# Session \#10: <br> (More) Trapdoors and Applications 

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Winter School on Lattice-Based Cryptography and Applications Bar-Ilan University, Israel
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## Lattice-Based One-Way Functions

- Public key $[\cdots \mathbf{A} \cdots] \in \mathbb{Z}_{q}^{n \times m}$ for $q=\operatorname{poly}(n), m=\Omega(n \log q)$.


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- $f_{\mathrm{A}}, g_{\mathrm{A}}$ in forward direction yield CRHFs, CPA security (w/FHE!) ... but not much else.


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- How? Use a "strong trapdoor" for $\mathbf{A}$ : a short basis of $\Lambda^{\perp}(\mathbf{A})$ [Babai'86,GGH'97,Klein'01,GPV'08,P'10]


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## Applications of Strong Trapdoors

Canonical App: [GPV'08] Signatures

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## Other "Black-Box" Applications of $f^{-1}, g^{-1}$

- Standard Model (no RO) signatures [CHKP'10,R'10,B'10]
- SM CCA-secure encryption [PW'08,P'09]
- SM (Hierarchical) IBE [GPV'08,CHKP'10,ABB'10a,ABB'10b]
- Many more: OT, NISZK, homom enc/sigs, deniable enc, func enc, ... [PVW'08,PV'08,GHV'10,GKV'10,BF'10a,BF'10b,OPW'11,AFV'11,ABVVW'11,...]


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\text { tight, iterative, fp } \mid \text { looser, parallel, offline }
$$

| $g_{\mathbf{A}}^{-1}$ | $[$ Babai'86] | $[$ Babai'86] |
| :--- | :---: | :---: |
| $f_{\mathbf{A}}^{-1}$ | $[$ Klein'01,GPV'08] | $\left[\mathrm{P}^{\prime} 10\right]$ |

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$\checkmark$ Better dimension $m$ \& quality $s$
$\Longrightarrow$ "win-win-win" in security-keysize-runtime

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$\checkmark$ More efficient applications: CCA, (H)IBE in standard model


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(The transformation is the trapdoor!)
(3) Reduce $f_{\mathrm{A}}^{-1}, g_{\mathrm{A}}^{-1}$ to $f_{\mathrm{G}}^{-1}, g_{\mathrm{G}}^{-1}$ plus pre-/post-processing.

## Step 1: Gadget G and Inversion Algorithms

- Let $q=2^{k}$. Define 1-by- $k$ "parity check" vector

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$\star$ OR presample many $\mathbf{x} \leftarrow \mathbb{Z}^{k}$ and store in $q$ 'buckets' $f_{\mathrm{g}}(\mathbf{x})$ for later.


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- Define $\mathrm{G}=\mathbf{I}_{n} \otimes \mathrm{~g}=\left[\begin{array}{cccc}\cdots \mathrm{g} \cdots & & & \\ & \cdots \mathrm{g} \cdots & & \\ & & \ddots & \\ & & & \cdots \mathrm{g} \cdots\end{array}\right] \in \mathbb{Z}_{q}^{n \times n k}$.


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- Define $\mathbf{G}=\mathbf{I}_{n} \otimes \mathrm{~g}=\left[\begin{array}{ccccc}\cdots \mathrm{g} \cdots & & & \\ & \cdots \mathrm{g} \cdots & & \\ & & \ddots & \\ & & & \cdots \mathrm{g} \cdots\end{array}\right] \in \mathbb{Z}_{q}^{n \times n k}$.

Now $f_{\mathrm{G}}^{-1}, g_{\mathrm{G}}^{-1}$ reduce to $n$ parallel (and offline) calls to $f_{\mathrm{g}}^{-1}, g_{\mathrm{g}}^{-1}$.

## Step 1: Gadget G and Inversion Algorithms

- Another view: for $\mathrm{g}=\left[\begin{array}{llll}1 & 2 & \cdots & 2^{k-1}\end{array}\right]$ the lattice $\Lambda^{\perp}(\mathrm{g})$ has basis

$$
\mathbf{S}=\left[\begin{array}{ccccc}
2 & & & & \\
-1 & 2 & & & \\
& -1 & \ddots & & \\
& & & 2 & \\
& -1 & 2
\end{array}\right] \in \mathbb{Z}^{k \times k}, \quad \text { with } \tilde{\mathbf{S}}=2 \cdot \mathbf{I}_{k} .
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Now $f_{\mathrm{G}}^{-1}, g_{\mathrm{G}}^{-1}$ reduce to $n$ parallel (and offline) calls to $f_{\mathrm{g}}^{-1}, g_{\mathrm{g}}^{-1}$.
Also applies to $\mathbf{H} \cdot \mathbf{G}$ for any invertible $\mathbf{H} \in \mathbb{Z}_{q}^{n \times n}$.

