

$$\xi_1(Y(n)) = \bar{y}_{T*}(n) - \bar{y}_{1*}(n) = \max$$

- Maximum number of monotone increasing  $T^M$  virtual time profiles

$$\xi_2(Y(n)) = \frac{\# \text{ virtual profiles having } \psi(n) = [1, \dots, 1]}{T^M}$$

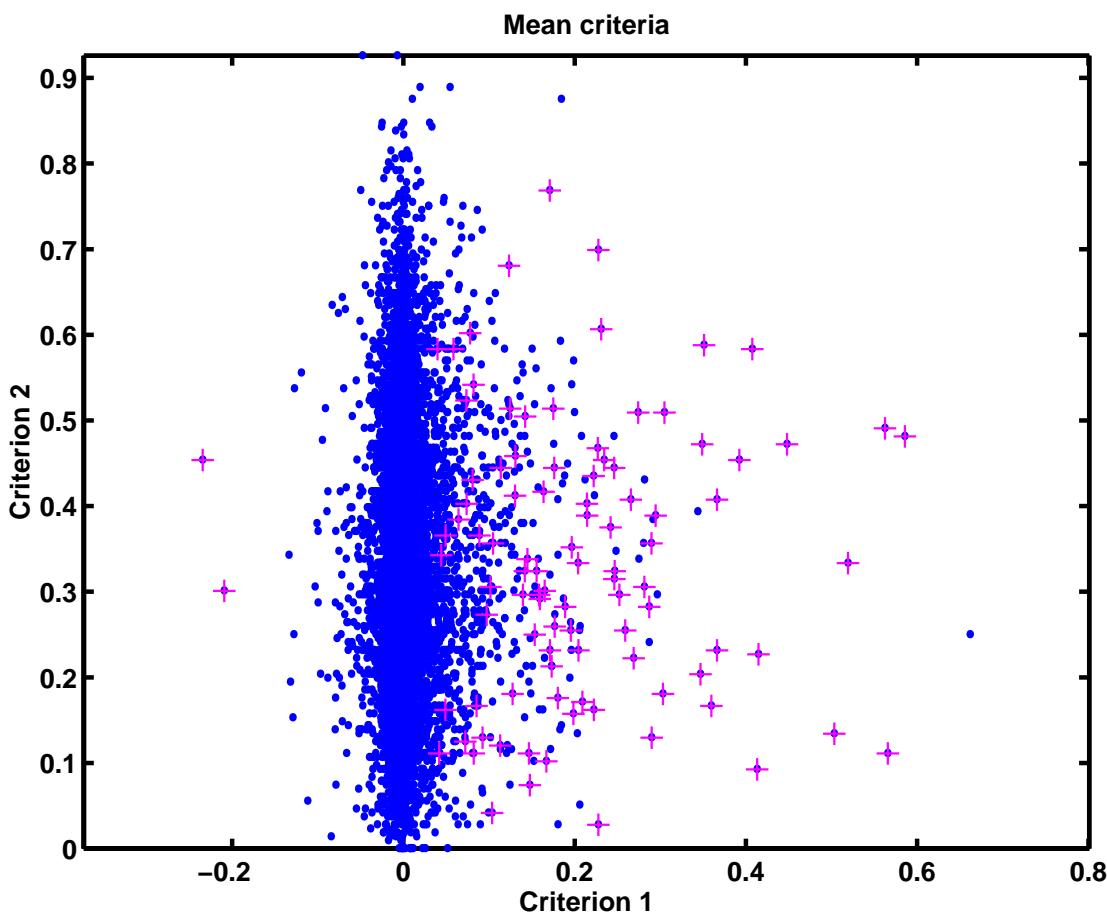


Figure 22: Multicriterion mean scattergram for the virtual profile ranking and mean ene-to-end increase criteria.

