Reconstruction methods for under-sampled MR data aka: Constrained reconstruction methods

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I have the following relevant financial interest or relationship to disclose with regard to the subject matter of this presentation:

Company name: GE Healthcare and GE Global Research Type of relationship: X-ray CT image reconstruction collaborations

I have no conflicts of interest with regards to MR topics.

## Introduction

Reasons for under-sampling:

- Static imaging: reduce scan time
- Dynamic imaging: inherent

   dynamic contrast studies (microscopic motion?)
  - $\circ$  bulk motion

All such situations require assumptions / constraints / models.

## **Under-Sampled K-space: Examples**

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#### Is This Under-Sampled K-space?



Note: k-space sample spacing is 1/FOV (Nyquist sample spacing).

Answers (audience response system):

1. No

2. Yes

- 3. Unsure
- 4. Will this be on the final exam?

## Is This Under-Sampled K-space?

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### **Basic MRI Signal Model**

Ignoring many physical effects, the baseband signal in *l*th receive coil is approximately:

$$s_l(t) = \int f(\vec{r}) c_l(\vec{r}) \exp\left(-i2\pi \vec{k}(t) \cdot \vec{r}\right) d\vec{r}.$$
 (1)

- $\vec{r}$ : spatial position
- $c_l(\vec{r})$ : receive sensitivity of the *l*th coil, l = 1, ..., L
- $\vec{k}(t)$ : k-space trajectory
- $f(\vec{r})$ : (unknown) transverse magnetization of the object

MR scan data is noisy samples thereof:

$$y_{li} = s_l(t_i) + \varepsilon_{li}, \qquad i = 1, \dots, M, \quad l = 1, \dots, L$$
 (2)

- *y*<sub>*li*</sub>: *i*th sample of *l*th coil's signal
- $\boldsymbol{\varepsilon}_{li}$ : additive complex white gaussian noise,
- M: number of k-space samples.

Goal: reconstruct object  $f(\vec{r})$  from measurement vector  $\mathbf{y} = (\mathbf{y}_1, \dots, \mathbf{y}_L)$ , where  $\mathbf{y}_l = (y_{l1}, \dots, y_{l,M})$  is data from *l*th coil.

#### **MR Image Reconstruction is III-Posed**

$$\mathbf{y}_{li} = \int \boldsymbol{f}(\vec{r}) c_l(\vec{r}) \exp\left(-\imath 2\pi \vec{k}(t_i) \cdot \vec{r}\right) d\vec{r} + \varepsilon_{li}$$

- Unknown object  $f(\vec{r})$  is a continuous space function
- Measurement vector y is finite dimensional

: All MRI data is under-sampled

Uncountably infinitely many objects  $f(\vec{r})$  fit the data y exactly, even for "fully sampled" data, even if there were no noise.

For "fully sampled" Cartesian k-space data,

how shall we choose one reconstructed image  $\hat{f}(\vec{r})$  from among those?

- 1. Impose some assumptions / constraints / models
- 2. Just take an inverse FFT of the data
- 3. Both of the above
- 4. None of the above

#### **Inverse FFT for MR Image Reconstruction**

Using an inverse FFT for reconstruction from "fully sampled" single-coil data is equivalent to assuming the object lies in a finite-dimensional subspace:

$$f(\vec{r}) = f(x, y) = \sum_{n=0}^{N-1} \sum_{m=0}^{M-1} f[n, m] b(x - n \triangle_{X}) b(y - n \triangle_{Y}).$$

What choice of basis function  $b(\cdot)$  is implicit in IFFT reconstruction?

- 1. Dirac impulse
- 2. Rectangle (pixel)

3. Sinc

- 4. Dirichlet (periodic sinc)
- :. The use of assumptions / constraints / models is ubiquitous in MR.

In particular, constraining the estimate to lie in a finite-dimensional subspace is nearly ubiquitous.

(All models are wrong but some models are useful...)

