### **Image Registration: Warping Without Folding**

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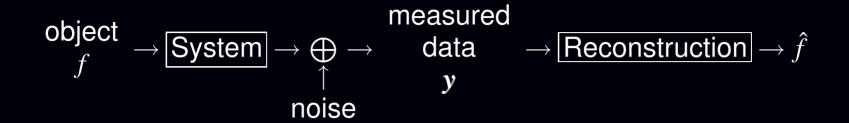
# Outline

- Introduction
- Image registration
  - Enforcing / encouraging local invertibility (diffeomorphism)
  - IEEE J. Selected Topics in Signal Processing, Feb. 2009
- Motion-compensated image reconstruction
  - Conventional
  - $\circ$  Model based
  - Temporal regularization

Image reconstruction (and registration) toolbox:

http://www.eecs.umich.edu/~fessler

#### **Image Reconstruction Overview**





X-ray CT Sinogram

X-ray CT Image

## **Image Reconstruction Formulations**

- Static  $f(\vec{r})$
- Dynamic f(r,t)
   contrast changes
  - object motion

(synergy with image registration)

# **Image Reconstruction Research Topics**

- Models for imaging physics
- Models for measurement statistics
- Models for object
- Algorithm formulation
- Algorithm acceleration
- Algorithm analysis / evaluation





#### FBP (thin slice)

MBIR

(120 kVp, 100-150 mA with modulation, 0.4 s rotation, pitch 1.375, slice thickness 0.625 mm)

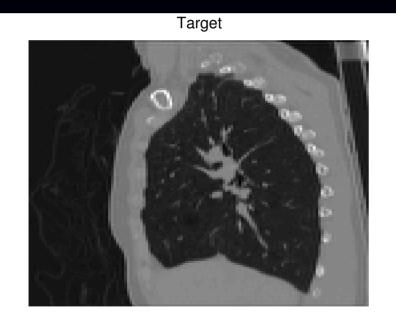
Part 1 Nonrigid image registration ensuring local invertibility

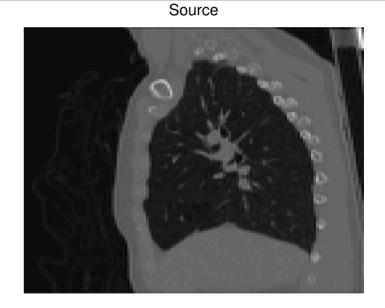
## **Image Registration**

Many applications, *e.g.*, forensics, remote sensing, medicine ...

- rigid transformations
- nonrigid transformations (warps)

Example: Respiratory motion (application: radiotherapy planning)









## **Image registration: Overview**

Given two images (or image volumes):  $f(\vec{r})$  and  $g(\vec{r})$ ,  $\vec{r} = (x, y, z)$ , find a spatial transformation  $\vec{T}(\vec{r})$ , where  $\vec{T} : \mathbb{R}^3 \to \mathbb{R}^3$ , such that  $f(\vec{r})$  "is similar to" the warped image  $g(\vec{T}(\vec{r}))$ 

Usual steps:

- parameterize by  $\boldsymbol{\alpha}$  the spatial transformation:  $\vec{T}(\vec{r}; \boldsymbol{\alpha})$
- choose a similarity measure  $\Psi \Big( f(\cdot), g(\vec{T}(\cdot)) \Big)$
- find optimal deformation parameters  $\alpha$  numerically:

$$\hat{\boldsymbol{\alpha}} = \arg\max_{\boldsymbol{\alpha}} \Psi\Big(f(\cdot), g(\vec{T}(\cdot; \boldsymbol{\alpha}))\Big)$$

Challenge: want estimated transformation  $\vec{T}(\vec{r}; \hat{\alpha})$  to be plausible. Typically we want it to be *diffeomorphic*, or *topology preserving*, or *invertible*, or at least *locally invertible*.

## **Image registration: Similarity measures**

• sum of squared differences

$$\Psi(f_1, f_2) = -\sum_i |f_1(\mathbf{r}_i) - f_2(\mathbf{r}_i)|^2$$

correlation

•

$$\Psi(f_1, f_2) = \sum_i \left( f_1(\boldsymbol{r}_i) - \bar{f}_1 \right) \left( f_2(\boldsymbol{r}_i) - \bar{f}_2 \right)$$

• mutual information

$$\Psi(f_1, f_2) = \mathbf{MI}(f_1, f_2) = H(f_1) + H(f_2) - H(f_1, f_2)$$

### Image registration: B-spline deformations

Nonrigid spatial transformation:

$$\vec{T}(\vec{r}; \boldsymbol{\alpha}) = \vec{r} + (\underline{d^{x}(\vec{r}; \boldsymbol{\alpha}^{x}), d^{y}(\vec{r}; \boldsymbol{\alpha}^{y}), d^{z}(\vec{r}; \boldsymbol{\alpha}^{z})}),$$
  
deformation

where  $\mathbf{\alpha} = (\mathbf{\alpha}^{x}, \mathbf{\alpha}^{y}, \mathbf{\alpha}^{z})$  denotes unknown deformation coefficients.

Tensor-product B-spline deformation model:

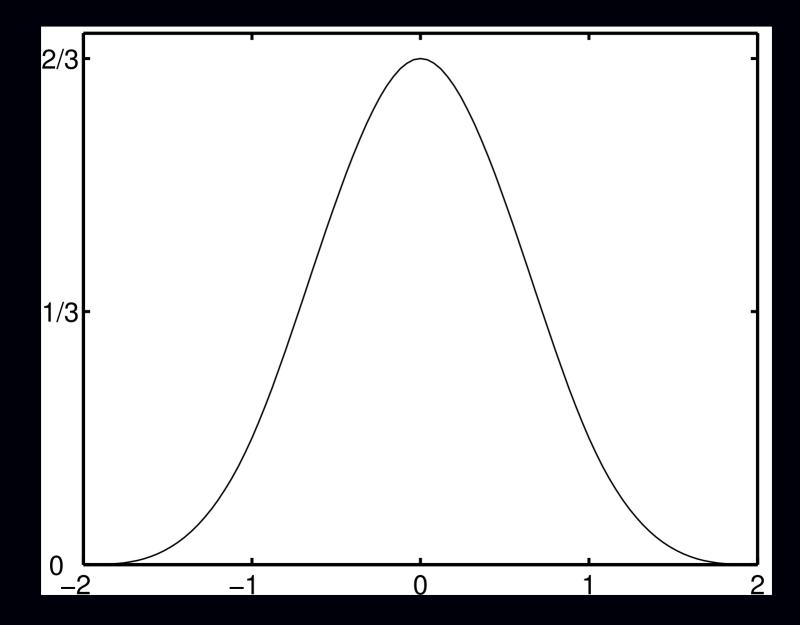
$$d^{x}(\vec{r}; \mathbf{\alpha}^{x}) = \sum_{i,j,k} \alpha^{x}_{ijk} \beta(x/m_{x}-i) \beta(y/m_{y}-j) \beta(z/m_{z}-k)$$
  

$$d^{y}(\vec{r}; \mathbf{\alpha}^{y}) = \sum_{i,j,k} \alpha^{y}_{ijk} \beta(x/m_{x}-i) \beta(y/m_{y}-j) \beta(z/m_{z}-k)$$
  

$$d^{z}(\vec{r}; \mathbf{\alpha}^{z}) = \sum_{i,j,k} \alpha^{z}_{ijk} \beta(x/m_{x}-i) \beta(y/m_{y}-j) \beta(z/m_{z}-k)$$

 $m_x, m_y, m_z$  denote the knot spacing in each dimension. These spacings determine the spatial scale of the deformation.

# **Cubic B-spline Kernel**



## **B-spline deformations: Benefits**

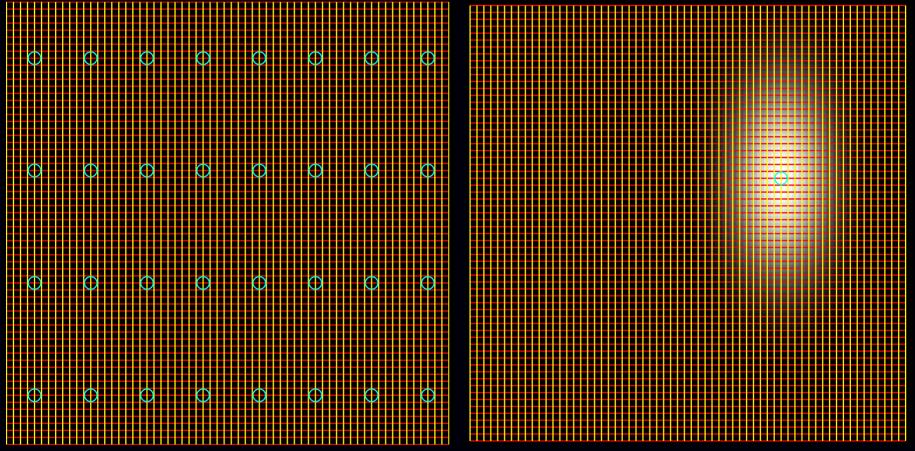
- differentiable (smooth)
- local support
- recursive filters for computations
- piecewise polynomial
- hierarchical

Nonrigid image registration similarity measures usually have many local maximizers.

