

New (and Old) Proof Systems for Lattice Problems

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Zero-Knowledge Proofs [GoldwasserMicaliRackoff'85]

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- ▶ Statistical ZK (SZK): “ \approx ” means statistically indistinguishable.
- ▶ Honest-verifier SZK \equiv general SZK [GSV'98].
- ▶ SZK proofs are powerful: secure against **unbounded malicious** P^*, V^* .

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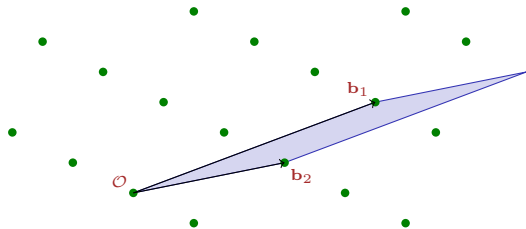
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- ★ SZK is closed under complement [SV'97], but NISZK is not known to be.
- ★ NISZK is closed under complement \iff NISZK = SKZ [GSV'99]

Lattices

- ▶ An n -dimensional **lattice** $\mathcal{L} \subset \mathbb{R}^n$ is a discrete additive subgroup, generated by a (non-unique) **basis** $\mathbf{B} = \{\mathbf{b}_1, \dots, \mathbf{b}_n\}$:

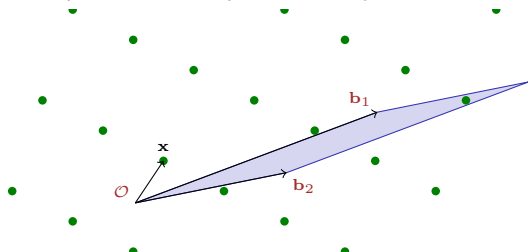
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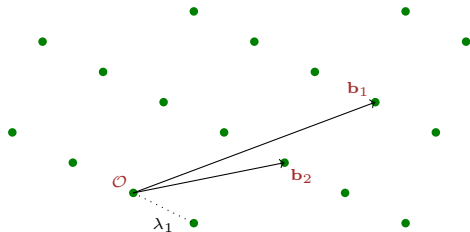


- ▶ Represent **coset** $\mathbf{x} + \mathcal{L} \in (\mathbb{R}^n / \mathcal{L})$ by **unique** $\bar{\mathbf{x}} \in (\mathbf{x} + \mathcal{L}) \cap \mathcal{P}(\mathbf{B})$.

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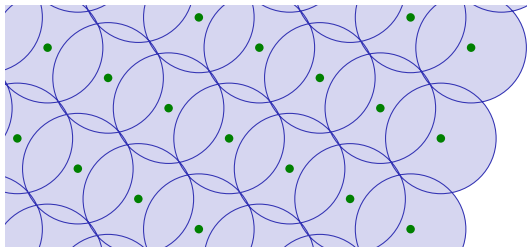
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- ▶ **Minimum distance:** length of shortest nonzero lattice vector

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- ▶ **Covering radius:** maximum distance from the lattice

$$\mu(\mathcal{L}) = \max_{\mathbf{x} \in \mathbb{R}^n} \text{dist}(\mathbf{x}, \mathcal{L}).$$

The Smoothing Parameter [MicciancioRegev'04]

- ▶ $\eta_\varepsilon(\mathcal{L}) =$ minimal Gaussian 'blur' that 'smooths out' \mathcal{L}
(up to error ε : think $2^{-n} \leq \varepsilon \leq 1/2$)



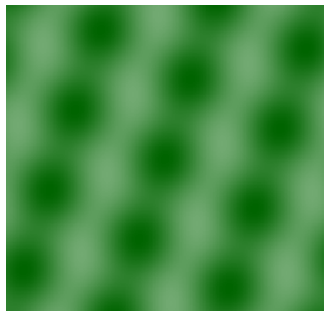
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- ▶ Algorithms for SVP and CVP [ADRS'15,ADS'15]

The Smoothing Parameter Problem [ChungDadushLiuPeikert'13]

Definition: γ -GapSPP $_{\epsilon}$

- ▶ Given a lattice \mathcal{L} , is

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Motivating Question

Are there **noninteractive** proof systems for GapSPP?

Our Results

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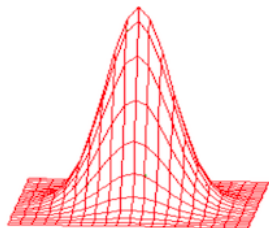
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- 2 A **reduction** to ENTROPYAPPROXIMATION \in NISZK for **any** $\varepsilon < 1/2$.

