Homework #4, EECS 556, W21. Due Thu. Feb. 18, by 9:00AM

\_ Skills and Concepts

- 2D discrete-space (DS) signals and systems
- DSFT
- 2D filter design

## Problems

- [15] The response of a 2D DS system S to the unit step input step<sub>2</sub>[m, n] is known to be s[m, n]. For each of the following cases, determine the class of input images f[m, n] for which we can determine the output g[m, n] in terms of s[m, n]. For each input in the class, express the output in terms of s[m, n].
  (a) [5] S is linear, but not shift invariant (SI)
  - (b) [5] S is SI, but not linear
  - (c) [5] S is LSI
  - Hint: express  $\delta_2[m, n]$  in terms of step<sub>2</sub>[m, n].
- [10] The course notes give a somewhat messy expression for the sum-pooling layer used in some CNN models.
  Find a concise expression using a combination of down-sampling notation and convolution with an appropriate filter. Is your filter separable? Hint. The filter is quite small.
- 3. [15]
  - (a) [10] Find a (2D DS) FT property for **up-sampling** (using insertion of zeros) by a factor of 2, *i.e.*, if  $g[m, n] = f_{\uparrow 2}[m, n]$  then relate  $G(\Omega_1, \Omega_2)$  and  $F(\Omega_1, \Omega_2)$ .
    - (b) [5] Suppose the image with spectrum  $F(\Omega_1, \Omega_2) = \operatorname{rect}\left(\frac{\sqrt{p(\Omega_1)^2 + p(\Omega_2)^2}}{2\pi}\right)$  is up-sampled by a factor of 2, where  $p(\Omega) = (\Omega + \pi) \mod 2\pi \pi$  ensures that  $F(\Omega_1, \Omega_2)$  is appropriately periodic.
      - Carefully sketch the resulting spectrum after up-sampling.
    - (c) [0] Do you see evidence of aliasing effects? If so, how would you remove them?
- 4. [10] In continuous space, if  $g(x, y) = f(x, y) * h(x, y) \xleftarrow{\mathcal{F}_2} G(\nu_x, \nu_y) = F(\nu_x, \nu_y) H(\nu_x, \nu_y)$ , then we have the following "combined scaling/convolution property" of the 2D FT:

$$f(2x,2y) \ast h(2x,2y) \xleftarrow{\mathcal{F}_2} \frac{1}{4} F\left(\frac{\nu_{\rm X}}{2},\frac{\nu_{\rm Y}}{2}\right) \frac{1}{4} H\left(\frac{\nu_{\rm X}}{2},\frac{\nu_{\rm Y}}{2}\right) = \frac{1}{16} G\left(\frac{\nu_{\rm X}}{2},\frac{\nu_{\rm Y}}{2}\right).$$

What about in discrete space? If  $g[m,n] = f[m,n] *h[m,n] \stackrel{\text{DSFT}}{\longleftrightarrow} G(\Omega_1,\Omega_2) = F(\Omega_1,\Omega_2) H(\Omega_1,\Omega_2)$ , then is there a simple relationship between  $G(\Omega_1,\Omega_2)$  and the spectrum of  $y[m,n] \triangleq f_{\downarrow 2}[m,n] *h_{\downarrow 2}[m,n] = f[2m,2n] *h[2m,2n]$ ? If so, find it. If not, at least find an expression for the spectrum of y[m,n] in terms of  $F(\Omega_1,\Omega_2)$  and  $H(\Omega_1,\Omega_2)$ . Hint: first derive a **down-sampling** relation for the 2D DSFT, for which the expression  $[1 + (-1)^n]/2$  will be useful. Hint: arguments like  $\frac{\Omega_1}{2} - \pi$  will appear as part of your answer.

5. [10] Using the Hankel transform pair

$$\frac{\sin(2\pi r)}{r} \stackrel{\mathcal{F}_2}{\longleftrightarrow} \frac{\operatorname{rect}\left(\frac{\rho}{2}\right)}{\sqrt{1-\rho^2}},$$

determine analytically the **impulse response** h[m, n] of the digital filter having **frequency response** 

$$H(\Omega_1, \Omega_2) = \begin{cases} \frac{1}{\sqrt{1 - 4\tilde{\Omega}_1^2 - 4\tilde{\Omega}_2^2}}, & \tilde{\Omega}_1^2 + \tilde{\Omega}_2^2 \le 1/4\\ 0, & \text{otherwise,} \end{cases}$$

where  $\tilde{\Omega} = (\Omega + \pi) \mod 2\pi - \pi$ . Optional questions:

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- Is h[m, n] weak-sense circularly symmetric?
- Is h[m, n] strong-sense circularly symmetric?
- Is  $H(\Omega_1, \Omega_2)$  circularly symmetric?

1

- 6. [10] Because there are many good 1D FIR filter design methods, this problem explores how one might build 2D FIR filters from 1D designs.
  - (a) [10] Given a 1D symmetric filter h[n] with frequency response  $H(\Omega)$ , one way to specify a 2D frequency response

is by  $H(\Omega_1, \Omega_2) = H(\Omega) \Big|_{\cos \Omega = \frac{1}{2}(-1 + \cos \Omega_1 + \cos \Omega_2 + \cos \Omega_1 \cos \Omega_2)}$ . For the specific case  $h[n] = 2 \,\delta[n] + \delta[n-1] + \delta[n+1]$ , determine the resulting 2D impulse response h[m, n].