#### **Conventional Approach: Partial K-space**



Conventional solution: Homodyning Noll *et al.*, IEEE T-MI, June 1991

Constraint: object phase is smooth

Related iterative methods Fessler & Noll, ISBI 2004 Bydder & Robson, MRM, June 2005

#### **Conventional Approach: Decimation**



Conventional solutions: SENSE/GRAPPA (parallel imaging) Pruessmann *et al.*, MRM, Nov. 1999 Griswold *et al.*, MRM, June 2002

#### Constraint: object has finite support



Note: one can combine under-sampling strategies, *e.g.*, decimation and partial k-space King & Angelos, ISMRM, 153. 2000

## **Conventional Approach: Non-Cartesian (Under) Sampling**



Conventional solution: gridding Jackson *et al.*, IEEE T-MI, Sep. 1991

#### **Constraint??**

- 1. object has finite support?
- 2. object has smooth phase?
- 3. object is band-limited?

Gridding alone is insufficient for "severely" under-sampled data. For moderate amounts of under-sampling, consider non-Cartesian SENSE. Pruessmann *et al.*, MRM, 2001 Or non-Cartesian GRAPPA. Seiberlich *et al.*, MRM, 2007 For "severely" under-sampled data, stronger constraints are needed.

#### **Conventional Approach: Non-Cartesian Sampling**

#### What about these sampling patterns?





Again, for "severely" under-sampled data, stronger constraints are needed. 13

#### **Finite-dimensional subspace constraint**

$$f(\vec{r}) = \sum_{j=1}^{N} x_j b_j(\vec{r}) = \sum_{j=1}^{N} x_j b(\vec{r} - \vec{r}_j)$$
(3)

- $b(\cdot)$ : user-selected object basis function(s) (*e.g.*, rect function)
- $\vec{r}_j$ : center of *j*th basis function translate
- N: number of parameters (*e.g.*, pixels)
- $\mathbf{x} = (x_1, \dots, x_N)$ : vector of unknown parameters (*e.g.*, pixel values).

Substituting this basis expansion into the signal model (1) yields:

$$\boldsymbol{y}_l = \boldsymbol{A}_l \boldsymbol{x} + \boldsymbol{\varepsilon}_l.$$

The elements  $\{a_{lij}\}$  of the system matrix  $A_l$  for with the *l*th coil are:

$$a_{lij} = \int b(\vec{r} - \vec{r}_j) c_l(\vec{r}) e^{-\imath 2\pi \vec{k}(t_i) \cdot \vec{r}} d\vec{r}, \qquad (4)$$

Stacking up all *L* vectors and defining the  $ML \times N$  matrix  $\mathbf{A} = (\mathbf{A}_1, \dots, \mathbf{A}_L)$  yields the "usual" linear model

$$y = Ax + \varepsilon$$
.

#### Parameterization alone is not enough

The finite-dimensional subspace constraint leads to the linear model

 $y = Ax + \varepsilon.$ 

- y: measured data
- A: known system model (k-space sampling and coil sensitivities)
- x: unknown object
- ε: additive noise

For severe under-sampling, A usually has fewer rows than columns. In such under-determined situations, least-squares estimation is not unique:

$$\underset{\boldsymbol{x}}{\operatorname{arg\,min}} \|\boldsymbol{y} - \boldsymbol{A}\boldsymbol{x}\|^2 = \{\boldsymbol{x} : \boldsymbol{y} = \boldsymbol{A}\boldsymbol{x}\}.$$

There may still be (uncountably) infinitely many solutions to y = Ax.

Need stronger assumptions / constraints to select a single estimate  $\hat{x}$ .

#### **Application-specific basis images**

Instead of generic basis functions like rect, sinc, Dirac, choose specialized basis functions  $b_j(\vec{r})$ , j = 1, ..., N, with N "small."

**Constraint**:  $f(\vec{r})$  lies in a parsimonious subspace:

$$f(\vec{r}) = \sum_{j=1}^{N} x_j b_j(\vec{r}) \,.$$

If N is less than the number of k-space samples, then the problem is over-determined and LS estimation is feasible:

$$\hat{\boldsymbol{x}} = \operatorname*{arg\,min}_{\boldsymbol{x}} \|\boldsymbol{y} - \boldsymbol{A}\boldsymbol{x}\| = [\boldsymbol{A}'\boldsymbol{A}]^{-1}\boldsymbol{A}'\boldsymbol{y}.$$

Challenges

- choice of basis functions preserving pathology?
- unstructured data-driven basis functions  $\implies$  lack of fast transforms

PCA of training data to design basis functions (and k-space samples) Cao and Levin, MRM, Sep. 1993 Cao and Levin, IEEE T-MI, June 1995

#### **Reference image?**

In some applications, a "related" image  $x_0$  may be available. Assume: unknown x is somehow "similar" to the reference image  $x_0$ .

