TRANSFER (ALSO KNOWN AS SYSTEM) FUNCTIONS **DEF:** H(z) = N(z)/D(z) = Y(z)/X(z) = B(z)/A(z) as defined below. **Poles:** Roots of D(z) = 0. **ARMA:** $\sum a[i]y[n-i] = \sum b[j]x[n-j]$. **Zeros:** Roots of N(z) = 0. Impulse response: $\delta[n] \to \overline{|h[n]|} \to h[n]$. Transfer functions are associated with zero initial conditions. **EX** #1: $h[n] = 2^n u[n] + 4^n u[n] \to H(z) = \frac{z}{z-2} + \frac{z}{z-4} = \frac{2z^2 - 6z}{z^2 - 6z + 8}$. ZEROS: {0,3} POLES: {2,4}. **EX #2:** $y[n] - 3y[n-1] + 2y[n-2] = x[n] - 4x[n-1] \rightarrow H(z) = \frac{1-4z^{-1}}{1-3z^{-1}+2z^{-2}}.$ where: $A(z) = \mathcal{Z}\{1, -3, 2\} = 1 - 3z^{-1} + 2z^{-2}$ and $B(z) = \mathcal{Z}\{1, -4\} = 1 - 4z^{-1}$. $z \text{ not } z^{-1}$: $H(z) = \frac{1-4z^{-1}}{1-3z^{-1}+2z^{-2}} \frac{z^2}{z^2} = \frac{z^2-4z}{z^2-3z+2}$. Use **this** to compute poles+zeros. **Zeros:** Solve $N(z) = z^2 - 4z = 0 \rightarrow z = \{0, 4\}$. Common mistake: cite only 4. **Poles:** Solve $D(z) = z^2 - 3z + 2 = 0 \rightarrow z = \{1, 2\}$. Use Matlab's **roots**. Note: H(z) = 0 for z=any zero while $H(z) \to \infty$ for z=any pole. There are 5 ways to describe an LTI system: (1) h[n]; (2) H(z); (3) Difference equation (4) Any input-output pair (5) Poles+zeros. Using transfer functions, can go from any of these to any other: $\underset{\mathbf{OUTPUT}}{\mathbf{INPUT}}^{\mathbf{INPUT}-}: \text{ Input } x[n] \to \overline{|h[n]|} \to \text{output } y[n] \underset{Y(z)/X(z)}{\longleftrightarrow} \mathbf{H(z)} \underset{\mathcal{Z}\{h[n]\}}{\longleftrightarrow} h[n] = \underset{\text{RESPONSE}}{\overset{\mathrm{IMPULSE}}{\underset{}}}$ **ARMA:** $\sum a[i]y[n-i] = \sum b[j]x[n-j] \qquad \underset{B(z)/A(z)}{\longleftrightarrow} \mathbf{H(z)} \underset{C \prod \frac{z-z_i}{z-n}}{\bigoplus} \operatorname{POLE}_{\mathbf{ZERO}} \operatorname{plot.}$ **EX #3:** $x[n] = (-2)^n u[n] \to \overline{[h[n]]} \to y[n] = \frac{2}{3}(-2)^n u[n] + \frac{1}{3}u[n]$. Find h[n]. **Soln:** $X(z) = \frac{z}{z+2} \cdot Y(z) = \frac{2z/3}{z+2} + \frac{z/3}{z-1} = \frac{z^2}{(z+2)(z-1)} \cdot H(z) = \frac{z}{z-1} \cdot h[n] = u[n].$ First term of y[n]=forced response. Second term=natural response. Difference eqn.: $\frac{Y(z)}{X(z)} = H(z) = \frac{z}{z-1} = \frac{1}{1-z^{-1}} \to y[n] - y[n-1] = x[n].$ **EX #4:** Find difference equation implementing $H(z) = \frac{z^3 + 7z^2}{z^3 - z^2 + 2z - 3}$. **Soln:** Write $H(z) = \frac{Y(z)}{X(z)} = \frac{1+7z^{-1}}{1-z^{-1}+2z^{-2}-3z^{-3}}$ and **cross-multiply**: $Y(z)(1 - z^{-1} + 2z^{-2} - 3z^{-3}) = X(z)(1 + 7z^{-1}) \rightarrow \text{difference equation:}$ \mathcal{Z}^{-1} : y[n] - y[n-1] + 2y[n-2] - 3y[n-3] = x[n] + 7x[n-1].**EX #5:** Find step response of system with zero at 1, pole at 3, and H(0) = 1. **Soln:** $H(z) = 3\frac{z-1}{z-3}$. $U(z) = \frac{z}{z-1} \to Y(z) = 3\frac{z}{z-3} \to y[n] = 3 \cdot 3^n u[n]$. $\frac{Y(z)}{X(z)} = H(z) = 3\frac{z-1}{z-3} = 3\frac{1-z^{-1}}{1-3z^{-1}} \to y[n] - 3y[n-1] = 3x[n] - 3x[n-1].$