- (b) [0] Is the resulting filter separable?
- (c) [0] An alternative approach would be to use:  $H(\Omega_1, \Omega_2) = H\left(\sqrt{\Omega_1^2 + \Omega_2^2}\right)$  with appropriate modulo  $2\pi$  consideration.

What would be the primary advantage and primary disadvantage of this alternative?

- 7. [80] The purpose of this problem is to explore the design of an (approximately) rotationally invariant filter, *i.e.*, a filter whose frequency response is approximately circularly symmetric.
  - (a) [10] Consider the 1D filter with impulse response  $h[n] = [-1/4 \ 0 \ 1/2 \ 0 \ -1/4]$ . Determine and plot the frequency response of this 1D filter, and describe qualitatively what kind of filter it is.
  - (b) [10] Specify the (circularly-symmetric) frequency response  $H(\Omega_1, \Omega_2)$  of a 2D filter with equivalent behavior.
  - (c) [10] Display that frequency response using imagesc or jim. (As usual, make sure DC is at the center, and remember to include a colorbar.)
  - (d) [10] Find the impulse response h[m, n] of that filter. (You may try to do this analytically, or just do it numerically following the example in the notes.) Clearly record the central  $5 \times 5$  part of h[m, n] for grading.
  - (e) [10] Extract the central  $5 \times 5$  portion of h[m, n] (*i.e.*, truncate the impulse response) and compute the frequency response  $H_t(\Omega_1, \Omega_2)$  of the truncated filter. Display this frequency response using imagesc or jim.
  - (f) [10] Use the contour command (with an appropriate optional argument to show 8 contour lines at levels from 0.1 to 1) to overlay (use hold or contour!) the contours of the truncated frequency response  $H_t(\Omega_1, \Omega_2)$  with those of the ideal response  $H(\Omega_1, \Omega_2)$ .
  - (g) [20] Overlay plots of the central horizontal profiles  $H(\Omega, 0)$  and  $H_t(\Omega, 0)$  vs  $\Omega$ .
  - (h) [0] Do the contours and profiles agree? Explain why or why not. What do you conclude about FIR design of circularly symmetric filters? Experiment with other amounts of truncation, or with non-rectangular truncation.

## **Optional problems**

- 8. [0] This problem is an elementary preview of the principles underlying transform coding with truncation. A typical digital image x[m, n] has a spectrum that decays with increasing spatial frequency.
  - As a concrete model, suppose that  $|X(\Omega_1, \Omega_2)| = \begin{cases} A e^{-\alpha \sqrt{\Omega_1^2 + \Omega_2^2}}, & \Omega_1^2 + \Omega_2^2 \le \pi^2 \\ 0, & \text{otherwise.} \end{cases}$ Suppose that we truncate the tails of this spectrum by as follows:  $Y(\Omega_1, \Omega_2) = \begin{cases} X(\Omega_1, \Omega_2), & \sqrt{\Omega_1^2 + \Omega_2^2} \le \pi/10 \\ 0, & \text{otherwise,} \end{cases}$

and then reconstruct the signal y[m, n] by an inverse 2D DSFT.

- (a) [0] For  $\alpha = 5$ , evaluate the normalized root mean-squared error (NRMSE)  $\sqrt{\frac{\sum_{m=-\infty}^{\infty} \sum_{n=-\infty}^{\infty} |y[m,n] x[m,n]|^2}{\sum_{m=-\infty}^{\infty} \sum_{n=-\infty}^{\infty} |x[m,n]|^2}}$ .
- (b) [0] This procedure retains only the fraction  $\pi(\pi/10)^2/(4\pi^2)$ , or about 1%, of the frequency components. So it can be thought of as 100-fold data compression. Discuss the distortion caused by this drastic data reduction.

9. [0]

10. [0]

- (a) [0] If  $h_l[n]$  is the impulse response of a 1D FIR lowpass filter, then a simple way to design a 1D FIR highpass filter h[n] is by letting  $h[n] = (-1)^n h_l[n]$ . Show that h[n] is a highpass filter.
- (b) [0] Suppose  $h_l[m, n]$  is the impulse response of a good 2D FIR lowpass filter. A natural extension of the above method is to try designing a 2D FIR highpass filter by letting  $h[m, n] = (-1)^m (-1)^n h_l[m, n]$ . Is this a good method of designing a 2D highpass filter?
- (a) [0] Find the frequency response  $H(\Omega_1, \Omega_2)$  and impulse response h[m, n] of a FIR highpass filter whose frequency response satisfies the following:

$$H(\Omega_1, \Omega_2) = \begin{cases} 0, & \Omega_1 = \Omega_2 = 0\\ 1, & (\Omega_1, \Omega_2) \in \{(-\pi, \pm \pi), (0, \pm \pi), (\pi, \pm \pi), (\pm \pi, 0)\}\\ ?, & \text{otherwise.} \end{cases}$$

Try to choose the "?" part of  $H(\Omega_1, \Omega_2)$  so that h[m, n] is as simple as possible. Hint: a  $3 \times 3$  filter suffices.

- (b) [0] Suppose that processing an input image x[m, n] with the above filter h[m, n] yields the output image y[m, n]. Determine  $\sum_{m=-\infty}^{\infty} \sum_{n=-\infty}^{\infty} y[m,n]$ .
- (c) [0] Suppose x[m, n] is real and nonnegative; from your preceding answer, describe how y[m, n] will appear on a display where all negative values of y[m, n] appear as black (like zero).