To help find a "good" local maximum, one usually uses coarse-to-fine search. This is easy with B-spline deformations. (Thevenaz & Unser, IEEE T-IP, 2000)

## **B-spline deformations illustrated**

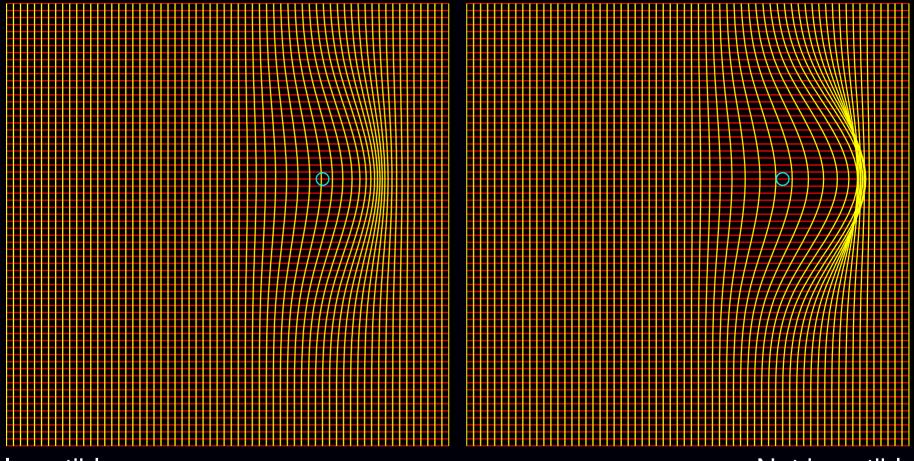
knot locations, mx=8 my=16



Knot locations

Local support

## **B-spline deformations illustrated**



Invertible

Not invertible

## Invertibility

When is  $\vec{r} \mapsto \vec{T}(\vec{r}) = \vec{r} + \vec{d}(\vec{r})$  a locally invertible transformation?

By the inverse function theorem, it is sufficient for  $\vec{T}$  to

- be continuously differentiable, and
- have positive Jacobian determinant: det  $\left\{ \nabla \vec{T}(\vec{r}) \right\} > 0$  for all  $\vec{r}$ .

## Jacobian of transformation/deformation

$$\nabla \vec{T}(\vec{r}) = \nabla \left( \vec{r} + \vec{d}(\vec{r}) \right) = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix} + \begin{bmatrix} \frac{\partial}{\partial x} d^{x} & \frac{\partial}{\partial y} d^{x} & \frac{\partial}{\partial z} d^{x} \\ \frac{\partial}{\partial x} d^{y} & \frac{\partial}{\partial y} d^{y} & \frac{\partial}{\partial z} d^{y} \\ \frac{\partial}{\partial x} d^{z} & \frac{\partial}{\partial y} d^{z} & \frac{\partial}{\partial z} d^{z} \end{bmatrix}$$

(mathematical theory vs practice)

## **Ensuring local invertibility in image registration**

We need to estimate B-spline deformation coefficients  $\alpha$  subject to some local invertibility constraint  $\alpha \in C$ :

 $\hat{\boldsymbol{\alpha}} = \operatorname*{arg\,max}_{\boldsymbol{\alpha}\in C} \Psi(\boldsymbol{\alpha}) \,.$ 

Ideal sufficient condition ensuring local invertibility for parametric deformation model:

$$\boldsymbol{\alpha} \in C_0 = \left\{ \boldsymbol{\alpha} : \det \left\{ \nabla \vec{T}(\vec{r}; \boldsymbol{\alpha}) \right\} > 0, \ \forall \vec{r} \in \mathbb{R}^3 \right\}.$$

This condition is very difficult to implement.

• Conventional relaxed local invertibility condition:  $C_0 \subset C_1$ 

$$oldsymbol{lpha} \in C_1 = \left\{ oldsymbol{lpha} : \det \left\{ 
abla \, ec{r}(ec{r};oldsymbol{lpha}) 
ight\} > 0, \ ec{r} \in \mathit{grid points} 
ight\}.$$

This condition does not ensure local invertibility everywhere. It is also computationally demanding.

We seek simpler *sufficient* conditions for local invertibility:  $C \subset C_0$ .

## **Unconstrained vs "constrained" optimization**

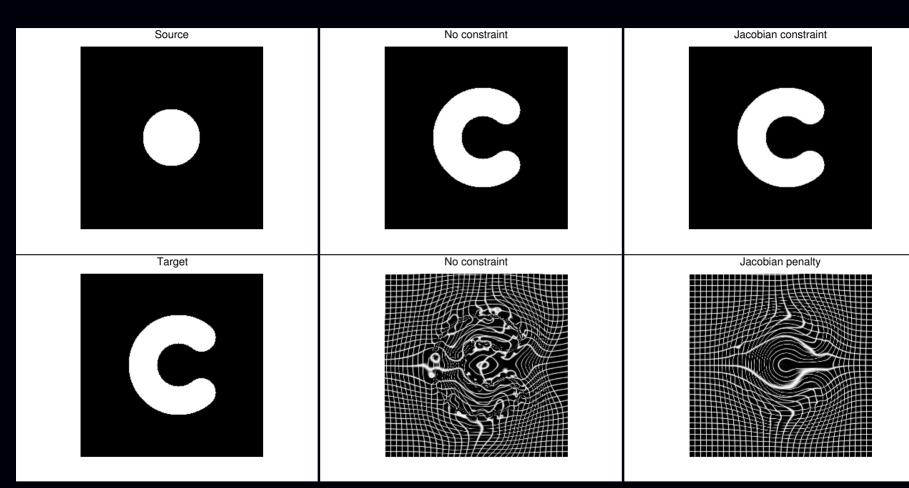


Image registration is an ill-posed problem.

Jacobian constraint on grid required  $> 3 \times$  computation as unconstrained case. Nevertheless, some negative Jacobians remain (between grid points) because  $C_0 \subset C_1$ . We need a simpler constraint that ensures positive Jacobian determinants everywhere.

#### A sufficient condition: Box constraints

Simple lower/upper bounds on B-spline coefficients:

$$\boldsymbol{\alpha} \in C_3 = \left\{ \boldsymbol{\alpha} : \left| \boldsymbol{\alpha}_{ijk}^{\mathrm{x}} \right| \leq \frac{m_{\mathrm{x}}}{K}, \left| \boldsymbol{\alpha}_{ijk}^{\mathrm{y}} \right| \leq \frac{m_{\mathrm{y}}}{K}, \left| \boldsymbol{\alpha}_{ijk}^{\mathrm{z}} \right| \leq \frac{m_{\mathrm{z}}}{K}, \forall i, j, k \right\},$$

where  $K \approx 2.05$  in 2D and  $K \approx 2.48$  in 3D. Choi *et al.*, 2000; Rueckert *et al.*, MICCAI 2006

Fact:  $C_3 \subset C_0$ . So constraining  $\alpha \in C_3$  ensures local invertibility everywhere.

Box constraints are particularly simple for optimization.

However,  $C_3$  is a very restrictive set of deformations.

- Maximum displacement is only about half the knot spacing.
- Precludes even simple (large) global translations.

