# Part 1: From Physics to Statistics 

or
"What quantity is reconstructed?"
(in emission tomography)

## Outline

- Decay phenomena and fundamental assumptions
- Idealized detectors
- Random phenomena
- Poisson measurement statistics
- State emission tomography reconstruction problem


## What Object is Reconstructed?

In emission imaging, our aim is to image the radiotracer distribution.

## The what?

At time $t=0$ we inject the patient with some radiotracer, containing a "large" number $N$ of metastable atoms of some radionuclide.

Let $\vec{X}_{k}(t) \in \mathbf{R}^{3}$ denote the position of the $k$ th tracer atom at time $t$. These positions are influenced by blood flow, patient physiology, and other unpredictable phenomena such as Brownian motion.

The ultimate imaging device would provide an exact list of the spatial locations $\vec{X}_{1}(t), \ldots, \vec{X}_{N}(t)$ of all tracer atoms for the entire scan.

## Would this be enough?

## Atom Positions or Statistical Distribution?

Repeating a scan would yield different tracer atom sample paths $\left\{\vec{X}_{k}(t)\right\}_{k=1}^{N}$.
$\therefore$ statistical formulation

Assumption 1. The spatial locations of individual tracer atoms at any time $t \geq 0$ are independent random variables that are all identically distributed according to a common probability density function (pdf) $f_{\vec{X}(t)}(\vec{x})$.

This pdf is determined by patient physiology and tracer properties.
Larger values of $f_{f_{\bar{X}}(t)}(\vec{x})$ correspond to "hot spots" where the tracer atoms tend to be located at time $t$. Units: inverse volume, e.g., atoms per cubic centimeter.

The radiotracer distribution $f_{\vec{X}(t)}(\vec{x})$ is the quantity of interest.
$\left(\operatorname{Not}\left\{\vec{X}_{k}(t)\right\}_{k=1}^{N}!\right)$

## Example: Perfect Detector



True radiotracer distribution $f_{\vec{X}(t)}(\vec{x})$ at some time $t$.


A realization of $N=2000$ i.i.d. atom positions (dots) recorded "exactly."

Little similarity!

## Binning/Histogram Density Estimator



Estimate of $f_{\vec{x}(t)}(\vec{x})$ formed by histogram binning of $N=2000$ points. Ramp remains difficult to visualize.

## Kernel Density Estimator



Gaussian kernel density estimator for $f_{\vec{X}(t)}(\vec{x})$ from $N=2000$ points.


Horizontal profiles at $x_{2}=3$ through density estimates.

## Poisson Spatial Point Process

Assumption 2. The number of injected tracer atoms $N$ has a Poisson distribution with some mean

$$
\mu_{N} \triangleq E[N]=\sum_{n=0}^{\infty} n P[N=n] .
$$

Let $N(\mathcal{B})$ denote the number of tracer atoms that have spatial locations in any set $\mathcal{B} \subset \mathbf{R}^{3}(\mathrm{VOI})$ at time $t_{0}$ after injection.
$N(\cdot)$ is called a Poisson spatial point process.
Fact. For any set $\mathcal{B}, N(\mathcal{B})$ is Poisson distributed with mean:

$$
E[N(\mathcal{B})]=E[N] P[\vec{X} \in \mathcal{B}]=\mu_{N} \int_{\mathcal{B}} f_{\vec{X}\left(t_{0}\right)}(\vec{x}) \mathrm{d} \vec{x}
$$

Poisson $N$ injected atoms + i.i.d. locations $\Rightarrow$ Poisson point process

Illustration of Point Process $\left(\mu_{N}=200\right)$

25 points within ROI


20 points within ROI


15 points within ROI


26 points within ROI


## Radionuclide Decay

Preceding quantities are all unobservable.
We "observe" a tracer atom only when it decays and emits photon(s).
The time that the $k$ th tracer atom decays is a random variable $T_{k}$.
Assumption 3. The $T_{k}$ 's are statistically independent random variables, and are independent of the (random) spatial location.

Assumption 4. Each $T_{k}$ has an exponential distribution with mean $\mu_{T}=t_{1 / 2} / \ln 2$. Cumulative distribution function: $P\left[T_{k} \leq t\right]=1-\exp \left(-t / \mu_{T}\right)$


## Statistics of an Ideal Decay Counter

Let $K(t, \mathcal{B})$ denote the number of tracer atoms that decay by time $t$, and that were located in the VOI $\mathcal{B} \subset \mathbf{R}^{3}$ at the time of decay.

