

Lattice-Based Cryptography

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QCrypt 2016

Agenda

- ① Foundations: lattice problems, SIS/LWE and their applications
- ② Ring-Based Crypto: NTRU, Ring-SIS/LWE and ideal lattices
- ③ Practical Implementations: BLISS, NewHope, Frodo, HELib, $\Lambda\circ\lambda$, ...
- ④ Along the Way: open questions, research directions

Foundations

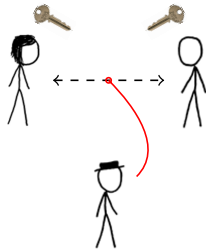
Lattice-Based Cryptography

$$y = g^x \pmod{p}$$

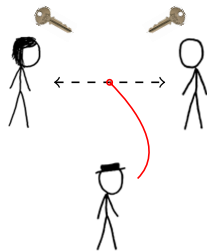
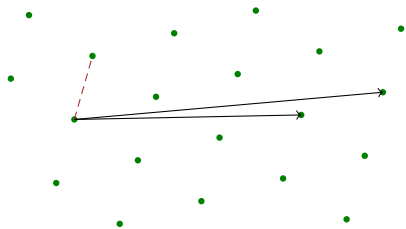
$$m^e \pmod{N}$$

$$e(g^a, g^b)$$

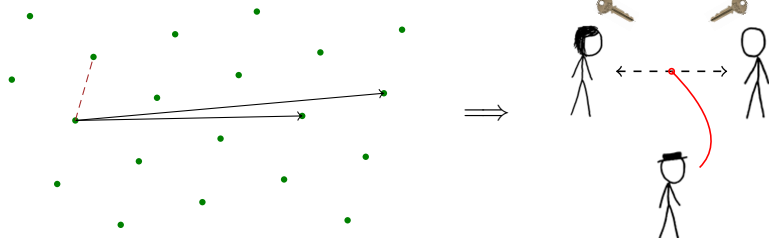
$$N = p \cdot q$$



Lattice-Based Cryptography



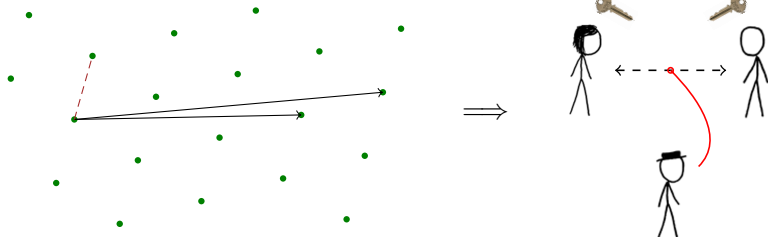
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- ▶ **Efficient:** linear, embarrassingly parallel operations

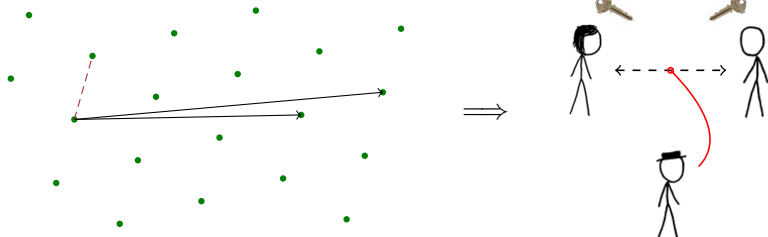
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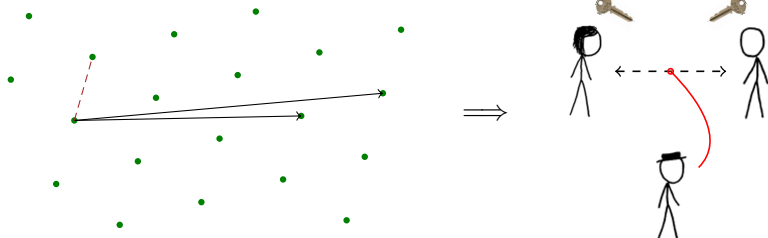
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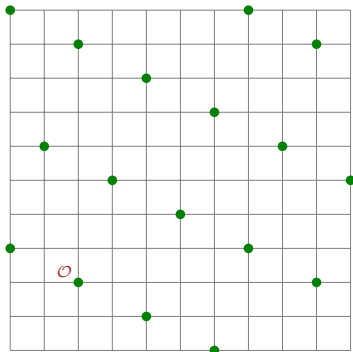


Why?

- ▶ **Efficient**: linear, embarrassingly parallel operations
- ▶ Resists **quantum** attacks (so far)
- ▶ Security from mild **worst-case** assumptions
- ▶ Solutions to '**holy grail**' problems in crypto: FHE and related

What's a Lattice?