#### **Proposed sufficient condition for invertibility**

Theorem (IEEE JSTSP, Feb. 2009): Suppose  $0 \le k_q < \frac{1}{2}$  for  $q \in \{x, y, z\}$ . Define the set:

$$C_{4} = \{ \boldsymbol{\alpha} : -m_{x}k_{x} \leq \boldsymbol{\alpha}_{i+1,j,k}^{x} - \boldsymbol{\alpha}_{i,j,k}^{x} \leq m_{x}K_{x}, \\ -m_{y}k_{y} \leq \boldsymbol{\alpha}_{i,j+1,k}^{y} - \boldsymbol{\alpha}_{i,j,k}^{y} \leq m_{y}K_{y}, \\ -m_{z}k_{z} \leq \boldsymbol{\alpha}_{i,j,k+1}^{z} - \boldsymbol{\alpha}_{i,j,k}^{z} \leq m_{z}K_{z}, \\ |\boldsymbol{\alpha}_{i+1,j,k}^{q} - \boldsymbol{\alpha}_{i,j,k}^{q}| \leq m_{q}k_{q} \text{ for } q = y, z, \\ |\boldsymbol{\alpha}_{i,j+1,k}^{q} - \boldsymbol{\alpha}_{i,j,k}^{q}| \leq m_{q}k_{q} \text{ for } q = x, z, \\ |\boldsymbol{\alpha}_{i,j,k+1}^{q} - \boldsymbol{\alpha}_{i,j,k}^{q}| \leq m_{q}k_{q} \text{ for } q = x, y, \forall i, j, k \}$$

If  $\alpha \in C_4$ , then  $\forall \vec{r} \in \mathbb{R}^3$ :

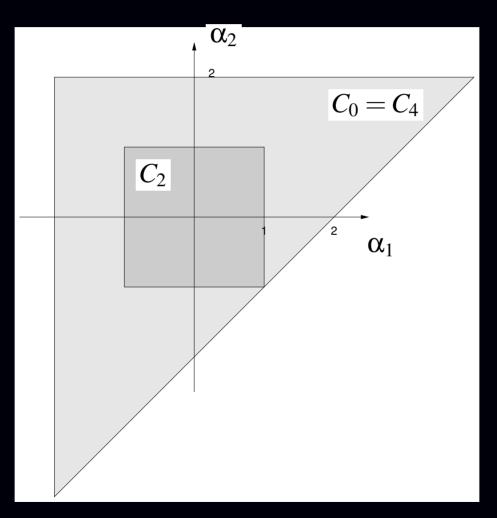
$$1 - (k_x + k_y + k_z) \le \det \left\{ \nabla \vec{T}(\vec{r}; \mathbf{\alpha}) \right\}$$
  
$$\le (1 + K_x)(1 + K_y)(1 + K_z) + (1 + K_x)k_yk_z + k_x(1 + K_y)k_z + k_xk_y(1 + K_z).$$

#### Corollary:

Choosing  $k_x = k_y = k_z = 1/3 - \varepsilon$  ensures that  $0 < \det \left\{ \nabla \vec{T}(\vec{r}; \boldsymbol{\alpha}) \right\}, \forall \vec{r}.$ 

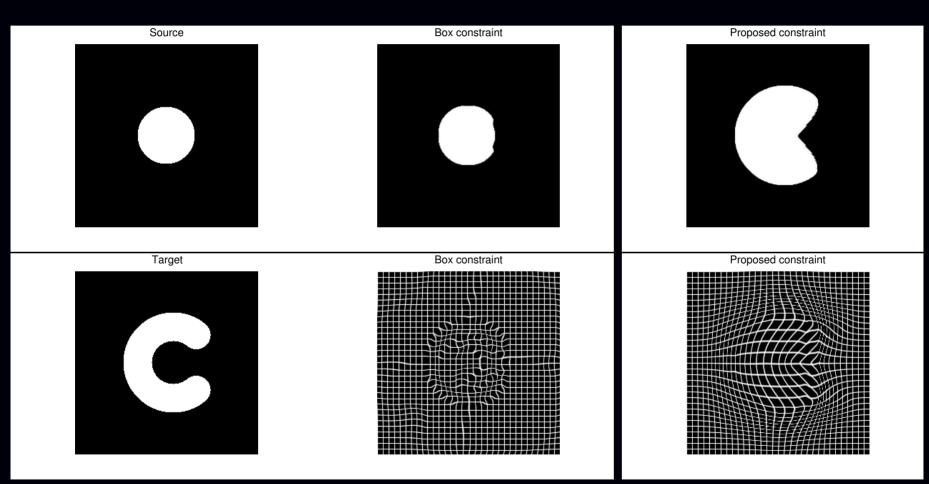
## **Comparing sufficient conditions**

1D example with two coefficients:  $\alpha_1$ ,  $\alpha_2$ , for n = 2 (quadratic B-splines)



## **Limitations of sufficient conditions**

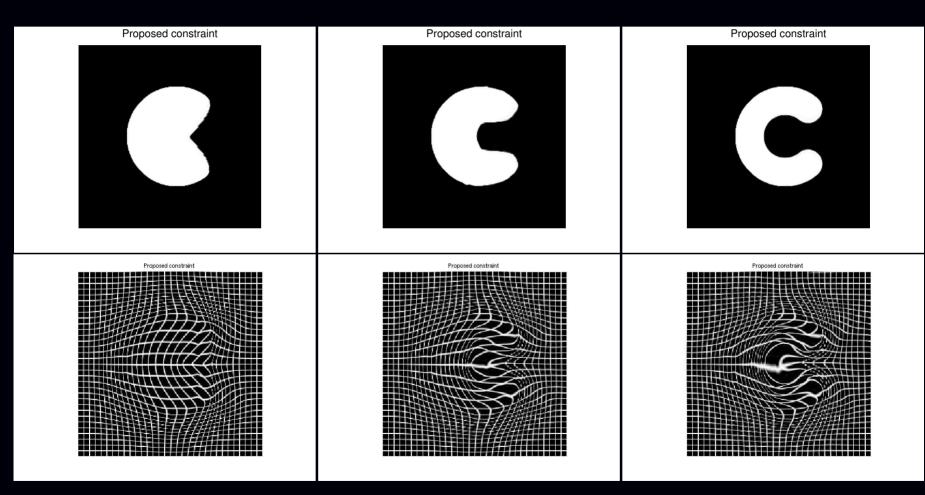
2D simulations using augmented Lagrange multiplier approach to enforce the constraint  $\alpha \in C_3$  or  $\alpha \in C_4$ .



#### Clearly $C_3 \subset C_0$ and $C_4 \subset C_0$ .

### Solution: composition of transformations

Composing multiple transformations can overcome the limitations of sufficient conditions, *e.g.*,  $\vec{T} \triangleq \vec{T}_{\alpha_3} \circ \vec{T}_{\alpha_2} \circ \vec{T}_{\alpha_1}$  where  $\alpha_1, \alpha_2, \alpha_3 \in C_4$ .

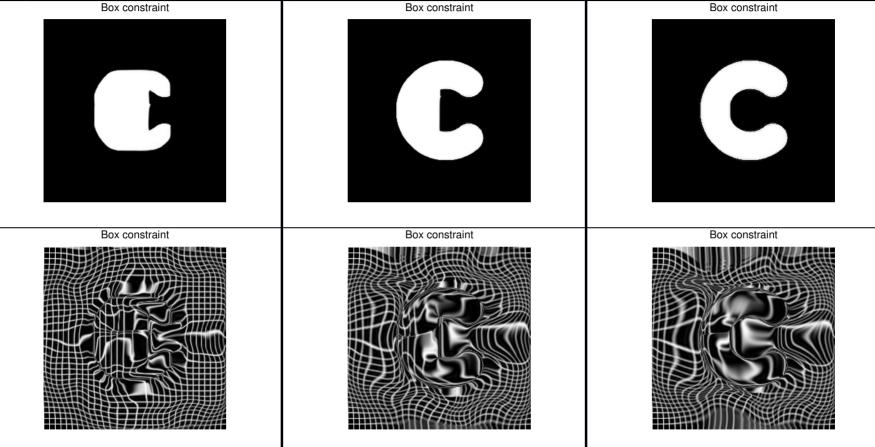


## **Composition for box constraints**

#### Requires many more compositions:

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#### Rueckert et al., MICCAI 2006



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Each of the 30 warps used many augmented Lagrangian iterations. Tradeoff: simplicity of constraint and its flexibility.

## Simplyfing further via regularization

Idea: replace constrained optimization

 $\hat{\boldsymbol{\alpha}} = \operatorname*{arg\,max}_{\boldsymbol{\alpha}\in C_4} \Psi(\boldsymbol{\alpha})$ 

with simpler unconstrained, but regularized, optimization:

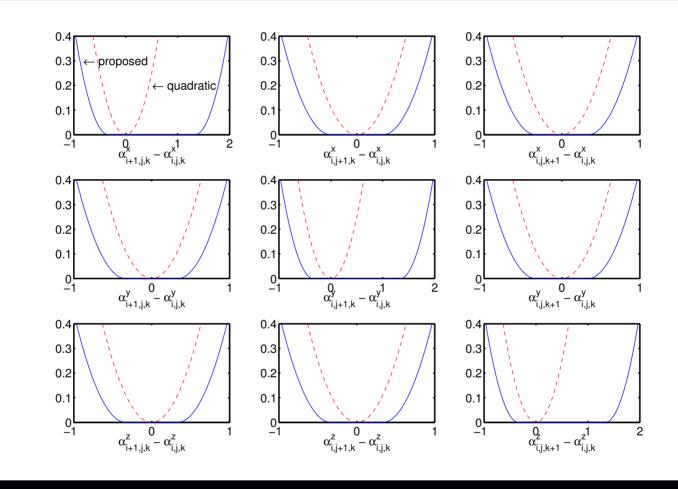
$$\hat{\boldsymbol{\alpha}} = \arg \max_{\boldsymbol{\alpha}} \Psi(\boldsymbol{\alpha}) - \gamma \mathsf{R}(\boldsymbol{\alpha})$$

where  $R(\alpha)$  is zero if  $\alpha \in C_4$  but is "large" otherwise. This *encourages* local invertibility, but does not enforce it strictly.