Regularization approach:

$$\hat{x} = \operatorname*{arg\,min}_{x} \underbrace{\|y - Ax\|^{2}}_{\text{data fit}} + \beta \underbrace{\|L(x - x_{0})\|_{p}^{p}}_{\text{prior}},$$

where L is an optional weighting matrix. For p = 2 and L = I:

$$\hat{\boldsymbol{x}} = \left[\boldsymbol{A}'\boldsymbol{A} + \beta\boldsymbol{I}\right]^{-1} \left(\boldsymbol{A}'\boldsymbol{y} + \beta\boldsymbol{x}_0\right) = \boldsymbol{x}_0 + \left[\boldsymbol{A}'\boldsymbol{A} + \beta\boldsymbol{I}\right]^{-1}\boldsymbol{A}'(\boldsymbol{y} - \boldsymbol{A}\boldsymbol{x}_0)$$

Constrained approach:

$$\hat{\boldsymbol{x}} = \operatorname*{arg\,min}_{\boldsymbol{x}} \|\boldsymbol{x} - \boldsymbol{x}_0\|_p$$
 sub. to  $\boldsymbol{y} = \boldsymbol{A}\boldsymbol{x}$ .

Sometimes equivalent to simply replacing the missing k-space samples with the spectrum of  $x_0$ .

*cf.* "prior image constrained compressed sensing (PICCS)" approach G H Chen *et al.*, Med. Phys., Feb. 2008. François *et al.*, ISMRM 3808, 2009.

#### **Bayesian approach**

Assume: x is a gaussian random field with mean  $\mu$ , covariance matrix K.

MAP / MMSE estimate:

$$\hat{\boldsymbol{x}} = \arg\max_{\boldsymbol{x}} p(\boldsymbol{x} | \boldsymbol{y}) = \arg\min_{\boldsymbol{x}} \frac{1}{2\sigma^2} \|\boldsymbol{y} - \boldsymbol{A}\boldsymbol{x}\|^2 + \frac{1}{2} (\boldsymbol{x} - \boldsymbol{\mu})' \boldsymbol{K}^{-1} (\boldsymbol{x} - \boldsymbol{\mu})$$
$$= \boldsymbol{\mu} + \left[ \boldsymbol{A}' \boldsymbol{A} + \sigma^2 \boldsymbol{K}^{-1} \right]^{-1} \boldsymbol{A}' (\boldsymbol{y} - \boldsymbol{A}\boldsymbol{\mu})$$

Challenges

- Requires training data for  $\mu$  and K.
- gaussian prior distribution is questionable
- Computation of  $\hat{x}$  if **K** is unstructured

Abandon training data and seek more "generic" constraints.

# **Sparsity / Compressibility**

Start with the usual finite-dimensional subspace model:

$$f(\vec{r}) = \sum_{j=1}^{N} x_j b_j(\vec{r}) \,.$$

Usually generic image basis functions like rect or sinc are used here, so  $x_j$  is just the *j*th pixel value.

Again, often *N* exceeds the number of measurements (under-determined).

Now constrain the coefficient vector  $\mathbf{x} = (x_1, \dots, x_N)$  somehow, as follows.

- 1. Synthesis approach
- 2. Analysis approach

Preliminaries:

• 
$$\|\boldsymbol{x}\|_0 = \sum_k \mathbb{1}_{\{x_k \neq 0\}}$$

• 
$$\|\boldsymbol{x}\|_1 = \sum_k |x_k|$$
.

We say x is "sparse" if  $||x||_0$  is "small." We say x is "compressible" if  $||x||_1 \approx ||\tilde{x}||_1$ , where  $\tilde{x}$  retains only the "large" elements of x.