- ▶ A **periodic 'grid'** in \mathbb{Z}^m . (Formally: full-rank additive subgroup.)

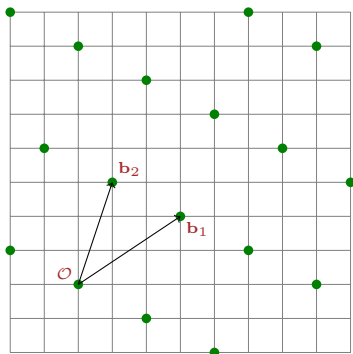


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$$\mathcal{L} = \sum_{i=1}^m (\mathbb{Z} \cdot \mathbf{b}_i)$$

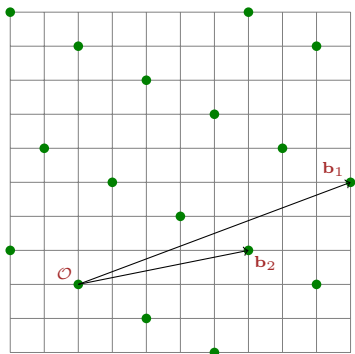


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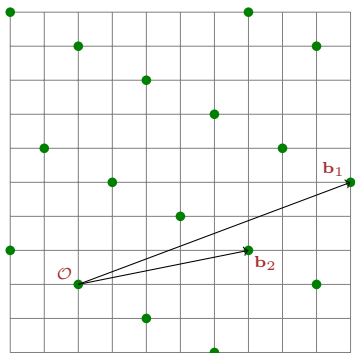
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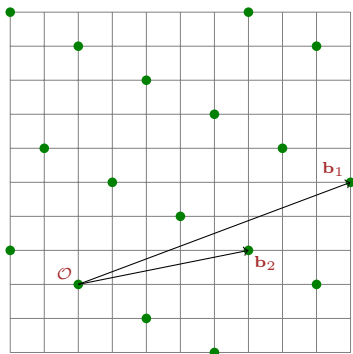
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Hard Lattice Problems

- ▶ Find/detect 'short' nonzero lattice vectors: (Gap)SVP $_{\gamma}$, SIVP $_{\gamma}$
- ▶ For $\gamma = \text{poly}(m)$, solving appears to require $2^{\Omega(m)}$ time (and space).

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- ▶ Goal: **find** nontrivial $z_1, \dots, z_m \in \{0, \pm 1\}$ such that:

$$z_1 \cdot \begin{pmatrix} | \\ \mathbf{a}_1 \\ | \end{pmatrix} + z_2 \cdot \begin{pmatrix} | \\ \mathbf{a}_2 \\ | \end{pmatrix} + \dots + z_m \cdot \begin{pmatrix} | \\ \mathbf{a}_m \\ | \end{pmatrix} = \begin{pmatrix} | \\ \mathbf{0} \\ | \end{pmatrix} \in \mathbb{Z}_q^n$$

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Collision-Resistant Hash Function

- ▶ Set $m > n \log_2 q$. Define 'shrinking' $f_{\mathbf{A}}: \{0, 1\}^m \rightarrow \mathbb{Z}_q^n$

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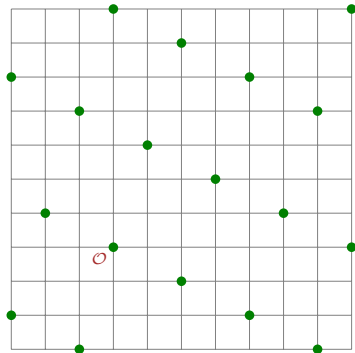
\dots yields **solution** $\mathbf{z} = \mathbf{x} - \mathbf{x}' \in \{0, \pm 1\}^m$.

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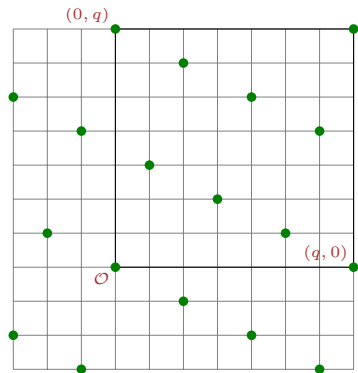


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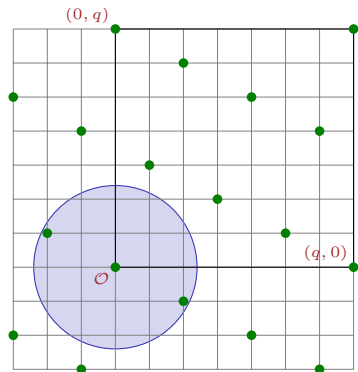