POLES & ZEROS AND FREQUENCY RESPONSE

Given: Locations of zeros $\{z_1 \dots z_M\}$ and poles $\{p_1 \dots p_N\}$ of a filter. **Goal:** Shape of gain function= $|H(\omega)|$ =magnitude of frequency response.

H(z): $H(z) = \frac{(z-z_1)(z-z_2)\dots(z-z_M)}{(z-p_1)(z-p_2)\dots(z-p_N)}$ (ignore any constant in front). **H(w):** $|H(\omega)| = [|e^{j\omega} - z_1| \dots |e^{j\omega} - z_M|]/[|e^{j\omega} - p_1| \dots |e^{j\omega} - p_N|].$ What does each of these terms contribute to gain $|H(\omega)|$?

Zeros: Let n^{th} zero $z_n = e^{j\omega_n}$. Then $|e^{j\omega} - z_n| = 0$ at $\omega = \omega_n$.

- Gain $|H(\omega_o)| = 0$ if there is a zero at $e^{j\omega_o}$ (on the unit circle |z| = 1).
- Gain $|H(\omega_o)| \approx 0$ if there's a zero at $Ae^{j\omega_o}$, $A \approx 1$ (near the unit circle).

Poles: Let n^{th} pole $p_n = Ae^{j\omega_n}, A \approx 1$. Then $\frac{1}{|e^{j\omega} - p_n|} = \frac{1}{|A-1|}$ at $\omega = \omega_n$.

- Gain $|H(\omega_o)|$ is large if there is a pole at $Ae^{j\omega_o}$ (near |z|=1).
- 1. Start on the unit circle at $\omega = 0 \rightarrow z = e^{j\omega} = 1$.
- 2. Trace along the unit circle counterclockwise (increasing ω)
- 3. When pass a zero at $Ae^{j\omega_m}$, $A \approx 1$, gain dips at ω_m .
- 4. When pass a pole at $Ae^{j\omega_n}$, $A \approx 1$, gain peak at ω_n .
- 5. On unit circle $z = e^{j\omega}$: $z = 1 \to DC$; $z = j \to \omega = \frac{\pi}{2}$; $z = -1 \to \omega = \pi$. **EX: Zeros:** $\{e^{\pm j\pi/4}, e^{\pm j\pi/2}, e^{j\pi}\}$. **Poles:** $\{0.8e^{\pm j\pi/3}, 0.8e^{\pm j2\pi/3}\}$. Gain:

- 1. Note gain dips to zero at $\omega = \pm \frac{\pi}{4} = \pm 0.785$ and $\omega = \pm \frac{\pi}{2} = \pm 1.57$.
- 2. Note peaks at $\omega = \pm \frac{\pi}{3} = \pm 1.05$ and $\omega = \pm \frac{2\pi}{3} = \pm 2.10$
- 3. The closer a zero or pole is to unit circle, the sharper the peak or dip.
- 4. The closer pole is to unit circle, the longer h[n] takes to decay to zero.
- 5. Need all poles inside the unit circle for the system to be BIBO stable.