#### 1. Synthesis approach

For some  $N \times K$  matrix **B** and K-dimensional coefficient vector **\theta**:

 $x = B\theta$ .

Usual choices:

- K = N and **B** is an orthonormal basis (*e.g.*, wavelet synthesis)
- $K \gg N$  (!!) and **B** is an over-complete "dictionary"

Assume that the coefficient vector  $\boldsymbol{\theta}$  is *sparse* or *compressible*.

Estimation strategies for synthesis approach use  $\hat{x} = B\hat{\theta}$  where:

$$\hat{\boldsymbol{\theta}} = \underset{\boldsymbol{\theta}}{\operatorname{arg\,min}} \|\boldsymbol{\theta}\|_{0} \text{ sub. to } \boldsymbol{y} = \boldsymbol{A}\boldsymbol{B}\boldsymbol{\theta} \quad (\text{sparsest possible})$$
or
$$\hat{\boldsymbol{\theta}} = \underset{\boldsymbol{\theta}}{\operatorname{arg\,min}} \|\boldsymbol{\theta}\|_{0} \text{ sub. to } \|\boldsymbol{y} - \boldsymbol{A}\boldsymbol{B}\boldsymbol{\theta}\| < \delta$$
or
$$\hat{\boldsymbol{\theta}} = \underset{\boldsymbol{\theta}}{\operatorname{arg\,min}} \|\boldsymbol{y} - \boldsymbol{A}\boldsymbol{B}\boldsymbol{\theta}\| \text{ sub. to } \|\boldsymbol{\theta}\|_{0} \leq L$$
or
$$\hat{\boldsymbol{\theta}} = \underset{\boldsymbol{\theta}}{\operatorname{arg\,min}} \|\boldsymbol{y} - \boldsymbol{A}\boldsymbol{B}\boldsymbol{\theta}\|^{2} + \beta \|\boldsymbol{\theta}\|_{0}.$$
In all formulations,  $\|\boldsymbol{\theta}\|_{0}$  often replaced by  $\|\boldsymbol{\theta}\|_{1}$  to make problem convex.

# 2. Analysis approach

For some  $K \times N$  analysis matrix T, assume that the matrix-vector product

Tx

is *sparse* or *compressible*.

Usual choices:

- K = N and T is an orthonormal basis (*e.g.*, wavelet transform)
- $K \gg N$  and T includes wavelet transforms and finite-differences, aka total variation (TV), along various directions.

Estimation strategies for analysis approach:

$$\hat{x} = \underset{x}{\operatorname{arg\,min}} \|Tx\|_{0} \text{ sub. to } y = Ax$$
or
$$\hat{x} = \underset{x}{\operatorname{arg\,min}} \|Tx\|_{0} \text{ sub. to } \|y - Ax\| < \delta$$
or
$$\hat{x} = \underset{x}{\operatorname{arg\,min}} \|y - Ax\|^{2} + \beta \|Tx\|_{0}.$$

In all formulations,  $\|\mathbf{\theta}\|_0$  often replaced by  $\|\mathbf{\theta}\|_1$  to make problem convex.

## Illustration of compressibility



Lustig et al., IEEE Sig. Proc. Mag., Mar. 2008

## Illustration of compressibility



Lustig et al., MRM, Dec. 2007

## **Challenges with using sparsity constraints**

- Choice of transform *T* or basis *B* (These may affect image quality significantly.)
- Choice of  $\beta$  or  $\delta$
- Optimization algorithms / computation time

ISMRM 2011 Poster 2873, Wed. 1:30. S. Ramani & J. Fessler: "An augmented Lagrangian method for regularized MRI reconstruction using SENSE" See also IEEE T-MI Mar. 2011: "Parallel MR image reconstruction using augmented Lagrangian methods"

- Cost function complexity
  - $\circ ||x||_0$  is non-convex (: local minimizers)
  - $\circ ||x||_1$  is non-differentiable, not strictly convex
    - (:: global minimizer may not be unique)
- Object phase variations

ISMRM 2011 Poster 2841, Thu. 1:30. Zhao *et al.*, "Separate magnitude and phase regularization via compressed sensing"

• Efficacy of results depends on sampling pattern

#### **Patch-wise sparsity**

"Traditional" synthesis approach to sparsity uses bases for entire image:  $x = B\theta$ .