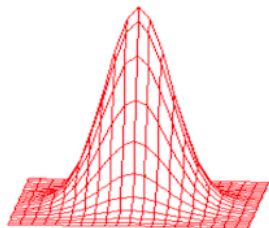
Direct Proof of $\text{GapSPP} \in \text{NISZK}$

Discrete Gaussians over Lattices



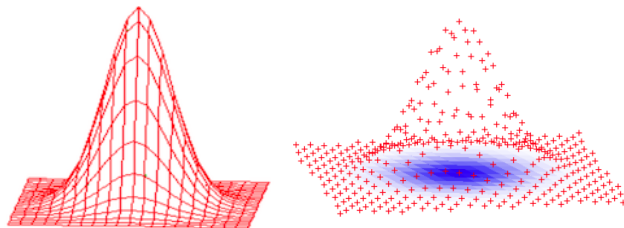
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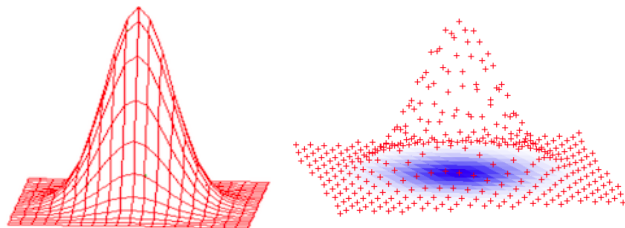
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- ▶ Given coset \mathbf{c} , **conditional** distribution of \mathbf{x} is **discrete Gaussian** $D_{\mathbf{c}+\mathcal{L}}$.

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- ▶ $D_{\mathbf{c}+\mathcal{L}}$ has **Gaussian-like properties**, e.g., sharp concentration bounds.

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- ▶ Random String: uniform cosets $\mathbf{c}_i \leftarrow \mathbb{R}^n / \mathcal{L}$ for $i = 1, \dots, m$.

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Completeness ✓

- ▶ Suppose $\eta(\mathcal{L}) \leq 1$: implied by $\lambda_1(\mathcal{L}^*) > \sqrt{n}$.
- ▶ Then $\sigma_1(\sum \mathbf{e}_i \mathbf{e}_i^T) \leq 3m$, by matrix concentration bounds on $D_{\mathbf{c}_i + \mathcal{L}}$.

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Zero Knowledge ✓

- ▶ Suppose $\eta(\mathcal{L}) \leq 1$.
- ▶ Then cosets $\mathbf{c}_i = \mathbf{e}_i + \mathcal{L}$ are **uniform*** in $\mathbb{R}^n / \mathcal{L}$, and $\mathbf{e}_i \sim D_{\mathbf{c}_i + \mathcal{L}}$ conditioned on \mathbf{c}_i .

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Soundness

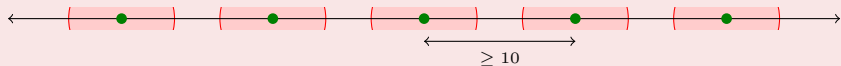
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Intuition: **projecting** \mathcal{L} and **sufficiently small** \mathbf{e}_i onto $\text{span}(\mathbf{v}^*)$ yields



Unlikely that all the random \mathbf{c}_i project to 'good' region.

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Conclusion

Completeness, simulation (for $\eta \leq 1 \iff \lambda_1^* > \sqrt{n}$)

& soundness (for $\lambda_1^* \leq 1/10$)

⇓

this is a NISZK for $O(\sqrt{n})$ -coGapSVP.

Noninteractive Proof System [PeikertVaikuntanathan'08]

- ▶ **Random String**: uniform cosets $\mathbf{c}_i \leftarrow \mathbb{R}^n / \mathcal{L}$ for $i = 1, \dots, m$.
- ▶ **Prover**: sample $\mathbf{e}_i \sim D_{\mathbf{c}_i + \mathcal{L}}$ for each i .
- ▶ **Verifier**: accept iff each $\mathbf{e}_i \in \mathbf{c}_i + \mathcal{L}$ and $\sigma_1(\sum \mathbf{e}_i \mathbf{e}_i^T) \leq 3m$.
- ▶ **Simulator**: first sample \mathbf{e}_i from **continuous Gaussian** as proof, then output cosets $\mathbf{c}_i = \mathbf{e}_i + \mathcal{L}$ as random string.

Conclusion

Completeness, simulation (for $\eta \leq 1 \iff \lambda_1^* > \sqrt{n}$)

& soundness (for $\lambda_1^* \leq 1/10$)

⇓

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- ▶ Can the same proof system work for GapSPP?

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Reverse Minkowski Theorem [RegevStephens-Davidowitz'17]

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- ▶ Conclusion: $\approx \log n$ gap in $\eta(\mathcal{L})$ between completeness, soundness.

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- ▶ Yields a **Karp reduction** $\gamma\text{-GapSPP}_\varepsilon \leq \text{ENTROPYAPPROXIMATION}$,
with $\gamma = O(\log(n)\sqrt{\log(1/\varepsilon)})$ for any $\varepsilon \in (0, 1/2)$.

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Thanks!