The regularization parameter  $\gamma$  controls the tradeoff between  $\circ$  image similarity

 $\circ$  regularity of the deformation (local invertibility).

#### **Proposed regularizer**

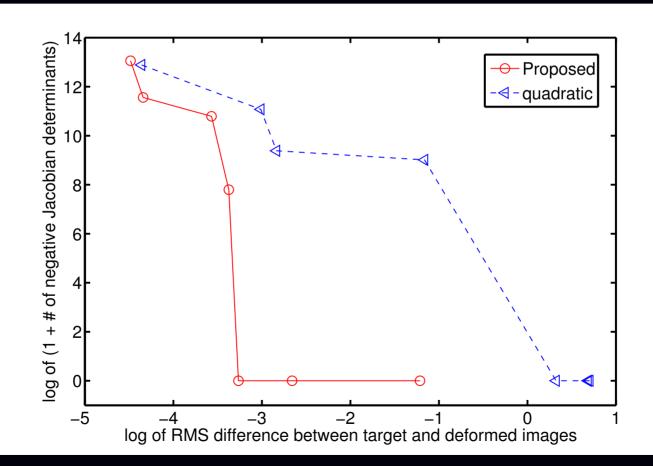


Interval constraints in  $C_4$  replaced by piecewise quadratic penalty function of differences of neighboring B-spline coefficients. *cf.* conventional quadratic roughness regularization  $m_x = m_y = m_z = 1, k_x = k_y = k_z = 1/3$ , and  $K_x = K_y = K_z = 4/3$ .

## **Regularization tradeoffs**

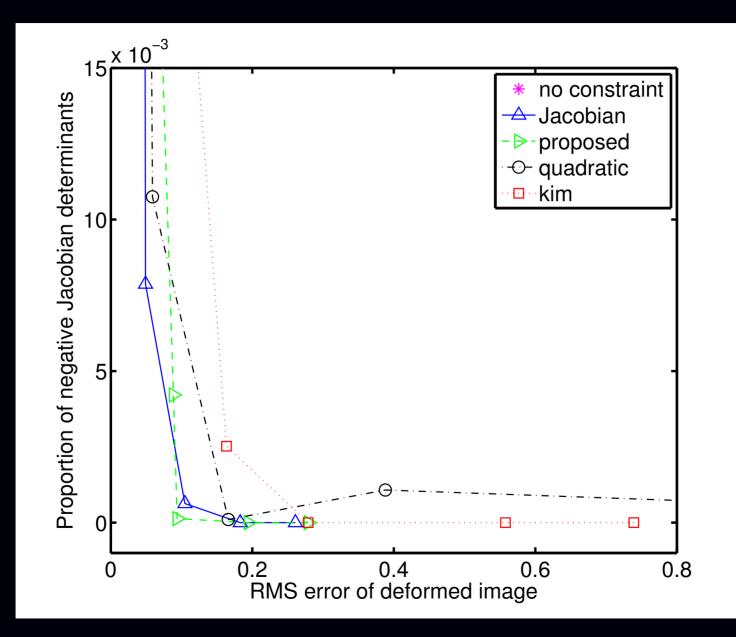
As regularization parameter  $\gamma\uparrow$ 

- $\circ$  # of negative Jacobian determinants  $\downarrow$  so
- $\circ$  RMS difference between images  $\uparrow$



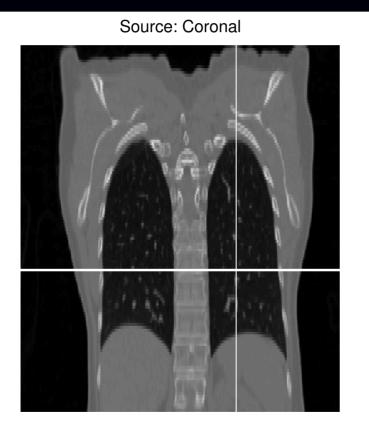
Proposed regularizer: good image similarity, few negative Jacobian determinants.

### **Regularization tradeoffs: Details**



## **3D** registration of CT inhale/exhale scans

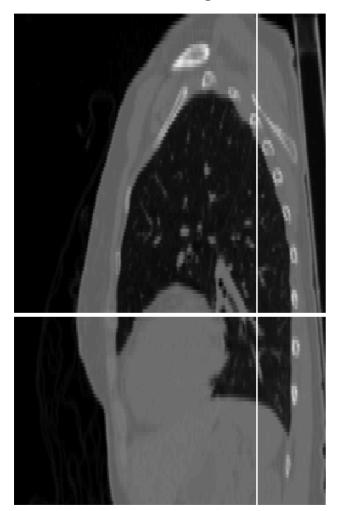
3D CT scans of a cancer patient at exhale and inhale, for radiation treatment planning.  $396 \times 256 \times 128$  voxels.