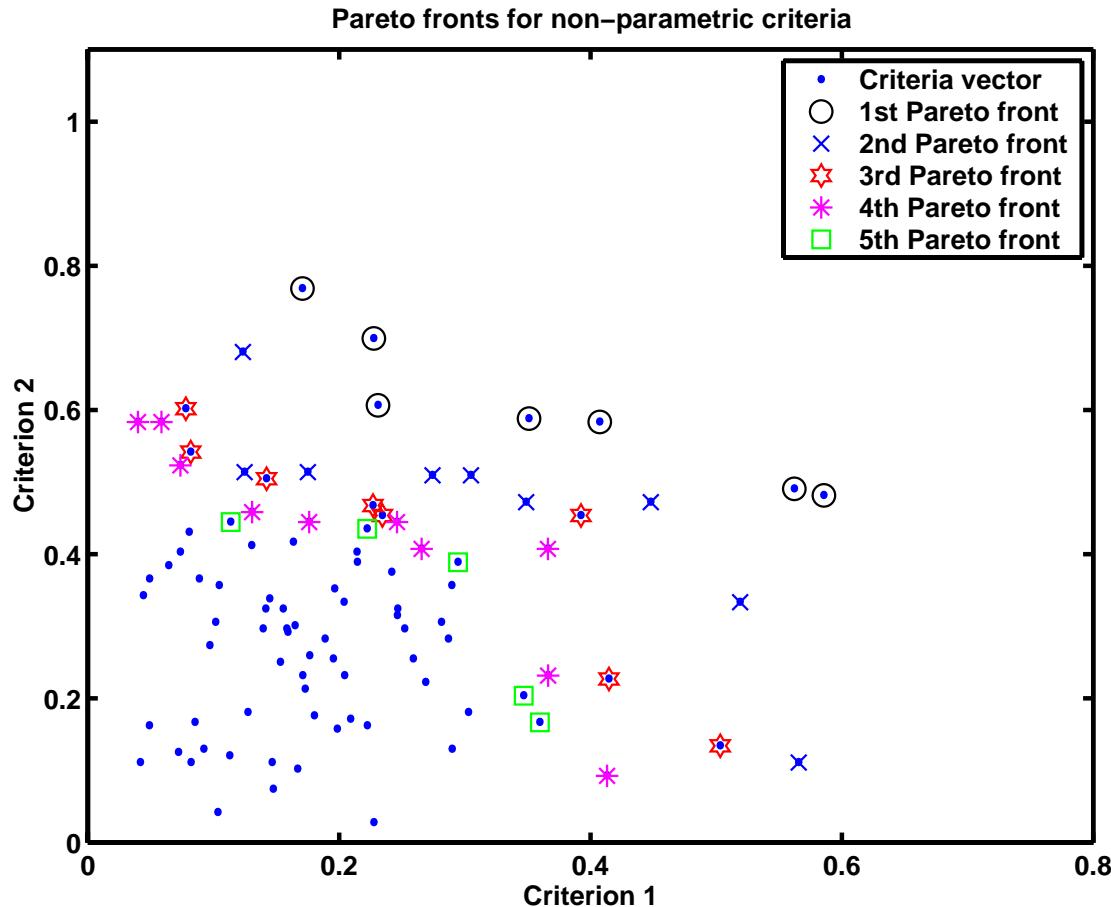


Figure 23: *The first five Pareto fronts.*

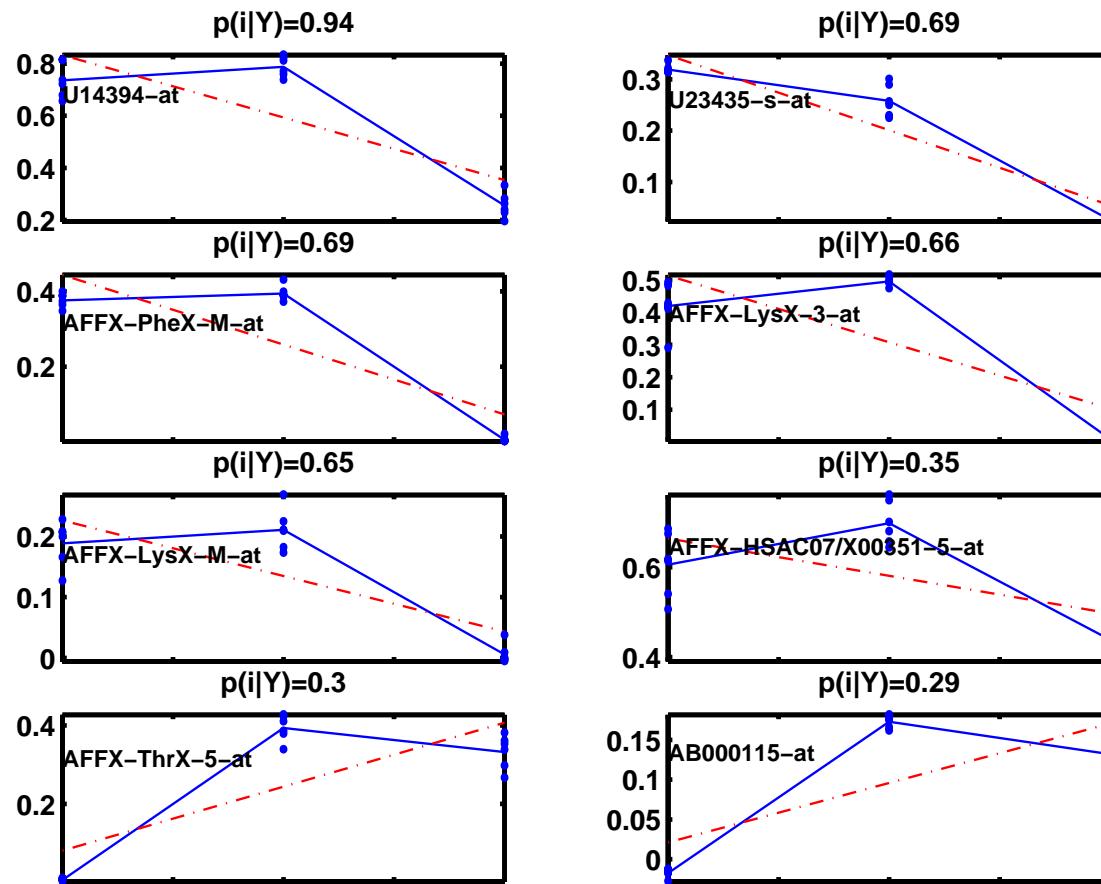


Figure 24: *The 8 top cross-validation ranked gene profiles.*

<b>PPF linear contrast</b>	<b>P(I Y)</b>	<b>RPF linear contrast</b>	<b>P(I Y)</b>	<b>RPF non-parametric</b>	<b>P(I Y)</b>
AFFX-ThrX-5-at	0.999	AFFX-DapX-5-at	1	AFFX-LysX-3-at	1
HG3342-HT3519-s-at	0.998	AFFX-ThrX-5-at	1	D63880-at	1
AFFX-DapX-5-at	0.998	AFFX-ThrX-M-at	1	HG831-HT831-at	1
HG831-HT831-at	0.996	HG3342-HT3519-s-at	1	U73379-at	1
AFFX-ThrX-M-at	0.986	HG831-HT831-at	1	V00594-at	1
X69111-at	0.984	U14394-at	1	U14394-at	0.847
U14394-at	0.974	V00594-at	1	AFFX-ThrX-5-at	0.431
AFFX-LysX-3-at	0.962	X69111-at	1	AFFX-DapX-5-at	0.245
V00594-at	0.955	U45285-at	0.944	AFFX-PheX-3-at	0.222
U45285-at	0.932	AFFX-LysX-3-at	0.917	AFFX-HSAC07/X00351-5-at	0.208
AB000115-at	0.899	AFFX-HSAC07/X00351-5-at	0.806	AB000115-at	0.167
AFFX-HSAC07/X00351-5-at	0.866	AB000115-at	0.417	U00954-at	0.167
U73379-at	0.837	U73379-at	0.13	U45285-at	0.167
AFFX-DapX-M-at	0.678	V00594-s-at	0.074	U75362-at	0.167
Y09912-rna1-at	0.67	U75362-at	0.037	AFFX-ThrX-M-at	0.157
U75362-at	0.56	AFFX-PheX-5-at	0.028	HG1980-HT2023-at	0.032
AFFX-DapX-3-at	0.555	U03399-at	0.009	AFFX-PheX-M-at	0.028
V00594-s-at	0.554			U30998-at	0.028
HG1980-HT2023-at	0.483			Y09912-rna1-at	0.028
HG3044-HT3742-s-at	0.441				
D43636-at	0.389				
L27624-s-at	0.387				
U03399-at	0.378				
S69370-s-at	0.321				
AFFX-PheX-5-at	0.315				

Figure 25: *The top scoring genes (Affymetrix nomenclature).*

## Conclusions

1. Multi-criterion data mining can perform robust and flexible gene filtering
2. Non-informative priors can be used to find posterior front probabilities
3. Cross-validation can account for statistical sampling uncertainty when posterior analysis is intractible