## Step 2: Randomize $\mathbf{G} \leftrightarrow \mathbf{A}$

(1) Define semi-random $[\overline{\mathbf{A}} \mid \mathbf{G}]$ for uniform $\overline{\mathbf{A}} \in \mathbb{Z}_{q}^{n \times \bar{m}}$. (Note: $f_{[\overline{\mathbf{A}} \mid \mathrm{G}]}^{-1}, g_{[\overline{\mathbf{A}} \mid \mathrm{G}]}^{-1}$ easily reduce to $f_{\mathrm{G}}^{-1}, g_{\mathrm{G}}^{-1}$ [CHKP'10].)

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$\star\left[\mathbf{I}|\overline{\mathbf{A}}|-\left(\overline{\mathbf{A}} \mathbf{R}_{1}+\mathbf{R}_{2}\right)\right]$ is pseudorandom (under LWE) for $\bar{m}=n$.

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## Relating New and Old Trapdoors

Given a basis $\mathbf{S}$ for $\Lambda^{\perp}(\mathbf{G})$ and a trapdoor $\mathbf{R}$ for $\mathbf{A}$, we can efficiently construct a basis $\mathbf{S}_{\mathbf{A}}$ for $\Lambda^{\perp}(\mathbf{A})$

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(But we'll never need to.)

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## Inverting LWE Function

Given $\mathbf{b}^{t}=\mathbf{s}^{t} \mathbf{A}+\mathbf{e}^{t}$, recover $\mathbf{s}$ from

$$
\mathbf{b}^{t}\left[\begin{array}{c}
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Works if each entry of $\mathbf{e}^{t}\left[\begin{array}{l}\mathbf{R} \\ \mathbf{I}\end{array}\right]$ in $\left[-\frac{q}{4}, \frac{q}{4}\right) \Leftarrow\|\mathbf{e}\|<q /\left(4 s_{1}\left(\left[\begin{array}{c}\mathbf{R} \\ \mathbf{I}\end{array}\right]\right)\right)$.

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## Sampling Gaussian Preimages

Given $\mathbf{u}$, sample $\mathbf{z} \leftarrow f_{\mathrm{G}}^{-1}(\mathbf{u})$ and output $\mathbf{x}=\left[\begin{array}{c}\mathbf{R} \\ \mathbf{I}\end{array}\right] \mathbf{z} \in f_{\mathbf{A}}^{-1}(\mathbf{u})$ ?

- We have $\mathbf{A x}=\mathbf{G z}=\mathbf{u}$ as desired.

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- Solution: use offline 'perturbation' [P'10] to get spherical Gaussian w/ std dev $\approx s_{1}(\mathbf{R})$ : output $\mathbf{x}=\mathbf{p}+\left[\begin{array}{c}\mathbf{R} \\ \mathbf{I}\end{array}\right] \mathbf{z}$.


## Application: Efficient IBE a la [ABB'10]

- Setup: choose $\mathbf{A}=[\overline{\mathbf{A}} \mid-\overline{\mathbf{A}} \mathbf{R}]$. Let $m p k=(\mathbf{A}, \mathbf{u}), m s k=\mathbf{R}$. ( $\mathbf{A}$ has trapdoor $\mathbf{R}$ with tag $\mathbf{0}$.)


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- Security ("puncturing"): Given target $i d^{*}$ (selective security), set up

$$
\mathbf{A}=\left[\overline{\mathbf{A}} \mid-\mathbf{H}_{i d^{*}} \cdot \mathbf{G}-\overline{\mathbf{A}} \mathbf{R}\right] \Longrightarrow \mathbf{A}_{i d}=\left[\overline{\mathbf{A}} \mid\left(\mathbf{H}_{i d}-\mathbf{H}_{i d^{*}}\right) \mathbf{G}-\overline{\mathbf{A}} \mathbf{R}\right] .
$$

$\star \mathbf{H}_{i d}-\mathbf{H}_{i d^{*}}$ is invertible for all $i d \neq i d^{*}$, so can extract $s k_{i d}$ using $\mathbf{R}$.
$\star \mathbf{A}_{i d^{*}}=[\overline{\mathbf{A}} \mid-\overline{\mathbf{A}} \mathbf{R}]$, so can embed an LWE challenge at $i d^{*}$.