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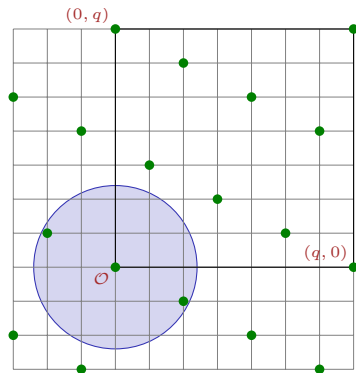


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Worst-Case to Average-Case Reduction [Ajtai'96,...]

Finding 'short' ($\|\mathbf{z}\| \leq \beta \ll q$) nonzero $\mathbf{z} \in \mathcal{L}^\perp(\mathbf{A})$
(for uniformly random $\mathbf{A} \in \mathbb{Z}_q^{n \times m}$)



solving $\text{GapSVP}_{\beta\sqrt{n}}$, $\text{SIVP}_{\beta\sqrt{n}}$ on **any** n -dim lattice

Application: Digital Signatures [GentryPeikertVaikuntanathan'08]

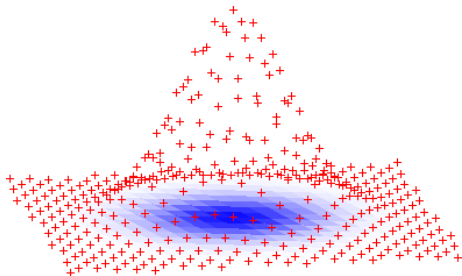
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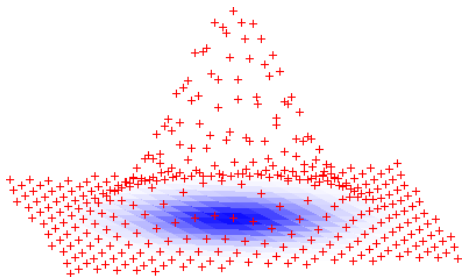
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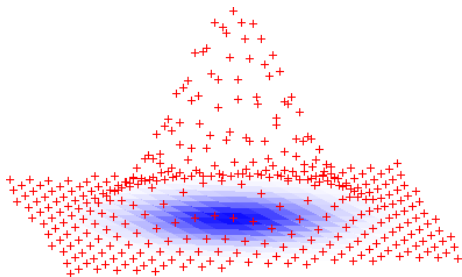
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- ▶ $\text{Verify}(\mathbf{A}, \mu, \mathbf{z})$: check that $\mathbf{Az} = H(\mu)$ and \mathbf{z} is sufficiently short.
- ▶ Security: forging a signature for a new message μ^* requires finding short \mathbf{z}^* s.t. $\mathbf{Az}^* = H(\mu^*)$. This is SIS: hard!

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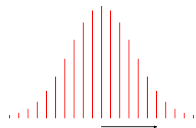
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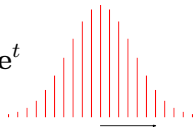
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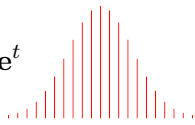
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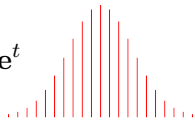
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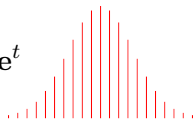
(n/α) -approx *worst case*
lattice problems \leq search-LWE \leq decision-LWE \leq crypto

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(quantum [R'05]) [BFKL'93,R'05,...]

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- ▶ Also fully *classical* reductions, for worse params [Peikert'09,BLPRS'13]

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- !!! Fully Homomorphic Encryption
 - !!! Attribute-Based Encryption for arbitrary policies
- and much, much more...

Key Exchange from LWE [Regev'05,LP'11]



$$\mathbf{r} \leftarrow \mathbb{Z}^n \text{ (error)}$$

$$\mathbf{A} \leftarrow \mathbb{Z}_q^{n \times n}$$

$$\mathbf{s} \leftarrow \mathbb{Z}^n \text{ (error)}$$



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$$\mathbf{u}^t \approx \mathbf{r}^t \cdot \mathbf{A} \in \mathbb{Z}_q^n$$

—————→

Key Exchange from LWE [Regev'05,LP'11]



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A cloud-shaped box containing the equation $\mathbf{A} \leftarrow \mathbb{Z}_q^{n \times n}$. The cloud has a white fill and a grey drop shadow.