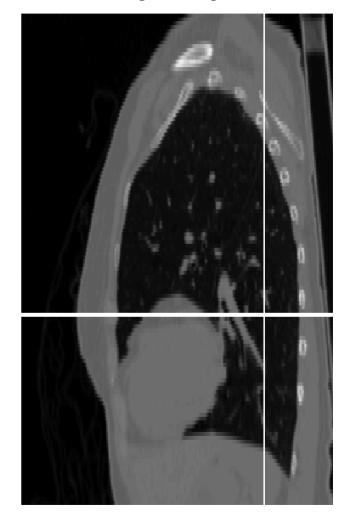


## **CT inhale/exhale scans: Sagittal**

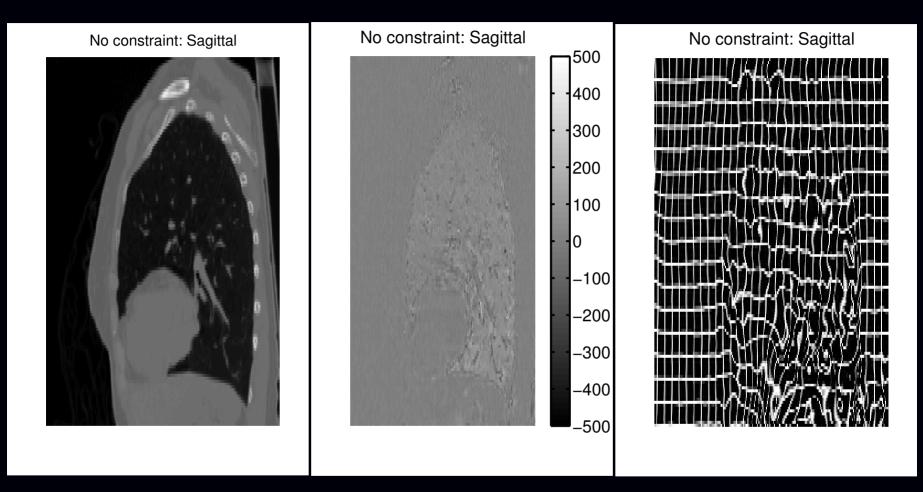
#### Source: Sagittal



#### Target: Sagittal

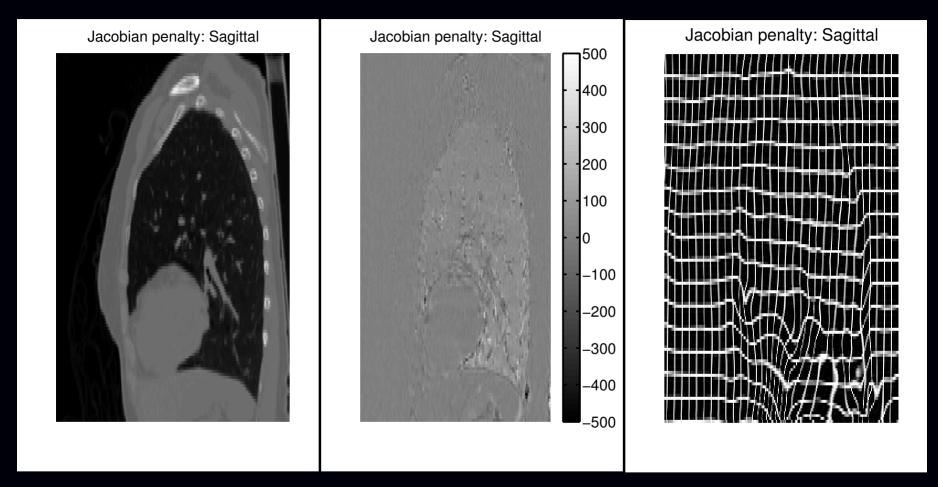


## **3D registration results: Unconstrained**



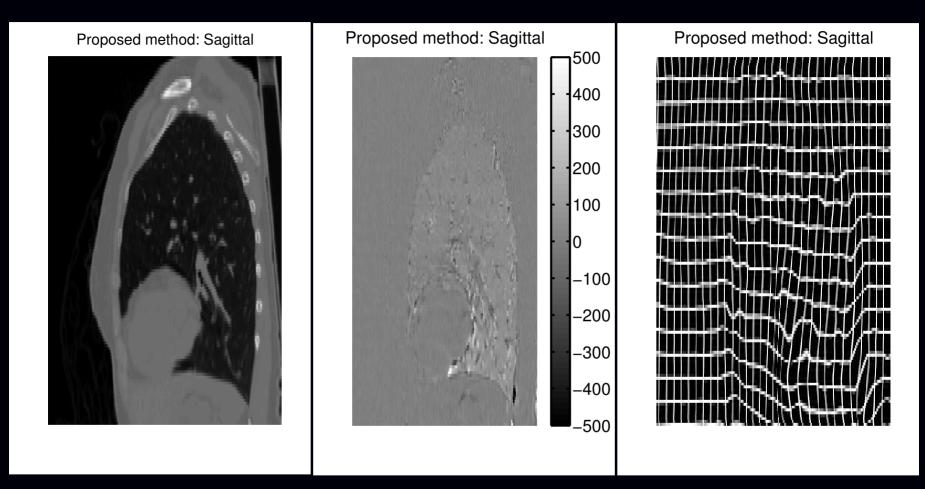
Relatively small difference image values, but many negative Jacobian determinants

## **3D registration results: Jacobian**



Jacobian penalty based on  $C_1$  (grid) Better behaved warp and reasonable difference image. But slow.

# **3D registration results: Proposed regularizer**



Proposed regularizer based on  $C_4$ . Tailored design of constraint/penalty:  $k_x = k_y = 1/4$ ,  $k_z = 1/2$ . Similar warp and difference image, but faster.

## **Quantification**

Method	CPU time	RMS difference	# negative
	(seconds)	(HU)	Jacobians
Unconstrained	25.7	19.9	316914
Jacobian penalty	81.1	25.9	0
Proposed penalty	27.4	29.2	0

Computation time per iteration (in seconds) at the finest level

Regularization parameter adjusted empirically in both penalized cases to be the smallest value that yields no negative Jacobian determinants on the voxel grid.

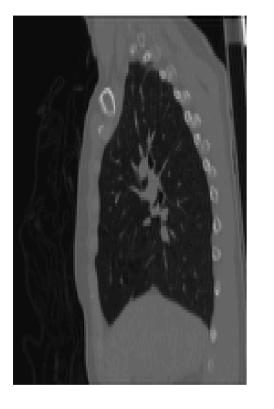
3 multiresolution levels: knot spacings 8 pixels with downsampled images, 8 pixels with original images, 4 pixels with original images. 120 iterations of CG at each level.

Work in progress to compose a couple coarse-scale deformations before refining to fine scale to reduce RMS differences.

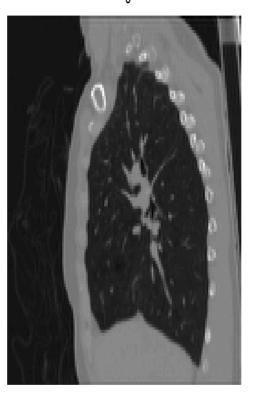
Code on web site: http://www.eecs.umich.edu/~fessler

### **Diffeomorphisms: To be or not to be...**

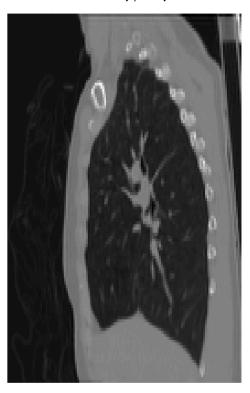
Source



Target



Invertibility penalty



Sliding at diaphragm / rib cage interface. Enforcing smoothness leads to bone warping.

## Work in progress...



Requiring the warp to be smooth everywhere seems suboptimal. One possible solution presented at SPIE 2009. Stay tuned...

# Summary

- Simple condition for ensuring local invertibility everywhere
- Admits more deformations than conventional box constraints
- Simple regularizer requires comparable computation as unregularized image registration and much less computation than Jacobian determinant constraints / penalties

# **Open problems**

- Rigid structures (bones)
- Sliding tissue interfaces
- Parameter selection
- Computation (GPUs?)
- Performance characterization

#### Part 2 Model-based image reconstruction with motion compensation

## Motion in image reconstruction

Object being scanned:  $f(\vec{r},t)$ Measured data vector:  $\mathbf{y} = (y_1, \dots, y_M)$ 

Static image reconstruction:

- Assume  $f(\vec{r},t) = f(\vec{r},t_0) = f(\vec{r})$  during scan.
- Estimate  $f(\vec{r})$  from measurements y. (III posed.)

Dynamic image reconstruction ("List-mode" data model)

• Assume each data point  $y_i$  is acquired instantaneously at a corresponding time instant  $t_i$ 

• Relate  $y_i$  to object at time  $t_i$ , *e.g.*,

$$y_{i} = \underbrace{\int a_{i}(\vec{r}) f(\vec{r}, t_{i}) d\vec{r}}_{\text{physics}} + \underbrace{\varepsilon_{i}}_{\text{statistics}}$$

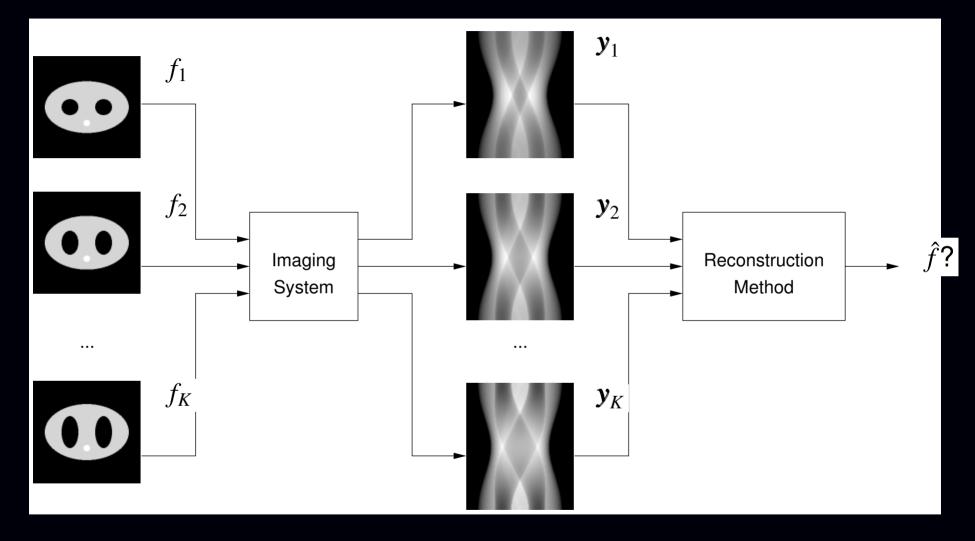
More generally:  $p(y_i | f(\cdot, t_i))$ .

• Estimate  $f(\vec{r},t)$  from measurements y. (Even "more" ill posed!)

# Gated data model

- Group data into *K* vectors, *e.g.*, *K* respiratory phases:  $y_1, \ldots, y_K$
- Assume  $f(\vec{r},t)$  is stationary during kth phase of data acquisition
- Relate  $\mathbf{y}_k$  to  $f_k(\vec{r}) \triangleq f(\vec{r}, t_k)$  using physics and statistics
- From *K* data vectors  $y_1, \ldots, y_K$ , reconstruct object: ?