Fact. $K(t, \mathcal{B})$ is a Poisson counting process with mean

$$
E[K(t, \mathcal{B})]=\int_{0}^{t} \int_{\mathcal{B}} \lambda(\vec{x}, \tau) \mathrm{d} \vec{x} \mathrm{~d} \tau,
$$

where the (nonuniform) emission rate density is given by

$$
\lambda(\vec{x}, t) \triangleq \mu_{N} \frac{e^{-t / \mu_{T}}}{\mu_{T}} \cdot f_{\vec{X}(t)}(\vec{x}) .
$$

Ingredients: "dose," "decay," "distribution"
Units: "counts" per unit time per unit volume, e.g., $\mu \mathrm{Ci} / \mathrm{cc}$.
"Photon emission is a Poisson process"
What about the actual measurement statistics?

## Idealized Detector Units

A nuclear imaging system consists of $n_{d}$ conceptual detector units.
Assumption 5. Each decay of a tracer atom produces a recorded count in at most one detector unit.

Let $S_{k} \in\left\{0,1, \ldots, n_{d}\right\}$ denote the index of the incremented detector unit for decay of $k$ th tracer atom. ( $S_{k}=0$ if decay is undetected.)

Assumption 6. The $S_{k}$ 's satisfy the following conditional independence:

$$
P\left(S_{1}, \ldots, S_{N} \mid N, T_{1}, \ldots, T_{N}, \vec{X}_{1}(\cdot), \ldots, \vec{X}_{N}(\cdot)\right)=\prod_{k=1}^{N} P\left(S_{k} \mid \vec{X}_{k}\left(T_{k}\right)\right) .
$$

The recorded bin for the $k$ th tracer atom's decay depends only on its position when it decays, and is independent of all other tracer atoms.
(No event pileup; no deadtime losses.)

## PET Example



## Sinogram

$i=1$


$$
i=n_{d}
$$

Radial Positions

$$
n_{d} \leq\left(n_{\text {crystals }}-1\right) \cdot n_{\text {crystals }} / 2
$$

## SPECT Example



Sinogram


Radial Positions

$$
n_{d}=n_{\text {radial_bins }} \cdot n_{\text {angular_steps }}
$$

## Detector Unit Sensitivity Patterns

Spatial localization:
$s_{i}(\vec{x}) \triangleq$ probability that decay at $\vec{x}$ is recorded by $i$ th detector unit.
Idealized Example. Shift-invariant PSF: $s_{i}(\vec{x})=h\left(\vec{k}_{i} \cdot \vec{x}-r_{i}\right)$

- $r_{i}$ is the radial position of $i$ th ray
- $\vec{k}_{i}$ is the unit vector orthogonal to $i$ th parallel ray
- $h(\cdot)$ is the shift-invariant radial PSF (e.g., Gaussian bell or rectangular function)


Example: SPECT Detector-Unit Sensitivity Patterns

$x_{1}$
Two representative $s_{i}(\vec{x})$ functions for a collimated Anger camera.

## Example: PET Detector-Unit Sensitivity Patterns



## Detector Unit Sensitivity Patterns

$s_{i}(\vec{x})$ can include the effects of

- geometry / solid angle
- collimation
- scatter
- attenuation
- detector response / scan geometry
- duty cycle (dwell time at each angle)
- detector efficiency
- positron range, noncollinearity
- ...

System sensitivity pattern:

$$
s(\vec{x}) \triangleq \sum_{i=1}^{n_{d}} s_{i}(\vec{x})=1-s_{0}(\vec{x}) \leq 1
$$

(probability that decay at location $\vec{x}$ will be detected at all by system)

## System Sensitivity Pattern $s(\vec{x})$



Example: collimated $180^{\circ}$ SPECT system with uniform attenuation.