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←

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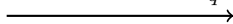
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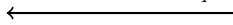
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$$\mathbf{r}^t \cdot \mathbf{v} \approx \mathbf{r}^t \mathbf{A} \mathbf{s}$$

$$k \approx \mathbf{u}^t \cdot \mathbf{s} \approx \mathbf{r}^t \mathbf{A} \mathbf{s}$$



$$(\mathbf{A}, \mathbf{u}, \mathbf{v}, k)$$

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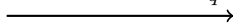
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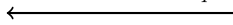
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$(\mathbf{A}, \mathbf{u}, \mathbf{v}, k)$
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Key Exchange from LWE [Regev'05,LP'11]



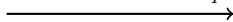
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Efficiency from Rings

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- ▶ Inherently $\geq n^2$ time to encrypt & decrypt an n -bit message.

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- ▶ Same ring structures used in NTRU cryptosystem [HPS'98],
compact one-way / CR hash functions [Mic'02, PR'06, LM'06, ...]

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- ▶ **Decision:** distinguish (a_i, b_i) from uniform $(a_i, b_i) \in R_q \times R_q$
(with noticeable advantage)

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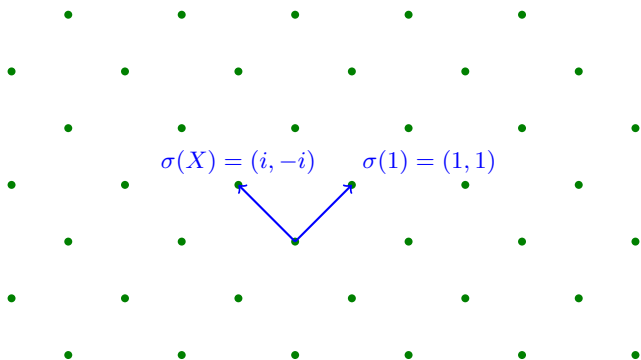
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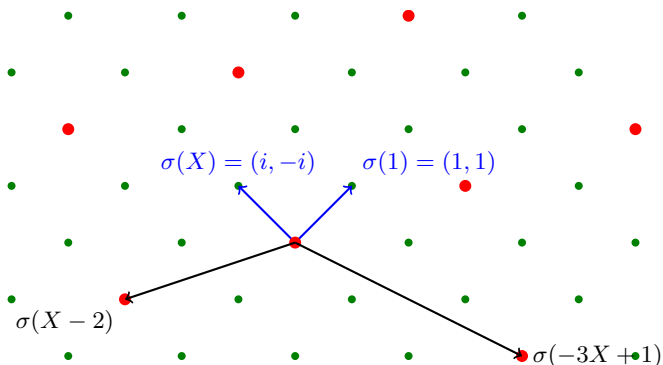
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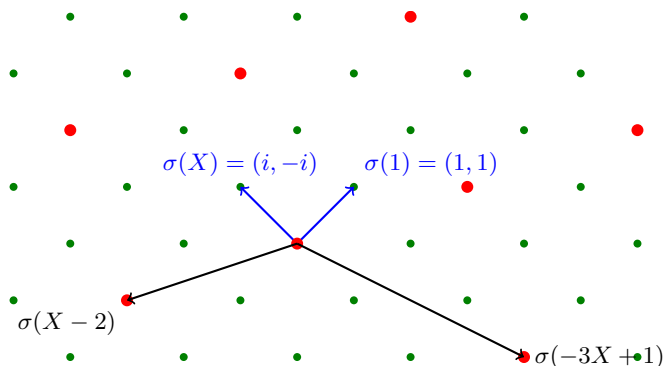
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(Approximate) Shortest Vector Problem

- ▶ Given (an arbitrary basis of) an **arbitrary** ideal $\mathcal{I} \subseteq R$, find a **nearly shortest** nonzero $a \in \mathcal{I}$.

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- ★ There is a $2^{\Omega(\sqrt{n}/\log n)}$ barrier for the main technique. Can it be circumvented?

Implementations

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About 10x slower than NewHope, but only $\approx 2x$ slower than ECDH.

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Digital Signatures

- ▶ Most implementations follow design from [Lyubashevsky'09/'12,...].
- ▶ BLISS [DDLL'13]: optimized implementation in this framework.
- ▶ Compelling efficiency:

System	Sig (Kb)	PK (Kb)	KSign/sec	KVer/sec
RSA-4096	4.0	4.0	0.1	7.5
ECDSA-256	0.5	0.25	9.5	2.5
BLISS	5.6	7.0	8.0	33

(Conjectured ≥ 128 bits of security, openssl implementations.)

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Focuses on modularity, safety, and consistency with best theory.

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Thanks!