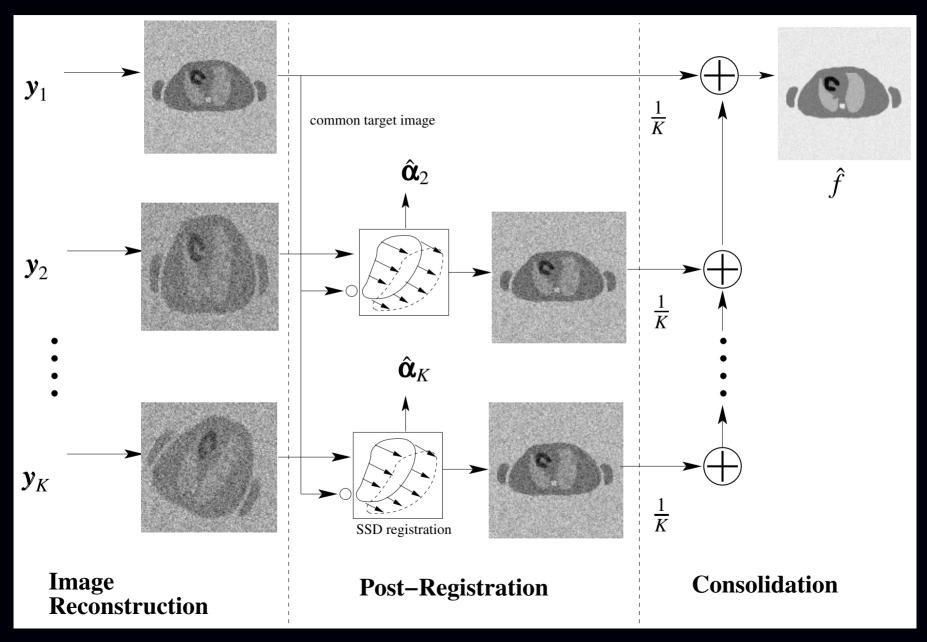
# Gated data model: Illustration



# Gated data: Image reconstruction options

- Pool all data and ignore motion
  - fast
  - $\circ$  low noise variance
  - $\circ$  motion induced blur
- Frame-wise: reconstruct each gate/frame separately:  $y_k \mapsto \hat{f}_k$ 
  - $\circ$  simple
  - $\circ$  high noise variance
  - $\circ$  no motion blur (except within-gate motion)
- Frame-wise with post-reconstruction averaging (FW-PRA)
  - $\circ$  map each reconstructed frame onto 1st frame, then average
  - $\circ$  averaging should reduce noise
  - $\circ\,$  should avoid motion blur if registration is accurate
  - $\circ\,$  registration accuracy limited by noise in the individual gates
- FW-PRA with motion estimates from a separate modality
  - $\circ$  use another modality (*e.g.*, PET-CT) to estimate motion
  - $\circ$  performance depends on consistency of motion between modalities
  - Thorndyke et al., Med Phys, 2006

# Frame-wise with post-reconstruction averaging



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#### Forward model with motion

Post-reconstruction averaging assumes an implicit model that relates the frames  $f_2, \ldots, f_K$  to the first frame  $f_1$ .

We now make the (motion) model explicit:

$$f_k = \boldsymbol{W}(\boldsymbol{\alpha}_k) f_1, \quad k = 2, \dots, K.$$

 $W(\alpha_k)$  is the linear (!) transformation of the image values corresponding to motion  $\alpha_k$ .

This model suggests additional image reconstruction approaches.

#### **Linear interpolation and Nonrigid deformations**

B-spline interpolation model for continuous-space image:

$$f(\vec{r}) = f(x, y, z) = \sum_{n, m, l} c_{nml} \beta(x - n) \beta(y - m) \beta(z - l)$$

Find coefficients  $c = \{c_{nml}\}$  by prefiltering digital image f[n, m, l].

Nonrigid deformation of f:

In matrix

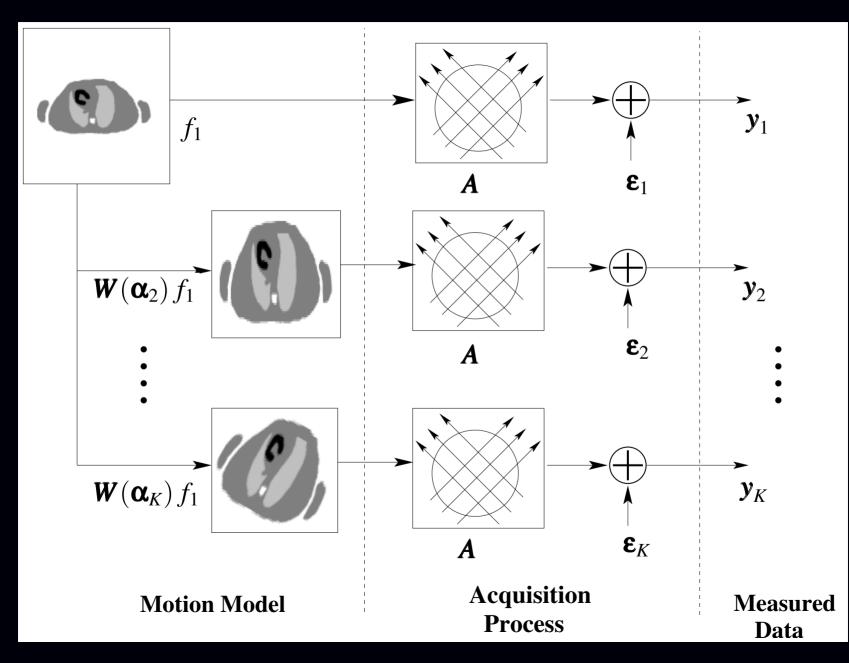
$$g(\vec{r}) = f\left(\vec{T}(\vec{r};\boldsymbol{\alpha})\right)$$
  
=  $\sum_{n,m,l} c_{nml} \beta(T^{x}(\vec{r};\boldsymbol{\alpha})-n) \beta(T^{y}(\vec{r};\boldsymbol{\alpha})-m) \beta(T^{z}(\vec{r};\boldsymbol{\alpha})-l).$ 

Resample warped image on grid (of target image):

$$g(\vec{r}_{j}) = \sum_{n,m,l} c_{nml} W_{\vec{r}_{j};n,m,l}(\boldsymbol{\alpha}), \quad j = 1, \dots, N$$
$$W_{\vec{r};n,m,l}(\boldsymbol{\alpha}) \triangleq \beta(T^{x}(\vec{r};\boldsymbol{\alpha}) - n) \beta(T^{y}(\vec{r};\boldsymbol{\alpha}) - m) \beta(T^{z}(\vec{r};\boldsymbol{\alpha}) - l)$$
$$\text{x-vector form, where } \boldsymbol{g} = \{g(\vec{r}_{j})\} \text{ and } \boldsymbol{f} = \{f[n,m,l]\}:$$

$$\boldsymbol{g} = \boldsymbol{W}(\boldsymbol{\alpha})\boldsymbol{c}, \qquad \boldsymbol{c} = \boldsymbol{W}^{-1}(\boldsymbol{0})\boldsymbol{f} \Longrightarrow \boldsymbol{g} = \boldsymbol{W}(\boldsymbol{\alpha})\boldsymbol{W}^{-1}(\boldsymbol{0})\boldsymbol{f}.$$

## Forward model with motion



## Gated data: More image reconstruction options

- Model-based image reconstruction with motion compensation
  - ∘ given motion estimates, from FW-PRA or from separate modality,
  - $\circ$  compensate for motion in reconstruction process.
  - Qiao et al., PMB 2006; Taguchi et al., SPIE 2007.
- Model-based image reconstruction jointly with registration
  - Jacobson & Fessler, IEEE NSS-MIC 2003, IEEE SSP 2003, ISBI 2006
  - Odille et al., MRM 2008
  - $\circ\,$  estimate jointly the first frame and the motion from all data
- Model-based image reconstruction with temporal regularization
   Mair *et al.*, IEEE T-MI, 2006
  - $\circ\,$  estimate all frames and the motion between frames from all data

# Model-based image reconstruction with motion compensation

- Given: motion estimates  $\hat{\alpha}_k$  for k = 2, ..., K, from FW approach or from a separate modality,
- model for system physics / statistics:  $p(\mathbf{y}_k | f_k) = p(\mathbf{y}_k | \mathbf{W}(\hat{\mathbf{\alpha}}_k) f_1)$ .