Detection Probabilities $s_{i}\left(\vec{x}_{0}\right)$ (vs det. unit index $i$ )


## Summary of Random Phenomena

- Number of tracer atoms injected $N$
- Spatial locations of tracer atoms $\left\{\vec{X}_{k}\right\}_{k=1}^{N}$
- Time of decay of tracer atoms $\left\{T_{k}\right\}_{k=1}^{N}$
- Detection of photon $\left[S_{k} \neq 0\right.$ ]
- Recording detector unit $\left\{S_{k}\right\}_{i=1}^{n_{d}}$


## Emission Scan

Record events in each detector unit for $t_{1} \leq t \leq t_{2}$.
$Y_{i} \triangleq$ number of events recorded by $i$ th detector unit during scan, for $i=1, \ldots, n_{d}$.

$$
Y_{i} \triangleq \sum_{k=1}^{N} 1_{\left\{S_{k}=i, T_{k} \in\left[t_{1}, t_{2}\right]\right\} .} .
$$

The collection $\left\{Y_{i}: i=1, \ldots, n_{d}\right\}$ is our sinogram. Note $0 \leq Y_{i} \leq N$.

Fact. Under Assumptions 1-6 above,

$$
Y_{i} \sim \operatorname{Poisson}\left\{\int s_{i}(\vec{x}) \lambda(\vec{x}) \mathrm{d} \vec{x}\right\} \quad(\text { cf "line integral") }
$$

and $Y_{i}$ 's are statistically independent random variables, where the emission density is given by

$$
\lambda(\vec{x})=\mu_{N} \int_{t_{1}}^{t_{2}} \frac{1}{\mu_{T}} e^{-t / \mu_{T} T} f_{\vec{x}(t)}(\vec{x}) \mathrm{d} t .
$$

(Local number of decays per unit volume during scan.)
Ingredients:

- dose (injected)
- duration of scan
- decay of radionuclide
- distribution of radiotracer


## Poisson Statistical Model (Emission)

Actual measured counts = "foreground" counts + "background" counts.
Sources of background counts:

- cosmic radiation / room background
- random coincidences (PET)
- scatter not account for in $s_{i}(\vec{x})$
- "crosstalk" from transmission sources in simultaneous T/E scans
- anything else not accounted for by $\int s_{i}(\vec{x}) \lambda(\vec{x}) \mathrm{d} \vec{x}$


## Assumption 7.

The background counts also have independent Poisson distributions.
Statistical model (continuous to discrete)

$$
Y_{i} \sim \operatorname{Poisson}\left\{\int s_{i}(\vec{x}) \lambda(\vec{x}) \mathrm{d} \vec{x}+r_{i}\right\}, \quad i=1, \ldots, n_{d}
$$

$r_{i}$ : mean number of "background" counts recorded by $i$ th detector unit.

## Emission Reconstruction Problem

Estimate the emission density $\lambda(\cdot)$ using (something like) this model:

$$
Y_{i} \sim \operatorname{Poisson}\left\{\int s_{i}(\vec{x}) \lambda(\vec{x}) \mathrm{d} \vec{x}+r_{i}\right\}, \quad i=1, \ldots, n_{d} .
$$

Knowns:

- $\left\{Y_{i}=y_{i}\right\}_{i=1}^{n_{d}}$ : observed counts from each detector unit
- $s_{i}(\vec{x})$ sensitivity patterns (determined by system models)
- $r_{i}$ 's : background contributions (determined separately)

Unknown: $\lambda(\vec{x})$

## List-mode acquisitions

Recall that conventional sinogram is temporally binned:

$$
Y_{i} \triangleq \sum_{k=1}^{N} 1_{\left\{S_{k}=i, T_{k} \in\left[t_{1}, t_{2}\right]\right\}} .
$$

This binning discards temporal information.
List-mode measurements: record all (detector,time) pairs in a list, i.e.,

$$
\left\{\left(S_{k}, T_{k}\right): k=1, \ldots, N\right\} .
$$

List-mode dynamic reconstruction problem:
Estimate $\lambda(\vec{x}, t)$ given $\left\{\left(S_{k}, T_{k}\right)\right\}$.

Emission Reconstruction Problem - Illustration


## Example: MRI "Sensitivity Pattern"



Each "k-space sample" corresponds to a sinusoidal pattern weighted by:

- RF receive coil sensitivity pattern
- phase effects of field inhomogeneity
- spin relaxation effects.

$$
y_{i}=\int f(\vec{x}) c_{\mathrm{RF}}(\vec{x}) \exp \left(-\imath \omega(\vec{x}) t_{i}\right) \exp \left(-t_{i} / T_{2}(\vec{x})\right) \exp \left(-\imath 2 \pi \vec{k}\left(t_{i}\right) \cdot \vec{x}\right) \mathrm{d} \vec{x}+\varepsilon_{i}
$$