An alternative approach is to consider image a numerous small patches:

$$\boldsymbol{x} = \{\boldsymbol{x}_1,\ldots,\boldsymbol{x}_P\},\$$

and represent each patch using a common basis or "dictionary" D:

$$\boldsymbol{x}_p = \boldsymbol{D}\boldsymbol{\theta}_p, \ p = 1,\ldots,P$$

and assume that the coefficients  $\theta_p$  for each patch are sparse.

The patch basis or dictionary **D** can be

- predefined (*e.g.*, DCT)
- learned from training data
- estimated jointly during reconstruction ("adaptive" or "dictionary learning").

$$\underset{\boldsymbol{x},\boldsymbol{\theta},\boldsymbol{D}}{\operatorname{arg\,min}} \|\boldsymbol{y} - \boldsymbol{A}\boldsymbol{x}\|_{2}^{2} + \beta_{1} \sum_{p} \|\boldsymbol{x}_{p} - \boldsymbol{D}\boldsymbol{\theta}_{p}\|_{2}^{2} + \beta_{2} \sum_{p} \|\boldsymbol{\theta}_{p}\|_{1}$$

Ravishankar & Bresler, IEEE T-MI, May 2011 (and many references therein)

#### **Adaptive Patch-Based Sparsity Example**



(a) true. (b) sampling. (c) CS with wavelets/TV. (d) patch-based sparsity. (e),(f) errors.

20-fold under-sampling.

Ravishankar & Bresler, IEEE T-MI, May 2011 26

#### **Example of Adaptive Patch Dictionary**



 $7 \times 7$  patches, *cf.* K-SVD Ravishar *cf.* K-SVD

Ravishankar & Bresler, IEEE T-MI, May 2011 Aharon *et al.*, IEEE T-SP, Nov. 2006

How many basis patches would conventional SVD produce?

- 2. 14
- **3**. 49
- 4. 98

#### **Comparison of Priors**

#### Adaptive patch-based sparsity dictionary:



#### Gauss-Markov random field prior:



Challenges: non-convexity, choosing  $\beta_1$  and  $\beta_2$ , ...

#### Nonlocal (patch-based) regularization

Based on success of nonlocal means (NLM) image denoising algorithm Buades *et al.*, SIAM MMS 2005

Adapted to image reconstructions problems:

$$\underset{\boldsymbol{x}}{\arg\min} \|\boldsymbol{y} - \boldsymbol{A}\boldsymbol{x}\|_{2}^{2} + \sum_{n,m} \Psi(P_{n}(\boldsymbol{x}), P_{m}(\boldsymbol{x}))$$

- $P_n(\mathbf{x})$  : *n*th patch of image
- $\psi(P_1, P_2)$ : measures dissimilarity of two patches
- generalization of traditional edge-preserving regularization

Quite active research area: Adluru *et al.*, JMRI, Nov. 2010 Manjón *et al.*, Med. Im. An., Dec. 2010 Yang & Jacob, ISBI 2011 Wang & Qi, ISBI 2011

## Summary

Numerous possible assumptions / constraints / models for image reconstruction from under-sampled k-space data:

- Using fewer basis functions
- Using reference image(s)
- Statistical priors
- Sparsity / compressibility
- Patch-based sparsity
- Patch-based regularization

## **Dynamic imaging**

- same general principles apply
- even more variations / combinations possible
- space and/or time and/or Fourier transforms thereof
  - *e.g.*, dynamic cardiac imaging is pseudo-periodic
  - DCE MRI is amenable to small number of temporal basis components

#### Conclusion

#### Because all MRI is under-sampled, the key question is not: should we under-sample? but rather how shall we under sample?



Caution: both produce "wrong" reconstructed images, but in different ways.

All MRI reconstruction methods involve constraints. So the key question is: *which* constraints are most appropriate for a given application?

#### Resources

#### Talk and code available online at

http://www.eecs.umich.edu/~fessler

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