Perform penalized-likelihood (aka MAP) estimation of one image:

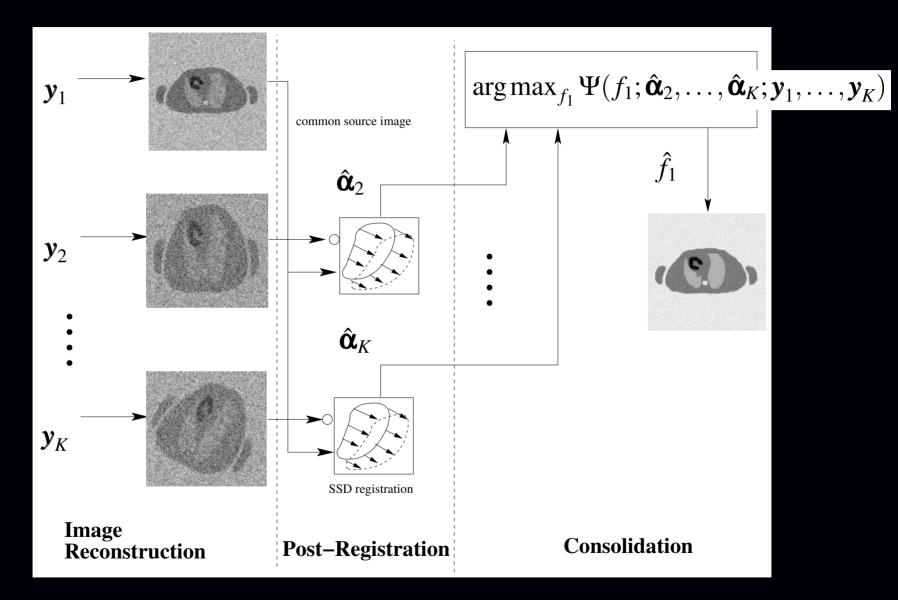
$$\hat{f}_1 = \arg\max_{f_1} \Psi(f_1; \hat{\boldsymbol{\alpha}}_2, \dots, \hat{\boldsymbol{\alpha}}_K; \boldsymbol{y}_1, \dots, \boldsymbol{y}_K)$$
$$\Psi(f_1; \hat{\boldsymbol{\alpha}}_2, \dots, \hat{\boldsymbol{\alpha}}_K; \boldsymbol{y}_1, \dots, \boldsymbol{y}_K) \triangleq \sum_{k=1}^K \log p(\boldsymbol{y}_k | \boldsymbol{W}(\hat{\boldsymbol{\alpha}}_k) f_1) - \beta R(f_1).$$

R(f) is optional regularization to control noise in ill-posed image reconstruction problems.

For linear model with additive gaussian noise  $\mathbf{y}_k = \mathbf{A}_k f_k + \mathbf{\varepsilon}_k$ :

$$\hat{f}_1 = \arg\min_{f_1} \sum_{k=1}^K \|\boldsymbol{y}_k - \boldsymbol{A}_k \boldsymbol{W}(\hat{\boldsymbol{\alpha}}_k) f_1\|^2 + \beta \, \mathsf{R}(f_1) \, .$$

## Motion compensated image reconstruction



## Joint image reconstruction / registration

Previous approach used possibly suboptimal motion estimates:

$$\hat{f}_1 = \operatorname*{arg\,max}_{f_1} \Psi(f_1; \hat{\boldsymbol{\alpha}}_2, \dots, \hat{\boldsymbol{\alpha}}_K; \boldsymbol{y}_1, \dots, \boldsymbol{y}_K)$$

Alternative: jointly estimate one image and K - 1 deformation parameters:

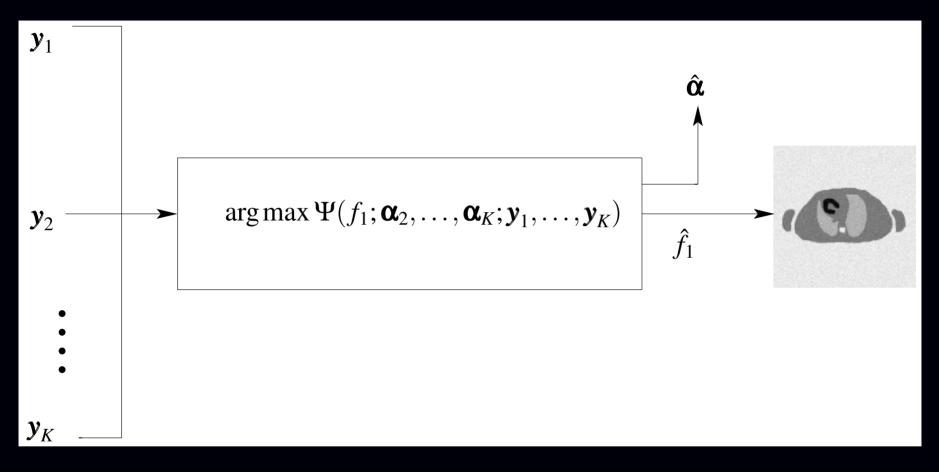
$$(\hat{f}_1, \hat{\boldsymbol{\alpha}}_2, \dots, \hat{\boldsymbol{\alpha}}_K) = \underset{f_1, \boldsymbol{\alpha}_2, \dots, \boldsymbol{\alpha}_K}{\operatorname{arg\,max}} \Psi(f_1; \boldsymbol{\alpha}_2, \dots, \boldsymbol{\alpha}_K; \boldsymbol{y}_1, \dots, \boldsymbol{y}_K)$$

$$\Psi(f_1; \boldsymbol{\alpha}_2, \dots, \boldsymbol{\alpha}_K; \boldsymbol{y}_1, \dots, \boldsymbol{y}_K) = \sum_{k=1}^K \log p(\boldsymbol{y}_k | \boldsymbol{W}(\boldsymbol{\alpha}_k) f_1) - \beta R(f_1)$$

Natural optimization strategy is to alternate between:
○ updating image estimate *f̂*<sub>1</sub> using current motion parameters,
○ updating motion estimates {*â*<sub>k</sub>} using current image estimate.

Can initialize motion parameters using frame-wise method.

#### **Joint estimation illustrated**



Goal: find image estimate and motion parameters that best fit all measured data.

#### **Motion-compensated temporal regularization**

Previous joint estimation approach:

$$(\hat{f}_1, \hat{\boldsymbol{\alpha}}_2, \dots, \hat{\boldsymbol{\alpha}}_K) = \underset{f_1, \boldsymbol{\alpha}_2, \dots, \boldsymbol{\alpha}_K}{\operatorname{arg\,max}} \Psi(f_1; \boldsymbol{\alpha}_2, \dots, \boldsymbol{\alpha}_K; \boldsymbol{y}_1, \dots, \boldsymbol{y}_K)$$

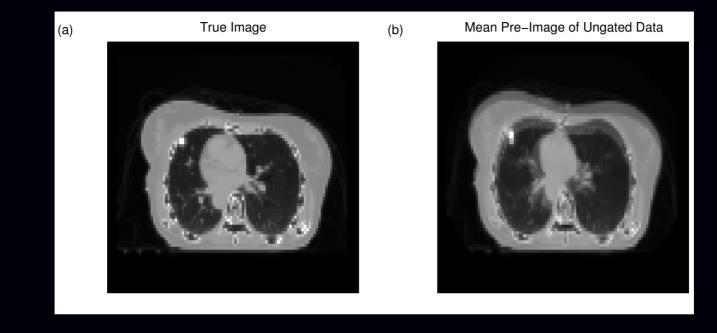
Alternative approach based on temporal regularization:

$$(\hat{f}_1,\ldots,\hat{f}_K;\hat{\boldsymbol{\alpha}}_2,\ldots,\hat{\boldsymbol{\alpha}}_K) = \underset{f_1,\ldots,\hat{f}_K;\boldsymbol{\alpha}_2,\ldots,\boldsymbol{\alpha}_K}{\operatorname{arg\,max}} \Psi(f_1,\ldots,f_K;\boldsymbol{\alpha}_2,\ldots,\boldsymbol{\alpha}_K;\boldsymbol{y}_1,\ldots,\boldsymbol{y}_K)$$

$$\Psi(f_1, \dots, f_K; \boldsymbol{\alpha}_2, \dots, \boldsymbol{\alpha}_K; \boldsymbol{y}_1, \dots, \boldsymbol{y}_K) = \sum_{k=1}^K \log \mathsf{p}(\boldsymbol{y}_k | f_k) - \beta \mathsf{R}(f_k) \\ -\gamma \sum_{k=2}^K ||f_{k+1} - \mathbf{W}(\boldsymbol{\alpha}_k) f_k||^2 \\ \underset{\text{temporal regularization with motion effects}}{\overset{\text{temporal regularization solution}}}$$

Pro: no warp in log-likelihood. Con: more unknowns;  $\gamma$  choice?