## Trapdoor Delegation [CHKP'10]

- Suppose $\mathbf{R}$ is a trapdoor for $\mathbf{A}$, i.e. $\mathbf{A}\left[\begin{array}{l}\mathbf{R} \\ \mathbf{I}\end{array}\right]=\mathbf{H} \cdot \mathbf{G}$.


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- One-way: $\mathbf{R}^{\prime}$ reveals nothing about $\mathbf{R}$.

Useful for HIBE \& IB-TDFs [CHKP'10,ABB'10,BKPW'12].

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\mathbf{I}
\end{array}\right]=\mathbf{H}^{\prime} \cdot \mathbf{G} \Longleftrightarrow \mathbf{A} \mathbf{R}^{\prime}=\mathbf{H}^{\prime} \cdot \mathbf{G}-\mathbf{A}^{\prime}
$$

- One-way: $\mathbf{R}^{\prime}$ reveals nothing about $\mathbf{R}$.

Useful for HIBE \& IB-TDFs [CHKP'10,ABB'10,BKPW'12].

- Note: $\mathbf{R}^{\prime}$ is only width $(\mathbf{A}) \times \operatorname{width}(\mathbf{G})=m \times n \log q$.

So size of $\mathbf{R}^{\prime}$ grows only as $O(m)$, not $\Omega\left(m^{2}\right)$ like a basis does
Also computationally efficient: $n \log q$ samples, no HNF or ToBasis.

## Hierarchical IBE [СнкР' $10, \mathrm{ABB}^{\prime} 10$ ]

$-\underline{\text { Setup }}(d)$ : choose $\mathbf{A}_{0}, \ldots, \mathbf{A}_{d}$ where $\mathbf{A}_{\varepsilon}=\left[\mathbf{A}_{0} \mid \mathbf{A}_{1}\right]$ has trapdoor $\mathbf{R}_{\varepsilon}$ for tag $\mathbf{0}$. Let $m s k=s k_{\varepsilon}=\mathbf{R}_{\varepsilon}$ and $m p k=\left\{\mathbf{A}_{i}\right\}$.

## Hierarchical IBE [СНКР'10,ABB'10]

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- Extract $(i d):$ map $i d=\left(i d_{1}, \ldots, i d_{t}\right) \mapsto\left(\mathbf{H}_{i d_{1}}, \ldots \mathbf{H}_{i d_{t}}\right)$ (invertible). Let

$$
\mathbf{A}_{i d}=\left[\mathbf{A}_{0}\left|\mathbf{A}_{1}+\mathbf{H}_{i d_{1}} \mathbf{G}\right| \cdots\left|\mathbf{A}_{t}+\mathbf{H}_{i d_{t}} \mathbf{G}\right| \mathbf{A}_{t+1}\right] .
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$$

Delegate $s k_{i d}=$ trapdoor $\mathbf{R}_{i d}$ for $\mathbf{A}_{i d}$ with tag $\mathbf{0}$.
Using $s k_{i d}$, can delegate any $s k_{i d^{\prime}}$ for any nontrivial extension $i d^{\prime}$.

## Hierarchical IBE [СНКР'10,ABB'10]

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- Encrypt to $\mathbf{A}_{i d}$, decrypt using $\mathbf{R}_{i d}$ as in [GPV'08].


## Hierarchical IBE [CHKP'10,ABB'10]

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- Encrypt to $\mathbf{A}_{i d}$, decrypt using $\mathbf{R}_{i d}$ as in [GPV'08].
- Security ("puncturing"): Set up $m p k$, trapdoor $\mathbf{R}$ with tags $=-i d^{*}$.


## Conclusions

- A simple trapdoor that's easy to generate, use, and understand: Applications made easy, end-to-end!
- Key sizes and algorithms for "strong" trapdoors are now realistic

Selected bibliography for this talk:
CHKP'10 D. Cash, D. Hofheinz, E. Kiltz, C. Peikert, "Bonsai Trees, or How to Delegate a Lattice Basis," Eurocrypt'10 / J. Crypt'11.
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