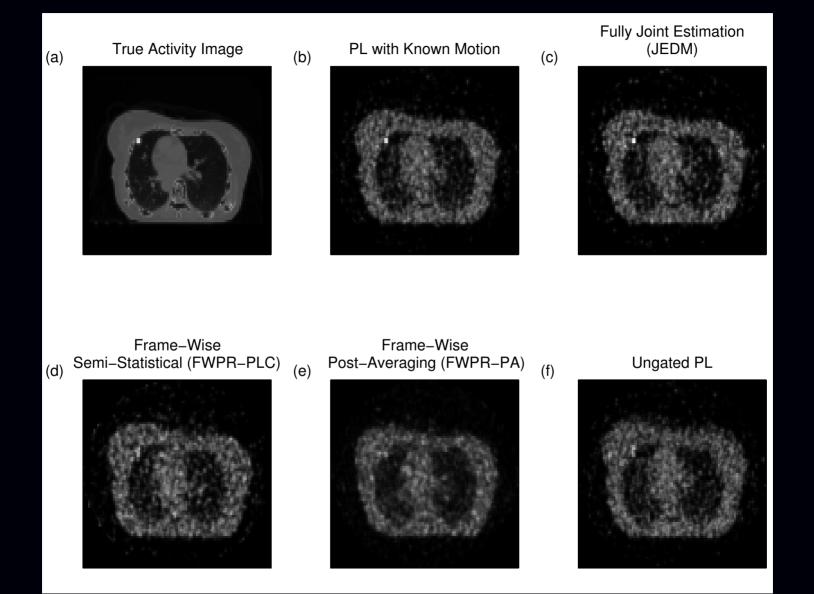
# **Ten-Gate 3D PET Simulation**



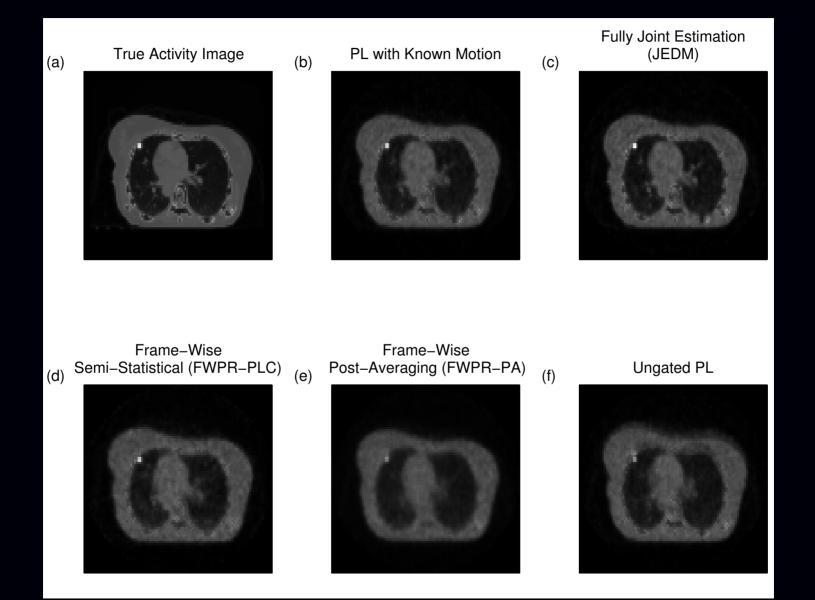
- 80K total counts/axial mm and 30% randoms (ECAT HR+), divided across 10 gates.
- Derived from 17 slices of real thorax anatomy.
- B-spline deformations (11x14x5x3 control grid), derived from helical CT scans at multiple inspirations

(Matt Jacobson, 2006 thesis)

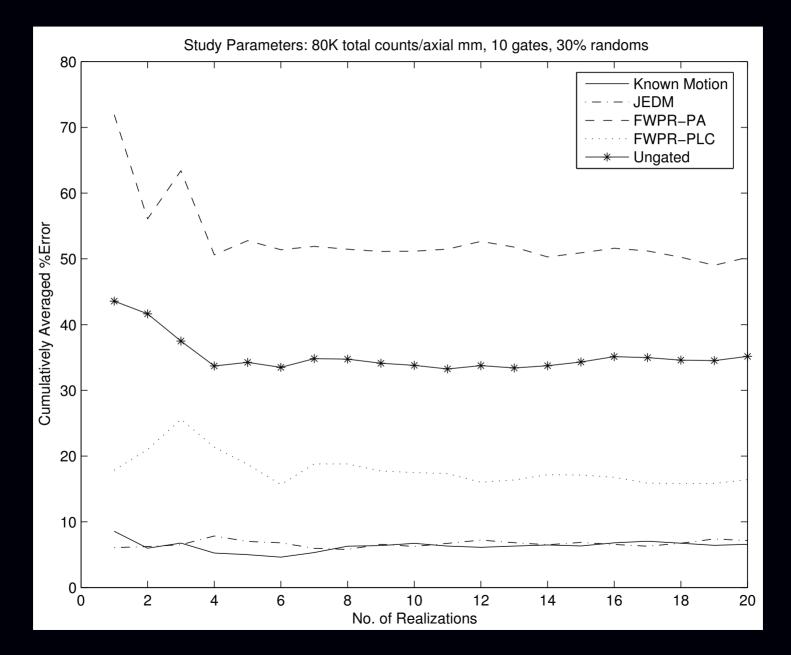
#### **Sample Reconstructed Images**



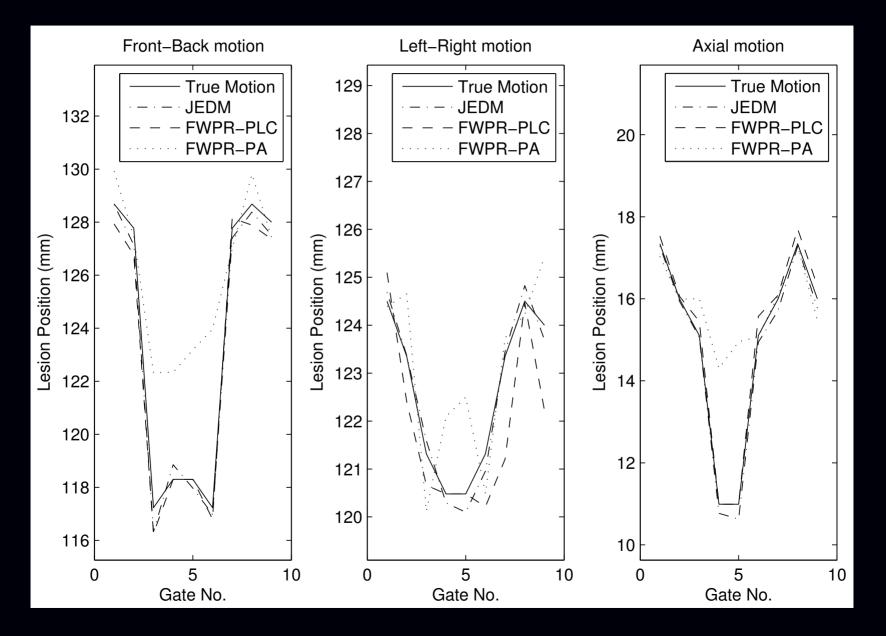
#### **Mean Reconstructed Images**



# Lesion Recovery Comparison



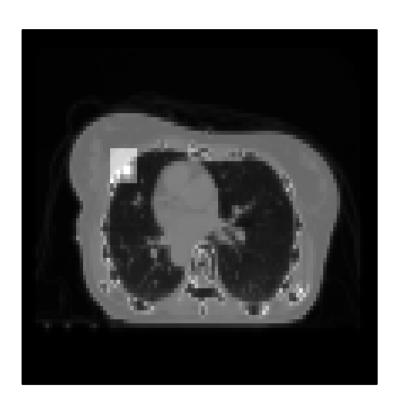
# **Motion Tracking Performance**



# **Regularization Using PET-CT Side Info.**

Relax regularization strength in neighborhood of lesion.

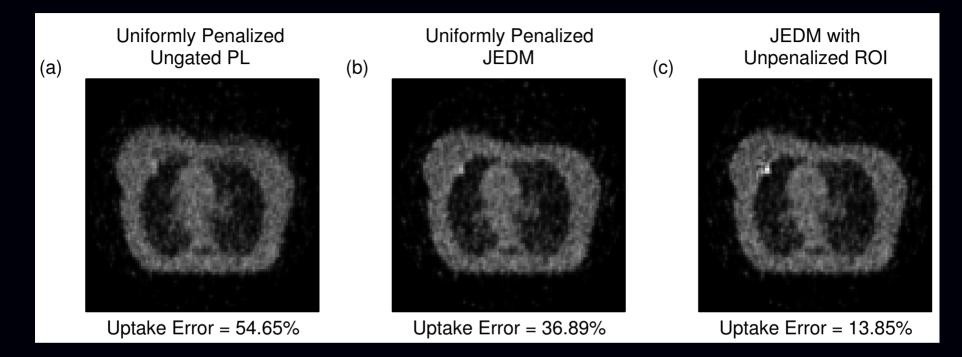
(a)



(b)



# **Regularization Using PET-CT Side Info. (cont'd)**



Very "weak" use of boundary side information  $\implies$  robust to mis-registration.

# Summary

- Several possible methods for motion-compensated image reconstruction
- Model-based approaches such as joint estimation have potential
- Repeated motion estimation steps necessitate simple invertibility regularizers
- More work needed on algorithms, acceleration, evaluation, ...